

# **Landslide susceptibility analysis using event-based landslide inventories**

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# Landslide susceptibility analysis using event-based landslide inventories

Chih Ming Tseng · Ching Weei Lin · Wen Don Hsieh

**Abstract** This study uses landslide inventory of a single typhoon event and Weight of Evidence (WOE) analysis to prepare landslide susceptibility map of the Laonung River in southern Taiwan. Eight factors including lithology, elevation, slope, slope aspect, landform, Normalized Difference Vegetation Index (NDVI), distance to geological structure, and distance to stream are used to evaluate the susceptibility of landslide. Effect analysis and the assessment of grouped factors showed that lithology, slope, elevation, and NDVI are the factors that mostly influence the instability of the study area. Landslide susceptibility analysis with these four factors achieves over 90% of the AUC (area under curve) that represent the respective success rates and prediction rates of all eight factors. Four landslide susceptibility models for four typhoons from 2007 to 2009 are developed, and each model is cross validated. Results indicate that the best model should be developed by using landslide inventory close to the landslide occurrence threshold and should reflect the most common spatial rainfall pattern in the study region for ideal simulation and validation results. The prediction accuracy of the best model in this study reached 90.2%. The two highest susceptibility categories (very high and high levels) cover around 80% of the actual landslides in the study area.

**Keywords** Landslides · Weight of evidence analysis · Susceptibility map · Taiwan

## 1 **1 Introduction**

2 Landslides are a natural process that plays a key role in landscape evolution of  
3 mountainous and hilly environments. They also represent a serious hazard in many areas of  
4 the world (Brabb and Harrod 1989; Cendrero and Dramis 1996; Glade et al. 2005). In  
5 mountainous areas of Taiwan, where is located at convergent plate boundary and the  
6 annual rainfall is over 2500 mm, landslides and debris flows are major natural hazards that  
7 threaten human lives (Lin et al. 2013; Tseng et al., 2013). For example, Typhoon Morakot  
8 in August of 2009, with a maximum precipitation of over 2884 mm in 5 days, triggered  
9 over 22705 landslides, covering a total area of 274 km<sup>2</sup> in mountainous regions throughout  
10 southern Taiwan, with some landslides covering areas of over 60 ha (Lin et al. 2011). One  
11 deep-seated landslide, the Hsiaolin landslide, covering an area of about 250 ha, buried the  
12 entire village of Hsiaolin in Kaohsiung County, resulting in 397 casualties, 53 people  
13 missing, and the destruction of over 100 houses (Lin et al. 2011; Tsou et al. 2011). To  
14 prevent such disasters, it is essential to map the areas that are susceptible to landslide for  
15 sustainable land-use management.

16 Landslide susceptibility can be defined as the probability of the occurrence of a  
17 landslide based on the relationship between the occurrence distribution and a set of  
18 predisposing factors, i.e. geo-environmental thematic variables in the area (Brabb 1984;  
19 Guzzetti et al. 2005). Landslide susceptibility mapping involves handling, processing and  
20 interpreting a large amount of geographical data. Many studies have addressed landslide  
21 susceptibility mapping by various methods. Apart from the subjectivity of a direct  
22 (heuristic) approach completely based on field observations and an expert's priori  
23 knowledge, the remaining methods developed to detect the areas prone to landslide can be  
24 divided mainly into two categories: deterministic approach and statistical approach. The  
25 deterministic approach is based on the physical laws driving landslides and generally more  
26 applicable when the underground conditions are relatively homogeneous. The statistical  
27 approach is based on the relationships between the affecting factors and past and present  
28 landslide distribution (Van Westen et al. 2008). Statistical methods analyze the relation  
29 between all the factors affecting the landslide and are mainly focused on numerical  
30 methods such as linear or logistic regression (LR), artificial neural networks (ANN),  
31 frequency ratio (FR), and weight of evidence (WOE). In addition, landslide susceptibility  
32 assessment also involves the comparison of different statistical models (e.g., Lee and  
33 Pradhan 2007; Akgun et al. 2008; Yilmaz 2009; 2010a,b; Poudyal et al. 2010; Akgun 2011;

34 Pradhan and Lee 2010a,c; Pradhan 2011; Yalcin et al. 2011; Bui et al. 2012; Mohammady  
35 et al. 2012; Schicker and Moon 2012; Xu et al. 2012; Ozdemir and Altural 2013;  
36 Althuwaynee et al. 2014; Shahabi et al. 2014). To map the susceptibility to landslides, the  
37 WOE method calculates the weight for each factor affecting the landslide based on the  
38 presence or absence of landslides within the study area (Van Westen et al. 2003; Lee and  
39 Choi 2004; Kanungo et al. 2006; Mathew et al. 2007; Neuhäuser and Terhorst 2007; Dahal  
40 et al. 2008a,b; Barbieri and Cambuli 2009; Nandi and Shakoor 2009; Regmi et al. 2010;  
41 Ozdemir 2011; Mohammady et al. 2012; Schicker and Moon 2012; Ozdemir and Altural  
42 2013). Conditional probability analysis is also a valuable tool in hazard zonation (Carrara  
43 et al. 2001), particularly when a few but relevant factors are available (Neuhäuser and  
44 Terhorst 2007).

45 In the past, because of difficulties in obtaining detailed landslide data for each rainfall  
46 event, statistics-based landslide susceptibility evaluation models were based mainly on a  
47 long-term historical inventory of landslides induced by various rainfall events or  
48 earthquakes. Now, with highly developed remote sensing technology, multi-temporal  
49 satellite or aerial images have become an efficient way to map landslides after each event.  
50 Event-based multi-temporal landslide inventories are helpful for the understanding of  
51 recurrent landslide sites, and landslide occurrence criteria reflect the rainfall scale of  
52 storms and typhoons. Thus, the adoption of an event-based landslide inventory is beneficial  
53 in establishing an optimal landslide susceptibility evaluation model. To date, there is no  
54 comprehensive study involving the application of a single event-based landslide inventory  
55 to develop and validate a landslide susceptibility model. Lee et al. (2008) used an  
56 event-based landslide inventory to evaluate landslide susceptibility; however, only one  
57 typhoon event was used to establish the susceptibility model, and the suitability of the  
58 scale of the typhoon event adopted to establish the susceptibility model was not  
59 demonstrated. The present study evaluates susceptibility to landslides through a single  
60 typhoon landslide inventory using Bayes' theorem (Papoulis 1984) based on the WOE  
61 method. We apply multi-temporal FORMOSAT-2 images to map four different rainfall  
62 scales of typhoon event-based landslide inventories. To establish an optimal model we  
63 perform cross testing of four event-based landslide inventories, i.e. one model is calibrated  
64 based on one typhoon landslide inventory and validated by the other three typhoon  
65 landslide inventories. The area under curve (AUC) of the success rate curve (training sets)  
66 and the prediction rate curve (validation sets), respectively, are applied to demonstrate the

67 training and predictive performance of the susceptibility values obtained by the application  
68 of WOE (Van Westen et al. 2003; Poli and Sterlacchini 2007). The dominant combination  
69 of factors related to landslide occurrence, and the most suitable rainfall scale to develop an  
70 optimal model are also discussed.

71

## 72 **2 Study area**

73 The Laonong River watershed of southern Taiwan with a total area of 1367 km<sup>2</sup> was  
74 selected as our study area (Fig. 1). The physiography is composed mainly by a series of  
75 approximately N–S to NE–SW trending mountain ranges. The elevation decreases  
76 westward and southward, from an elevation of 3941 m a.s.l. at the crest of Jade Mountain  
77 to 55 m a.s.l. at the foot of the mountain. The main river, the Laonong River, flows SW  
78 and is one of the main tributaries of the Kaoping River.

79 For the convenience of discussion, the exposed rocks in the study area are roughly  
80 grouped according to their age and mechanical behavior into five stratigraphic units: slate,  
81 sandstone-shale, meta-sandstone, conglomerate and gravel, and sand (Fig. 1). In which the  
82 category of gravel and sand mainly reflect the alluvial deposition in riverbed. The  
83 slope-angle distribution calculated from a 5-m grid digital elevation model (DEM) falls in  
84 the range of 20–50° (78% of the study area). The climate is a typical sub-tropical climate  
85 with a mean annual rainfall of about 2500 mm. Precipitation occurs mainly from May to  
86 September.

87

## 88 **3 Materials and Method**

### 89 **3.1 Event-based landslide inventories**

90 Four typhoons (Table 1) that occurred in 2007–2009 and induced landslides were  
91 considered in the present study to prepare the landslide susceptibility map. Landslides of  
92 each typhoon in the study area were mapped from multi-spectral FORMOSAT-2 satellite  
93 images with 8-m pixel resolution taken before and after each typhoon event (Table 1).  
94 FORMOSAT-2 satellite images have been widely applied in identification of natural  
95 disasters (e.g., Lin et al. 2004; Lin et al. 2006; Liu et al. 2007; Lin et al. 2011). Mapping of  
96 the various types of landslides induced by each typhoon included landslides that are an  
97 extension of pre-existing landslides, as well as newly formed landslides.

98 On a FORMOSAT-2 multi-spectral image, shallow debris slides are the easiest type of

99 slides to reliably detect because they strip off the vegetation cover and are thus readily  
100 discernable (Lin et al. 2011). Therefore, in this study we used mainly shallow debris slides.  
101 A landslide classification program based on Normalized Difference Vegetation Index  
102 (NDVI) (Eidenshink 1992) distribution was used to identify bare land in images of the  
103 study area. Bare land in flat areas such as river beds was ruled out automatically by using a  
104 filter that deletes areas with a slope gradient less than 10°. Bare land caused by agriculture  
105 or urban development was excluded manually, leaving the landslide-induced bare land for  
106 the analysis. To prevent misinterpretation, only landslides with a projected area over nine  
107 pixels (representing areas larger than 576 m<sup>2</sup>) were recognized. However, cases where the  
108 vegetation was stripped off due to deep-seated slides and lateral erosion along the gully  
109 bed caused by debris flows were also included. All the mapped landslides in each typhoon  
110 event were transformed from vector format to raster format with 8-m pixel resolution. The  
111 mapped landslide inventories of four typhoons are shown in Fig. 2 and the landslide areas  
112 are summarized in Table 2, in which the landslide ratio is calculated as the total area of  
113 landslides per square km of the study area. The accumulated rainfall map of four typhoons  
114 are shown in Fig. 3 and rainfall statistics of each typhoon listed in Table 2. The rainfall  
115 data was obtained from the 1.3 km x 1.3 km mesh type QPESUMS (Quantitative  
116 Precipitation Estimation and Segregation Using Multiple Sensors) precipitation products of  
117 the Central Weather Bureau in Taiwan. The average accumulated rainfall of Typhoon  
118 Morakot reached to 2,323 mm, thus caused severe landslides in the study area. Four  
119 typhoons showed significant spatially variation in rainfall pattern. Rainfall center usually  
120 accompanies with more landslides, however in Typhoon Mitag, part area only with  
121 accumulated rainfall around 100 mm still trigger landslides. This is probably because one  
122 another Typhoon Krosa (4-7 Oct 2007) affected the study area one month ahead of  
123 Typhoon Mitag. Typhoon Krosa brought the average and maximum accumulated rainfall  
124 585 mm and 918 mm, respectively. The critical threshold of landslide occurrence in  
125 Typhoon Mitag could possibly become lower due to the high water content of soil affected  
126 by the Typhoon Krosa.

127

### 128 3.2 Affecting factors related to landslides

129 The geo-environmental characteristics of an area affect the occurrence of landslides in  
130 different ways, and can be applied as affecting factors in the prediction of future landslides  
131 (Van Westen et al. 2008). The selection of affecting factors depends on the scale of the

132 analysis, the characteristics of the study area, the landslide type, etc. (Glade et al. 2005).  
133 Nevertheless, there are no general guidelines for selecting these factors (Ayalew et al. 2005;  
134 Yalcin 2008). In the present study, the affecting factors were selected among those most  
135 commonly used in the literature to evaluate landslide susceptibility; in particular, the  
136 results of field surveys and remote-sensing image interpretation suggest that the following  
137 eight parameters: geology (lithology), geomorphology (elevation, slope, aspect and  
138 landform), vegetation index, distance to geological structure, and distance to stream. To  
139 prepare the landslide susceptibility model, the geomorphic parameters were directly  
140 extracted from a digital elevation model (DEM) with a resolution of 5-m pixel size  
141 provided by the Ministry of Interior of Taiwan. These affecting factors are assumed  
142 constant over time except the vegetation index, which is extracted from the FORMOSAT-2  
143 image taken before each typhoon event. The relation between the affecting factors and the  
144 landslides induced by the four typhoons is shown in Fig. 4, in which the landslide ratio was  
145 calculated as a ratio in percentage between the landslide area and the total area in each  
146 affecting factor class. The distribution of landslide ratios shows the relative importance of  
147 the different classes of affecting parameters to the landslide. Any area with a slope smaller  
148 than  $5^\circ$  and located in the main channel is treated as a stable area of the landslide and these  
149 cells are excluded in the categorization of the affecting factors.

150 Lithology is considered one of the main factors affecting landslide occurrence. By  
151 integrating the 1:50,000 geological map published by the Central Geology Survey of  
152 Taiwan, the lithologies in the study area are classified in five lithological groups as shown  
153 in Fig. 1. The relation between the lithology and landslide inventories of the four typhoons  
154 shows that landslides occurred mainly in sandstone-shale and slate.

155 Elevation is a factor frequently utilized in landslide susceptibility assessment. The  
156 elevation in the study area varies from 55 to 3941 m; it was divided into thirteen heights at  
157 intervals of 250 m (Yalcin 2008; Regmi et al. 2010; Tang et al. 2011). The relation  
158 between landslide distribution and elevation (Fig. 4) shows a significant variation among  
159 the four typhoons. In Typhoons Mitag, Sinlaku and Morakot, the elevation factor generally  
160 varies inversely to the landslide ratio while Typhoon Kalmagei shows an opposite trend.  
161 This is most likely due to the spatial variation of typhoon rainfall.

162 In previous studies of landslide susceptibility, slope was also considered as major  
163 factor affecting slope stability (Anbalagan 1992; Pachauri et al. 1998; Saha et al. 2002;  
164 Yalcin 2008) because the driving force of mass movement increases with increasing slope

165 (Guillard and Zezere 2012). The slopes in the study area were divided into seven classes  
166 with an interval of 10° (Van Westen et al. 2003, Dahal et al. 2008a,b, Regmi et al. 2010).  
167 The relation between landslides and slope angle (Fig. 4) shows that most of the landslides  
168 were observed for slopes >30°. In Typhoon Morakot, many landslides occurred at slopes of  
169 21–30° (nearly 6.7% of the landslide ratio).

170 The aspect of the slope plays a role in controlling some microclimatic factors such as  
171 exposure to sunlight and windward (wet) or leeward (dry) conditions, rainfall intensity, soil  
172 moisture, and weathering, all of which control the material properties of the slope deposits  
173 (Dai et al. 2001; Cevik and Topal 2003). The aspect of the study area was classified into  
174 eight classes (N, NE, E, SE, S, SW, W, and NW) with the addition of flat areas. Apart from  
175 the flat area, south-facing and SW-facing aspects dominate the aspect classes of the study  
176 area. The relation between aspect and landslide occurrences (Fig. 4) shows a similar trend  
177 for Typhoons Mitag, Sinlaku, and Morakot, where most of the landslides were observed in  
178 areas with south-facing slopes (SW, S, SE). However, for Typhoon Kalmagei areas with  
179 north-facing slopes were dominant, probably due to the typhoon path that induced spatial  
180 variation in the rainfall.

181 Landform plays an important role in contributing to terrain instability, and can usually  
182 be analyzed by combing different types of curvatures such as plan curvature and profile  
183 curvature. The term curvature is theoretically defined as the rate of change of the slope  
184 gradient or aspect, usually in a particular direction (Wilson and Gallant 2000). The  
185 curvature value can be evaluated by calculating the reciprocal value of the radius of the  
186 curvature in a particular direction and obtained directly from the derivatives of the  
187 topographical surface (Wilson and Gallant 2000). The landform of the study area was  
188 classified into nine classes based on the method proposed by Dikau (1989). Considering  
189 the cross combination of convex, straight, concave type in profile curvature and ridge,  
190 slope, valley type in plain curvature, then nine classes are obtained. The relation between  
191 the landslide occurrence and landform (Fig. 4) shows that more landslides appeared in the  
192 concave or valley landforms.

193 The vegetation index is also considered an influencing factor in landslide  
194 susceptibility assessment studies (Althuwaynee et al. 2012). NDVI was used in this study  
195 to reflect the vegetation density. In general, the value of NDVI ranged from -1 to 1; the  
196 higher the value of NDVI the denser of vegetation cover. The NDVI value was calculated  
197 by using the multi-spectrum information from the FORMOSAT-2 image based on the



198 following formula.

$$199 \quad \text{NDVI} = \frac{\text{NIR} - \text{R}}{\text{NIR} + \text{R}} \quad (1)$$

200 where NIR is the reflectance in the near-infrared wave band and R is the reflectance in the  
201 red wave band. The NDVI value in our study area was less than 0.8; therefore the NDVI  
202 was classified into four levels between 0–0.8 with a 0.2 interval, and a fifth level which  
203 included NDVI values less than 0. The relation between landslide occurrence and NDVI  
204 (Fig. 4) shows that most of the landslides were in areas with low NDVI values, especially  
205  $\text{NDVI} < 0$ .

206 Geological structures such as faults and folds usually play an important role in  
207 landslide formation. High susceptibility to landslides has been widely recorded at sites  
208 close to geological structure which not only affects the surface landform but also  
209 contributes to soil permeability causing slope failure. The distance between the landslide  
210 and the geological structure in the study area was classified into ten lengths from 200 m to  
211 2000 m, at 200 m steps, with an additional eleventh category for distances greater than  
212 2000 m (Lee and Choi 2004; Vijith and Madhu 2008). The relation between landslide  
213 occurrences and distance to geological structure (Fig. 4) shows that a little higher landslide  
214 ratio were observed in the area with a distance to geological structure ranging from  
215 1400–2000 m.

216 The network of rivers and streams is another controlling parameter of landslide  
217 occurrence, as stream erosion may undercut the foot of the slopes as well as saturate their  
218 lower part (Dai et al. 2001; Saha et al. 2002; Cevik and Topal 2003; Yalcin 2008). The  
219 stream network was extracted by using the HYDRO tools of the ArcGIS software from the  
220 5-m resolution DEM. The distance to the stream was then classified into nine lengths from  
221 50 m to 450 m, at 50 m steps with an additional tenth category for distances greater than  
222 450 m. The ‘distance to stream’ factor shows a significant inverse relation with landslide  
223 occurrences, the closer the site was to the stream, the more landslides were observed.

224

### 225 3.3 Weight of evidence

226 Weight-of-evidence (WOE) is one of the bivariate methods first applied to mineral  
227 exploration (Bonham-Carter et al. 1988). Subsequently, Van Westen et al. (2003) utilized  
228 the method for landslide susceptibility assessment. The theoretical background and its

229 application in landslide susceptibility assessment are presented in many studies (see  
 230 Introduction). The model is based on a log-linear form of Bayes' theorem, which calculates  
 231 the weight for each affecting factor based on the combinational probabilities of its presence  
 232 or absence with the presence or absence of a landslide within each map unit area  
 233 (Bonham-Carter 2002). The WOE method was documented mathematically by Van  
 234 Westen et al. (2003) and Regmi et al. (2010) in detail. We calculated the weighted values  
 235 for the classes of affecting factors related to landslides by using the following equations  
 236 (Regmi et al. 2010):

$$237 \quad W^+ = \ln \left( \frac{\frac{A_1}{A_1 + A_2}}{\frac{A_3}{A_3 + A_4}} \right) \quad (2)$$

$$238 \quad W^- = \ln \left( \frac{\frac{A_2}{A_1 + A_2}}{\frac{A_4}{A_3 + A_4}} \right) \quad (3)$$

239 where  $A_1$  is the number of landslide meshes present in a given factor class,  $A_2$  is the  
 240 number of landslide meshes not present in the given factor class,  $A_3$  is the number of  
 241 meshes in the given factor class in which no landslide meshes are present, and  $A_4$  is the  
 242 number of the meshes in which neither landslides nor the given factor are present. A  
 243 positive weight ( $W^+$ ) indicates the presence of the affecting factor in the landslide, and the  
 244 magnitude of this weight is an indication of the positive correlation between the presence  
 245 of the affecting factor and landslides. A negative weight ( $W^-$ ) indicates an absence of the  
 246 affecting factor, and its magnitude indicates negative correlation (Regmi et al. 2010). The  
 247 difference between Eq. (2) and Eq. (3) is defined as the weight contrast,  $C$  ( $C = W^+ - W^-$ ).  
 248 A weight value of  $C = 0$  indicates that the considered class of the affecting factor is not  
 249 significant for the analysis. Positive or negative contrast indicates a positive or negative  
 250 spatial correlation, respectively (Piacentini et al. 2012). The final landslide susceptibility  
 251 index LSI is calculated by combining the probabilities associated with the different  
 252 components of the model (Barbieri and Cambuli 2009):

$$253 \quad LSI = \exp\left(\sum W^+ + \ln(O_f)\right) \quad (4)$$

254 where  $O_f = P_f/(1-P_f)$  is the prior odds of a landslide in the study area, and  $P_f = A_f/A_t$  where

255  $A_f$  is the portion of the study area affected by landslides and  $A_t$  is the total study area  
256 (Shicker and Moon 2012).

257

## 258 **4 Results and discussion**

### 259 4.1 Testing for the predominant factors of landslides

260 The factors related to the occurrence of landslides are usually selected in the landslide  
261 susceptibility analysis. It is, however, worth discussing whether all the selected factors are  
262 required in the analysis. Previous studies have used effect analysis to identify factors or  
263 groups of factors that significantly influence landslide prediction (Van Westen et al. 2003;  
264 Dahal et al. 2008a,b). To do so, the factors are grouped directly, or in some cases certain  
265 factors are excluded before the weights are added. The predicted result is then compared to  
266 that obtained using all of the factors. Any obvious changes observed in the comparison  
267 would indicate the excluded or selected factors' significant impact on the prediction of  
268 landslides (Lee and Talib 2005). In previous studies, effect analysis was mostly conducted  
269 by eliminating some of the factors or selecting certain factor combinations in order to  
270 observe the unselected factors and their effects on the results (Van Westen et al. 2003; Lee  
271 and Talib 2005; Dahal et al. 2008a,b).

272 This study adopts an unconventional approach for the analysis. First, we select  
273 lithology and slope, the two most frequently used factors in previous studies, to be the  
274 primary factor combination for testing and analysis. Then, we enter additional factors and  
275 observe after each addition the changes to the AUC of the success rate curve. The process  
276 repeats itself and the factors are gradually accumulated until no more obvious changes in  
277 the AUC can be observed. The final test combination consists of eight factors. These  
278 factors were identified as the predominant factors of the landslides, having significant  
279 influences on the AUC of the success rate curve. Table 3 shows the test results for the  
280 predominant factor combinations of the typhoon events in the study. As the number of  
281 factors tested increases, the AUC also shows an increase. This highlights the increasing  
282 explanatory power of the factors. Using only four to five of the total eight factors for  
283 training, each typhoon event produces an AUC result similar to that of all eight factors,  
284 with a difference of less than 0.03. For example, the factor combination of lithology, slope,  
285 and NDVI reaches 90% of the AUC of all the factors combined for Typhoons Sinlaku,  
286 Mitag, and Kalmaegi; the combination of lithology, slope, and elevation reaches 90% of  
287 the AUC for Typhoon Morakot. Therefore, lithology, slope, elevation, and ground

288 vegetation are the predominant factors affecting a large number of landslides in the whole  
289 area. As for the other factors, aspect is affected by the spatial variation of the rainfall  
290 during the typhoon or monsoon (i.e. whether the slope is facing windward or leeward).

291 From the perspective of statistics, effect analysis can indeed simplify the factor  
292 selection in the susceptibility analysis. In previous studies, effect analysis was conducted  
293 primarily in two ways: (1) a factor is excluded and the influence of the excluded factor on  
294 the result is assessed (Lee and Talib 2005) and (2) factors are divided into categories of  
295 lithology, topography, and human cause; then, the categories are analyzed to assess the  
296 selected factors' influences on the results (Van Westen et al. 2003; Dahal et al. 2008a,b).  
297 The first method can identify the level of influence each factor has on the results, but it is  
298 only of statistical significance. Evaluating a factor as having a low level of influence does  
299 not mean it is not important in the susceptibility analysis (Lee and Talib 2005) need more  
300 explanation. In addition, this method cannot find the optimum factor combination. The  
301 second method grouped factors based on lithology; however, the method cannot simplify  
302 factors effectively as it may overlook important ones in different categories. In our analysis  
303 we first select the fundamental factors of landslide according to the lithology of the study  
304 region. Then, we gradually increase the number of factors in the process of effect analysis.  
305 Our results show that although this method is more time-consuming compared to the  
306 previous two methods, it effectively simplifies the landslide factors without overlooking  
307 the important ones in the analysis process.

308

#### 309 4.2 Landslide susceptibility mapping and validation

310 In this study, each of the four landslide susceptibility models is prepared based on an  
311 event-based landslide inventory of a single typhoon. The landslide inventories of three  
312 other typhoon events are used to validate the landslide susceptibility prediction. Table 4  
313 and Fig. 5 show the cross validation results of the events. Among the four typhoon events,  
314 Sinlaku exhibits the most favorable performance in developing a susceptibility model, with  
315 the success rate of the curve's AUC reaching 0.933, followed by Mitag and Kalmaegi, with  
316 AUCs of 0.888 and 0.824, respectively. All three events show AUCs of over 80%. The  
317 AUC of Morakot is 0.657, showing a less satisfactory performance. Table 4 shows a  
318 positive correlation between the validation result and the performance in developing a  
319 susceptibility model. A higher AUC indicates a more favorable validation result. Generally  
320 among the four typhoons, the performance in developing a susceptibility model and the

321 validation result are directly proportional to the scale of the landslides caused by the  
322 typhoons. Better prediction results can be obtained by using the landslide susceptibility  
323 model developed based on landslide inventories triggered by the rainfall amount close to  
324 the critical threshold for landslide to occur (e.g. Sinlaku). The susceptibility model  
325 developed with the Typhoon Sinlaku event, for example, rendered satisfactory validation  
326 results in both Mitag and Kalmaegi. Mitag, in particular, showed the most favorable result,  
327 in which the AUC of the prediction rate curve reached 0.902. The model developed based  
328 on Mitag also rendered an AUC of 0.889 in Sinlaku. The validation result of the model  
329 established based on Kalmaegi (with a new landslide rate of 1.062%), on the other hand,  
330 only worked favorably for Mitag (0.712). As for Morakot, the performances for both the  
331 model and the validation were unsatisfactory. Thus, median scale rainfall induced  
332 landslides tend to render better model performances because the relative weight between  
333 the landslide factors and landslide occurrence obtained using WOE often better reflects the  
334 critical threshold for the landslides. Morakot, the largest of the four typhoons, is the most  
335 severe typhoon that impact Taiwan in the past fifty years. The QPESUM data show a mean  
336 accumulated rainfall of 2,323 mm in the study area (see [Table 2](#)). Rainfall of such scale far  
337 exceeds the critical rainfall threshold required for landslides to occur. As such, excessive  
338 landslides happened in the study area. In other words, when the landslide inventory of such  
339 a large-scale event is used to develop a model, the weight distribution among the landslide  
340 factors and landslide occurrence does not effectively distinguish areas that are susceptible  
341 to landslides from those that are not. Therefore, an inventory of a large-scale landslide fails  
342 to accomplish the optimal performance in establishing a susceptibility model. By the same  
343 token, Morakot does not help identify the threshold of landslide occurrence because of the  
344 extensive landslide area. As a result, the validation result for Morakot is unfavorable.

345 Mitag and Kalmaegi show similar performances in developing the susceptibility  
346 models; however, Mitag's validation result is clearly better than that of Kalmaegi. This is  
347 most likely caused by the slope aspect factor. The distribution of landslide ratios on the  
348 respective aspects for Kalmaegi is different from those of the other three typhoons ([Fig. 4](#)).  
349 Landslides that occurred during Mitag, Sinlaku, and Morakot show an obvious distribution  
350 on the south-facing aspects (SW, S, SE), while landslides caused by Kalmaegi concentrate  
351 around the north-facing aspects (NW, N, NE). As such, the distribution of weight contrast  
352 for Kalmaegi in terms of the aspects is opposite to those of the other three typhoons during  
353 the model training ([Fig. 6](#)). The northeast side of the study region is of a higher topography

354 that gradually descends toward the southwest. Typhoons that rotate counterclockwise cause  
355 a greater number of landslides on the southern slope because it is the windward slope  
356 where rainfall is heavier, and this is true for Mitag, Sinlaku, and Morakot (Fig. 4). Despite  
357 the satisfactory training result of Kalmaegi, the validation result is unfavorable when we  
358 use different aspect factors from other events, as they affect the weights differently. Based  
359 on the above, we see that when a landslide susceptibility model is developed based on a  
360 new landslide inventory of a single event, the selected inventory should be of a scale that is  
361 close to the landslide occurrence threshold and should reflect the most common spatial  
362 rainfall pattern in the study area for relatively ideal training and validation results.

363 Finally, based on the landslide inventory of Typhoon Sinlaku, which shows the best  
364 training and validation results, we prepared the landslide susceptibility map shown in Fig.  
365 7. The susceptibility scale is based on the values of the horizontal axes of the prediction  
366 rate curves, with 0–0.1 = very high, 0.1–0.3 = high, 0.3–0.5 = moderate, 0.5–0.7 = low, and  
367 0.7–1 = very low (Dahal et al. 2008a). The landslide ratios for each of the typhoons in the  
368 five susceptibility levels are listed in Table 5. Excluding Sinlaku, which was used to  
369 establish the susceptibility model and the extreme event of Morakot, over 80% of the  
370 actual landslide area of the other two typhoons is covered by areas of very high and high  
371 susceptibility levels. For Mitag, 92.45% of landslides occurred within the predicted  
372 landslide area, while 83.15% of the Kalmaegi landslides were within the predicted range,  
373 indicating favorable prediction results of the landslide susceptibility map based on the  
374 landslide inventory of Typhoon Sinlaku.

375 Previous studies that used WOE method to evaluate landslide susceptibility indicate  
376 that the prediction accuracy is in a slightly negative correlation with the area of the study  
377 region (Table 6). The larger the area, the greater the spatial difference among the  
378 topographic factors. This has an effect on the various types of landslides that occur. As a  
379 result, the training and validation results of landslide susceptibility models covering larger  
380 areas show unsatisfactory performances. Our study area spanned 1,367 km<sup>2</sup>, which is large  
381 compared with those in other relevant studies. The prediction accuracy of the landslide  
382 susceptibility map in this study reached 90.2% in the best scenario. This supports the  
383 advantage of using a landslide inventory of a single event to develop the landslide  
384 susceptibility model to predict landslide occurrence.

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387 **5 Conclusions**

388 By using WOE method, this study adopted a single typhoon event-based landslide  
389 inventory to develop a landslide susceptibility model. Grouping test of eight factors  
390 indicates lithology, slope, elevation, and ground vegetation are the dominant factors  
391 affecting most landslide incidents in the study area. These four factors can help achieve  
392 90% of the AUC of the all-factor success rate curve. Cross validation of four susceptibility  
393 models show that using landslide inventories triggered by a rainfall amount close to the  
394 critical condition of landslides to develop the susceptibility models leads to more favorable  
395 landslide prediction results. This is because the relative weight between the landslide  
396 factors and landslide occurrences in the WOE analysis can often better reflect the threshold  
397 for landslides to occur. Among the four typhoon events, Typhoon Sinlaku, which  
398 demonstrated the best training and validation results, was selected to prepare the landslide  
399 susceptibility map. More than 80% of the actual landslides resulting from Typhoons Mitag  
400 and Kalmaegi are covered in the susceptibility map by areas denoted as having very high  
401 and high susceptibility to landslides. According to the results of this study, when a new  
402 landslide inventory of a single event is used to create a landslide susceptibility model, the  
403 inventory selected should be of a scale that is close to the landslide occurrence threshold,  
404 and is able to reflect the most common spatial rainfall pattern in the study area for best  
405 prediction results.

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567 Table 1 FORMOSAT-2 images used to map the event-based landslide inventories in this  
568 study

569 Table 2 Landslide data interpreted from FORMOSAT-2 images for the four typhoon  
570 events

571 Table 3 Test results for various combinations of dominant factors of landslides

572 Table 4 Validation results of landslide susceptibility model developed by each typhoon

573 Table 5 Distribution of landslides induced by the four typhoons for each susceptibility  
574 level

575 Table 6 Comparison of the WOE model performance in different literatures

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577 Fig. 1 Geological map of the study area. The bold black line shows the study area.

578 Fig. 2 Event-based landslide inventories interpreted by multi-temporal FORMOSAT-2  
579 satellite images.

580 Fig. 3 Spatial distribution of accumulated rainfall of four typhoon events.

581 Fig. 4 Landslide ratios for the eight landslide affecting factors of the four typhoon events.

582 Fig. 5 The training and validation curves of four typhoon events.

583 Fig. 6 Variation of weight contrast at different slope aspect directions.

584 Fig. 7 Landslide susceptibility map of the study area.

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**Table 1** FORMOSAT-2 images used to map the event-based landslide inventories in this study

Typhoon event	Date	Date of images taken (pre//post of typhoon)
Mitag	2007/11/26 ~ 11/27	2007/10/26, 2007/11/20 // 2007/12/21, 2008/02/17
Kalmaegi	2008/07/16 ~ 07/18	2007/12/21, 2008/02/17 // 2008/07/23, 2008/08/24
Sinlaku	2008/09/11 ~ 09/16	2008/07/23, 2008/08/24 // 2008/12/21, 2009/01/14
Morakot	2009/08/05 ~ 08/10	2008/12/21, 2009/01/14 // 2009/08/17, 2009/08/21

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**Table 2** Landslide data interpreted from FORMOSAT-2 images for the four typhoon events

Typhoon event	Rainfall statistics (mm)				Landslide area (ha)	Landslide ratio (%)
	Max.	Min.	Mean.	S.D.		
Mitag	225	10	60	38	440	0.322
Kalmaegi	993	208	712	168	1452	1.062
Sinlaku	1251	194	774	223	593	0.434
Morakot	3394	906	2323	445	8946	6.544

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**Table 3** Test results for various combinations of dominant factors of landslides (a) Typhoon Mitag and Sinlaku

No.	Combination of factors	Training AUC	
		Mitag	Sinlaku
A1	Sa+Lc	0.561	0.606
A2	Sa+Lc+As	0.708	0.786
A3	Sa+Lc+Lf	0.567	0.618
A4	Sa+Lc+El	0.682	0.697
A5	Sa+Lc+DI	0.596	0.633
A6	Sa+Lc+Ds	0.634	0.681
A7	Sa+Lc+Nv	0.799	0.857
A8	Sa+Lc+Nv+As	0.870	0.912
A9	Sa+Lc+Nv+Lf	0.801	0.859
A10	Sa+Lc+Nv+El	0.824	0.891
A11	Sa+Lc+Nv+DI	0.810	0.865
A12	Sa+Lc+Nv+Ds	0.817	0.872
A13	Sa+Lc+Nv+As+Lf	0.870	0.913
A14	Sa+Lc+Nv+As+El	0.882	0.931
A15	Sa+Lc+Nv+As+DI	0.874	0.915
A16	Sa+Lc+Nv+As+Ds	0.879	0.920
A17	Total eight factors	0.888	0.933

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Sa: Slope angle; Lc: Lithology condition; As: Aspect; Lf: Landform; El: Elevation; DI: Distance to lineation; Ds: Distance to stream; Nv: NDVI.

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(b) Typhoon Kalmaegi

No.	Combination of factors	Training AUC
		Kalmaegi
B1	Sa+Lc	0.616
B2	Sa+Lc+As	0.626
B3	Sa+Lc+Lf	0.628
B4	Sa+Lc+El	0.643
B5	Sa+Lc+Dl	0.624
B6	Sa+Lc+Ds	0.649
B7	Sa+Lc+Nv	0.820
B8	Sa+Lc+Nv+As	0.791
B9	Sa+Lc+Nv+Lf	0.823
B10	Sa+Lc+Nv+El	0.823
B11	Sa+Lc+Nv+Dl	0.821
B12	Sa+Lc+Nv+Ds	0.826
B13	Sa+Lc+Nv+Ds+As	0.815
B14	Sa+Lc+Nv+Ds+Lf	0.829
B15	Sa+Lc+Nv+Ds+El	0.831
B16	Sa+Lc+Nv+Ds+Dl	0.828
B17	Total eight factors	0.824

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(c) Typhoon Morakot

No.	Combination of factors	Training AUC
		Morakot
C1	Sa+Lc	0.556
C2	Sa+Lc+As	0.579
C3	Sa+Lc+Lf	0.568
C4	Sa+Lc+Nv	0.576
C5	Sa+Lc+Dl	0.568
C6	Sa+Lc+Ds	0.582
C7	Sa+Lc+El	0.624
C8	Sa+Lc+El+As	0.635
C9	Sa+Lc+El+Lf	0.626
C10	Sa+Lc+El+Dl	0.626
C11	Sa+Lc+El+Ds	0.633
C12	Sa+Lc+El+Nv	0.636
C13	Sa+Lc+El+Nv+As	0.646
C14	Sa+Lc+El+Nv+Lf	0.639
C15	Sa+Lc+El+Nv+Dl	0.637
C16	Sa+Lc+El+Nv+Ds	0.642
C17	Total eight factors	0.657

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**Table 4** Validation results of landslide susceptibility model developed by each typhoon

Events	LR (%)	AUC (Training)	AUC (Validation)			
			Mitag	Kalmaegi	Sinlaku	Morakot
Mitag	0.322	0.888		0.796	0.889	0.603
Kalmaegi	1.062	0.824	0.712		0.636	0.512
Sinlaku	0.434	0.933	0.902	0.844		0.648
Morakot	6.544	0.657	0.656	0.582	0.737	

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**Table 5** Distribution of landslides induced by the four typhoons for each susceptibility level

Typhoon events	Very high (0~10%)	High (10%~30%)	Moderate (30%~50%)	Low (50%~70%)	Very low (70%~100%)
Mitag	73.47%	18.98%	4.26%	2.33%	0.96%
Kalmaegi	50.04%	33.11%	12.09%	4.34%	0.42%
Sinlaku	80.49%	15.61%	3.13%	0.57%	0.21%
Morakot	19.38%	30.50%	22.17%	14.71%	13.24%

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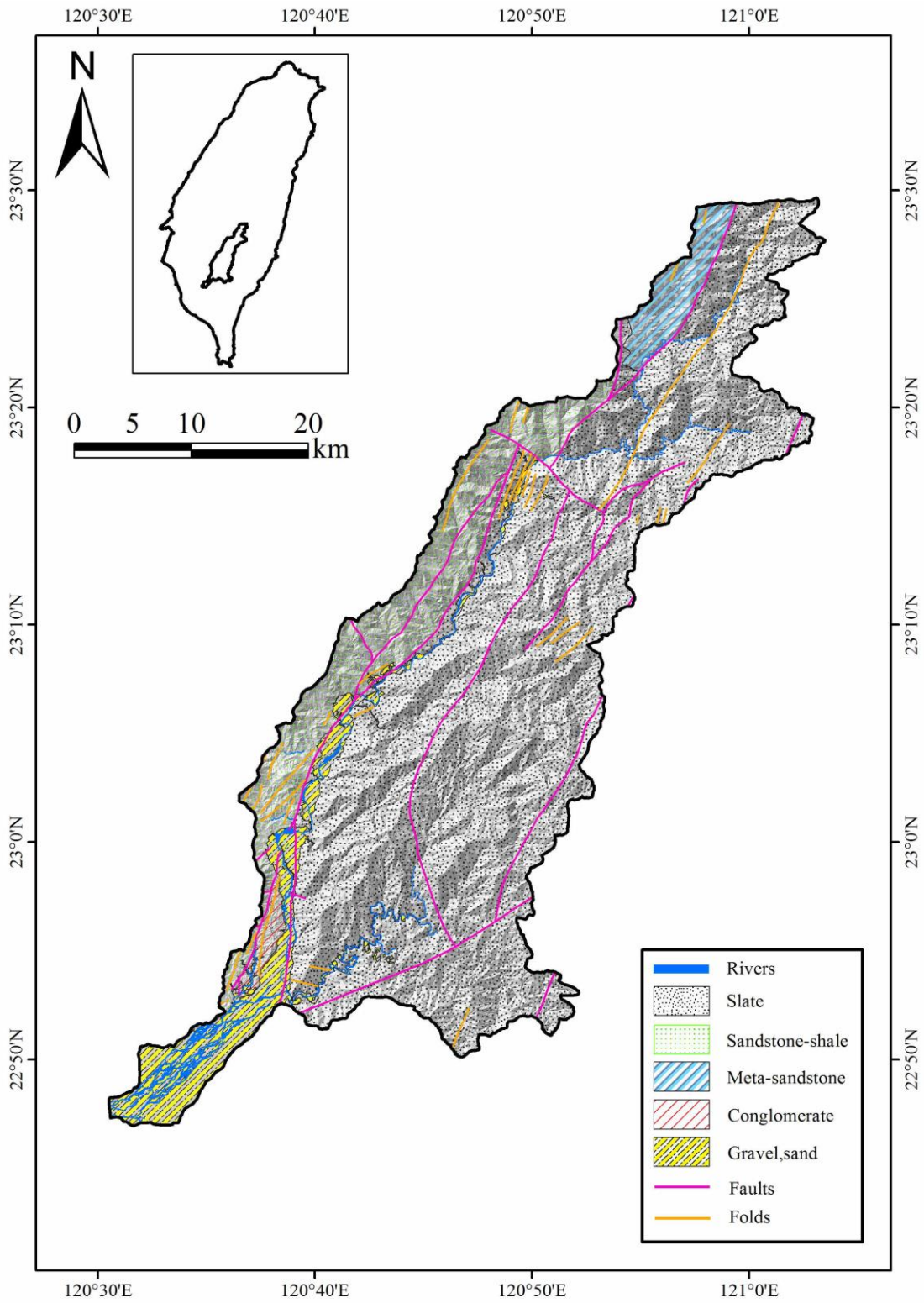
**Table 6** Comparison of the WOE model performance in different literatures

Source	Evaluated area (km <sup>2</sup> )	Model performance (%)
Neuhäuser and Terhorst (2007)	500	95
Dahal et al. (2008a)	18.9	85.5
Dahal et al. (2008b)	4	80.7
Regmi et al. (2010)	815	78
Mohammady et al. (2012)	12050	69
Piacentini et al. (2012)	7500	75
Ozdemir and Altural (2013)	373	73.6
This study	1367	90.2

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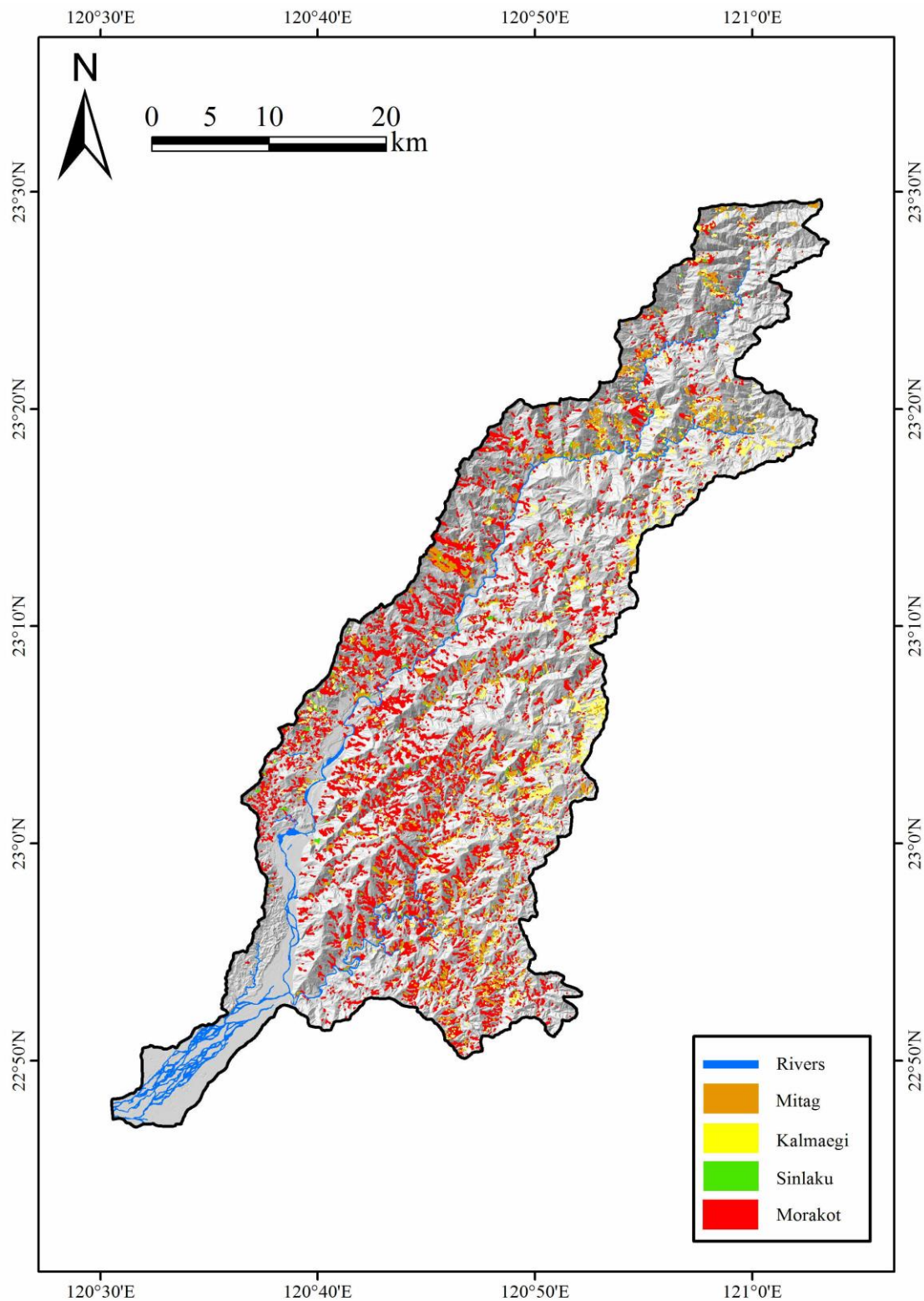


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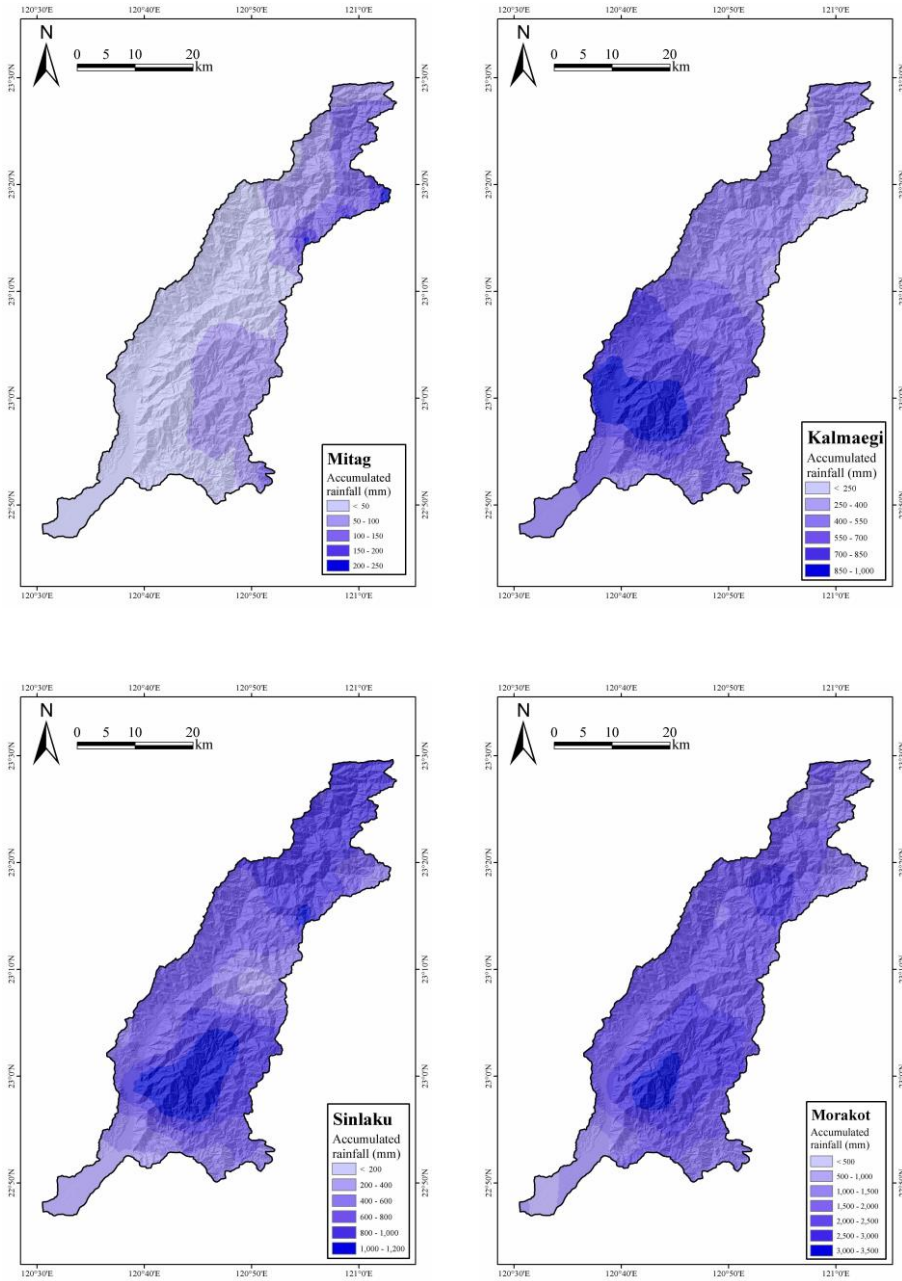
**Fig. 1** Geological map of the study area. The bold black line shows the study area.



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**Fig. 2** Event-based landslide inventories interpreted by multi-temporal FORMOSAT-2 satellite images.

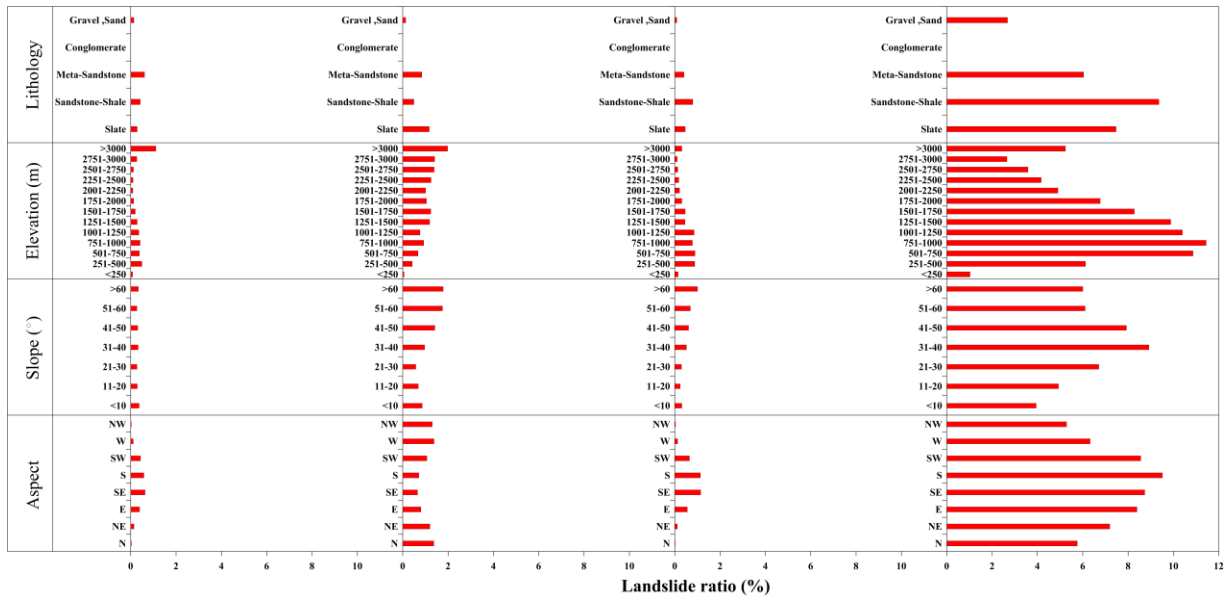
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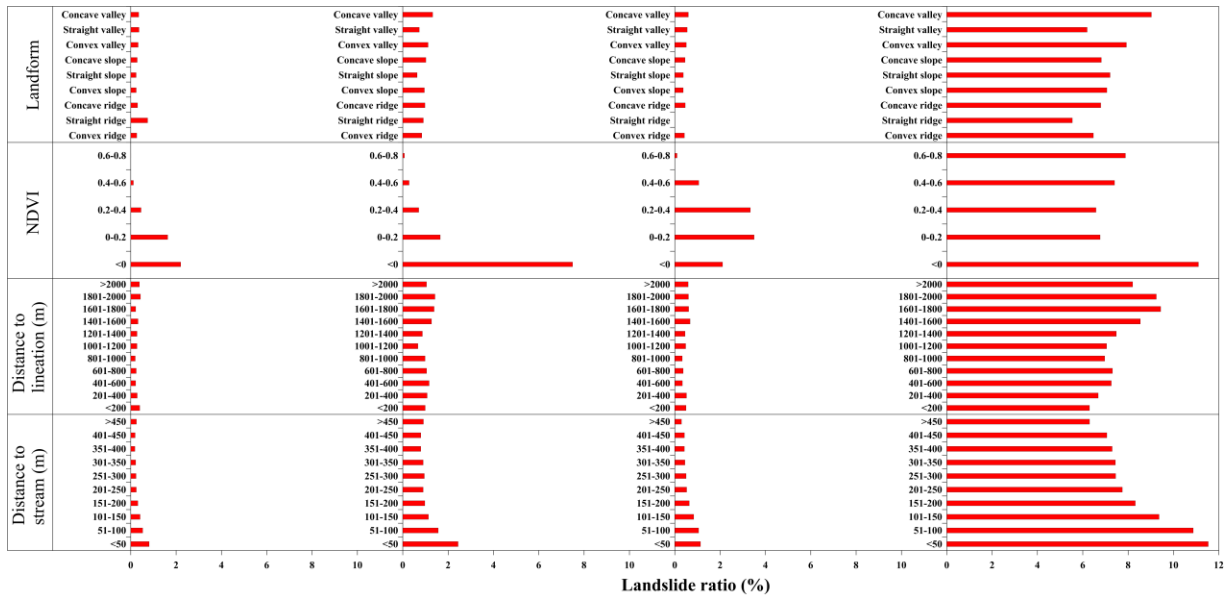
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**Fig. 3** Spatial distribution of accumulated rainfall of four typhoon events

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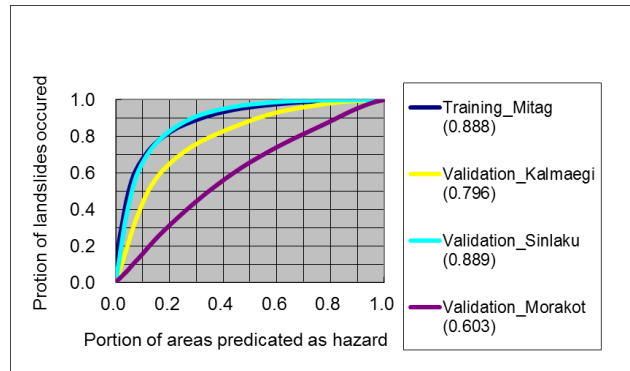


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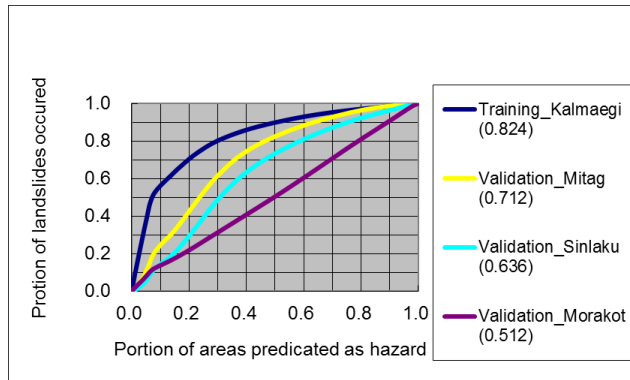
(a) Typhoon Mitag      (b) Typhoon Kalmaegi      (c) Typhoon Sinlaku      (d) Typhoon Morakot

**Fig. 4** Landslide ratios for the eight landslide affecting factors of the four typhoon events.

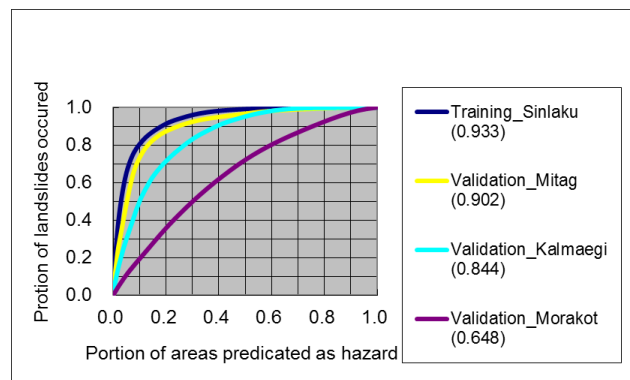
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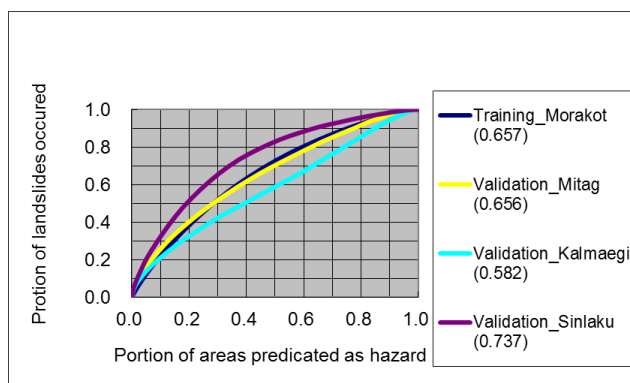
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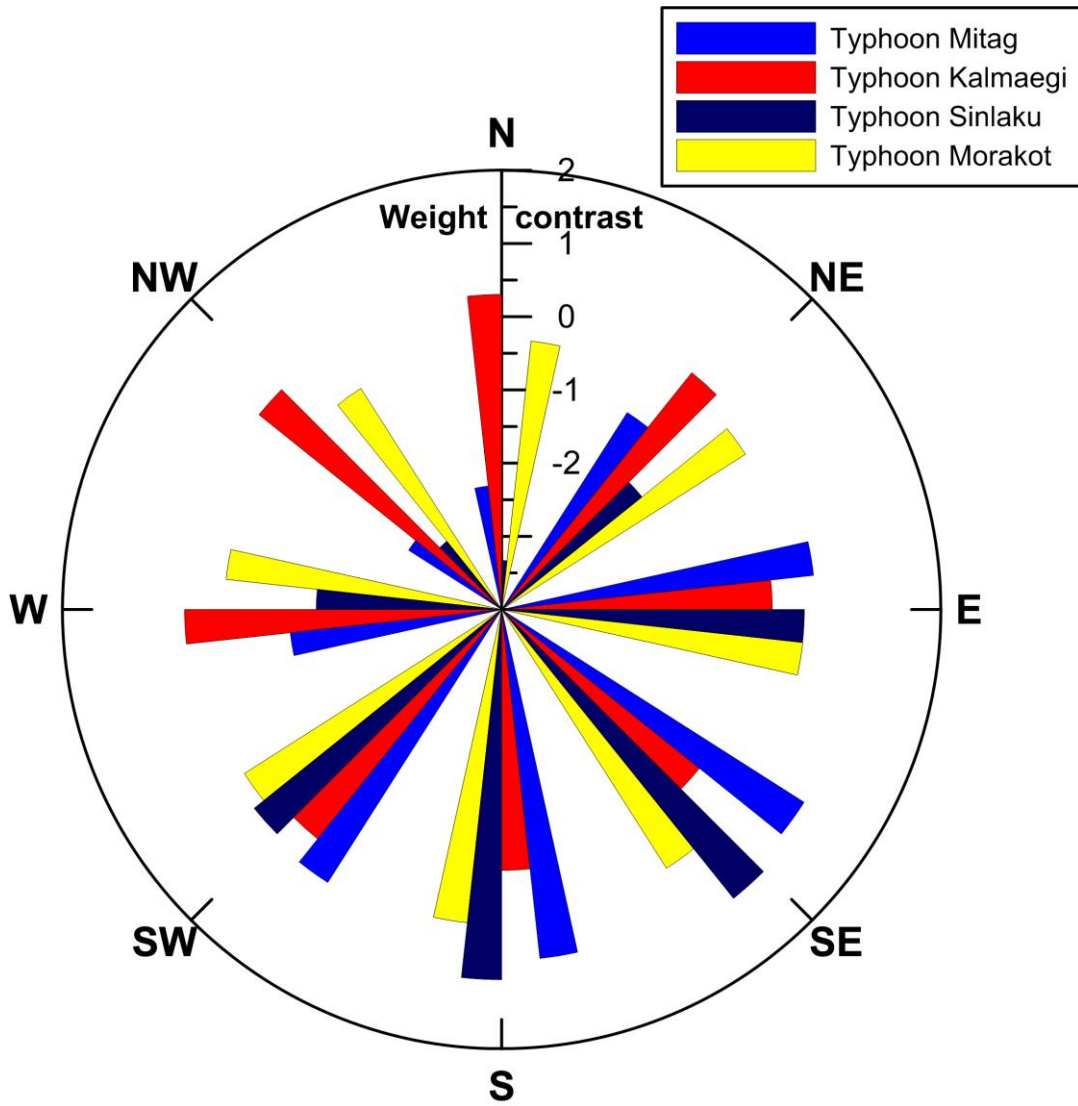


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**Fig. 5** The training and validation curves of four typhoon events

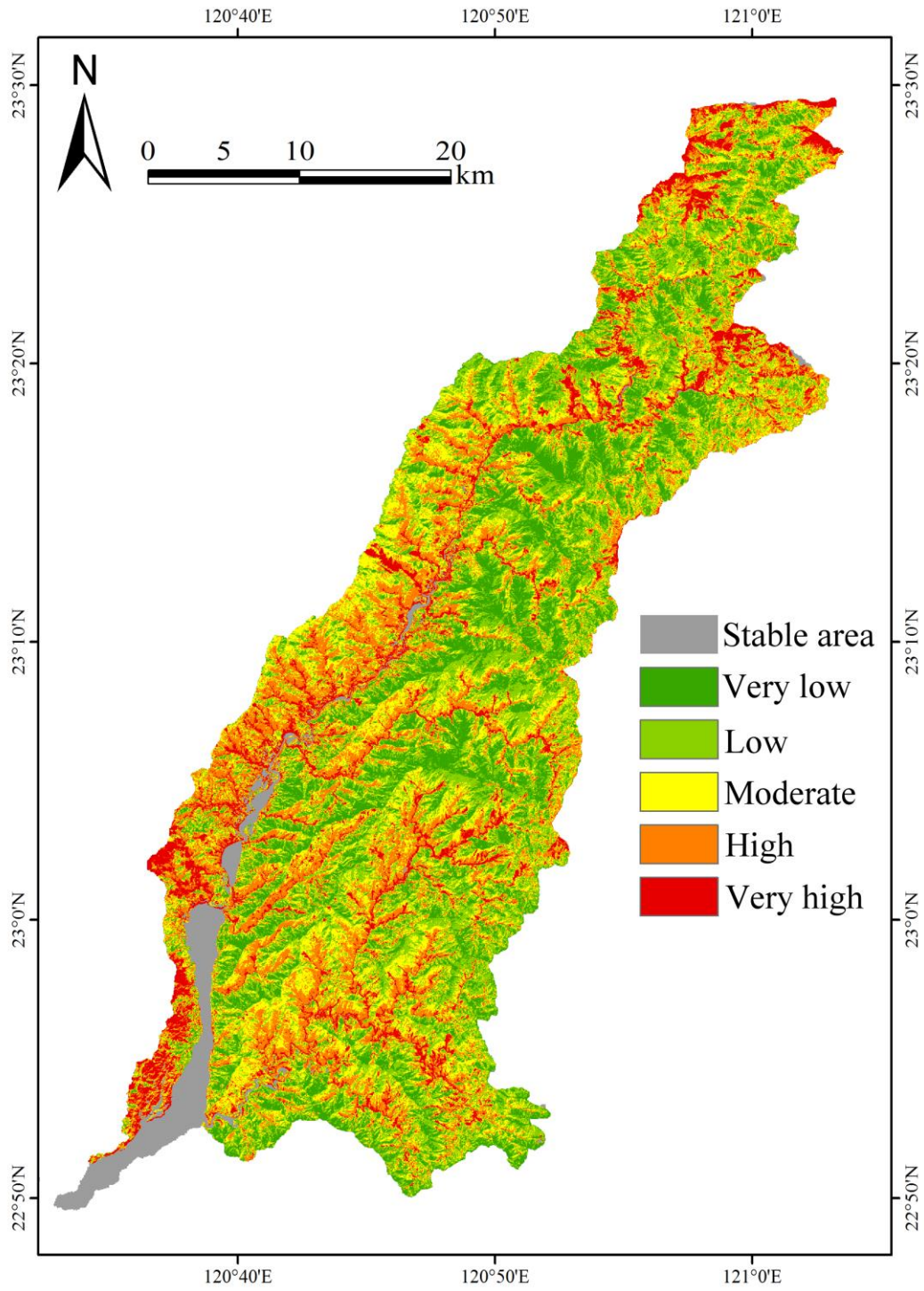
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**Fig. 6** Variation of weight contrast at different slope aspect directions.





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**Fig. 7** Landslide susceptibility map of the study area.