

LARGE SCALE LANDSLIDE SUSCEPTIBILITY ASSESSMENT USING THE STATISTICAL METHODS OF LOGISTIC REGRESSION AND BSA – STUDY CASE: THE SUB-BASIN OF THE SMALL NIRAJ (TRANSYLVANIA DEPRESSION, ROMANIA)

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ABSTRACT: There is a large variety of GIS models used for determining the probability of landslide occurrence. The present study focuses on the application of two quantitative models: the logistic and the bivariate probability analysis models. The comparative interpretation of the results aims at identifying the most suitable model for the territory of the Small Niraj Basin (87 km²). This area is characterised by a wide variety of landforms and by complex land use types where active landslides exist. This large complexity of input variables is illustrated by 16 factors which were represented as 90 dummy variables, analysed on the basis of their importance within the model structures. Testing the statistical significance of these variables within the logistic model reduced their number to 13 dummy variables which were finally employed, whereas for the BSA model all the variables were included in the analysis. The BSA model indicates a better model fit but also an overestimation of the areas with high landslide susceptibility when compared to the logistic model, which has a good accuracy (AUROC = 0.86 for the training area), but also a satisfying predictability level (AUROC = 0.63 for the testing area).

Keywords: Landslide modelling, Logistic regression, BSA, GIS database, GIS modelling, comparison

1. GENERAL CONSIDERATION

One of the main natural hazards affecting the territory of Romania is represented by landslides, which have a high spatial and temporal frequency and cause damages to transport infrastructure and buildings and determine environmental changes (Bălţeanu and Micu 2009; Bilaşco et. al 2011; Năsui and Petreuş 2014).

The EEA European Directive from 2004 underlines the need to map and identify the areas with vulnerability to landslides using indirect techniques in European and national context (Guzzetti 2006; Van Westen et al. 2006; Magliulio et al. 2008; Polemio and Petrucci 2010).

Thus, the studies determining their probability of occurrence are highly valuable in the process of reducing their potential negative effects. Among the methods used for determining the spatial probability of landslides, statistical methods are recommended by very good results and high validation rates (Zeze et al 2004; Petrea et al. 2014; Roşca et al. 2015 a,b).

1 Considering the increase in the number of possibilities for data processing and the **evolution of**
2 **the GIS environment**, various methods of landslide susceptibility assessment have been developed,
3 out of which the logistic regression and the bivariate statistical analysis are two of the most frequently
4 used (Harrell 2001; Kleinbaum and Klein 2002; Ayalew and Yamagishi 2004; Dai and Lee 2002;
5 Ayalew and Yamagishi 2005; Lee 2005; Cuesta et al. 2010; Chițu 2010; Mancini et al. 2010; Wang et
6 al. 2011; Guns and Vanacker 2012; Jurchescu 2013; Măguț et al. 2013, Akbari et al. 2014; Van den
7 Eeckhaut et al. 2010).

8 **The logistic analysis** starts from the hypothesis that the combination of factors which led to the
9 occurrence of landslides in the past will have the same effect in the future (Crozier and Glade 2005).
10 Among the advantages of this method one must take into consideration the possibility of
11 simultaneously integrating both quantitative and qualitative data in the model and the testing of
12 **variable independence. From a statistical point of view, in both the bivariate and logistic analyses, the**
13 **landslides represent dependent variables while their triggering and preparing factors are the**
14 **independent (explanatory) variables (Hilbe 2009).**

15 The purpose of **the present** study is to identify the large scale susceptibility of landslide
16 occurrence by applying the logistic **and the bivariate statistical** model in the sub-basin of the Small
17 Niraj (Fig. 1). **By comparing the results of the two spatial analysis models, the study aims at identifying**
18 **the most suitable method for the area of study, taking into consideration its geomorphologic complexity**
19 **(steep slopes alternating with levelled terraces, high slope angles in the upper and middle catchment**
20 **area and low slope angles in the lower catchment area). Taking into consideration the existing low**
21 **quality maps of the mass movement processes from this area, the results of the most suitable model**
22 **identified by our study could be very valuable for the local administration in assessing the risk these**
23 **processes generate in the territory. Furthermore, these results could be used by the insurance**
24 **companies in the process of risk evaluation, which is still at an incipient stage in Romania. The**
25 database included a complete landslide inventory and the descriptive data of 16 causing factors used
26 for generating the **models**. These factors describe the morphometrical, geological and the
27 hydroclimatic characteristics of the territory under analysis.

28 Fig. 1: Geomorphological map of the Small Niraj catchment and geographical position of the study
29 area
30 (1 – flood plain, 2 – slopes and connecting surfaces, 3 – slopes with complex modellation, 4 – active
31 landslides, 5 – permanent hydrographic network, 6 – temporary hydrographic network, 7 – watershed
32 divide, 8 – settlements)
33

1 2. STUDY AREA

2 The study area is located in the north-east of Transylvania Depression, Romania, and has
3 recorded important economical and environmental losses. Over the last two years 67 persons, 45
4 houses, 115 hectares of land and a country road were affected by landslides. The catchment area is
5 found between 24°47'52" and 24°58'32" eastern longitude and 46°30'53" and 46°37'42" northern
6 latitude, totalizing an area of 87 km² which includes the territories of ten settlements. The Small Niraj
7 represents the main river of the area.

8 Based on the Romanian National Meteorological Administration Institute the mean
9 temperature varies between – 4.2° C in January and 17.9° C in August. The mean annual rainfall is
10 around 650 mm/year, while the maximum precipitation falls between May (73.5 mm) and June (81.5
11 mm).

12 3. DATABASE AND METHODOLOGY

13 GIS spatial analysis models are built using complex databases generated from varied
14 sources. The building of a spatial analysis model that localises the areas susceptible to landslides is
15 often problematic because the integrated use of databases which differ both in scale and accuracy
16 makes it difficult to identify the most efficient way to structure and select the model input data.

17 This is also due to the fact that the selection of the method employed and the resolution of the
18 model are dependent on the resolution and scale of the input data (Bell and Glade 2004). Considering
19 the available data sources in Romania, the area of the territory under analysis and the expected
20 accuracy of the results, the two quantitative spatial models were selected to perform an analysis at a
21 medium scale, compatible with the quality and quantity of the available data (Dai and Lee 2002). The
22 database involved in the two modelling processes included both vector (landslide areas, geology,
23 seismicity, land use) and raster data (slope angle, aspect, fragmentation depth, fragmentation density,
24 elevation, CTI, SPI, plan and profile curvature etc.), as illustrated in Table 1.

25 Table 1: Database structure

| Nr. | Database | Structure type | Source/resolution, scale | Database type |
|-----|------------------|----------------|----------------------------|---------------|
| 1. | Contour lines | vector | Topographic maps, 1:25.000 | primary |
| 2. | DEM | Raster (grid) | 20 m | modelled |
| 3. | Slope | Raster (grid) | degrees | derived |
| 4. | Lithology | vector | Geological map, 1:200000 | primary |
| | | Raster (Grid) | Conversion – 20 m | derived |
| 5. | Aspect | Raster (grid) | 20 m | derived |
| 6. | Drainage Density | Raster (grid) | m/km | derived |

| | | | | |
|-----|---------------------------------|---------------|--|--------------------|
| 7. | Drainage Depth | Raster (grid) | m | derived |
| 8. | Hydrological soil classes | Raster (grid) | Soil Map, 1:200000 | derived |
| 9. | Distance to settlements | Raster (grid) | Derived from Ortofotoplans | derived |
| 10. | Distance to roads | Raster (grid) | Derived from Ortofotoplans | derived |
| 11. | Distance to hydrography | Raster (grid) | Derived from Ortofotoplans | derived |
| 12. | Stream Power Index | Raster (grid) | 20 m | modelled |
| 13. | Profile curvature | Raster (grid) | 20 m | derived |
| 14. | Plan curvature | Raster (grid) | 20 m | derived |
| 15. | Compound Topografic Index (CTI) | Raster (grid) | 20 m | modelled |
| 16. | Precipitation data | Raster (grid) | Interpolation with a statistical model | modelled |
| 17. | Seismicity | vector | Seismic zonation map, 1:200000 | primary |
| | | Raster (Grid) | Geological map, 1:200000 | derived |
| 18. | Land use | vector | Ortophotoplans, 1:5000; Conversion – 20 m | primary |
| | | Raster (Grid) | Conversion – 20 m | derived |
| 19. | Landslide areas | vector | Orthophotoplans GPS points | primary derived |
| | | Raster (Grid) | Conversion – 20 m | derived |
| 20. | Landslide probability map | Raster (Grid) | Equations of spatial analysis (20 m resolution) | modelled |

1 The database representing the existing landslides was created by mapping in vector format
2 the active landslides, predominantly shallow ones, using ortophotographs and direct field mapping.
3 The different database sources made their validation mandatory so as to ensure an accurate
4 representation. The validation of the databases was done by comparison (the database was
5 compared to field data) as well as observation (by visual identification of the correspondence existing
6 between the cartographic representation and the situation in the field). By using GIS functions of
7 spatial analysis included in the ArcGis software, 16 factors and their spatial distribution were
8 determined, either as primary, modelled or derived databases, their selection being concerned with the
9 avoidance of redundancy. Subsequently, the logical schemas of the BSA and logistic model were
10 completed in order to be used for determining the probability of landslide occurrence (Fig.2).

11 The landslide susceptible areas are identified through the BSA model by considering the
12 statistic value specific to each class of the factors included in the initial database, without taking into
13 account the importance of the factor within the informational flux of the model. The statistical model
14 based on the bivariate probability analysis was applied to predict the spatial distribution of landslides
15 by estimating the probability of landslide occurrence based on the assumption that the prediction
16 should start from the existing landslides: Chung et al. 1995; Dhakal et al. 2000; Saha 2002; Sarkar
17 and Kanungo 2004; Magiulio et al. 2008; etc.

1 The statistical value of each factor class included in the bivariate model was calculated using
2 the equation proposed by Yin and Yan, 1988, as well as Jade and Sarkar 1993:

$$3 \quad I_i = \log \frac{S_i/N_i}{S/N}, (1)$$

4 where:

5 I_i = Statistical value of the analysed factor

6 S_i = Area affected by landslides for the analysed variable

7 N_i = Area of the analysed variable

8 S = Total landslide area in the analysed basin

9 N = Area of the analysed basin

10 The statistical value of each variable is identified by using formula (1), the insignificant variables
11 (characterised by negative values) being integrated with an equal weight in the model structure,
12 occasionally reducing the susceptibility class values.

13 In order to predict landslide susceptibility at pixel level in the study area, the model of logistic
14 regression was also taken into consideration. This method was mathematically described by Harrel
15 2001: Ω represents the set of points (pixels from the study area); Y represents the binary variables (0
16 for pixels without landslides and 1 for pixels with landslides); X_1, \dots, X_n represent independent
17 variables, in this study the 16 factors included in the model, each classified in various categories and
18 represented with the help of dummy variables, out of which one class was not included in the model in
19 order to be used as a control value (Van den Eeckhaut et al. 2006).

20 Thus, the probability of occurrence for a new landslide event is represented by:

$$21 \quad P = \frac{1}{1+e^{-z}}, (2)$$

22 where:

23 $Z = \beta_0 + \beta_1 X_1 + \dots + \beta_n X_n$,

24 $X_1 \dots X_n$ – preparing and triggering factors

25 β_0 – constant ,

26 $\beta_1 \dots \beta_n$ - multiplication coefficients.

27
28
29 One can notice that the probability of occurrence becomes a linear function for each variable
30 included in the model (Kleimbaum and Klein 2002). In order to estimate the parameters, a logarithmic
31 transformation of the odds ratio was necessary (represented by the ratio of the probability of success
32 and the probability of failure) which changes the variation interval from (0,1) to a sigmoid curve, in the
33 interval $(-\infty, +\infty)$ (Thiery 2007, cited by Jurchescu 2013). The main methodological stages are
34 described in Fig. 2.

35 Fig. 2: Applied methodological flow chart

36 The Ω study area was divided into two random sub-categories: Ω_1 and Ω_0 . Hence, 500 points
37 were used in the modelling process, 250 points generated at a minimum distance of 60 metres in the

1 landslide areas and 250 points at a minimum distance of 80 meters in the non-landslide areas. A
2 number of 40 landslides were randomly selected for the training stage and the rest of 15 landslides
3 were included for the validation of the model. The validation set of points included a total of 200
4 randomly generated points at a minimum distance of 40 meters (100 points inside the landslides and
5 100 points outside them). The importance of this stage, which relies on a division of the study area in
6 two sets of samples (Chung and Fabbri 2003), has been repeatedly emphasised by numerous authors
7 with respect to the independence of the validation data set used to test the results of logistic
8 regression for landslide susceptibility assessment (Van den Eeckaut et al. 2006; 2010; Mancini et al.
9 2010, Mărgărint et al. 2013 etc).

10 The coefficient values (X_1, \dots, X_n) of each landslide factor were necessary in order to
11 determine the probability of landslide occurrence for each pixel, these coefficients being considered as
12 representative for Ω_1 and Ω_0 . In order to preserve the independence of the input factors, the 16
13 variables were transformed into dummy variables, as each input factor was classified in different
14 categories necessary for the comparative analysis. For each factor, one of the dummy variables was
15 kept for reference (Hilbe 2009).

16 The multiplication coefficient of each variable was determined by applying the logistic
17 regression (Table 2). The $\beta_0 \dots \beta_n$ parameters were estimated using the maximum likelihood ratio (i.e.
18 inverse probability) (Harrel 2011). This stage identifies the difference between the model which does
19 not include the X_1 parameter in the input database and the model which includes in its input database
20 the X_n parameter. The variables with the highest influence were identified with the help of the AIC
21 criterion which indicates the statistical significance of the variable. A value below 0.05 is considered
22 optimal, representing the threshold for the data acceptable within the model database. A statistical
23 threshold value of <0.1 determines the elimination of that specific variable from the present database,
24 as it would raise multicollinearity issues (Cuesta et al. 2010). The coefficients resulting from the logistic
25 regression were implemented in a GIS environment using the Raster Calculator functions, by
26 multiplying them with the raster variables which represent the landslide preparing and triggering
27 factors (Fig. 2).

28 The goodness of fit was determined by generating the area under the ROC curve using the
29 training data, while the prediction capacity of the model was identified using the validation data set
30 (Hosmer and Lemeshow 2000; Guzzetti 2006).

1 For both the BSA model and the logistic regression, the quality of the information included in
2 the input variables as well as the number of variables was considered in the process of variable
3 selection, in order to reduce redundancy (Chițu 2010). The resulting 16 variables included: elevation,
4 slope angle, average precipitation, slope aspect, drainage density, drainage depth, hydrological soil
5 classes, distance to streams, distance to roads and settlements, Stream Power Index (SPI), land use,
6 lithology, plan curvature and profile curvature, Topographic Wetness Index (CTI) and were all included
7 in both models for comparison purposes. The further selection of the dummy sub-classes was
8 performed for the logistic regression model according to their statistical relevance in the analysis.

9 **4. RESULTS, VALIDATION AND DISCUSSION**

10 The comparison of the spatial analysis methods integrated in the two models emphasises the
11 differences between the databases, as well as the complexity and implementation possibility of the
12 models. The comparative approach of the results indicates the advantages and disadvantages of
13 using the selected variables within each model, as well as their accuracy in representing the spatial
14 distribution of landslide susceptibility.

15 **4.1. Applied logistic regression to landslide susceptibility assessment**

16 The statistical correlation between the mapped landslides from the Niraj river basin and their
17 causing factors was determined for the logistic model using the statistical software R. The training
18 variables were included in the logistic regression as dummy variables, and the AIC criterion was used
19 to perform an automated step-wise selection of the best model, namely the combination of variables
20 which best explains the occurrence of landslides in the analysed territory.

21 The model with the best AIC value (AIC = 524) has included 13 dummy variables and is given
22 by the following expression:

23
24 fit3 = glm(alunec ~ lndse_8 + spi_1 + dst_h5 + as_10 + as_7 + dst_dr6 + lndse_3 + dns_f4 +
25 as_6 + slop_4 + pp_2 + dst_dr7 + dst_lc7, family = binomial, data = model_df2)
26 (3)

27
28 According to the values of the multiplication coefficients (Table 2), the landslides from the
29 Small Niraj river basin are due to the following combination of favourable factors: slope angles ranging
30 between 10° and 15° (Slop_4: 0.675), predominantly south-western and southern slope aspect (As_7:
31 1.374, As_6: 0.818), drainage density ranging between 1.5 and 2 m/km² (Dns_4: 1.017) and distance
32 to streams ranging between 200 m and 400 m (Dst_h5: 1.123). The negative coefficient values are
33 caused by a reduced landslide density in the respective factor classes, thus being interpreted as
34 restrictive classes for landslide occurrence.

1

Table 2: Regression coefficients of the input variables

| Regression coefficients | Coefficient symbols | Coefficient values | Probability (Odds difference) | Reference variable |
|--|---------------------|--------------------|-------------------------------|--------------------|
| Constant | -1.1381 | | | |
| Broad leaved forests | <i>Indse_8</i> | -2.0400 | -0.87% | Indse_6 |
| 0 < SPI < 5 | <i>spi_1</i> | -1.3942 | -0.75% | spi_2 |
| 201 m < Distance to streams < 400 m | <i>dst_h5</i> | 1.1238 | 108% | dst_h7 |
| Northern aspect | <i>as_10</i> | -1.5113 | -0.78% | as_1 |
| South-western aspect | <i>as_7</i> | 1.3744 | 195% | as_1 |
| 401 m < Distance to roads < 800 m | <i>dst_dr6</i> | 0.9694 | 63% | dst_dr8 |
| Vineyards | <i>Indse_3</i> | -2.3552 | -0.90% | Indse_6 |
| 1.5 m/km ² < Drainage density < 2 m/km ² | <i>dns_f4</i> | 1.0179 | 77% | dns_f5 |
| Southern aspect | <i>as_6</i> | 0.8183 | 27% | as_1 |
| 10.1° < Slope > 15° | <i>slop_4</i> | 0.7655 | 15% | slop_1 |
| Average precipitation = 650 mm/year | <i>pp_2</i> | 0.8281 | 29% | pp_1 |
| 801 < Distance to roads < 1600 | <i>dst_dr7</i> | -0.7583 | -0.53% | dst_dr8 |
| 801 < Distance to settlements < 1600 | <i>dst_lc7</i> | 0.8739 | 40% | dst_lc8 |

2

3 For the interpretation of the results, the odds difference plays a very important role (Table 2).

4 For example, keeping all the input variables constant while the average precipitation value is set at
5 650 mm/year, the probability of landslide occurrence is by 29% higher than in the case of the
6 reference value of precipitation (*pp_1* = 525 mm).

7 Thus, the highest increase in probability for landslide occurrence (195%) is recorded when
8 comparing the south-western slopes with the reference class of level areas (*as_1*) indicating a
9 powerful dependency relationship between landslide occurrence and south-western slopes (Table 2).

10 The resulting coefficients were multiplied with their corresponding 13 raster files using Raster
11 Calculator, according to formula (4):

$$12 \quad \text{Mdl_fit3} = \exp(-1.1381 + -2.0400 * [\text{Indse_8}] + -1.3942 * [\text{spi_1}] + 1.1238 * [\text{dst_h5}] + -1.5113$$

$$13 \quad * [\text{as_10}] + 1.3744 * [\text{as_7}] + 0.9694 * [\text{dst_dr6}] + -2.3552 * [\text{Indse_3}] + 1.0179 * [\text{dns_f4}] + 0.8183 *$$

$$14 \quad [\text{as_6}] + 0.7655 * [\text{slop_4}] + 0.8281 * [\text{pp_2}] + -0.7583 * [\text{dst_dr7}] + 0.8739 * [\text{dst_lc7}])$$

$$15 \quad (4)$$

16
17 The landslide susceptibility map was generated by applying the odds ratio formula (5)
18 representing the landslide susceptibility in the interval 0 – 1 (Fig. 3).

$$19 \quad S = p/(1-p), \quad (5)$$

20 where S - susceptibility, P – probability

21 Fig. 3: Landslide susceptibility map generated using the logistic model

22

23
24 The goodness of fit and the predictability of the model were determined using the ROC curve
25 for the model sample and the testing sample, respectively. The sensitivity of the model represents the

1 true positive rate, while the model specificity represents false positive rate (Hosmer and Lemeshow
2 2000).

3 Fig. 4: Area under the ROC curve for the training data (left) and the testing data (right)

4
5 **Table 3: Spatial distribution of susceptibility classes determined by applying the BSA model**

| | Susceptibility class | Statistical value | Area | |
|----|----------------------|-------------------|--------------------|-------|
| | | | (km ²) | % |
| 1. | Very low | 0 – 0.128 | 21.489 | 24.70 |
| 2. | Low | 0.128 – 0.306 | 23.116 | 26.57 |
| 3. | Medium | 0.306 – 0.528 | 19.594 | 22.52 |
| 4. | High | 0.528 – 0.749 | 13.26 | 15.24 |
| 5. | Very high | 0.749 – 0.990 | 9.528 | 10.95 |

6 The area under the ROC (Relative Operational Curve) is 0.86 for the training data set and
7 0.63 for the testing (validation) data set, the first value indicating the goodness of model fit while the
8 second represents the predictability of the model, or its capacity to predict future events (Fig. 4).

9 The large area under the ROC indicates a high sensitivity of the model as well as a low false
10 positive rate which account for a satisfying precision of the results. The smaller ROC area in the case
11 of the validation data, though still above the threshold of 0.5, is due to a smaller landslide set available
12 for validation.

13 The classification of the results in the final susceptibility classes, represented by the map in
14 Fig. 3, was based on the success rate of the model (Chung and Fabbri, 1999, 2003, 2008; Van
15 Westen et al., 2003; Remondo et al., 2003).

16

17 **4.2. Applied bivariate probability analysis (BSA) to landslide susceptibility assessment**

18

19 The processing of the derived and modelled database by means of the ArcGis software using
20 the specific functions of conversion, analysis and spatial integration has led to the generation of
21 landslide susceptibility maps and their corresponding raster databases according to the statistical
22 values of each coefficient class.

23 The results of the models are included in a raster database which highlights the probability of
24 landslide occurrence for each pixel of the analysed area with a statistical value ranging from -6.727 to
25 +2.756. The final susceptibility map was classified using the Natural Breaks method in five
26 susceptibility classes (very low, low, medium, high and very high) (Fig. 5).

27

28 Fig. 5: Landslide susceptibility map generated using the BSA model

29 When analysing the classified susceptibility map one can note the vast expansion of the high
30 and very high susceptibility classes (65% of the analysed area) which correspond to the slopes from

1 the upper river basin of the Small Niraj (in the administrative territory of the Şirea Nirajului settlement),
 2 as well as in the hilly sector of the lower river basin (in the administrative territories of Miercurea
 3 Nirajului, Drojdi and Maia).

4 The validation of the results was performed in a first stage using the percentage of the
 5 landslide areas in each class (Fig. 6). Thus, there is a very good validation of the results as the largest
 6 proportion of the active landslides (71.23%) are included in the very high susceptibility class which
 7 also represents the second largest area in the Small Niraj river basin (28.3 km²).

8 Fig. 6: Percentage distribution of active landslides on the probability classes and ROC curve value
 9

10 **Table 4: Spatial distribution of susceptibility classes determined by applying the logistic
 11 regression**

| | Susceptibility class | Statistical value | Area | |
|----|----------------------|-------------------|--------------------|-------|
| | | | (km ²) | % |
| 1. | Very low | -6.727...-3.231 | 4.410 | 5.07 |
| 2. | Low | -3.231...-1.743 | 9.353 | 10.76 |
| 3. | Medium | -1.743...-0.516 | 16.372 | 18.83 |
| 4. | High | -0.516...0.524 | 28.486 | 32.76 |
| 5. | Very high | 0.524...2.756 | 28.330 | 32.58 |

12

13 By comparing the two databases it becomes obvious that 92.8% of the active landslides
 14 overlay the high and very high susceptibility areas and only 6.55% are included in the medium
 15 susceptibility class. This high degree of model fit is represented by the large area under the ROC
 16 (0.983) which indicates a good correlation between the model results and the landslides in the field
 17 (Fig. 6).

18 **4.3. Comparison of results**

19

20 The spatial distribution of the susceptibility classes in the case of the map generated with the
 21 help of the logistic model highlights a similar distribution for the middle slope sectors from the lower
 22 and middle river basin, in the administrative territory of Miercurea Nirajului, Eremitu and Maia, but on
 23 the western slope of Măgherani Hill there are some obvious differences (Fig. 7).

24 Fig. 7: Regional differences of susceptibility classes obtained through the BSA model and the logistic
 25 model
 26

27 The results differ between the application of the BSA model and the logistic model (Fig. 7 and
 28 Fig.8). By applying the BSA model in which all the classes of the 16 factors were included in the
 29 model, namely all the 90 dummy variables, there is an overestimation of the high susceptibility class
 30 (32.7%) and of the very high susceptibility class (32.5%). By applying the logistic model, these values

1 decrease to 15.2% for the high susceptibility class and to 10.9% for the very high susceptibility class,
 2 as the variables corresponding to statistically insignificant classes were eliminated.

3 Fig. 8: Comparative percentage distribution of susceptibility classes obtained by applying the BSA
 4 model (8.A) and the logistic model (8.B)

5 When comparing the input databases for the two models, there is a decrease in the initial
 6 number of variables (16) in the case of the logistic regression due to the application of the likelihood
 7 test (Table 5). Hence, the variable classes with a very reduced spatial expansion were excluded from
 8 the model as they would have led to additional errors (for example: the territories ranging between 700
 9 and 800 m, slope angle values between 25 and 30°, territories at a smaller distance than 50 m from
 10 settlements and at 25-50 m from the street network, the lithology dominated by sands, gravels
 11 alternating with marl and vineyard land use).

12 Another series of variable classes were excluded from the logistic analysis due to their low
 13 statistical significance, for example the territories with drainage density between 0.5-1 m/km², drainage
 14 depth between 51-100 m, the territories situated at 25-50 m from streams, pastures and the slopes
 15 with positive values of the plan curvature.

16 Table 5: Comparative statistical values (for BSA and logistic regression)

| Variable /symbol | | Variable classes | Statistical value (BSA) | Logistic Regression coefficients |
|-------------------------|---------------|---------------------------|--------------------------------|---|
| 1. ELEVATION | <i>Mde_1</i> | 338 – 400 m | -0.306 | - |
| | <i>Mde_2</i> | 401-500 m | 0.135 | - |
| | <i>Mde_3</i> | 501-600 m | 0.008 | - |
| | <i>Mde_4</i> | 601-700 m | 0.018 | - |
| | <i>Mde_5</i> | 701-800 m | 0 | s |
| | <i>Mde_6</i> | 801-900 m | 0 | - |
| | <i>Mde_7</i> | 901-1000 m | 0 | - |
| | <i>Mde_8</i> | 1001-1081 m | 0 | - |
| 2. ASPECT | <i>As_1</i> | Horizontal | -0.015 | R |
| | <i>As_2</i> | N | 0.075 | -1.511 |
| | <i>As_3</i> | NE | 0.215 | - |
| | <i>As_4</i> | E | 0.047 | - |
| | <i>As_5</i> | SE | -0.123 | - |
| | <i>As_6</i> | S | 0.147 | 0.818 |
| | <i>As_7</i> | SV | 0.308 | 1.374 |
| | <i>As_8</i> | V | -0.828 | - |
| | <i>As_9</i> | NV | 0.055 | - |
| 3. SLOPE ANGLE | <i>Slop_1</i> | 0-2 ° | -0.216 | R |
| | <i>Slop_2</i> | 2.1-5 ° | -0.402 | - |
| | <i>Slop_3</i> | 5.1-10 ° | -0.106 | - |
| | <i>Slop_4</i> | 10.1-15 ° | 0.264 | 0.765 |
| | <i>Slop_5</i> | 15.1-20 ° | 0.209 | - |
| | <i>Slop_6</i> | 20.1-25 ° | 0.14 | - |
| | <i>Slop_7</i> | 25.1-30.4 ° | -0.789 | s |
| 4. DRAINAGE | <i>Dns_f1</i> | 0.1-0.5 m/km ² | 0.35 | - |

| | | | | |
|-------------------------------------|------------------|--|---------|----------------|
| DENSITY | <i>Dns_f2</i> | 0.5-1 m/km ² | 0.249 | S |
| | <i>Dns_f3</i> | 1.1-1.5 m/km ² | -0.328 | - |
| | <i>Dns_f4</i> | 1.5-2 m/km ² | 0.728 | 1.017 |
| | <i>Dns_f5</i> | 2.1-2.51 m/km ² | 0.001 | R |
| 5. DRAINAGE DEPTH | <i>Ad_f1</i> | <50 m | 0 | - |
| | <i>Ad_f2</i> | 51-100 m | -0.0001 | S |
| | <i>Ad_f3</i> | 101-150 m | 0.026 | - |
| | <i>Ad_f4</i> | 151-200 m | 0.055 | - |
| | <i>Ad_f5</i> | 201-255 m | 0 | - |
| 6. HYDROLOGICAL SOIL CLASSES | <i>Gr_sol1</i> | A | 0 | - |
| | <i>Gr_sol2</i> | B | 0.039 | - |
| | <i>Gr_sol3</i> | C | 0 | - |
| | <i>Gr_sol4</i> | D | -0.041 | - |
| 7. DISTANCE TO SETTLEMENTS | <i>Dst_lc1</i> | 0-25 m | 0 | s |
| | <i>Dst_lc2</i> | 26-50 m | -1.401 | s |
| | <i>Dst_lc3</i> | 51-100 m | -0.394 | - |
| | <i>Dst_lc4</i> | 101-200 m | -0.268 | - |
| | <i>Dst_lc5</i> | 201-400 m | -0.096 | - |
| | <i>Dst_lc6</i> | 401-800 m | 0.003 | - |
| | <i>Dst_lc7</i> | 801-1600 m | 0.225 | 0.873 |
| | <i>Dst_lc8</i> | 1601-3200 m | -0.186 | R |
| 8. DISTANCE TO STREAMS | <i>Dst_h1</i> | 0-25 m | -0.694 | - |
| | <i>Dst_h2</i> | 26-50 m | -0.419 | S |
| | <i>Dst_h3</i> | 51-100 m | -0.216 | - |
| | <i>Dst_h4</i> | 101-200 m | -0.009 | - |
| | <i>Dst_h5</i> | 201-400 m | 0.127 | 1.123 |
| | <i>Dst_h6</i> | 401-800 m | 0.025 | - |
| | <i>Dst_h7</i> | 801-1600 m | -0.108 | R |
| 9. LITHOLOGY | <i>Lit_1</i> | Conglomerates | 0 | - |
| | <i>Lit_2</i> | Marly clays, gravel | 0.078 | s |
| | <i>Lit_3</i> | Gravel, sand | -0.495 | s |
| | <i>Lit_4</i> | Marly clays, gravel | 0 | - |
| 10. LAND USE | <i>Lnduse_1</i> | Urban and rural area | -0.823 | - |
| | <i>Lnduse_2</i> | Predominantly agricultural areas | -0.02 | - |
| | <i>Lnduse_3</i> | Vineyards | -0.158 | -2.355 |
| | <i>Lnduse_4</i> | Orchards | 0 | s |
| | <i>Lnduse5_</i> | Pastures | 0.376 | S |
| | <i>Lnduse_6</i> | Areas with complex use | 0.358 | R |
| | <i>Lnduse_7</i> | Heterogeneous agricultural territories | 0.125 | - |
| | <i>Lnduse_8</i> | Broad leaved forests | -0.683 | - 2.040 |
| | <i>Lnduse_9</i> | Coniferous forests | 0 | - |
| | <i>Lnduse_10</i> | Natural pastures | 0 | - |
| | <i>Lnduse_11</i> | Bush transit areas | -0.61 | - |
| 11. CTI | <i>Cti_1</i> | 0-5 | -0.109 | - |
| | <i>Cti_2</i> | 5...10 | 0.053 | - |
| | <i>Cti_3</i> | 10...15 | -0.14 | - |
| | <i>Cti_4</i> | 15...17 | -0.384 | - |
| 12. SPI | <i>Spi_1</i> | 0-5 | -0.443 | -1.394 |
| | <i>Spi_2</i> | 5...10 | 0.157 | R |
| | <i>Spi_3</i> | 10...15 | -0.031 | - |
| | <i>Spi_4</i> | 15...21 | 0 | - |
| 13. DISTANCE FROM ROADS | <i>Dst_dr1</i> | 0-25 m | -1.147 | - |
| | <i>Dst_dr2</i> | 26-50 m | -1.319 | s |

| | | | | |
|----------------------------------|----------------|-------------|--------|--------|
| | <i>Dst_dr3</i> | 51-100 m | 0.085 | - |
| | <i>Dst_dr4</i> | 101-200 m | -0.663 | - |
| | <i>Dst_dr5</i> | 201-400 m | -0.064 | - |
| | <i>Dst_dr6</i> | 401-800 m | 0.18 | 0.969 |
| | <i>Dst_dr7</i> | 801-1600 m | -0.062 | -0.758 |
| | <i>Dst_dr8</i> | 1601-3200 m | 0.26 | R |
| 14. AVERAGE PRECIPITATION | <i>Pp1</i> | 525 | 0.206 | R |
| | <i>Pp2</i> | 650 | -0.118 | 0.828 |
| 15. PLAN CURVATURE | <i>Crb_pl1</i> | -1.64 | -0.007 | - |
| | <i>Crb_pl2</i> | 0-2.24 | 0.011 | - |
| 16. PROFILE CURVATURE | <i>Crb_pr1</i> | 0-0.31 | -0.524 | - |
| | <i>Crb_pr2</i> | 0.31-2.3 | 0.083 | S |

1 "s" - excluded class variables due to low sample size; "S" – excluded class variables due to lack of
2 statistical significance; R - reference class variables excluded from the model due to their vast spatial
3 expansion in the study area; "-" – excluded class variables in the step-wise selection of the best-fit
4 logistic model.

5 The classification of the input factors and their comparison according to their scientific
6 significance were performed using the bibliographical information available for the Transylvanian
7 Depression (Petrea et al., 2014), as well as the present legislation (HG 447/2003), for aspect, slope
8 angle, geology and land use, while expert knowledge was used for the rest of the factors. As a result
9 of the landslide susceptibility assessment performed with the help of the two quantitative models
10 (bivariate statistical analysis and logistic regression) both the areas with a high probability of landslide
11 occurrence and the stable territories were highlighted in the study area. These results are considerably
12 superior to previous analyses of the Small Niraj basin which only used the legislative semi-quantitative
13 Romanian methodology (H.G. 447/2003) (Rosca et al. 2015a). However, there is still the necessity of
14 increasing the quality of the databases corresponding to the causing factors and the number of the
15 landslides included in the modelling processes, as well as a more thorough analysis of the
16 relationships between the parameters.

17 4. CONCLUSIONS

18 The two models under analysis in the present study, the logistic and the BSA models, have
19 shown the high complexity of the databases involved, the multiple correlation between several factors
20 determining landslide activation as well as the obvious practical utility of the logistic model in future
21 similar studies.

22 The use of the logistic model has allowed the testing of variable interdependencies leading to
23 a reduction of the input data, hence a shorter modelling time. The BSA model operates with all
24 databases, 16 variables represented as 90 dummy variables, hence it takes longer for the model to be
25 implemented and leads to an increased redundancy of the data, while the database management is
26
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1 slower and needs better software and hardware resources. One needs to consider the improvement of
2 the database quality as essential for creating better models and that the separation of the inventory list
3 of active landslides in two sets is necessary in order to determine the predictability of the BSA model
4 in a similar way to the validation of the logistic model performed at this stage.

5 However, the better validation results given by the BSA model (0.98), as compared to the 0.86
6 value resulted from the logistic model, indicates a better model fit of the BSA model. This fact is
7 explained by the validation of the BSA model with all the active landslides which were also used to
8 determine the landslide density of each class variable, namely their statistical value. This can be
9 analysed from a two-point perspective: it can be seen as an advantage when evaluating the ability of
10 the model to correctly determine the existence or inexistence of the phenomenon, although with a
11 slight overestimation of the results, and it can be seen as a disadvantage when a prediction is desired,
12 as in the present study.

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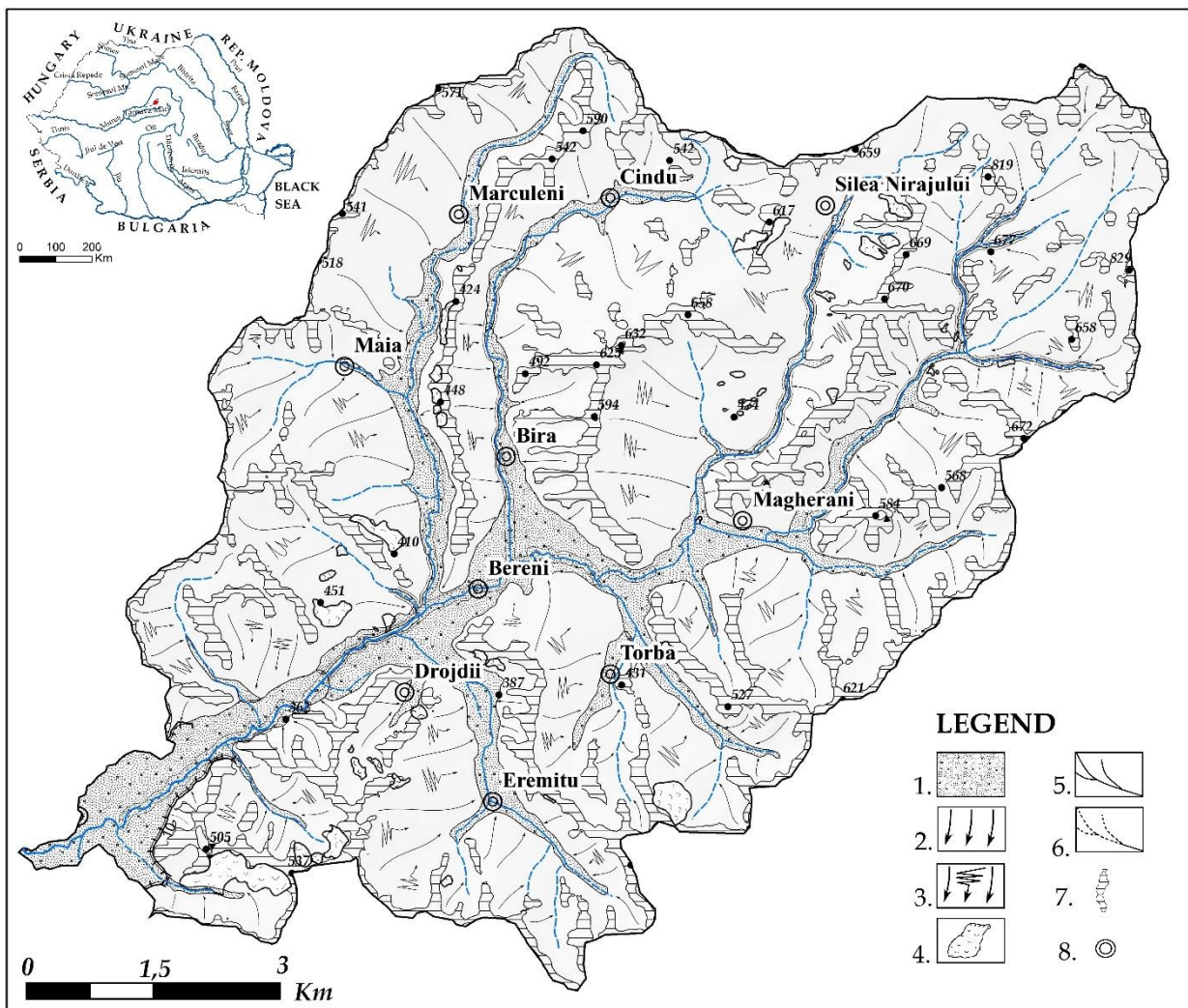
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Fig. 1: Geomorphological map of the Small Niraj catchment and geographical position of the study area

- 1 (1 – flood plain, 2 – slopes and connecting surfaces, 3 – slopes with complex topography, 4 – active landslides, 5
 2 – permanent hydrographic network, 6 – temporary hydrographic network, 7 – watershed divide, 8 – settlements)

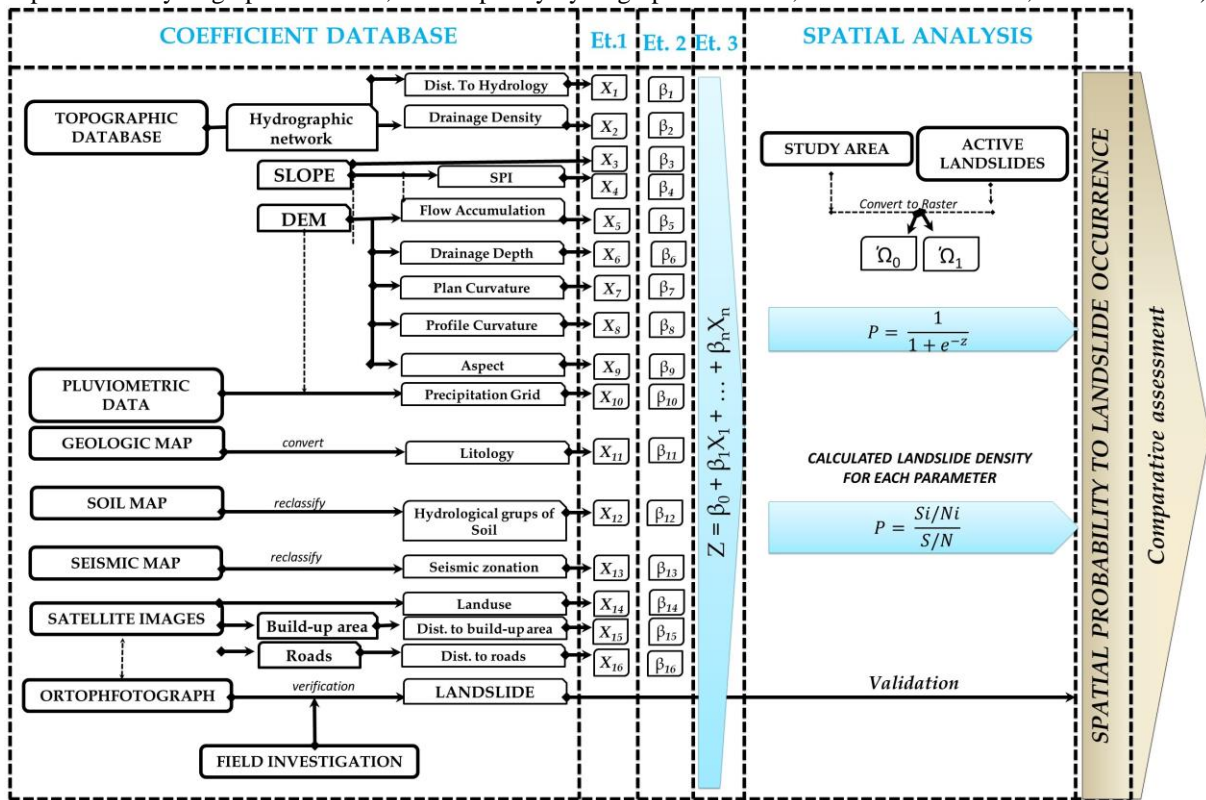


Fig. 2: Applied methodological flow chart

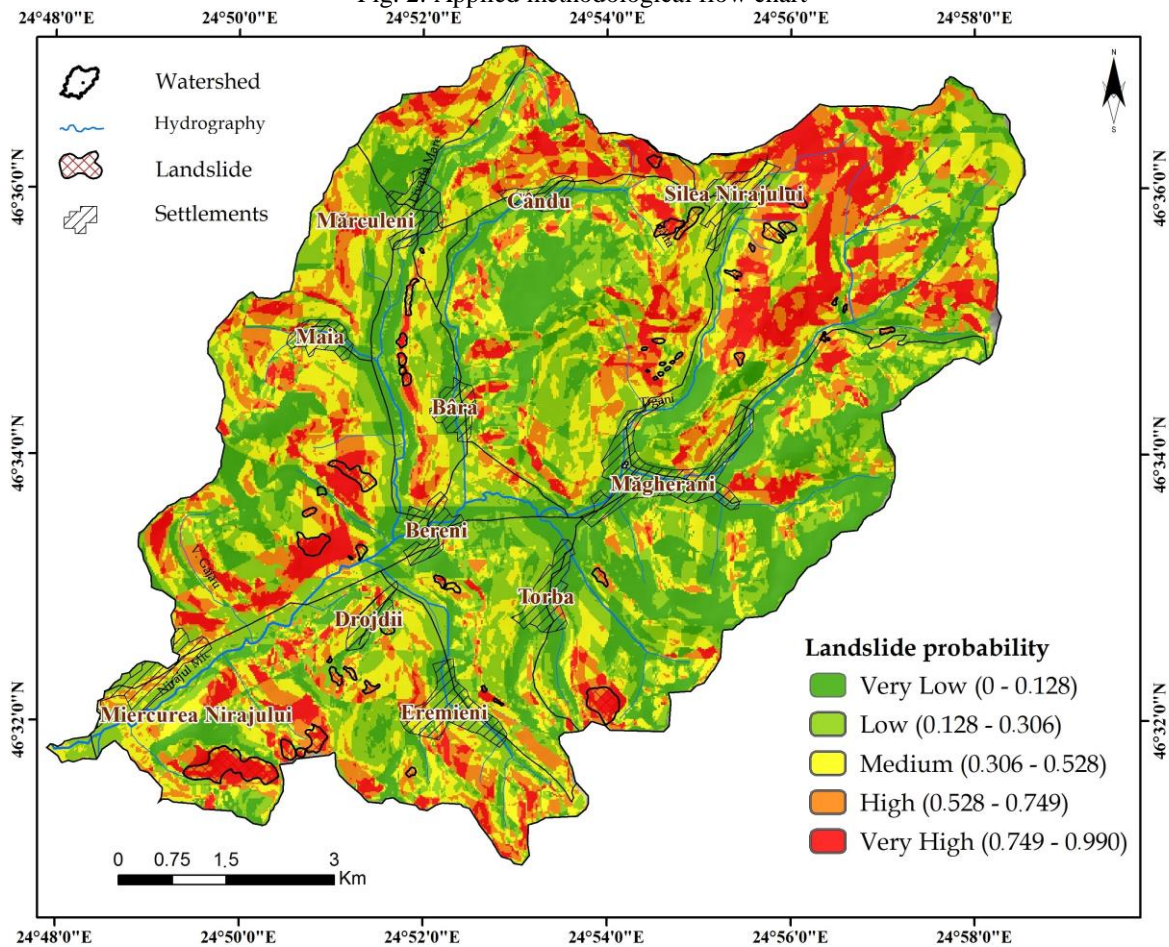
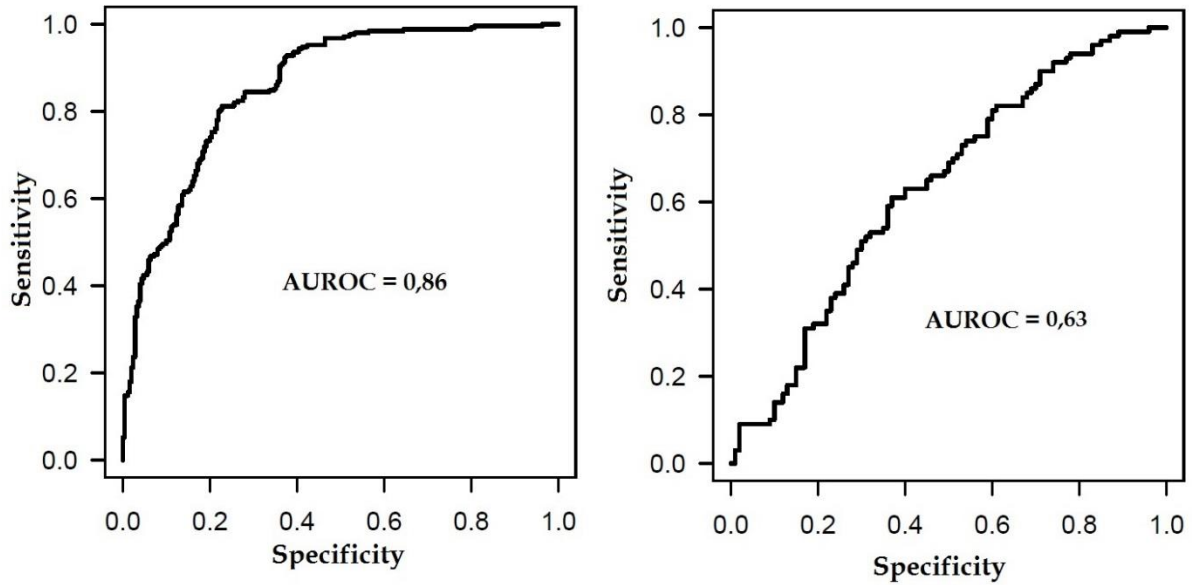
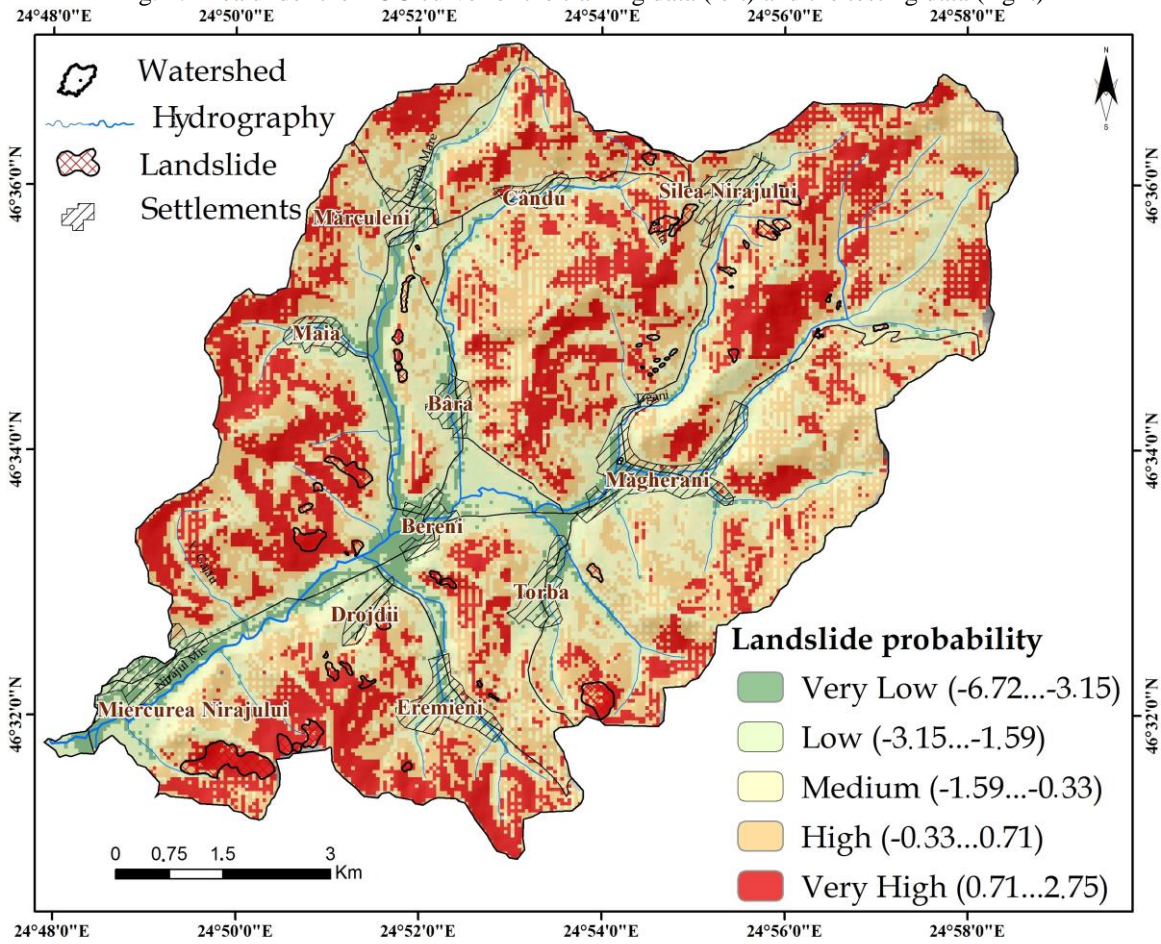


Fig. 3: Landslide susceptibility map generated using the logistic model



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Fig. 4: Area under the ROC curve for the training data (left) and the testing data (right)



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Fig. 5: Landslide susceptibility map generated using the BSA model

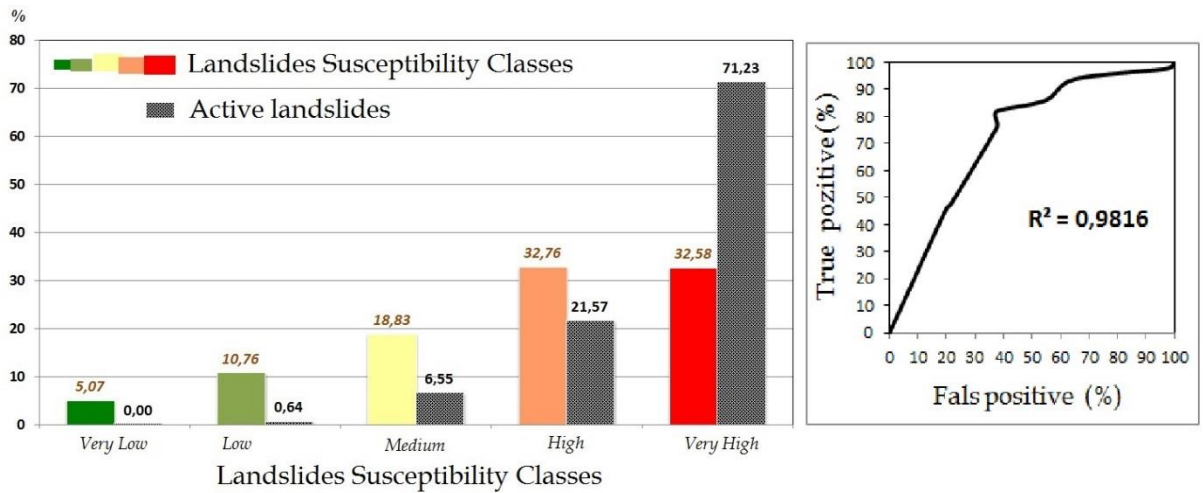


Fig. 6: Percentage distribution of active landslides on the probability classes and ROC curve value

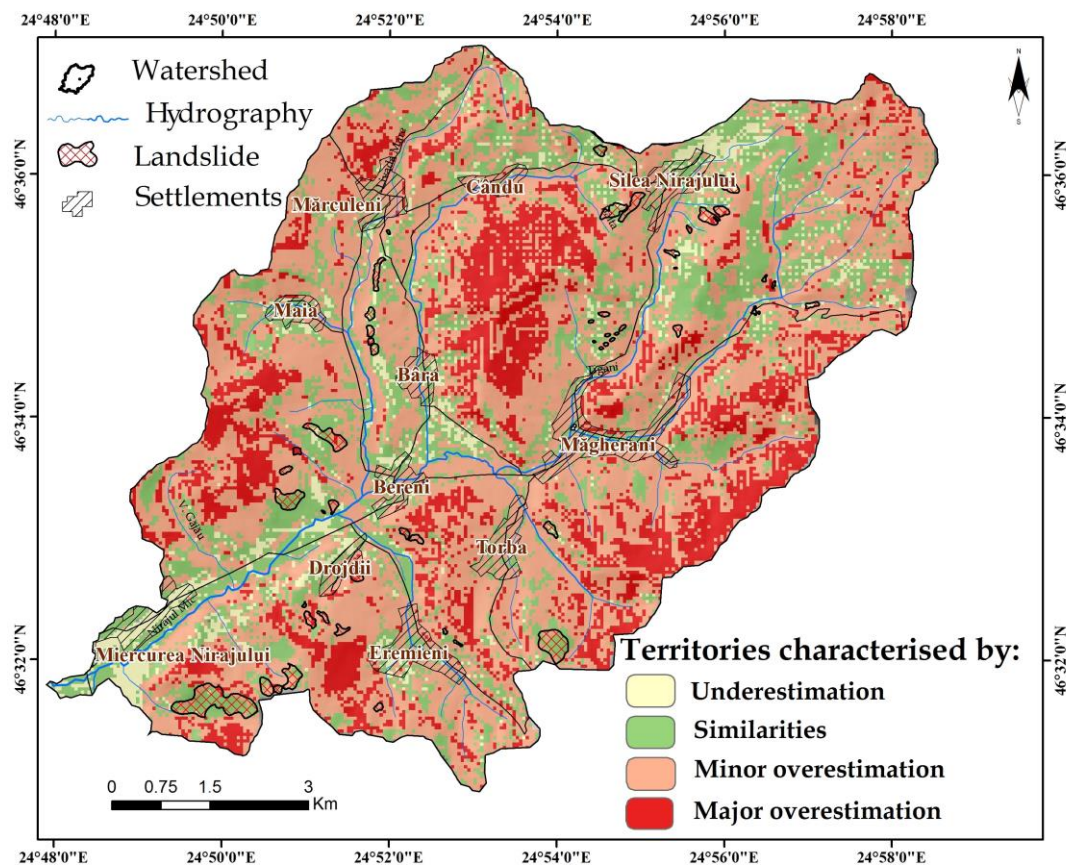
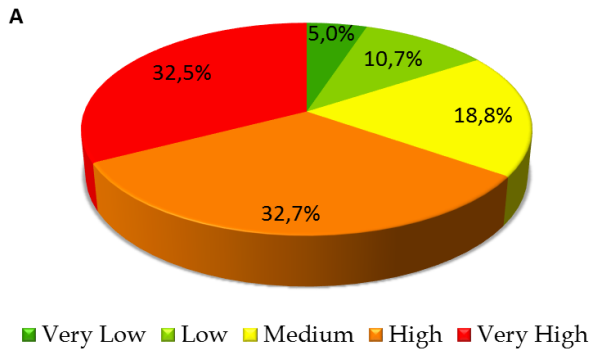


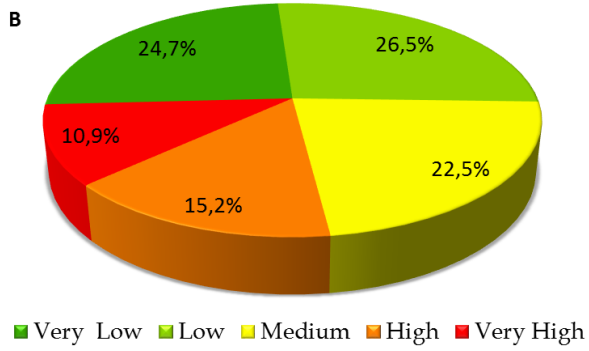
Fig. 7: Regional differences of susceptibility classes obtained through BSA model or by applying logistic model

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Fig. 8: Comparative percentage distribution of susceptibility classes obtained by applying the BSA model (8.A)

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and the logistic model (8.b)

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