



1 **First GPS TEC maps of ionospheric disturbances induced**
2 **by reflected tsunami waves: The Tohoku case study**

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11

12 **Abstract**

13 The straight tsunami waves from epicenter can be reflected when they reach to coasts or
14 underwater obstacles. In this study, we present the first ionospheric maps of reflected tsunami
15 signature caused by the great 11 March 2011 Tohoku earthquake using the dense GPS
16 network GEONET in Japan. We observed tsunami-like travelling ionospheric disturbances
17 (TIDs) with similar propagation characteristics in terms of waveform, horizontal velocity,
18 direction, period and arrival time compared to the reflected tsunami at the sea-level, indicating
19 the TIDs are induced by the reflected tsunami. The results confirm the atmospheric internal
20 gravity waves (IGWs) produced by reflected tsunami can also propagate upward to the
21 atmosphere and interact with the plasma at the ionospheric height.

22 **Keywords:** GPS; total electron content; traveling ionospheric disturbances; reflected tsunami

23

24 **1 Introduction**

25 A tsunami propagating in an open ocean can produce atmospheric internal gravity waves
26 (IGWs) and they are significantly amplified when propagate upward to the ionosphere (Hines,
27 1972; Peltier and Hines, 1976). The IGWs interact with the ionospheric plasma and might
28 generate the signatures that can be detectable by ionospheric sounding.



1 The detection of ionospheric signature caused by a tsunami began at 2005 on the case of the
2 2001 Chile earthquake, which was performed by using the ionospheric imaging derived from
3 very dense Japanese GPS Earth Observation Network (GEONET) (Artru et al., 2005). After
4 that many scholars also observed tsunami-driven TIDs in measurements of ionospheric total
5 electron content (TEC) derived from ground-based GPS stations (DasGupta et al., 2006;
6 Rolland et al., 2010; Liu et al., 2011; Galvan et al., 2011; Occhipinti et al., 2013; Tang et al.,
7 2015; Zhang and Tang, 2015) and satellite-based altimeters (Occhipinti et al., 2006), Doppler
8 sounders (Liu et al., 2006) and airglow images (Makela et al., 2011; Occhipinti et al., 2011).
9 Furthermore, the numerical modeling can also show evidences that ionosphere is a sensitive
10 medium to tsunami propagation (Occhipinti et al., 2006; Mai and Kiang, 2009; Hickey et al.,
11 2009).

12 Previous studies focused on the ionospheric signature induced by straight tsunami waves. For
13 a big size tsunami, its waves propagate to the coasts or underwater obstacles, the reflected
14 waves might be generated. A reflected tsunami waves were observed at the sea-level for the
15 May 2006 Tonga tsunami (Tang et al., 2008). Rozhnoi et al. (2014) observed a signature in
16 low ionosphere, which possible was generated by the reflected tsunami, following the 2010
17 Chile earthquake with the VLF signals. However, the VLF signals can only provide us a
18 single time-series with the observation time and period of the ionospheric disturbances; other
19 significant propagation characteristics such as horizontal velocity and direction are not
20 presented. Whether the IGWs induced by the reflected tsunami waves can propagate to
21 ionosphere is still unclear.

22 In this study, we will apply the two-dimensional TEC maps derived from a dense GPS
23 network (GEONET) to detect the possible reflected tsunami signature in ionosphere after the
24 2011 Tohoku earthquake. The TEC maps can image propagation of TIDs over larger areas,
25 which are very suitable for the ionospheric monitoring.

26

27 **2 Method**

28 Due to the high spatial and temporal resolution, GPS ionospheric monitoring has been a
29 powerful tool for remote sensing of the ionosphere. The parameter using in GPS ionospheric
30 monitoring is ionospheric TEC (sTEC), the integrated electron density along the entire line-



1 of-sight (LOS) between receiver and satellite. The sTEC can be calculated from the geometry-
2 free combination of GPS dual-frequency carrier phases for each satellite-receiver pair, namely

$$3 \quad s_r = \frac{1}{40.3} \frac{f_1^2 f_2^2}{f_1^2 - f_2^2} (L_1 - L_2 + const + \varepsilon) \quad (1)$$

4 where s_r is the sTEC with unit of TECU (1 TECU=10¹⁶/m²); f_1 (1575.42 MHz) and
5 f_2 (1227.60 MHz) are the carrier phase frequencies, respectively, L_1 and L_2 are carrier phase
6 observations with unit of meter, respectively; *const* is the unknown constant bias, including
7 the ambiguity and instrument bias; ε is the measurement noise. A single-layer model with
8 height of 350 km is used to obtain the vertical TEC (vTEC) $v_r(t)$ and position of ionospheric
9 pierce point (IPP).

10 Although Eq. (1) cannot acquire the absolute value of TEC at a particular time due to the
11 unknown bias, it can capture the TEC variation over time with high precision, which is
12 important for TIDs detection. In this paper, we employ a numerical difference method to
13 eliminate the diurnal variation and the bias in TEC and extract the vTEC variation series
14 (Hernández-Pajares et al., 2006; Tang and Zhang, 2014)

$$15 \quad d(t) = v_r(t) - 0.5(v_r(t - \tau) + v_r(t + \tau)) \quad (2)$$

16 where $d(t)$ is the vTEC variation; t is the observation epoch; τ is the time step for difference.
17 The time step is set to 300 s, which is suitable for the TIDs detection induced by the IGWs.
18 The numerical difference method is very simple and beneficial to process large number of
19 data.

20 The number of the ground-based stations in GEONET is about 1200 and data sampling rate is
21 30 s. The average distance between GEONET stations is about 25 km, providing us a good
22 opportunity to monitor the ionosphere with high resolution. After processing the data from all
23 GPS stations, we can plot the vTEC variation values with different IPPs at specific epoch in a
24 two-dimensional map. The two-dimensional maps of vTEC variation will be used to detect
25 the tsunami signature in ionosphere.

26



1 **3 Results and Analysis**

2 **3.1 Reflected tsunami signatures at sea-level**

3 According to U.S. Geological Survey, the Tohoku (Japan) earthquake ($M_w=9$) with epicenter
4 located at 38.297°N , 142.373°E occurred at 05:46 UT on 11 March 2011 and then triggered
5 powerful tsunami. Figure 1 indicates the locations of epicenter and Deep-ocean Assessments
6 and Reporting of Tsunami (DART) bottom pressure stations operated by the National Data
7 Buoy Center (NDBC) of U.S. National Oceanic and Atmospheric Administration (NOAA).
8 As showing in Figure 1, when straight tsunami waves from epicenter propagate to the
9 Emperor seamounts (average depth ~ 2000 km), the reflected waves might be generated due to
10 the powerful energy.

11 To confirm the existence of reflected tsunami waves, Figure 2 presents the sea-level
12 measurements recorded by the DART 21401 and DART 21419. The DART 21401 that near
13 the epicenter first observes the straight tsunami at 06:46 UT and then the waves propagates to
14 the DART 21419 at 07:09 UT. However, the DART 21419 and DART 21401 observes
15 another augmented signal at 09:28 UT and at 09:52 UT, suggesting there are tsunami waves
16 propagated along the opposite direction of the straight tsunami waves. Furthermore, the
17 amplitude of the waves recorded in DART 21419 (0.139 m) is slightly larger than that in
18 DART 21401 (0.111 m), which can serve as further evidence that the waves propagated from
19 DART 21419 to DART 21401. As shown in Figure 2, the spectral analysis for the time-series
20 of the tsunami signals indicate they have similar center frequency of ~ 0.40 mHz. Another
21 significant signal (~ 0.66 mHz) in time-series of DART 21401 is the frequency of straight
22 tsunami (Makela et al., 2011; Occhipinti et al., 2011).

23 In addition, the model of sea-level variation due to tsunami waves can further support the
24 reflected tsunami waves. The NOAA's Method of Splitting Tsunami (MOST) model (see the
25 animation for Tohoku tsunami: <ftp://ftp.pmel.noaa.gov/tsunami/honshu/>) can offer the
26 propagation characteristics of the reflected tsunami at the sea-level. According to the MOST
27 model, the straight tsunami waves reach the Emperor seamounts at about 3 h after the
28 earthquake. Then, the tsunami waves are divided into two parts: one part continued to
29 propagate ahead, another part along the opposite direction. The opposite tsunami waves pass
30 the DART 21419 and DART 21401 successively, and reach the epicenter at about 6 h after
31 the earthquake.



1 In short, the observation results by DARTs and the MOST model results demonstrate that
2 there were reflected tsunami waves at the sea-level with frequency about 0.40 mHz.

3 **3.2 Reflected tsunami signatures in ionosphere**

4 The ionospheric disturbances induced by origins from epicenter such as Rayleigh waves,
5 acoustic-gravity waves and gravity waves (tsunami waves) were observed by several scholars
6 after the 11 March 2011 Tohoku earthquake (Liu et al., 2011; Rolland et al., 2011; Tsugawa
7 et al., 2011; Occhipinti et al., 2013; Occhipinti, 2015). Here, we focus on possible reflected
8 tsunami signature in ionosphere induced by this event.

9 Figure 3 presents the two-dimensional maps of vTEC variations derived from GPS
10 observations in GEONET on 11 March 2011 at studied times. As shown in the upper left
11 panel, the ionospheric disturbances induced by origins from epicenter are basically invisible
12 at about 08:30 UT. However, TIDs (indicated by the blue arrow) began to appear again at
13 about 11:50 UT. As shown in Figure 3, the TIDs propagated along the southwest that is
14 accord with the observation results by the DARTs in Figure 2. In addition, the direction
15 slightly turns around counterclockwise, suggesting that the horizontal velocities on the
16 western area close to the Kuril and Japan trenches are larger than that on the eastern area. As
17 shown in the bottom right panel of Figure 3, the TIDs propagate to the epicenter at about
18 12:20 UT.

19 The time-distance map of vTEC variations is usually used to estimate the velocity of
20 disturbances with a point origin, such as the epicenter. However, the origin for the reflected
21 tsunami is not a point but a line (the Emperor seamounts). So, the method obtaining the
22 velocity of disturbances by time-distance map is not suitable. Here, we estimate the horizontal
23 velocities of the TIDs in vTEC variations following the approach of Garrison et al. (2007).
24 The horizontal velocities of the TIDs vary 240-290 m/s approximately from eastern area to
25 western area, which is consistent to the observed results in the ionospheric maps. According
26 to the ocean depths (h) from ETOPO1 grid data supplied by U.S. NOAA (about 5900-9000 m
27 at adjacent area), the tsunami velocities are about 242-300 m/s obtained from the shallow-
28 water equation $v = \sqrt{gh}$ with gravity (g) of 9.8 m/s^2 . This indicates the horizontal velocities of
29 the observed TIDs are similar to the tsunami velocities at the sea-level.

30 To further examine the correlation of TIDs and tsunami waves, we plot vTEC variation time-
31 series derived from satellite PRN 25 and satellite PRN 29 in Figure 4 (The TIDs are observed



1 by the two satellites). Compared Figure 4 and Figure 2, it is easy to find the waveform of the
2 TIDs is very similar to that of the reflected tsunami waves at the sea-level. According to the
3 time-frequency diagrams, the center frequency of the TIDs is about 0.55 mHz, which is larger
4 than that of the reflected tsunami waves. This can be attributed to the Doppler Effect caused
5 by the relative motion between the GPS satellite and the TIDs. As seen from Figure 1, the
6 direction of PRN 25 or PRN 29 is almost opposite to the TIDs, leading to the shortened period
7 when observe the disturbances. The center frequency of TIDs is about 0.44 mHz after
8 amending the Doppler Effect, which is basically consistent to that of reflected tsunami waves.

9 According to the NOAA's MOST model for this event, the direction of the reflected tsunami
10 waves at the sea-level is also southwest and turns around counterclockwise, which is
11 consistent to that of the observed TIDs in Figure 3. As described in the previous section, the
12 reflected tsunami waves reach the epicenter at about 6 h after the earthquake. So, the observed
13 time for the TIDs and reflected tsunami is basically consistent with ~35 min delay. The
14 magnitude of the observed delay is also observed on the case of 2009 Samoa tsunami
15 (Rolland et al., 2010), which might be due to combined influence of thin shell approximation,
16 horizontal wind and horizontal delay when IGWs propagated to the ionosphere. The
17 horizontal group velocity of IGWs at different height is always smaller than the tsunami
18 velocity, leading to the horizontal delay when propagating to the ionosphere (Occhipinti et al.,
19 2013). According to the HWM93 wind model (Hedin et al, 1991), the background horizontal
20 winds propagate along the northwest at the studied times and areas, which are opposite to that
21 of tsunami waves. When IGWs propagate against the winds, the horizontal group velocity
22 will decrease (Ding et al., 2003), further prolonging the horizontal delay.

23 According to above analysis, the observed TIDs have similar horizontal velocity, direction,
24 period, waveform and observed time compared to the reflected tsunami waves at the sea-level.
25 Furthermore, to remove possible recurrent TIDs, we also verified that there weren't
26 significant perturbations on the day before and after the event day at the study times. These
27 results can confirm that the observed TIDs in Figure 3 are triggered by the reflected tsunami
28 waves. This is the first time that the reflected tsunami signature in ionosphere is detected by
29 the GPS TEC.

30



1 **4 Conclusions**

2 In this paper, we firstly analyze the tsunami measurements recorded by two DART buoys
3 provided by the NDBC of U.S. NOAA after the 11 March 2011 earthquake, demonstrating
4 that the straight tsunami waves from mainshock are reflected when propagating to the
5 underwater obstacles. Then, we employ the two-dimensional maps of $vTEC$ variations
6 extracted from very dense Japanese GEONET GPS data to observe disturbances in ionosphere.
7 The observed TIDs have similar propagation characteristics in terms of horizontal velocity,
8 direction, waveform, period and observation time compared to the reflected tsunami waves at
9 the sea-level, suggesting the TIDs are triggered by the reflected tsunami waves. The observed
10 results in this study confirm the IGWs generated by reflect tsunami can also propagate
11 upward to the atmosphere and interact with the plasma at the ionospheric height.

12 The results indicate not only the straight tsunami from mainshock, but also the reflected
13 tsunami might have potential to induce ionospheric disturbances. This study provides a new
14 recognition of tsunami-driven TIDs and support for the future tsunami warning system.

15

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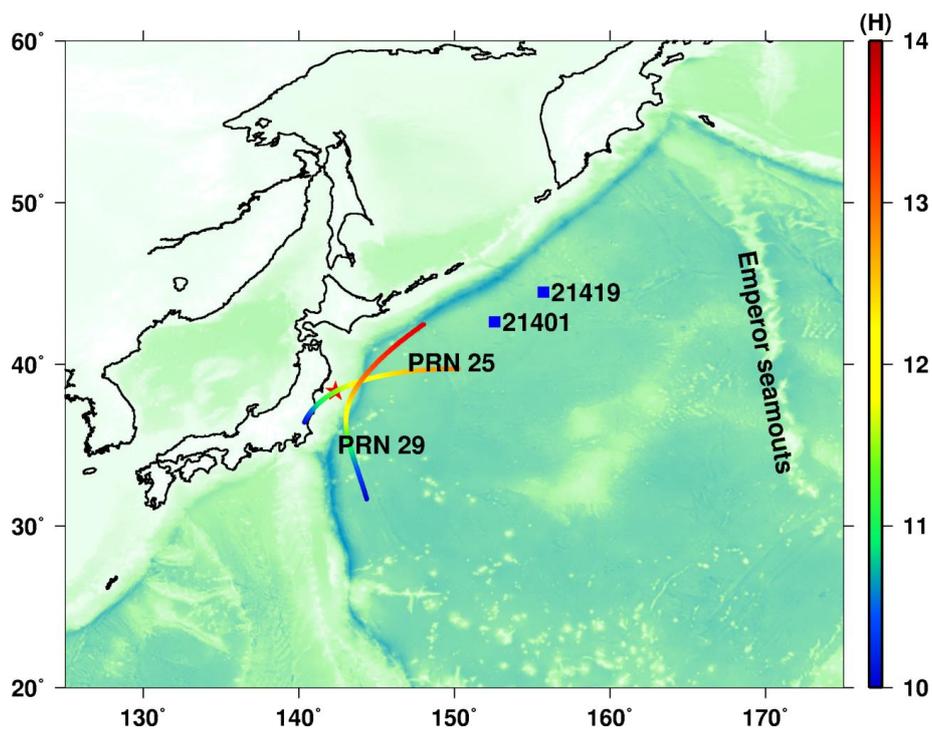
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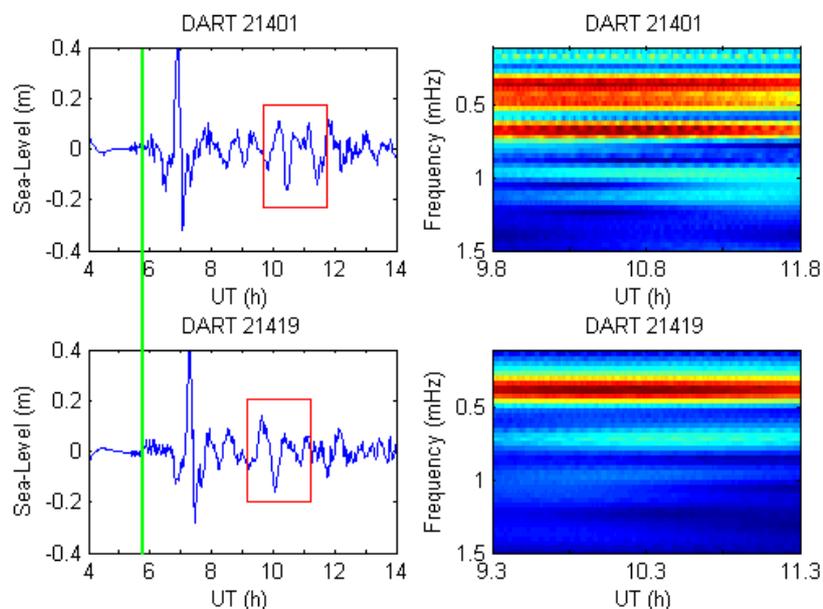


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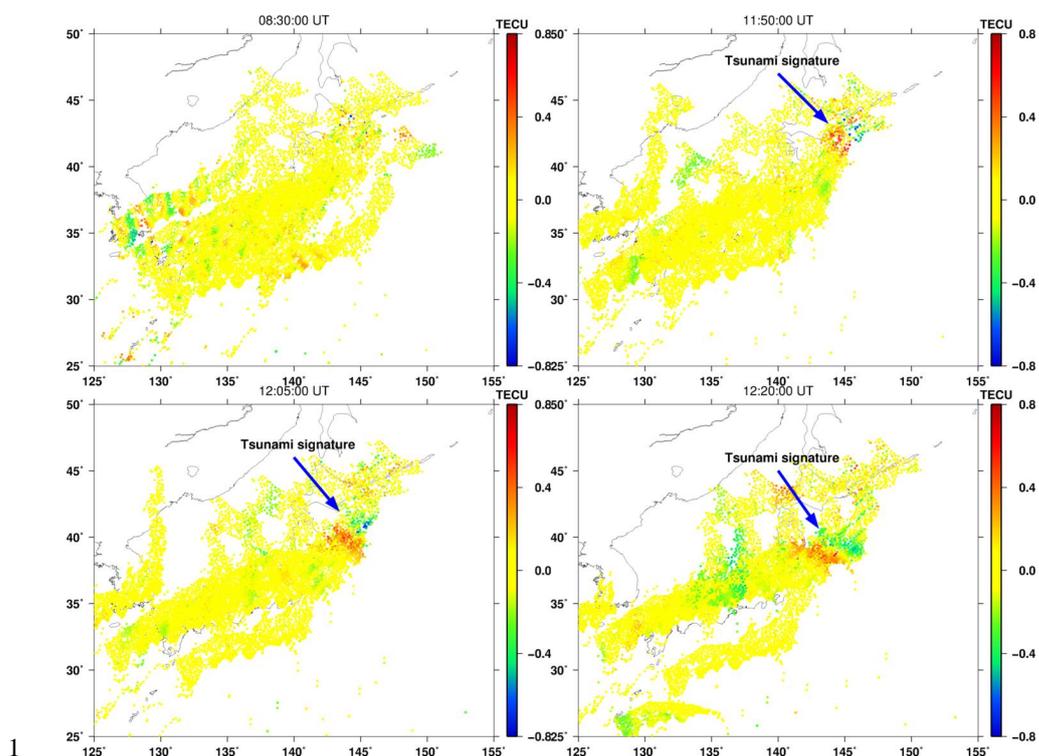
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2 Figure 1. The locations of epicenter, DART stations and IPPs. The red pentagram indicate the
3 epicenter of 2011 Tohoku earthquake, the blue squares note the DART stations and the color
4 bar denotes the observation time of IPPs.

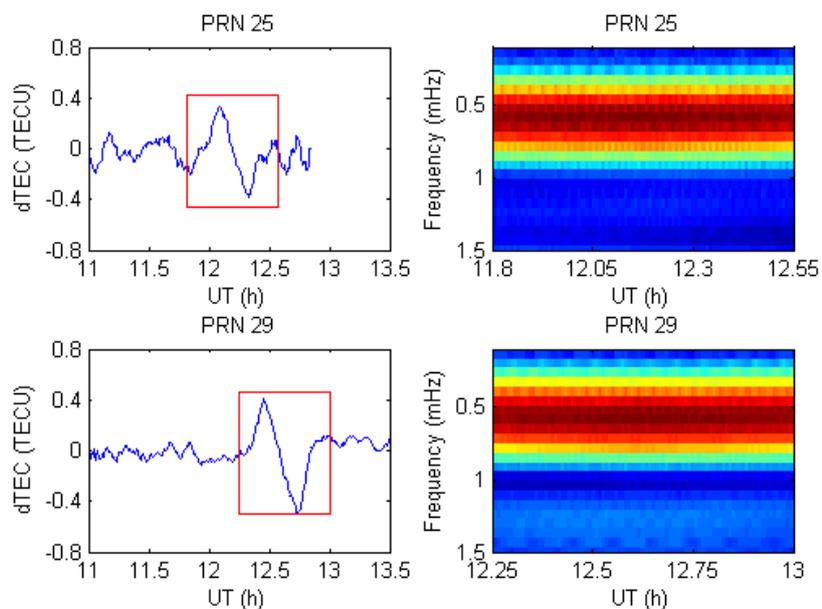


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2 Figure 2. The sea-level tsunami series and time-frequency diagrams. The left panels are sea-
3 level tsunami measurements recorded by the DART 21401 and DART 21419. The right
4 panels are corresponding time-frequency diagrams for the time-series of the tsunami signals
5 denoted by red rectangles. The green line in the left panels indicates the time of earthquake.



1
2 Figure 3. The two-dimensional maps of vTEC variations derived from GPS observations in
3 GEONET. At about 08:30 UT, the ionospheric disturbances induced by origins from epicenter
4 (Rayleigh wave, acoustic wave, etc.) are basically invisible. At about 11:50 UT, the
5 ionospheric signature driven by reflected tsunami wave is appeared.



1

2 Figure 4. The vTEC variation series and time-frequency diagrams. The left panels are vTEC
3 variation series derived from satellite PRN 25 and satellite PRN 29. The right panels are
4 corresponding time-frequency diagrams for the time-series of the tsunami signals denoted by
5 red rectangles.

6