





34           Regionally, it also marks the transition between permanently wet regimes  
35 of Southern Brazil and alternately wet and dry climates of Central Brazil. These  
36 characteristics influence the temporal and spatial variations of temperature,  
37 precipitation and wind. The latitudinal position favors a broad exposure to solar  
38 radiation. However, local factors such as topography and proximity to the sea  
39 provide relevant temperature fluctuation in relatively close places (Brazilian  
40 Yearbook of Natural Disasters, 2012).

41           The Southeastern region of Brazil is characterized by the influence of the  
42 South Atlantic Convergence Zone (SACZ), the main phenomena influencing the  
43 rainfall regimen by the Upper Tropospheric Cyclonic Vortex (UTCV) and by  
44 inverted troughs, which act mainly in the winter, providing moderate weather  
45 conditions especially in São Paulo and by the prefrontal instability lines  
46 generated from the association of dynamic factors of large-scale and mesoscale  
47 features. It is the region of heterogeneous spatial and temporal behavior. The  
48 Brazilian semiarid region includes northern states of Minas Gerais and Espírito  
49 Santo, while the states of Rio de Janeiro and São Paulo show the highest total  
50 rainfalls in the country. The hydrological regime in the region is well marked.  
51 There are periods of drought concentrated in the months from June to  
52 September and expressive rains over the months from November to March,  
53 peaking in December and January. It is a region of large number of hydrological  
54 disasters, with well marked seasonality. Especially with regard to flooding, this  
55 region shows the highest number of affected people in the country, possibly  
56 because it is the most populated region, and human damage, in absolute  
57 numbers, is also the most expressive, Cavalcanti, et al. 2009.

58           The atmospheric dynamics, responsible for numerous meteorological  
59 and climatological processes such as drought, heavy rains and storms, is also  
60 inducer of geological processes such as mass movements, erosion and  
61 hydrological processes such as torrents and floods.

62           The modernization and diversification of industrial production (initiated in  
63 the second half of the twentieth century and intensified from the 1970s, with the  
64 internationalization of the economy) promoted an intense displacement of rural  
65 populations to cities (CARVALHO and PRANDINI, 1998).



66 Data from the XII IBGE Census (2010), in 1950, only 40 % of 51,941,767  
67 Brazilians lived in cities. Under moderate growth pressure, these cities had their  
68 best land occupied. Visibly problematic land remained unoccupied (CARVALHO  
69 and PRANDINI, 1998). Twenty years later, the absolute population would show  
70 an increase of 82%. The urban area, in turn, began to concentrate 55 % of the  
71 population. Currently, there are 190,755,799 (twice as 1970) and urban centers  
72 account for approximately 84 % of this total in various land and housing  
73 conditions.

74 This rapid urbanization led to an uncontrolled growth of cities in areas  
75 often with geological and geomorphological conditions unfavorable to  
76 occupation (TOMINAGA, 2007), coinciding with a significant increase in the  
77 frequency and intensity of natural disasters around the globe (EM-DAT, 2009).

78 A theme present in the everyday life of society today, natural disasters  
79 are severe disturbances caused by natural phenomena of great magnitude,  
80 capable of generating large human, material, economic or environmental  
81 losses, which impact exceeds the capacity of the affected community or society  
82 to cope with their own resources (UNISDR, 2009). Severe natural phenomena  
83 are strongly influenced by regional characteristics and when they occur in  
84 places where humans live, result in losses and damages, (KOBAYAMA et al.,  
85 2006). To be included in the database of emergency events (EM-DAT), it must  
86 present at least one of the following criteria: 10 or more killed, 100 or more  
87 affected, declaration of emergency and need for international aid (SAITO et al.  
88 2009; MARCELINO et al. 2006).

89 Usually, sudden and unexpected, disasters can be distinguished from  
90 each other as to their genesis as hydrometeorological, exogenous or of external  
91 dynamics (snow avalanches, forest fires, floods, mass movements, extreme  
92 temperatures and heat waves, storms, tornadoes, hurricanes and droughts).  
93 Those of internal dynamics (endogenous or geophysical) are earthquakes,  
94 tsunamis and volcanism (ALCÁNTARA-AYALA, 2002; MARCELINO, 2003;  
95 TOMINAGA, 2009). Their intensity depends mainly on the interaction between  
96 the magnitude of the adverse event and the degree of vulnerability of the  
97 affected receiver system (CASTRO, 1999).



98 In theory, anyone can be victim of a natural disaster (TOMINAGA, 2007).  
99 In practice, the poorer are the most vulnerable, because income disparity  
100 prevents their access to urban areas within geotechnical safety standards,  
101 whose cost of location goes beyond the economic and financial reality of this  
102 portion of the population (PERDORMO, 2010). With no alternatives, these  
103 people build fragile dwellings in more densely populated areas and on land with  
104 greater susceptibility to hazards. Several studies have reported a sharp  
105 increase in the number of these events on all continents, especially in  
106 developing countries and their adverse effects: increasing numbers of victims  
107 and financial amounts needed to rescue the population and reconstruction of  
108 affected areas. This fact can be attributed to population growth, socio-spatial  
109 segregation, accumulation of fixed capital in dangerous areas, technological  
110 advances in communications and global climate change (MARCELINO et al.  
111 2006).

112 The aim of this study was to analyze some disasters caused by heavy  
113 rains in the Southeastern region of Brazil based on data of precipitation and  
114 outgoing longwave radiation.

## 115 **Material and Method**

116 To analyze the incident rainfall over the southeastern region of Brazil,  
117 data from 183 rainfall stations (Figure 1) within 34 years (1976-2010), obtained  
118 from the Hydrological Information System, National Water Agency (ANA) and  
119 also database of the Department of Water and Energy (DAEE), under the Water  
120 Resources Management System (SIGRH) of the State of São Paulo were  
121 obtained.

122 To observe the occurrence of intense convective activity over South  
123 America and especially in the Southeastern region of Brazil, outgoing longwave  
124 radiation (OLR) information was used, which is part of sets of meteorological  
125 data provided by the National Oceanic & Atmosphere Administration (NOAA)  
126 and provided by the Physical Sciences Division of the Earth System Research  
127 Laboratory, Boulder, Colorado.



128           The variability of the geographical distribution of convective processes  
129 over South America and southeastern Brazil was analyzed through daily OLR  
130 data, which covered an area bounded between 35°S to 10°N (19 points in  
131 latitude) and 80°W to 30°W (21 points in longitude available for certain SACZ  
132 episodes) previously identified by Quadro (1994) and CLIMANÁLISE (1990-  
133 2011).

134           The daily OLR values correspond to radiometric measurements in the  
135 spectral range from 10.5 to 12.5  $\mu\text{m}$  between downward and upward (means of  
136 two satellite passes per day) obtained for each grid point with spatial resolution  
137 of 2.5° - 2.5° degrees by the AVHRR/NOAA sensor (WALISER and ZHOU,  
138 1997). This variable is widely used to indicate the formation of convective  
139 clouds over territory located in the tropical region through satellite  
140 measurements (LIEBMANN and SMITH, 1996). These clouds are associated  
141 with much more vigorous height, which makes their tops to stand at altitudes  
142 near the tropopause. The higher the top of a cloud, the lower the emission of  
143 long waves, so, OLR can be used as an indicator of precipitation (FERREIRA  
144 and GURGEL, 2002).

145           Reports of collections of "Folha de São Paulo" and "O Estado de São  
146 Paulo" newspapers and publications from the Bulletin of Climate Monitoring and  
147 Analysis (CLIMANÁLISE), National Institute for Space Research (INPE) helped  
148 establishing a relationship between rainfall and the occurrence of natural  
149 disasters.

150           Newspaper articles, also corresponding to the period of SACZ influence,  
151 allowed us inferring about the magnitude of events (especially the most affected  
152 localities and the number of deaths and homeless).

153           The SACZ episodes analyzed in this study correspond to events  
154 previously identified by Quadro (1994) between 1980 and 1989 and Climanálise  
155 publications (1990-2011). From these dates and OLR data, contour lines were  
156 plotted with the use of the Surfer 8.0 software, which represented the SACZ  
157 position and areas of convective activity. Darker shades indicate higher intensity  
158 of convection processes (lower OLR values), whereas lighter shades refers to  
159 lower intensity. White shades, usually located at north and south of the



160 convective field are related to subsidence of the atmospheric circulation and  
161 represented by the absence of this process.

162 The intensity of these episodes was defined based on OLR gradients  
163 (values lower than  $240 \text{ W/m}^2$ ) over the South American territory and  
164 southeastern Brazil; comparison with visual analysis of satellite images (when  
165 available) consistent with the duration of these phenomena (at least four days);  
166 data from rainfall stations located in the area of influence of the convective field;  
167 reports of collections of "Folha de São Paulo" and "O Estado de São Paulo"  
168 newspapers and publications from CLIMANÁLISE, (KOUSKY, 1988; QUADRO,  
169 1994; FERREIRA and GURGEL, 2002; FERREIRA et al. 2004;. CARVALHO et  
170 al. 2004; SILVA, 2006).

171 Among 109 episodes analyzed, 39 disaster situations were selected. The  
172 presence of areas of cloudiness indicates association of this band with the  
173 occurrence of homeless and displaced people (897,319), victimizing other 2,826  
174 approximately. The selection of these 39 episodes followed criteria of the  
175 Emergency Disasters Database (EM-DAT), created with the help of the Belgian  
176 Government by the Université Catholique de Louvain, Brussels and maintained  
177 by the Centre for Research on the Epidemiology of Disaster (CRED), linked to  
178 the World Health Organization (WHO), or 10 or more killed; 100 or more  
179 affected and declaration of emergency (GUHA-SAPIR et al. 2012).

## 180 **Discussion of Results**

181 The southeastern region of Brazil has rainfall pattern with two distinct  
182 seasons: dry season (April-August) and wet season (September-March). The  
183 high rainfall volumes recorded during spring-summer arise primarily from the  
184 effect of the South Atlantic Convergence Zone and localized heating zones.

185 The spatial variability of rainfall can be observed in the contour maps  
186 (Figures 2-3). Darker shades indicate an increase in the intensity of this  
187 phenomenon (SACZ), whereas lighter shades refer to smaller rainfall  
188 intensities. Figure 2 shows that the highest average rainfall (over 1,400 mm) are  
189 in the southeastern region of the study area, which covers the mid-eastern state



190 of São Paulo (SP), mid-southern state of Minas Gerais (MG) and the  
191 mountainous regions and coastal plains of the state of Rio de Janeiro (RJ).

192 The lowest averages, in turn, occur in western state of São Paulo (1,300  
193 mm) and northeastern state of Minas Gerais (800 mm).

194 The largest deviations in relation to the yearly average (Figure 3)  
195 occurred in the mid-eastern portion (over 290 mm). These values result from  
196 the influence of a more rough relief and greater proximity to the Atlantic Ocean,  
197 while in western São Paulo, Vale do Jequitinhonha and Mucuri (MG), deviations  
198 were below 270 mm.

199 Tables 1 and 2 show a large variability of geographical positions of the  
200 convection process over the study area (transience that predominated over the  
201 following states: Minas Gerais, São Paulo and Rio de Janeiro). In relation to the  
202 consequences, significant increase in the number of affected people throughout  
203 the study period, especially in the last decade, was identified.

204 There was influence of SACZ on 21 occasions between 1980 and 1989.  
205 Tables 1 and 2 show the 11 most significant episodes in number of fatalities  
206 and homeless, respectively.

207 Table 1 - Number of deaths in Southeastern Brazil according to state due to SACZ  
208 events between 1980 and 1989.

<b>SACZ</b>	<b>MG</b>	<b>SP</b>	<b>RJ</b>	<b>ES</b>	<b>Total</b>
January 1980	11	1	6	-	18
February 1980	-	23	-	-	23
December 1980	16	2	-	-	18
December 1981	4	6	60	-	70
January 1982	12	-	16	-	28
January 1983	86	5	-	-	91
January 1985	64	3	17	34	118
February 1985	-	2	27	-	29
January 1987	17	21	1	-	39
February 1987	-	85	1	-	86
February 1988	-	1	201	-	202

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214 Table 2 - Number of homeless in Southeastern Brazil according to state due to SACZ  
215 events between 1980 and 1989.

SACZ	MG	SP	RJ	ES	Total
January 1980	9.427	10.000	-	2.400	21.827
February 1980	12.000	2.500	-	-	14.500
December 1980	1.146	100	-	13.500	14.746
January 1981	500	6.000	2.000	-	8.500
December 1981	4.395	700	5.000	-	10.095
January 1982	3.918	-	3.000	-	6.918
February 1983	-	10.579	-	-	10.579
January 1985	63.335	5.141	21.000	10.631	100.107
January 1987	4.266	200	600	-	5.066
February 1987	-	20.681	-	-	20.681
February 1988	-	5.000	8.524	-	13.524

216

217 The analysis of Tables 1 and 2 shows that the state of Rio de Janeiro  
218 and Minas Gerais were the most affected by rainfall. Both showed, respectively,  
219 the highest number of deaths (329) and homeless (101,987).

220 The effect of SACZ between January 23 and February 6, 1985 helped to  
221 intensify rains in the Northern, Northeastern, Midwestern and Southeastern  
222 regions of Brazil. According to Figure 4, it is possible to verify the presence of  
223 convective cloud bands from southwestern Colombia to the Atlantic Ocean  
224 coast of states of Espírito Santo and Rio de Janeiro. Low OLR values (lower  
225 than  $210 \text{ W/m}^2$ ) are an important indicator of the occurrence of deep convection  
226 over the study area.

227 Compared with other events of this phenomenon during this decade, it  
228 was the episode that left the highest number of affected people (100,107) and  
229 second highest number of fatalities (118).

230 Northern Brazil, Vale do Mucuri and Vale do Rio Doce and Zona da  
231 Mata, state of Minas Gerais accounted for approximately 63 % of affected  
232 people (63,335). Losses across the state came to R\$ 1 trillion. Heavy rains  
233 have destroyed 1,300 houses, 110 bridges, two schools and damaged 2,600  
234 homes, 4 public buildings, 20 bridges in 141 municipalities of Minas Gerais (O





235 ESTADO DE SÃO PAULO, 1985, p. 32). Of the 56 deaths recorded since the  
236 beginning of the rainy season in the state of Espírito Santo, 34 were in this  
237 event, which is much higher than the statistics for the next two decades: 1990-  
238 1999 (5) and 2000-2010 (31). In the city of São Paulo, at the eve of its 431<sup>st</sup>  
239 anniversary, fifteen straight hours of rain were enough to cause two deaths due  
240 to landslides and left 1,141 homeless. The most affected regions were Itaquera  
241 (East Zone) and São Miguel Paulista (North Zone). In Guarulhos, precipitation  
242 reached 106 mm. It was the heaviest rain that occurred in a 24-hour period  
243 since 1949 (FOLHA, 1985). In northern state of Rio de Janeiro, more than  
244 20,000 people were left homeless as a result of rains and flood of Carangola  
245 and Muriaé rivers.

246           Between January 31 and February 14, 1988, again the influence of  
247 SACZ contributed to intensify rains in the Northern, Midwestern and  
248 Southeastern regions of Brazil (Figure 5).

249           Figure 5 shows an area of intense convective activity (OLR values lower  
250 than 200 W/m<sup>2</sup>) at northwestern-southeastern direction, which went from  
251 western state of Rondônia to the Atlantic Ocean through the coasts of Rio de  
252 Janeiro and São Paulo. However, deep convections were restricted to the state  
253 of Rio de Janeiro. The incidence of high rainfall volumes on the coastal  
254 lowlands, state capital and mountainous region, especially between February  
255 03 and 07, 1988, left at least 201 dead.

256           Only in Petrópolis, 165 people died buried and about 4,000 were left  
257 homeless due to thunderstorms. According to information from a document sent  
258 to the federal government by the Company of Public Works of the State of Rio  
259 de Janeiro (EMOP), the possibility of large floods occurring in the city of  
260 Petrópolis (FOLHA, 1988, p. A16) was predicted 20 years ago.

261           Between 1990 and 1999, there were 16 episodes of SACZ. Tables 3 and  
262 4 show the 10 most significant convergence zones. Compared with the last  
263 decade, there has been a reduction in the number of fatalities in all states.  
264 However, the number of people who lost or left their homes remained higher,  
265 especially in Minas Gerais and Rio de Janeiro. Through the analysis of Table 4,  
266 it could be inferred that the presence of convective bands over the study area



267 was more effective during the month of January (six episodes), when 243  
 268 deaths were recorded (55%). Of these, 114 (26%) occurred only in episode of  
 269 1997.

270 Table 3 - Number of deaths in southeastern Brazil according to state due to SACZ  
 271 events between 1990 and 1999.

	<b>SACZ</b>	<b>MG</b>	<b>SP</b>	<b>RJ</b>	<b>ES</b>	<b>Total</b>
January 1990	13	-	-	-	-	13
January 1991	27	2	29	4	-	62
January 1992	18	4	7	-	-	29
February 1993	9	1	-	-	-	10
February 1995	2	43	8	-	-	53
December1995	30	3	-	-	-	33
January 1996	-	21	3	1	-	25
February 1996	-	22	66	-	-	88
January 1997	84	23	7	-	-	114
February 1998	-	3	7	-	-	10

272

273 Table 4 shows the magnitude of episodes of January, in which 182,514  
 274 homeless (77 %) were recorded. The states that had the highest number of  
 275 victims in this period were MG (129,695) and RJ (67,727).

276 The highest number of deaths and homeless were observed in 1991 and  
 277 1997, when convective cloudiness areas remained semi-stationary over the  
 278 study area.

279 The SACZ configuration from January 10 to 18, 1991 (Figure 6)  
 280 contributed to the formation of convective clouds over a wide area of the South  
 281 American continent from eastern Peru to coastlines of Rio de Janeiro and  
 282 Espírito Santo (OLR values lower than 200 W/m<sup>2</sup>). Rainfalls on the capital of SP  
 283 and MG were the highest recorded during the months of January for the last 60  
 284 and 50 years, respectively. According to data from the 7<sup>th</sup> District of  
 285 Meteorology, rain gauges at Mirante de Santana (Northern SP) recorded 106.4  
 286 mm of rainfall within 24 hours.

287 Table 4 - Number of homeless in southeastern Brazil according to state due to  
 288 SACZ events between 1990 and 1999.

	<b>SACZ</b>	<b>MG</b>	<b>SP</b>	<b>RJ</b>	<b>ES</b>	<b>Total</b>
January 1990	42.000	5.032	-	-	-	47.032



January 1991	30.000	1.000	6.569	1.500	39.069
January 1992	9.323	1.630	4.338	-	15.291
February 1995	-	12.500	-	-	12.500
December 1995	4.750	2.080	-	-	6.830
January 1996	-	2.043	-	500	2.543
February 1996	-	520	4.000	-	4.520
January 1997	43.622	5.797	20.470	6.160	76.049
February 1998	-	1.420	32.350	-	33.370
January 1999	-	2.530	-	-	2.530

289

290 In MG, rainfalls over the metropolitan region of Belo Horizonte, Vale do  
291 Rio Doce, Zona da Mata and southern region for over a week caused 27 deaths  
292 and left about 30 thousand homeless. The cities of Passa Quatro, Itamonte and  
293 Itanhandu declared state of public calamity. In Itajubá, the shopping mall was  
294 flooded by the Sapucaí River and eight thousand people were left homeless (O  
295 ESTADO DE SÃO PAULO, 1991).

296 The mountainous region of Rio de Janeiro was again affected by heavy  
297 rainfalls three years later. Only on January 17, 21 people died and 450 were left  
298 homeless in the municipalities of Nova Friburgo, Teresopolis and Petropolis.

299 In January 1997, SACZ influenced two periods: January 02 - 08 and  
300 January 20 - 29. According to Climanálise (1997), positive rainfall anomalies  
301 over the northern state of São Paulo and Southeastern state of Minas Gerais  
302 were observed, with values of up to 200 mm above the climatological average.  
303 This increase in rainfall volumes is the result of intense convective activity (OLR  
304 values lower than  $220 \text{ W} / \text{m}^2$ ). It was the heaviest rain in Belo Horizonte since  
305 1978. It rained 355 mm up to 10 a.m. on Sunday (January 05, 1997) in Belo  
306 Horizonte, where the average rainfall in January is 290 mm.

307 At the end of the event, the state of MG recorded 76 deaths, 42,807  
308 homeless and 4,844 isolated people, 179 municipalities affected, 541 landslides  
309 and 15,992 residences were flooded or affected by landslides. In the state of  
310 Rio de Janeiro, approximately 16,420 people were homeless, according to the  
311 Civil Defense.



312 In the second SACZ episode (January 20 to 29, 1997) areas of  
313 convective cloudiness covered a wide area of the Brazilian territory since the  
314 western Amazon to northeastern state of Santa Catarina. GOES-8 image  
315 (Figure 7.1) shows the predominance of convective processes more southerly  
316 in the southeastern region, on the border between states of São Paulo and  
317 Paraná. As a result of the influence of SACZ between days 20 and 24 (Figures  
318 7.1 and 7.2), the increase in rainfall volumes caused the worst flooding in 50  
319 years at the Vale do Ribeira region. At some points, the level of the Ribeira do  
320 Iguape River rose 14.5 meters, as in the city of Eldorado, the most affected by  
321 heavy rains.

322 Between 2000 and 2011, there were 23 episodes of SACZ. Tables 5 and  
323 6 show the 17 most significant. Compared with past decades, there has been  
324 significant increase in all states both in the number of deaths and homeless.  
325 The most emblematic case occurred in January 2011 in the mountainous region  
326 of the state of Rio de Janeiro, which was named by the Geological Service of  
327 Rio de Janeiro of "mega-disaster of the mountainous region" (SPINELLI, 2011).  
328 This decade was also characterized by repeated SACZ configurations in the  
329 same month.

330 Tables 5 and 6 show that the states of Rio de Janeiro and Minas Gerais  
331 were again the most affected by heavy rains. Both showed, respectively, the  
332 highest number of deaths (1,344) and homeless (235,872).

333 Table 5 - Number of deaths in southeastern Brazil according to state due to SACZ  
334 events between 2000 and 2011.

<b>SACZ</b>	<b>MG</b>	<b>SP</b>	<b>RJ</b>	<b>ES</b>	<b>Total</b>
January 2000	14	15	13	-	42
December 2000	5	2	-	7	14
December 2001	1	-	60	-	61
February 2002	23	-	-	-	23
December 2002	1	-	34	-	35
January 2003	45	10	35	3	93
January 2004	15	16	11	9	51
December 2005	9	-	4	-	13
January 2006	-	7	16	-	23
December 2006	5	-	3	4	12
January 2007	4	3	30	-	37
February 2008	9	1	10	-	20



December 2008	10	-	3	2	15
January 2009	4	-	8	1	13
December 2009	1	28	2	1	32
December 2010	12	1	-	4	17
January 2011	1	15	1115	-	1131

335

336 Table 6 - Number of homeless in southeastern Brazil according to state due to SACZ  
 337 events between 2000 and 2011.

SACZ	MG	SP	RJ	ES	Total
January 2000	81.530	15.852	9.700	-	107.082
December 2000	400	220	-	5.000	5.620
December 2001	495	2.000	1.806	-	4.301
February 2002	2.000	3.325	-	-	5.325
December 2002	1.200	140	1.500	-	2.840
January 2003	13.699	1.200	2.200	4.931	22.030
January 2004	10.263	2.727	4.141	-	17.131
December 2005	-	-	-	1.499	1.499
January 2006	-	780	-	-	780
December 2006	6.982	-	-	2.058	9.040
January 2007	-	327	13.491	-	13.818
February 2008	2.800	3.550	2.350	-	8.700
December 2008	10.500	-	30.036	5.343	45.879
January 2009	92.660	-	12.000	4.700	109.360
December 2009	2.023	1.960	183	3.831	7.997
December 2010	11.320	11.441	-	7.300	30.061
January 2011	-	5.321	34.268	-	39.589

338

339

340 It could be inferred through the analysis of Tables 5 and 6 that the  
 341 presence of convective bands over the study area was very effective both in the  
 342 months of December (eight episodes) and January (seven episodes). It is likely  
 343 that the records for January (1,390 deaths and 309,790 homeless) are linked to  
 344 high rainfall volumes arising from these convergence zones.

345 In 2004, the influence of SACZ between January 10 and 20 (the second  
 346 event in that month) helped to intensify rains in the Northern, Midwestern,  
 347 Northeastern and Southeastern regions of Brazil. Figure 8 shows an area of  
 348 intense convective activity, extending from southwest Amazon to the Atlantic  
 349 Ocean through the coastline of Bahia and Espírito Santo.

350 During the first half of January, the actions of frontal systems, the  
 351 configuration of three SACZ episodes and the development of areas of



352 instability favored rains in almost the entire Southeastern region  
353 (CLIMANÁLISE, 2004).

354 In the first thirteen days of the year, rainfalls caused a balance of 30  
355 deaths: 13 in São Paulo, 9 in Minas Gerais and 8 in the Espírito Santo  
356 (GUIMARÃES and CHAVES, 2004). Three days later, 11 more victims were  
357 registered in Rio de Janeiro, where the most serious cases occurred in Northern  
358 state of Rio de Janeiro (municipality of Santo Antônio de Pádua) and District of  
359 Xerém in Duque de Caxias (Baixada Fluminense). Two women, one man and  
360 one boy died dragged by the current of the Pomba River, which overflowed in  
361 Santo Antônio de Pádua. In Xerém a slope sliding buried a man and his two  
362 daughters. Only in the state capital, since the beginning of the year, it rained  
363 344 mm, or 56.4 % more than the expected 220 mm for the entire month.

364 The SACZ configuration between December 04 and 08, 2009 favored the  
365 formation of convective clouds (OLR values lower than  $210 \text{ W/m}^2$ ) since  
366 southern Colombia to the Atlantic Ocean through the coastline of Espírito  
367 Santo. The association between frontal systems and thermal convection  
368 generated intense rainfalls that initially affected the southern region of the study  
369 area (mainly on the metropolitan areas of São Paulo and Campinas).

370 In the rural area of Pinhalzinho, state of São Paulo, a couple died buried.  
371 In the capital, a thunderstorm of 40 minutes caused 218 km of traffic jams, left  
372 without electricity neighborhoods of Paraíso (South), São Mateus (East), Bom  
373 Retiro (downtown) and Itaim Bibi (West), Congonhas airport closed for 15  
374 minutes and buried five people: an adult in São Rafael Park (East SP) and four  
375 children in Itapeirica da Serra (BENITES et al. 2009).

376 The influence of SACZ between January 11 and 15, 2011 intensified  
377 rainfalls in the Northern, Midwestern and Southeastern regions of Brazil. Figure  
378 9 shows an area of intense convective activity (OLR values lower than  $200 \text{ W/m}^2$ ), which extends from the southern Amazon to the Atlantic Ocean along  
379 the coast of Rio de Janeiro.

381 The organization of deep convections over the states of São Paulo and  
382 Rio de Janeiro between the evening of Monday (January 10, 2011) and the



383 early hours of Wednesday (January 12, 2011) contributed to increased rainfall,  
384 consequently to the occurrence of landslides and floods. The heavy rain that fell  
385 during the night and early morning of that day on the metropolitan areas of São  
386 Paulo, Sorocaba and Vale do Paraíba caused 14 deaths, three in Maua, three  
387 in São Paulo, one in Embu Guaçu, one in Mogi das Cruzes, one in Iperó and  
388 five in São José dos Campos.

### 389 **Concluding Remarks**

390 By comparing maps, data and reports can show that the summer period  
391 is the most favorable to the occurrence of natural disasters such as landslides  
392 and floods, especially during the month of January, when there were more  
393 SACZ configurations (16 episodes).

394 The permanence of this meteorological phenomenon for several days  
395 interferes in rainfall volumes of different locations under the influence of  
396 convective cloudiness bands. Therefore, the amount of accumulated rain due to  
397 its presence (four days or more) is an important parameter to anticipate the  
398 possibility of a catastrophic event and thus save lives.

399 Episodes in which convective processes covered the entire study area  
400 were emphasized (January 1985, 1991, 1997, 2004, and December 2009), as  
401 well as those in which high number of fatal victims and homeless were  
402 observed (February 1988 and January 2011). During these episodes, there was  
403 recurrence of floods and landslides in certain areas such as the coastal  
404 lowlands, mountainous regions, metropolitan region of Rio de Janeiro,  
405 northeastern and northern state of Rio de Janeiro, southern and southeastern  
406 state of Minas Gerais, Zona da Mata, metropolitan region of Belo Horizonte,  
407 Vale do Rio Doce and Mucuri; metropolitan region of São Paulo, Northern  
408 Coast, Vale do Ribeira, Vale do Paraíba; Northwestern regions, Mid-southern  
409 region of Espírito Santo.

410 The use of newspaper reports was essential both to establish the  
411 relationship between rainfall and the occurrence of natural disasters as to  
412 differentiate episodes in terms of magnitude (number of deaths and homeless)  
413 and spatial extent (most affected locations). This information also revealed the



414 inability of municipal administrations and the government unpreparedness in  
415 relation to existing risk situations in various cities in the study area.

416 The size of tragedies could be minimized with the implementation of a  
417 preventive plan involving the entire southeastern region of the country to act not  
418 only in the rainy season; better use of budget funds; greater flexibility in  
419 emergency transfers; adoption of preventive measures and performance of  
420 structural works; hiring specialized professionals; investment in equipment,  
421 infrastructure and human resources for Civil Defense, maintenance of teams  
422 (avoiding the diversion of functions of employees linked to municipal  
423 administration); combat and surveillance of illegal occupation of slopes and  
424 floodplains; mapping of risk zones and also housing policies of social character.

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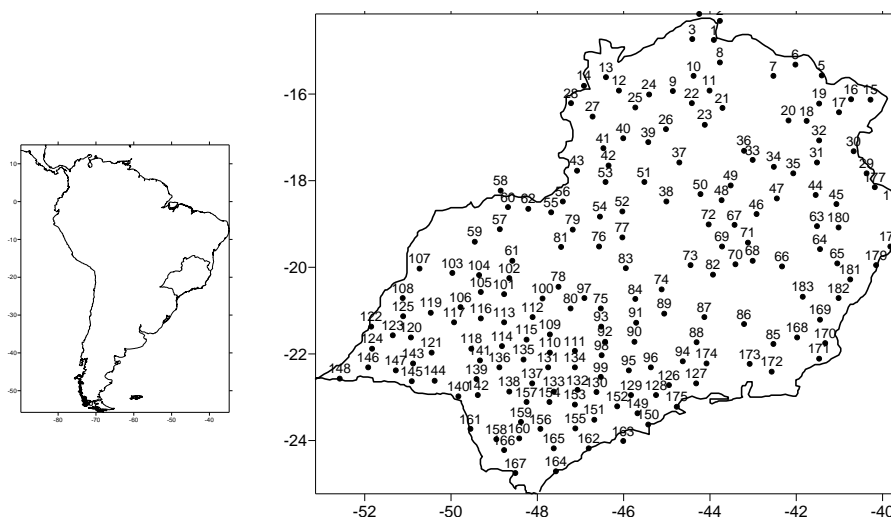
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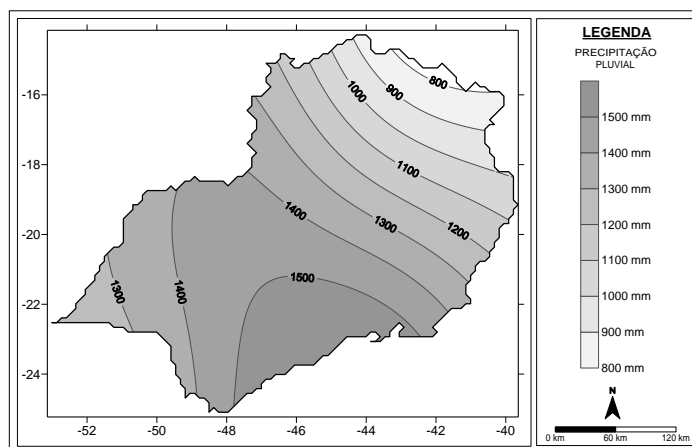
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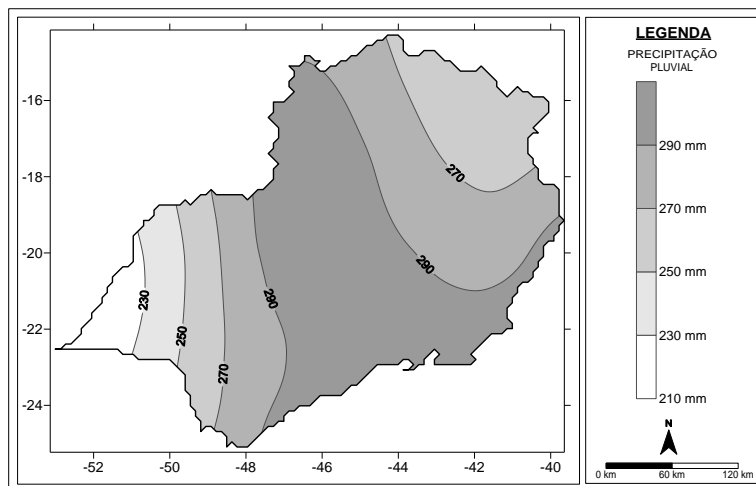


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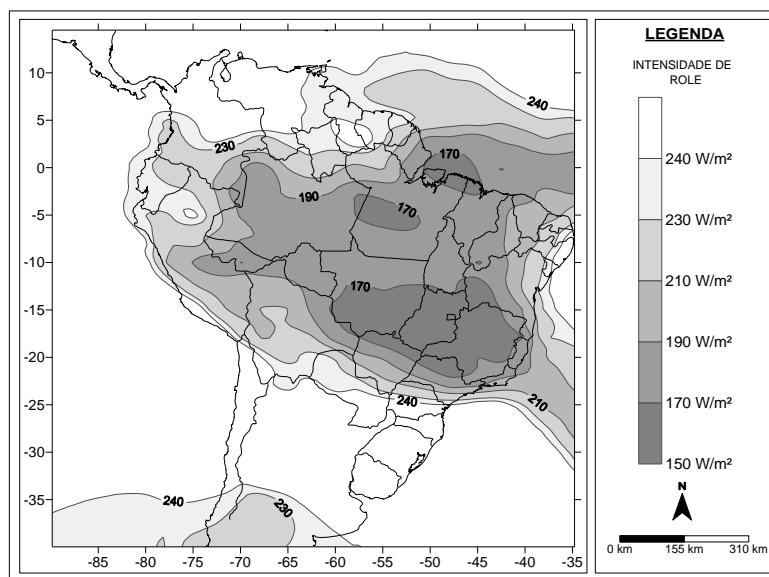
Figure 1 - Spatial distribution of rainfall stations in the study area.



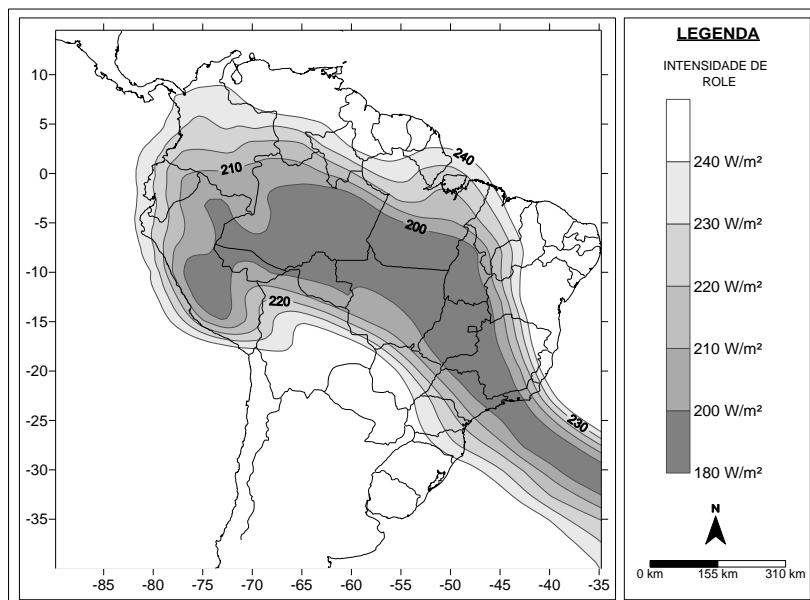
571 Figure 2 - Contour lines of the average incident rainfall over the study area.  
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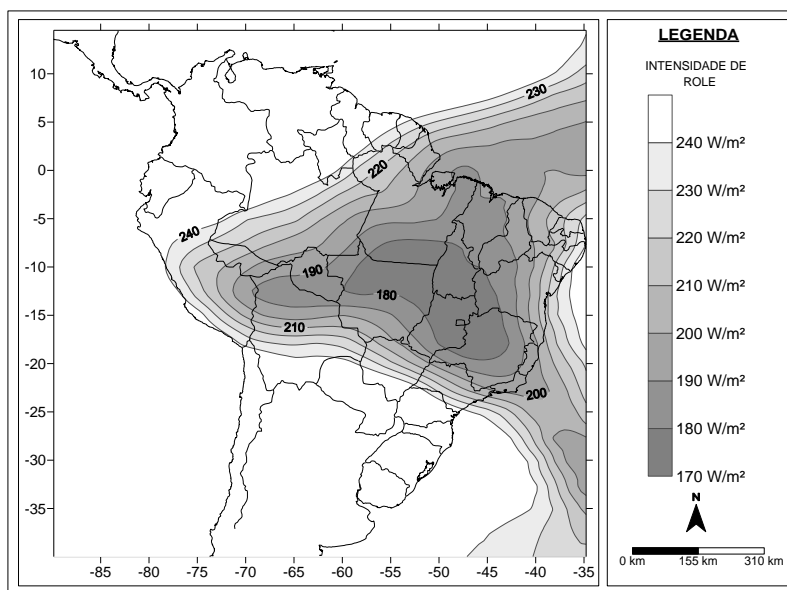
573 Figure 3 - Contour lines of the standard deviation of incident rainfall over the study  
574 area.  
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576 Figure 4 - Average OLR field (values lower than  $240 \text{ W/m}^2$ ) between January 23 and  
577 February 6, 1985.  
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579 Figure 5 - Average OLR field (values lower than  $240 \text{ W/m}^2$ ) between January 31 and  
580 February 14, 1988.  
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Figure 6 - Average OLR field between January 10 to 18, 1991.

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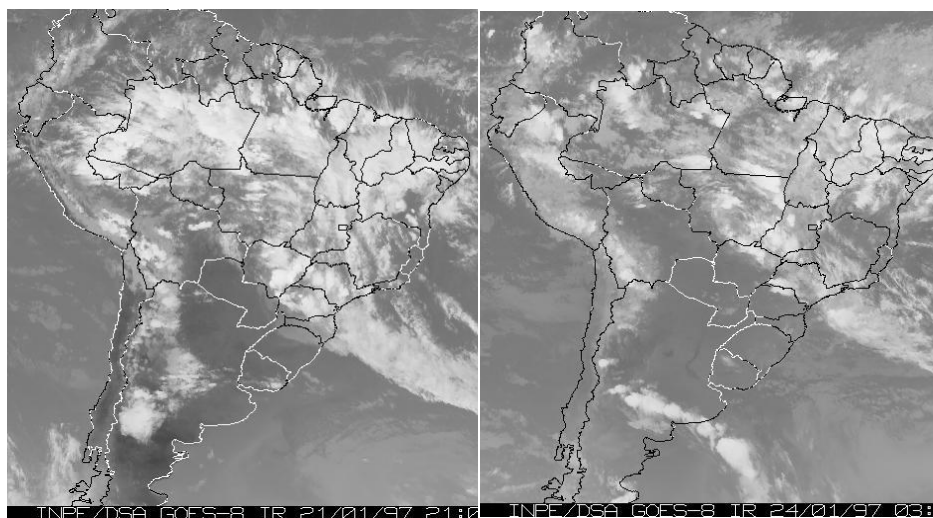


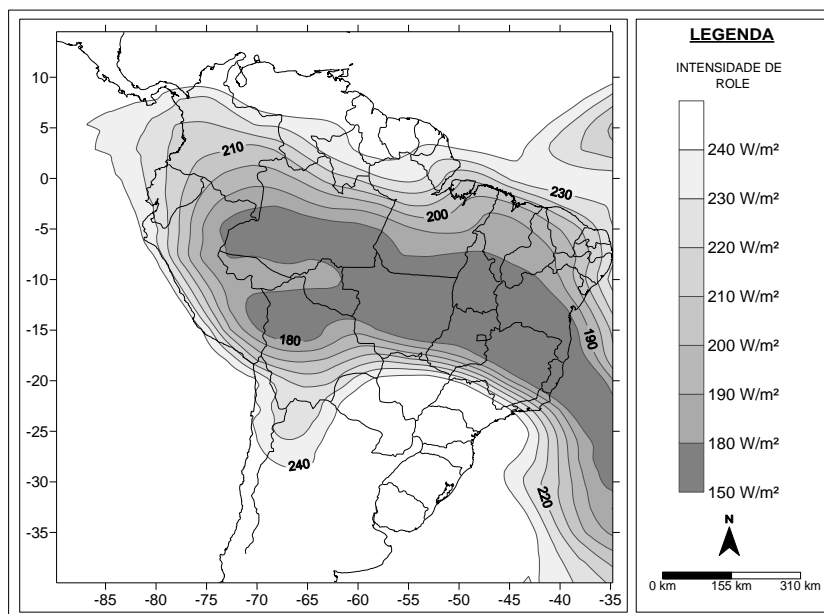
Figure 7.1 - SACZ organization on January 21, 1997.

Figure 7.2- SACZ dissipation on January 24, 1997.

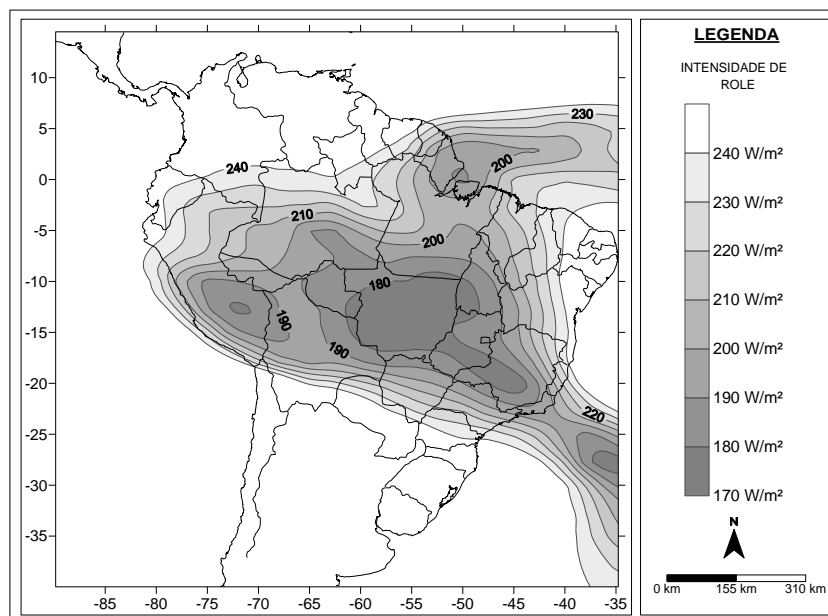
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587 Figure 8 - Average OLR field (values lower than  $240 \text{ W/m}^2$ ) between January 10 and  
588 20, 2004.  
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590 Figure 9 - Average OLR field (values lower than  $240 \text{ W/m}^2$ ) between January 11 and  
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