- 1 Atmospheric and ionospheric coupling phenomena related to large earthquakes
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11 Abstract: This paper explores multi-instrument space-borne observations in order to validate 12 physical concepts of Lithosphere-Atmosphere-Ionosphere Coupling (LAIC) in relation to major 13 seismic events. In this study we apply already validated observation to identify atmospheric and 14 ionospheric precursors associated with some of recent most destructive earthquakes: M8.6 of 15 March 25, 2005 and M8.5 September 15, 2007 in Sumatra, and M7.9 May 12, 2008 in Wenchuan, 16 China. New investigations are also presented concerning these three earthquakes and for the 17 M7.3 March 2008 in the Xinjiang-Xizang border region, China (the Yutian earthquake). It concerns 18 the ionospheric density, the Global Ionospheric Maps (GIM) of the Total Electron Content (TEC), 19 the Thermal Infra-Red (TIR) anomalies, and the Outgoing Longwave Radiation (OLR) data. It is 20 shown that all these anomalies are identified as short-term precursors, which can be explained by 21 the LAIC concept proposed by Pulinets and Ouzounov (2011).

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23 Keywords: earthquake, satellite, precursor, ionosphere, LAIC, TEC, TIR, OLR

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25 1. Introduction

27 Since old times there are many reports about earthquakes accompanied and even 28 preceded by abnormal phenomena involving magnetism and electricity (see for example, Milne, 29 1890). But these observations have suffered from a lack of precise measurements and 30 quantification. It is not the case these days because seismic areas are well equipped (particularly in 31 China) with a lot of various experiments, which measure many different parameters. Moreover 32 there are satellites to observe the Earth in a broad range of wavelengths from infrared to radio 33 waves. These satellites register parameters all around the Earth and then, it is possible to compare ground-based and satellite data at the time of large events. It was shown that many 34 35 parameters significantly change in the atmosphere and in the ionosphere from a few hours up to 36 a few days before earthquakes. At the same time, models to explain this coupling between the 37 lithosphere-atmosphere-ionosphere have been developed.

The aim of this paper is to review precursory effects before large earthquakes in order to deduce generalities to validate LAIC models (see Sect. 2). New complementary analyses have also been done. Abnormal variations of atmospheric and ionospheric parameters observed before powerful earthquakes are presented in Sect. 3 (Sumatra 2005), Sect. 4 (Sumatra 2007), Sect. 5 (Wenchuan 2008) and Sect. 6 (Yutian, 2008), whereas discussions and conclusions will be given in Sect. 7.

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## 46 2. The LAIC concept

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The different precursors that have been observed prior to earthquakes by many

1 experiments can be linked through various mechanisms in the atmosphere and the ionosphere. 2 Then, the same hypotheses of generation mechanism of these precursors are valid for different 3 perturbations. LAIC models have been widely developed in several papers (see for example, 4 Pulinets and Ouzounov (2011), Pulinets (2012), Pulinets and Davidenko (2014), and Pulinets et al. 5 (2015)). The starting point is of course located close to the future epicentre. It concerns the 6 activation of the fault where the permeability changes and where aerosols and gas including 7 radon can appear (Surkov, 2015). This leads to the ionization of air molecules. Then, many 8 different effects can occur: growth of air temperature, formation of temperature and pressure 9 anomalies, anomalies in Outgoing Longwave infrared Radiation (OLR), redistribution of electric 10 charges in the Earth's atmospheric system and then in the ionosphere due to the global electric 11 circuit (Harrison et al., 2010), and apparition of anomalous electric field. It is expected that the mechanism described in the LAIC model starts to be active when some parameters exceed a 12 13 threshold value which means that the system approaches to a critical state. As a possible 14 parameter, we consider a correction of the chemical potential of water vapor at a high level of 15 ionization. Pulinets et al. (2006) showed that the latent heat for water molecules at phase 16 transitions is equal to its chemical potential or to the work function when the molecule separates 17 from water droplet. The atmospheric chemical potential correction can be expressed using the air 18 temperature at the Earth's surface and the relative air humidity (Pulinets et al., 2015). It was 19 possible to evaluate this parameter for some earthquakes under studies in this paper.

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3. M8.6 of March 28, 2005 Sumatra

The 2005 Sumatra earthquake, also called the Nias Earthquake occurred at 16:09:36 UTC (23:09:36 LT) on 28 March 2005 with a magnitude of about 8.6. The hypocenter was located at 2°04'35"N 97°00'58"E, 30 km below the surface of the Indian Ocean (see Figure 1). This earthquake generated a small tsunami relatively to the 2004 Sumatra earthquake.



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Figure 1: Map of Sumatra region showing the extent of the ruptured fault lines for the three most recent giant quakes. Green area shows 2004, red area shows 2005, and blue and yellow areas show 2007. The islands are: An=Andaman Nb=Nicobar Ni=Nias Sm=Simeulue Bt=Banyak Mt=Mentawai. Credit: Tectonic Observatory, Caltech, CA, USA

33 (http://www.tectonics.caltech.edu/outreach/highlights/sumatra/what.html)

According to Zhang et al. (2010) the electron density showed two types of anomalies one
 being monotone increase in the single peak values with amplitudes exceeding 1σ such as on
 March 20 and 28 2005, the other one changing the normal single peak to double crest and
 trough in the equatorial area which occurred on March 22 and 23, 2005.



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6 Figure 2: GIM TEC data in TECu recorded between February 27 and March 28, 2005. The red, 7 blue, and two black curves denote the GIM TEC, associated median, and upper/lower bound 8 (UB/LB), respectively. The LB and UB are constructed by the 1–15 previous days with moving 9 median (M), lower quartile (LQ), and upper quartile (UQ). Here, LB = M – 1.5(M – LQ) and UB = 10 M + 1.5(UQ – M). Red and black shaded areas denote differences of O–UB and LB–O, 11 respectively, where O is observed GPS TEC (for more details see the text and Liu et al. (2011)). 12 The red line indicates the time of the earthquake.

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14 In this paper the TEC (Total Electron Content) has been investigated and to detect anomalous signals of the GPS TEC variations as it is done in Figure 2, a quartile-based process is 15 performed. At each time point, we compute the median M of every successive 15-day of the GPS 16 TEC as well as find the deviation between the observed one on the 16<sup>th</sup> day and the computed median 17 18 *M*. To provide the information about the deviation, we also calculate the first (or lower) and the third 19 (or upper) quartiles, denoted by LQ and UQ, respectively. Note that assuming a normal distribution 20 with mean m and standard deviation  $\sigma$  for the GPS TEC, the expected values of LQ and UQ should be m-0.67 $\sigma$  and m+0.67 $\sigma$ , respectively [Klotz and Johnson, 1983]. To have a stringent criterion, we set 21 the lower bound, LB = M - 1.5(M - LQ) and upper bound, UB = M + 1.5(UQ - M). Therefore, the 22 probability of a new GPS TEC in the interval (LB, UB) is approximately 68%. The median together 23 with the associated LB and UB then provide references for the GPS TEC variations on the 16<sup>th</sup> day. 24 When an observed GPS TEC on the 16<sup>th</sup> day is not in the associated (LB, UB), we declare an upper 25 (increase) or lower (decrease) abnormal GPS TEC signal. Since the GPS TEC time resolution is 2-26 27 hour, there are 12 data points per day. If more than one third (4/12) of the upper or lower abnormal 28 signals successively appear in one day, and the observed GPS TEC is greater or smaller than the 29 associated UB or LB, we then consider the upper or lower anomalous (positive or negative precursor) 30 day being detected. The probability of having a daily anomaly by observing four or more signals (negative or positive) is about 0.22, that of the successively appearing anomalies should be even less. 31

1 The GIM (Global Ionospheric Maps) show in Figure 2 that the TEC over the epicenter 2 significantly decreases during 22-24 March but is normal on 20 March.

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Figure 3: The top panel represents the values of the GIM TEC along the 97°E longitude during the
time interval March 10 – April 7, 2005 whereas the bottom panel corresponds to the relative
variation. The red line indicates the time of the earthquake.

10 The following figures are devoted to the abnormal appearance of the EIA (Equatorial Ionospheric Anomaly) which is one of the most spectacular features in the ionosphere. The EIA 11 12 normally occurs during afternoon. The EIA is characterized by two enhanced plasma (or electron 13 density, TEC, etc.) crests at low latitudes straddling the magnetic equator with the electron density 14 depleted on the magnetic equator. It is the region that yields the greatest electron density on Earth. 15 The EIA is produced by the equatorial plasma fountain, which lifts the plasma from magnetic equator 16 to higher altitudes and then it diffuses down along magnetic field lines to higher latitudes creating 17 two ionization crests on both sides of the magnetic equator (Namba and Maeda, 1939; Appleton, 18 1946; Duncan, 1960; Hanson and Moffett, 1966; Anderson, 1973; Balan and Bailey, 1995; Rishbeth, 19 2000; Lin et al., 2007).

20 The Figure 3 shows the GIM TEC along the  $97^{\circ}$ E longitude during the period March 10 – April 21 7, 2005. The magnetic equator should be around 7-8°N latitude and therefore the EIA crest 22 should be 13°N to 18°N and 7°S to 12°S. The top and lower panels are the GIM TEC and 23 associated variation normalized by the standard deviation, respectively. The lower panel 24 reveals that the northern and southern EIA crests significantly increase with  $\sigma$  about 2 on 25 March 22 and 23. It can be seen that there is no obvious feature on March 20 and 28. Note that 26 the anomalies and the significance in Figs. 2 and 3 and their analogous plots are rigorously defined by 27 the median and mean bases, respectively.

1 Other authors have also noticed this EIA variation. Hasbi et al. (2011) have investigated the 2 ionospheric variations using GPS (Global Positioning System) and CHAMP data. With the 3 electron density they have shown that an equatorial anomaly modification took place a few 4 days before the event. This modification appeared under the form of crest amplification 5 during the daytime. Ryu et al. (2014a) studied the EIA strength with the DEMETER and CHAMP 6 data. They have shown that the EIA was intensified along the orbits whose longitudes were 7 close to the epicenter within about a week before and after occurrence of the earthquake 8 during daytime.

9 Pulinets (2012) has noted that plasma bubbles were registered every day at night-time (22 10 LT) one week before the main shock, and then disappeared. He observed the formation of 11 crests of the equatorial anomaly and two depletions equatorward from the crests at both 12 sides from the geomagnetic equator. He noticed that at the altitude of DEMETER (710 km) the 13 formation of crests was itself anomalous. Usually at these altitudes the equatorial distribution 14 of plasma density has a single peak over the geomagnetic equator. An extremely high vertical 15 plasma drift must be considered to explain this perturbation.

17 In addition to DEMETER morning passes demonstrating the formation of the equatorial anomaly before the Sumatra 2005 earthquake we analyzed the latitudinal cross-sections using 18 19 the GIM maps. For the precursor's identification it is important to cross-validate the results by the 20 different techniques of ionosphere monitoring. The only limitation is that GIM maps up to date 21 were generated by IGS every two hours, so 10 LT is unavailable, and we used the 10.5 LT map 22 when some intimation of the EIA anomaly may appear. The latitudinal cross-sections for -4 (red) and 23 -5 (magenta) days before the earthquake at the epicenter longitude which are presented in Figure 24 4 indicate an abnormal formation of the EIA. Oppositely, the equatorial region shape in undisturbed 25 conditions (13 March) shown by a green line indicates that in natural conditions the EIA is not 26 observed in the morning.

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Figure 4: GPS TEC variations in TECu as function of the latitude. It shows the formation of
equatorial anomaly 5 (magenta) and 4 (red) days before the Sumatra 2005 (Nias) earthquake.
The shape of equatorial region in undisturbed conditions (13 March) is shown by the green line.

5 Determination of the location of the variations is possible by application of mapping 6 technique. This is usually made by constructing the GIM maps for the given time period. We use 7 the differential maps for detection. We look for anomalies appearing in the images and how 8 close they are to the earthquake epicenter. This is demonstrated in Figure 5 which corresponds

9 to a differential map calculated for March 23, i.e. 5 days before the earthquake.

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12 Figure 5: Differential GIM map for March 23 (5 days before the earthquake) at 10.5 LT.

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Concerning this earthquake, particle and wave anomalies have been also detected. Six days before, bursts of precipitating electrons were detected by Zhang et al. (2013) using the DEMETER data. With the same DEMETER data, Zhang et al. (2012a) have performed a statistical analysis with 69 strong earthquakes with a magnitude above 7.0 during January 2005 to February 2010, and thus including the Sumatra earthquakes. They claimed that electrostatic perturbations in the ULF range (< 250 Hz) are observed in the equatorial region. 1 They have shown data recorded 20 minutes before the 28 March 2005 Sumatra earthquake 2 at less than 2000 km which present this particularity together with electron density, electron 3 temperature, and ion density variations.

### 4 4. M8.5 September 15, 2007 in Sumatra

5 The 2007 Sumatra earthquake was in fact a series of three major earthquakes. The first 6 earthquake occurred at 11:10:26 UTC (18:10 LT) on 12 September 2007, with a magnitude of 7 8.5. It was located at 4.520°S 101.374°E with a depth of 34 km (see Figure 1). The second 8 largest earthquake with a magnitude equal to 7.9 occurred later the same day at 23:49:04 9 UTC (06:49:04 LT the following day). It was centered at 2.506°S 100.906°E with a depth of 10 10 km. A third earthquake with a magnitude equal to 7.0 occurred at 03:35:26 UTC (10:35:26 LT) on 13 September. It was centered at 2.160°S 99.851°E with a depth of 10 km. There 11 12 were many aftershocks with magnitude larger than 6 on 13, 14 and 20 September.

Hirooka et al. (2011) have established that 3 days before the earthquake at 14:00 to 15:00 LT, a strong negative TEC anomaly was detected around the earthquake epicenter. They have also investigated the three-dimensional structure of electron density in the ionosphere, using a tomographic approach. Their results have indicated a significant decrease of electron density taking place at altitudes of 250 to 400 km, especially at an altitude of 330 km.



Figure 6: similar to Figure 2 but for GIM TEC data recorded between August 15 and September 13, 2007. The red lines indicate the time of the shock and the two main aftershocks.

As before the GIM TEC has been investigated in this study and it shows in Figure 6 that the TEC over the epicenter significantly decreases on September 9, around the noontime period, i.e., 3 days before the earthquake, which well agrees with the result reported by Hirooka et al. (2011).



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Figure 7: similar to Figure 3 but for the time interval August 25 – September 22, 2007 and along
the 101°E longitude. The red line indicates the earthquake day.

8 The GIM TEC along the 101°E longitude has been extracted during the period August 9 25 – September 22, 2007. The magnetic equator should be around 7-8°N. The top and 10 lower panels of Figure 7 are the GIM TEC and associated variation normalized by the standard 11 deviation, respectively. The lower panel reveals that the TEC significantly decrease with  $\sigma > 3$ 12 between 5°N and 10°S. Therefore the TEC significantly decrease around and south side the 13 epicenter on September 9.

14 In the DEMETER data we cannot find the formation of a double hump structure at the 15 altitude of 710 km because this altitude is too high, nevertheless, it is observed in GPS TEC. In 16 Figure 8 one can observe a picture similar to Figure 4 for the same local time. As a reference we 17 took two profiles before (3 September, green) and after (20 September, dark green) the 18 earthquake. It is shown that the EIA is abnormally developed 5 (7 September, magenta) 19 and 6 (6 September, red) days before the earthquake. As it was told above, the EIA is an 20 afternoon phenomenon and in natural conditions it cannot be formed in the local morning hours. On 21 Figures 4 and 8 it was arising in the morning hours, therefore was considering as an anomaly, and it 22 manifests the appearance of anomalous electric field generated by the earthquake preparation 23 process.



Figure 8: Formation of equatorial anomaly 5 (magenta) and 6 (red) days before the Sumatra
2007 earthquake. The shape of equatorial region in undisturbed conditions (3 September,
green) and 20 September (dark green) are also shown.

5 In Figure 9 we also present the differential map for 07 September 2007, which shows the 6 location of the anomaly.

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9 Figure 9: Differential GIM map for 07 September (5 days before the earthquake) at 10.7 LT.

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11 Cahyadi and Heki (2013) have measured the TEC with a regional network of GPS receivers. 12 They have determined that this earthquake, which occurred during a period of quiet 13 geomagnetic activity, showed clear positive and negative anomalies starting 30–60 min before 14 the earthquake to the north and the south of the fault region, respectively.

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16 5. The Wenchuan earthquake



Figure 10: Map showing the location of the Wenchuan earthquake from
 http://www.silkroadcollection.com/sichuan-earthquake-2008.html

4 The Wenchuan earthquake with a magnitude of 8.0 occurred at 14:28:01 LT (06:28:01 UT) on 5 12 May 2008 in Sichuan province (Figure 10). The epicenter was located at 31.021°N 103.367°E 6 with a focal depth of 19 km. This devastating earthquake was the object of many studies 7 regarding the precursors because there are a lot of experiments to record various seismic 8 parameters in China. Singh et al. (2010) reviewed multi-satellite sensor and ground 9 observatory data and they have reported anomalous changes in ground, meteorological and 10 atmospheric parameters (air temperature and relative humidity) compared to other days. 11 Electromagnetic precursors have been reviewed by Zhang and Shen (2011) and Zhang et al. 12 (2012). They have shown that electromagnetic anomalies started 2.5 years earlier and were 13 recorded until three days before the event. A more complete review of many different 14 precursors has been made by Ma and Wu (2012) and their paper contains about 140 references 15 related to various precursory phenomena observed before this earthquake. It concerns:

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17 - Anomalies in deformation measurement which appear 3 days and 1 hour before,

- 18 Anomalous variations in strain/stress measurements 48, 30, 8 hours and 37 minutes before,
- 19 Possible structure variation five days before near the Longmenshan fault zone,
- Anomalous signals observed in broadband seismic and gravity records starting from about May
   9~10, 2008,
- 22 Geomagnetic anomalies 2 to 3 months before,
- 23 Ionospheric anomalies starting from 13 to 2 days before,

- Geothermal and atmospheric anomalies, meteorological condition, temperature variation, large scale satellite Thermal Infrared Anomaly (TIR), infrared radiation anomalies, and anomalies of
 outgoing long-wave radiation.

27 In a summary diagram Ma and Wu (2012) have shown that the ionospheric anomalies are 28 very short-term precursors with a peak appearance 5 days before the quake. The number of 29 reports about these ionospheric anomalies is also much more important than the other 30 precursor reports. This could be due to the many different experiments used to study the 31 ionosphere (iono-sounder, various GPS measurements giving access to the TEC, satellites which 32 were able to survey several ionospheric parameters). Then, the next sections will briefly detail 33 some events observed in the ionosphere by many different experiments which underline the 34 appearance of perturbations 5 and 3 days before the quake.

### 1 5.1 Ionospheric variations of density

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3 Zhao et al. (2008) have shown that the maximum ionospheric electron density in the F2 4 layer recorded by the Chinese iono-sounders over Wuhan (30.5°N, 114.4°E) and Xiamen (24.4°N, 5 123.9°E) presented an unusual large enhancement during the afternoon-sunset sector on May 9. 6 Using the FORMOSAT-3/COSMIC satellite constellation, Hsiao et al. (2010) were able to monitor 7 the three-dimensional ionospheric structure with radio occultation observations. They have shown 8 that near the epicenter the F2-peak height is about 25 km lower and the F2-peak electron density 9 decreases around noon 5 days prior to the earthquake. Xu et al. (2011) observed on May 9 at 10 15.00-17.00 LT a variation of the F2 layer using the ionospheric sounder of the Chongging station 11 (29.50°N, 106.40°E).

12 Using the LUZH GPS station (28.87°, 105.41°) close to the epicenter, Yiyan et al. (2009) 13 observed that VTECs were lower in the period of 07:00-09:00 UT on May 6, and larger in the 14 periods of 04:00- 06:00 UT on May 3 and 08:00-11:00 UT on May 9, showing negative and 15 positive anomalies, respectively. With a statistical analysis of several GPS stations close to the 16 epicenter, Li et al. (2009) confirmed that TEC enhancements occurred on May 3 and 9. Zhao et 17 al. (2010) also reports a change of the EIA and an anomalous enhancement in TEC (100% increase 18 on the 15-day median) during the afternoon-evening sector (13:00-20:00 LT, i.e. 05:00-12:00 19 UT) on 9 May 2008. Global lonosphere Maps (GIMs) presented by Jhuang et al. (2010) indicate 20 that TEC anomalies occurring locally are stronger than those occurring globally at 15:00-17:00 21 Local Time (LT) on 29 April, 16:00 and 21:00 LT on 6 May and 14:00 and 19:00-21:00 LT on 7 22 May. Akhoondzadeh et al. (2010) studied TEC variations, with GIM (Global Ionospheric Map) data 23 provided by the NASA Jet Propulsion Laboratory (JPL). They have an unusual decrease of electron density (-13%) at ~ 22:30 LT, 3 days before the earthquake and an increase of the 24 25 order of 39%, from the normal state 9 days before the earthquake. The analysis of a dual-26 frequency global positioning system (GPS) receiving set-up at Guwahati (26°10 N, 91°45 E) 27 made by Devi et al. (2010) with a large number of satellites indicates variations of TEC 2-3 days 28 prior to the earthquake, i.e. on 9 and 10 May. To calculate TEC, Pulinets et al. (2010) used the 29 global IONEX TEC maps, and the reconstructed vertical profiles of electron density according to 30 the network of GPS receivers in the earthquake region. They have shown variations of the 31 equatorial anomaly and they have attributed these variations to the appearance of anomalous 32 zonal and meridional electric fields generated before this earthquake. From TEC maps, Klimenko 33 et al. (2011) found that in the afternoon (16:00-18:00 LT) on May 9, 2008, i.e. 3 days before 34 the earthquake, a distinct TEC enhancement appearing in the east-south direction of Wenchuan, 35 and another enhancement in the conjugate region in the Southern Hemisphere. 36

37 Zhang et al. (2009) calculated the daily averaged values of Ni (ion density) recorded by 38 the satellite DEMETER during the local nighttime from May 1 to 12 in the latitudinal interval of 20°N-40°N within 2000 km of the epicenter, and they found the lowest value three days before the 39 40 Wenchuan earthquake. Zeng et al. (2009) analyzed also the DEMETER data and found that (i) 41 electron density, electron temperature and oxygen ion density changed sharply (greater than 20%) 42 near the epicenter four and five days prior to the shock, (ii) Increased electromagnetic emissions 43 were registered when the satellite passed the epicenter three and seven days before the shock (i.e. 44 on May 5 and 9). Using the density measured by DEMETER, He et al. (2011a, b) found an 45 anomalous increase centered close to the epicenter by comparison between values recorded just 46 before the quake and values recorded later on.



Figure 11: Similar to Figures 2 and 6 but for GIM TEC data recorded during the time interval April
13-May 12 2008. The red line indicates the earthquake day.

The GIM shows in Figure 11 that the TEC over the epicenter significantly decreases on April and on May 6, i.e. 13 and 6 days before the earthquake. This well agrees with the results reported by Liu et al. (2009) and Jhuang et al. (2010). One can notice that there is a positive anomaly appearing in the afternoon of May 9. The TEC over the epicenter simply and slightly decreases during the period May 1 – May 5.

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Figure 12: Presentation of GIM TEC data similar to Figures 3 and 7 but along the 103°E longitude and for the time interval April 24 – May 22, 2008. The red line indicates the earthquake day.

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17 In Figure 12, the GIM TEC along the 103°E longitude is extracted during the period April 24 –May 18 22, 2008. In this case, the magnetic equator should be around 3° N. The top and lower panels are 19 the GIM TEC and associated variation normalized by the standard deviation, respectively. The 20 lower panel reveals that the TEC 20°N–40°N within 2000 km of the epicenter significantly



Figure 13: Differential maps of GIM TEC for the period 24 April – 12 May 2005. Each panel
corresponds to data recorded during a different day at 08 UT. The right bottom panel shows
the evolution of the Dst index during the same period.

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1 decreases with  $\sigma > 2$  during the period May 1 – May 12. This generally agrees with the 2 DEMETER results reported by Zhang et al. (2009). A complete evolution of the position of the anomaly is shown in Figure 13 which represents the differential maps of GIM TEC. It can be seen that the anomaly is well located close to the epicenter. Except at the beginning of the investigated period one also see that the magnetic activity is quiet (right bottom panel of Figure 13) and then the observed anomalies cannot be attributed to a perturbation due to the solar activity.

6 The atmospheric chemical potential correction (see Sect. 2) has been evaluated for the 7 Wenchuan earthquake. The epicenter was along the Longmenshan fault where the chemical 8 potential correction distribution shows a minimum during all the period of the earthquake 9 preparation. The temporal evolution of the chemical potential starting from 25 April 2008 is 10 shown in Figure 14. In fact the main activity is observed on both sides of the Longmenshan fault (see Figure 15 where the spatial distribution of the chemical potential is shown on 01 and 11 11 12 May). So to observe this activity we have selected a point not exactly at the epicenter, but 1 13 degree to North and West from this epicenter, i.e. at 32°N, 102°E.

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Figure 14: Atmospheric chemical potential variations for the period 25 April – 19 May 2008
 at the point 32°N 102°E. The red triangle indicates the earthquake day.

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Figure 15: Chemical potential correction over of Wenchuan earthquake area on 01 May (left
 panel) and 11 May 2008 (right panel).

4 In the past, several statistical analyses with the electron density recorded by DEMETER have 5 shown that there is a variation of this parameter between a few hours and a few days before earthquakes (see for example He et al. (2011a) and Píša et al. (2013)). For this event an additional 6 7 analysis of the DEMETER density has been done. The DEMETER data have been checked during 8 one month and half, one month before the shock and fifteen days after. DEMETER is only two 9 times per day above a given region (once during daytime – 10 LT and once during night time - 22 10 LT). Then the data have been studied in a rectangle centered on the epicentre (longitude range 11 between 93° and 113°, latitude range between 22° and 40°). This longitude range has been 12 selected in order to have at least one orbit per day in the seismic region. Each orbit track is 13 therefore more or less close to the epicentre. For each orbit, the electron density data measured 14 by the Langmuir probe have been averaged according to the latitude. Then all daily values which are obtained at 10 LT are displayed in Figure 16. The red line represents the average 15 16 value of these densities during the complete time interval and the dashed lines correspond to 17 the variance. One can see a decrease of the density 2-3 days before the earthquake during 18 daytime as it was reported in the ionospheric studies mentioned above. The magnetic activity 19 is quiet during the period of Figure 16 except at the beginning in April where we see a large 20 increase of the density which cannot be attributed to the seismic activity.

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Figure 16: Day time variation of the electron density in a rectangle around the epicenter (longitude range between 93° and 113°, latitude range between 22° and 40°) as function of days. The arrow indicates the earthquake day. The red line corresponds to the average value over the considered period and the dashed lines are related to the standard deviation.

- 6 7 Yan et al. (2013) have compared data from GPS receivers, the DEMETER satellite, and the 8 Advanced Very High Resolution Radiometer (AVHRR) onboard NOAA satellite. They found that 9 GPS total electron content (TEC) above the epicenter continuously decreased in the 10 afternoon periods from 6 to 10 May but increased in the afternoon of 9 May. The density 11 recorded by DEMETER also decreased from 6 to 10 May, mainly in the south of the epicenter. 12 The brightness temperature from NOAA/AVHRR data is enhanced on the northwest side of 13 the epicenter on 7 May, while ion temperature from DEMETER data increased on 9 May. The 14 flux of energetic particle between 100 and 600 keV is enhanced on 6 May. They claim that the 15 perturbations of these parameters before the Wenchuan earthquake may be related to the 16 changes of vertical electric field in the atmosphere and ionosphere.
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Using a normalized electron density of DEMETER, Ryu et al. (2014b) have shown that, during day time, there is EIA enhancements near the epicenter longitude that began approximately 1 month before the earthquake and reached its maximum with an exceptionally large strength index 8 days prior to the main shock.

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## 23 5.2 Ionospheric perturbations of waves

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The analysis of the waveform of the electric field measured by DEMETER with Fourier, wavelet and bi-spectral methods has shown the presence of strong emissions in the ELF frequency range in the ionosphere 6 and 3 days before the earthquake (Blecki et al., 2010). In

1 the paper by Liu et al. (2011), a comparison has been made between electric field measured 2 with ground-based stations located not far from the epicenter (less than 410 km) and the ELF 3 magnetic field recorded by DEMETER. They have shown for the first time that there is an obvious 4 seismo-electromagnetic relation between the ground and the ionosphere because increases of the 5 signals are observed at the same time (starting two weeks before the quake) on ground and on 6 the satellite. Walker et al. (2013) have shown that during night the ULF noise exhibits large 7 changes relative to the background levels at the time that DEMETER flies over the region of the 8 epicenter, around the end of March, mid- to late-April, mid-May, and early and mid-June.

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10 An et al. (2011) have checked the DEMETER electric field data in the ULF range and a 11 comparison was done with data recorded by ground-based stations. They have shown an 12 increase of the electric field amplitude (from one to two orders of magnitude) starting from 13 April 27, 2008 to the time of the earthquake. Zhang et al. (2012b) have also studied the ground-14 based and satellite DC-ULF electric field data around Wenchuan. They have shown that the 15 ground and space electric field anomalies have similar time and space behaviors. The analysis of long time series illustrates that the abnormal geoelectric field started in March 2008. 16 17 Recently, Li et al. (2015) used the ULF data from two Chinese stations Chengdu and Xichang at 18 80 km and 300 km from the epicenter, respectively. They have found a depression of the 19 ULF horizontal magnetic field at Chengdu a few days before the earthquake during the local 20 night time period. They suggested that it was due to a perturbation of the lower ionosphere. 21 The same data were differently processed by Hayakawa et al. (2015) using a natural time 22 analysis on various ULF parameters. They have shown critical features in the time period of 17 -23 27 April, i.e. about one month to two weeks before the earthquake.

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# 5.3 Outgoing Longwave Radiation (OLR) variation

27 The Outgoing Longwave Radiation (OLR) from the Earth is measured at the top of 28 the atmosphere and integrates the emissions from the ground, lower atmosphere and clouds 29 (Ohring and Gruber, 1982). It has been primary used to study Earth radiative budget and 30 climate (Gruber and Krueger, 1984; Mehta and Susskind, 1999).

31

32 Based on the OLR data of the geostationary satellite FY2-C and their variation 33 characteristics, Guo et al. (2010) have proposed a method for extracting earthquake TIR, 34 namely, the relative variance rate of power spectrum estimation. The method was applied 35 to analyze OLR for the Wenchuan earthquake and they show perturbed maps of TIRs on May 5 36 and 10.

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38 Jing et al. (2013) have analyzed the changes in the multiple parameters of the 39 atmosphere, including OLR, surface latent heat flux (SLHF), air temperature (AT), air relative 40 humidity (ARH), and air pressure (AP). OLR anomalies were first observed (13 days before). 41 Next are the abnormal variations of AT, ARH, and AP which occurred almost at the same time 42 (10 days before). It is very interesting to notice that the time of anomaly occurrence of these 43 three parameters also corresponds to the time of the increase of radon. Lastly are the SLHF 44 abnormal variations (one day before).

45

46 Recently, Qin et al. (2014) investigated the occurrence of atmospheric aerosols with 47 MODIS data from both Terra and Aqua satellites. They have clearly shown an enhancement 48 of the atmospheric aerosol optical depth associated with this earthquake by using MODIS data 49 from both Terra and Aqua. It was along the Longmenshan faults 7 days before the quake, i.e. 1 50 day and 4 days earlier than the reported negative and positive ionospheric disturbances, 51 respectively. It is also interesting to note that Gu et al. (2011) found significant displacement anomalies concomitant with ionospheric perturbations. They have shown variations of 3 displacement components at the LUZH station (28.87°, 105.41°) on May 9 and even a vertical displacement of more than 300 mm at PIXI station (30.91°, 103.76°), i.e. at 36 km from the epicenter, 1 hour before the earthquake.

- 6 In this paper we study the OLR in the range of 8-12 microns. A daily mean data footprints 7 covering a significant area (90° N- 90° S, 0°E to 357.5°E) with a spatial resolution of 2.5° by 2.5° was 8 used to study the OLR variability in the zone of earthquake activity (Ouzounov et al., 2007, 9 2008; Xiong at al., 2010). An increase in radiation and a transient change in OLR were recorded at 10 the top of the atmosphere over seismically active regions and were proposed to be related to thermodynamic processes at the Earth's surface. The OLR anomalous variations were defined by 11 12 Ouzounov et al. (2007) as an E index (see Figure 17). This index is similar to the definition of an 13 anomalous thermal field proposed by Tramutoli et al. (2005). For the definition of OLR anomalies 14 one can also see Xiang et al. (2010).
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Figure 17: Time series of daily night-time NOAA/AVHRR OLR anomalous values over epicenter area in Sichuan Province for Jan-Dec 2008. OLR average values for 2008 (black), OLR daily values (blue) and anomalies for 2008 (red). The time of the M7.9 earthquake is shown with a green arrow.

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Figure 18: Daily maps for May 1-15 2008 representing the OLR anomalies spatial extent in
Sichuan province epicenter area (with red star – epicenter, red solid lines- plate boundaries,
brown lines – fault systems). The earthquake occurred on May 12<sup>th</sup>, 2008.

6 The M7.9 event of May 12<sup>th</sup> shows OLR anomaly on May 6<sup>th</sup> (6 days before the 7 earthquake, Figures 17 and 18) that were building near to the epicenter area. The temporal 8 variability (Fig. 18) map for the period of May 1-15, 2008 had confirmed that the maximum 9 change in the OLR state over the epicenter area did occur on May 6<sup>th</sup> (Ouzounov et al., 2008).

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### 11 5.4 Thermal InfraRed (TIR) anomalies

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13 Anomalies in the Earth's thermally emitted radiations, as measured by the MTSAT 14 satellite operating in the TIR (Thermal InfraRed) spectral band, have been also observed in apparent relation with this event. The approach proposed by Tramutoli et al. (2001, 2005) was 15 applied to MTSAT TIR radiances collected over the area in order to isolate Significant 16 17 Sequences of TIR Anomalies (SSTAs) from normal signal variations as well as to exclude spurious effects (see also Tramutoli et al., 2015 and reference therein). Thermal Anomalies 18 (TA) were identified by the RETIRA index (Robust Estimator of TIR Anomalies, Filizzola et al., 2004; 19 20 Tramutoli et al., 2005):

21 
$$\otimes_{\Delta T} (x, y, t) = \frac{\Delta T(x, y, t) - \mu_{\Delta T}(x, y)}{\sigma_{\Delta T}(x, y)}$$

#### 22 where:

- 23  $\Delta T(x,y,t)=T(x,y,t)-T(t)$  is the value of the difference between the punctual value of TIR 24 brightness temperature T(x,y,t) measured at the location x,y acquisition time t, and its spatial 25 average T(t) computed on the investigated area considering only cloud-free locations, all 26 belonging to the same, land or sea, class;
- 27  $\mu_{\Delta T}(x,y)$  and  $\sigma_{\Delta T}(x,y)$  are the time average and standard deviation values of  $\Delta T(x,y,t)$ 28 computed for the location x,y using only cloud free records acquired in the previous years 29 (2005-2007) in similar observational conditions (same month of the year, same hour of the 30 day).

1 Thermal Anomalies with  $\bigotimes_{\Delta T}(x,y,t) > 3$  were considered Significant (STA). Following 2 their definition (e.g. Elefteriou et al., 2016; Genzano et al., 2015; Tramutoli et al., 2015) an 3 SSTA occurs when an STA appears persistently in space (at least 150 km<sup>2</sup> are affected) and in 4 time (at least one repetition in a week) domain.

5 Long-term correlation analyses among SSTAs and earthquakes (M > 4) were performed 6 by Elefteriou et al. (2015) over Greece (10 years, 2004-2013), by Genzano et al. (2015) over 7 Taiwan (8 years, 1995-2002), by Tramutoli et al. (2015) over Italy (1 year, 2012-2013). In those 8 cases a positive correlation was assumed for SSTAs occurring within a space-time window of 45 9 days (starting 30 days before the quake ending 15 days after) and within a distance D (150 km 10 < D < R<sub>D</sub> where R<sub>D</sub> is the Dobrovolsky distance R<sub>D</sub> =  $10^{0.43M}$  km) from earthquakes of magnitude 11 M.

Looking to the Wenchuan area in between April 1rst, 2008 and May 31, 2008, three SSTAs were identified and their temporal relation with earthquakes with M > 5 occurring within a distance D are described in Figure 19 (one SSTA per each row) and mapped in Figure 20. It should be noted that:

• SSTAs occur all within the space-time correlation window for the Wenchuan main shock,

SSTAs occur all before the main shock in between 1month (first appearance of SSTA 1
 and 2) and 2 weeks (last appearance of SSTA 3).

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		SEQUENCE ID		
		1	2	3
	DATE OF FIRST TIR ANOMALY	12/04/2008	15/04/2008	28/04/2008
	-15			
	-14			
	-13			
	-12			
	-11			
	-10			
<b>BEFORE THE</b>	-9			
FIRST TIR	-8			
ANOMALY	-7			
	-6			
	-5			
	-4			
	-3			
	-2			
	-1			
	0			
	+1			
	+2			
	+3			
	+4			
	+5			
	+6			
	+/			
	01 04			
	+10			
	+11			
	+12			
	+13			
	+14			7,9
	+15			
	+16			5,5
	+17			
	+18			5,6
	+19			
	+20			
AFTER THE FIRST	+21			5,8
TIR ANOMALY	+22			
	+23			
	+24			
	+25			
	+26			
	+27		7,9	6,1
	+28		5,8	
	+29	7.0	5,5	
	+30	7,9	5.6	
	+22	5.5	5,0	
	+32	3,5	3,0	
	+34	5.6	5.2	
	+35	0,0	5,2	
	+36			
	+37	5.8		
	+38			i
	+39			
	+40			
	+41			
	+42			

2 Figure 19: Correlation analysis among SSTAs and Earthquake (M > 5) occurrence during the 3 period April 1rst - May 31 2008. Each row corresponds to a succession of SSTAs occurring in a 4 different area. Yellow cells correspond to the day (zero) of the first Significant TIR Anomalies 5 (STA) each following persistence is depicted in red. Black and gray cells indicate, respectively, 6 the absence of available satellite data and days with a wide cloud coverage (not usable data) in 7 the investigated area. Green cells with numbers indicate days of occurrence, and magnitude, of 8 seismic events. For each SSTA the end of the time-correlation window (i.e. 30 days after last STA) is 9 bounded by dashed black line.

### 12 April 2008

# 13 April 2008



Figure 20: Space-time distribution of the 3 observed SSTAs. Significant Thermal Anomalies (STA) are differently colored depending on their relative intensities (in terms of number of  $\sigma$  over the expected value, see text). Clouds (no usable data) are grey colored. Dashed circles delimit the SSTAs' affected areas: Black=SSTAs 1, Red=SSTAs 2, Blue=SSTAs 3. The star indicates the epicenter of Wenchuan EQ. Some SSTAs occurring on April 12, 15 and 22 are zoomed and reproduced close to the corresponding maps.

- 7
- 8 6. The M7.3 of March 21, 2008 Yutian earthquake

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This M7.3 earthquake called the Yutian earthquake occurred in the Xinjiang-Xizang border region on March 21, 2008 at 6.33 LT (22.33 UT). The location of the epicenter was (35.6°N, 81.6°E).



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Figure 21: Map showing the location of the Yutian earthquake with the aftershocks and foreshocks. The star shows the epicenter. Source: Institute of Geophysics, CEA (2014).

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Figure 22: Similar to Figures 2, 6 and 11 but for GIM TEC data recorded during the time interval
April 22- May 21 2008. The red line corresponds to the time occurrence of the earthquake.

5 The GIM shows that the TEC over the epicenter significantly increase during the period May

- 6 16-21, i.e. 5 to 0 days before the earthquake (see Figure 22).
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9 Figure 23: Presentation of GIM TEC data similar to Figures 3, 7 and 12 but along the 81°E
10 longitude and for the time interval May 3 – May 31, 2008. The red line corresponds to the
11 earthquake day.

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13 In Figure 23, the GIM TEC along the 81°E longitude is extracted during the period May 3-14 31, 2008. The magnetic equator should be around 3°N. The top and lower panels are the GIM 15 TEC and associated variation normalized by the standard deviation, respectively. The lower panel 16 reveals that the TEC significantly increased with  $\sigma > 3$  around the epicenter during the period May 17 16-23. One can notice that the GIM TEC significantly increased over the epicenter on May 17.

18 Concerning the atmospheric chemical potential, we observe the same situation as for 19 the Wenchuan earthquake, but the fault is different. The main activity is observed at 1

- degree North from the epicenter. The time series and spatial distribution of the chemical potential 5 days before the earthquake are shown in Fig. 24.



Figure 24: Left panel - temporal dynamics of the correction to chemical potential at the point 36.5°N, 81.5°E; right panel – spatial distribution of the correction to chemical potential on 17 March 2008, i.e. 5 days before the Yutian earthquake. The red star indicates the position of the epicenter.



Figure 25: Time series of daily night-time NOAA/AVHRR OLR anomalous values over the M7.3 earthquake of March 21, 2008 in Xinjiang Province for Jan-Dec 2008. OLR average values for 2008 (black), OLR daily values (blue) and anomalies for 2008 (red). The time of the earthquake is shown with a green arrow.



a minis				U U U
March 15, 2008	U <u>March</u> 16, 2008	U March 17, 2008	March 18, 2008	March 19, 2008
	il l	ų	ų	4
		u u	l .	
March 20, 2008	March 21, 2008	LI March 22, 2008	U March 23, 2008	March 24, 2008
			LI	1
1	1		u .	U C
March 25, 2008	March 26, 2008	March 27, 2008	U 11 March 28, 2008	_   _  March 29, 2008

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5 Figure 26: Daily maps for March 15-29, 2008 representing the OLR anomalies spatial extent over 6 the M7.2 earthquake of March 21, 2008 in Xinjiang Province epicentral area (with red star-7 epicenter, red solid lines-plate boundaries, brown lines-fault systems).

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In the case of this M7.2 earthquake of March 21, 2008, NOAA-15 OLR survey for January –
December (Figures 25 and 26) shows that the initial indication of building an atmospheric
anomaly was detected in the beginning of March and the maximum was reached on March 18
west ward from the epicenter along the Altyn Tagh fault (3 days before the main shock). The OLR
reference field was built for the entire period of 2004-2008.

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#### 15 7. Discussion and conclusions

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17 Reviews of past studies together with new investigations of atmospheric and 18 ionospheric parameters have been done for several powerful earthquakes. During the 19 week preceding the earthquakes, all these parameters show clear disturbances that can be 20 considered as short-term precursors. Two important points must be underlined: (i) these 21 variations are expected by the proposed LAIC concept, and (ii) there is a large similarity of these 22 variations for the different earthquakes presented in this paper. For example, our analysis of 23 OLR from satellite during the M7.2 earthquake of March 21, 2008 in Xinjiang province and the M7.9 earthquake of May 12, 2008 in Sichuan province demonstrated the presence and re-24 25 occurrences of related variations of this parameter implying its connection with the 26 earthquake preparation process. The same phenomena were revealed for the ionospheric 27 perturbations of the local electron density measured from ground or by satellite, and of the 28 global TEC measured prior to the different earthquakes. The influence of the global electric 29 circuit between the Earth's surface and the bottom of the ionosphere has been confirmed by 30 Fan et al. (2015) who have shown similarities between electric field simultaneously recorded 1 onboard DEMETER and on ground.

3 These results can be explained by the LAIC concept, which suggests the existence of 4 physical links between the different atmospheric variations and tectonic activity (Ouyang et al., 5 2009; Pulinets and Ouzounov, 2011). The triggering process is the air ionization produced by 6 increased emanation of radon from the Earth's crust in the vicinity of active tectonic faults 7 (Surkov, 2015). Our findings provided evidence of the thermal build up in the form of 8 increasing mean air temperature in the atmosphere, and change of the relative humidity 9 because the produced ions act as the nuclei for water vapor condensation. During the 10 condensation a large amount of latent heat is released, which leads to the air temperature 11 changes. The measurements show that infrared temperature increases by several degrees for 12 different large earthquakes.

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14 Independently of the results shown here concerning the atmospheric chemical 15 potential (Figures 14 and 24), Qin et al. (2014) have clearly shown an enhancement of the atmospheric aerosols 7 days before the Wenchuan earthquake. This is not specific of our 16 17 earthquakes under studies because recently Akhoondzadeh (2015) and Ganguly (2016) have 18 also detected variations of aerosols before the M8.8 Chile earthquake in 2010, and the M7.9 Nepal earthquake in 2015, respectively. An increased density of the charged aerosols 19 20 in the warm humid air over the tectonic fault leads to the intensive vertical electric currents 21 generation (Namgaladze and Karpov, 2015; Sorokin and Ruzhin, 2015), which results in the 22 local disturbances of the electric field in the ionosphere and create relative TEC disturbances 23 via the electromagnetic plasma drift (Namgaladze, 2013; Karpov et al., 2013).

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Finally, the coupling interaction phenomena related to earthquakes was demonstrated in this work by the analysis of atmospheric and ionospheric observations associated with M8.6 of March 25, 2005 and M8.5 September 15, 2007 in Sumatra, M7.9 May 12, 2008 in Wenchuan, China and M7.3 March 2008 in the Xinjiang-Xizang, China earthquakes. The synergy of related variations of these parameters suggests that they follow a general temporal-spatial evolution pattern proposed by the LAIC concept, which has been seen in other large earthquakes worldwide.

32 33

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35

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