

A Dynamic Landslide Hazard Assessment System for Central America and Hispaniola

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Abstract

Landslides pose a serious threat to life and property in Central America and the Caribbean Islands. In order to allow regionally coordinated situational awareness and disaster response, an online decision support system was created. At its core is a new flexible framework for evaluating potential landslide activity in near real-time: Landslide Hazard Assessment for Situational Awareness. This framework was implemented in Central America and the Caribbean by integrating a regional susceptibility map and satellite-based rainfall estimates into a binary decision tree, considering both daily and antecedent rainfall. Using a regionally distributed, percentile-based threshold approach, the model outputs a pixel-by-pixel nowcast in near real-time at a resolution of 30 ~~arcseconds~~arc-seconds to identify areas of moderate and high landslide hazard. The daily and antecedent rainfall thresholds in the model are calibrated using a subset of the Global Landslide Catalog in Central America available for 2007-2013. The model was then evaluated with data for 2014. Results suggest reasonable model skill over Central America and poorer performance over Hispaniola, due primarily to the limited availability of calibration and validation data. The landslide model framework presented here demonstrates the capability to utilize globally available satellite products for regional landslide hazard assessment. It also provides a flexible framework to interchange the individual model components and adjust or calibrate thresholds based on access to new data and calibration sources. The availability of free, satellite-based near real-time rainfall data allows the creation of similar models for any study area with a spatiotemporal record of landslide

1 events. This method may also incorporate other hydrological or atmospheric variables such as
2 numerical weather forecasts or satellite-based soil moisture estimates within this decision tree
3 approach for improved hazard analysis.

4 5 **1 Introduction**

6 The ability to estimate or forecast landslide ~~hazard~~ activity is largely dependent on the scale at
7 which the analysis is undertaken as well as the availability of geomorphologic, atmospheric,
8 and landslide data ~~accessible~~ for the study region. Physically based models focusing on the
9 local hillslope scale require a broad range of geotechnical and hydromechanical in situ
10 variables for accurate modelling of individual slope failures (Baum et al., 2010; Liao et al.,
11 2012; Montgomery and Dietrich, 1994; Montrasio et al., 2011). Empirical studies can focus
12 on local to regional scales but are constrained by the availability of landslide information and
13 surface products that can be used to create a homogenous picture of landslide hazard over the
14 region. The timing of rainfall-triggered landslides is challenging to predict due to the scarcity
15 of real-time precipitation measurements, in situ landslide inventories and information about
16 local ground conditions. Satellite rainfall products provide the opportunity to approximate the
17 conditions that lead to rainfall-triggered landslides over regional scales, especially where rain
18 gauge networks are sparse. The Tropical Rainfall Measuring Mission (TRMM) and its
19 successor, the Global Precipitation Measurement (GPM) mission, provide a multi-decadal
20 record of precipitation estimates that can be used to systematically evaluate rainfall and
21 estimate landslide triggering relationships over multiple spatial and temporal scales
22 (Kirschbaum et al., 2012a; Ray and Jacobs, 2007; Rossi et al., 2012).

23 Previous work has used rainfall intensity-duration (I-D) thresholds to estimate the landslide
24 hazard over time at a variety of spatial scales (Berti et al., 2012; Caine, 1980; Godt et al.,
25 2006; Guzzetti et al., 2008; Li et al., 2011; Mathew et al., 2014; Saito et al., 2010; Terlien,
26 1998). Landslide susceptibility zonation studies have examined the components of landslide
27 hazard using a range of heuristic (Farahmand and AghaKouchak, 2013; Hong et al., 2007;
28 Mora and Vahrson, 1994; Nadim et al., 2006) and statistical (Eeckhaut et al., 2009; Lee and
29 Pradhan, 2007; Pradhan and Lee, 2010) models at diverse spatial scales. Recent work has also
30 combined both rainfall accumulation thresholds and susceptibility information to provide
31 early warning for landslides at a sub-national level (Lagomarsino et al., 2013; Martelloni et
32 al., 2012; Segoni et al., 2014). Kirschbaum et al. (2012b) adapted a prototype global system

1 developed by Hong et al. (2006) into a dynamic landslide model at the regional scale that
2 applied a single I-D threshold to TRMM Multi-satellite Precipitation Analysis (TMPA;
3 Huffman et al., (2007, 2010) precipitation data and a susceptibility map for four countries in
4 Central America: Honduras, Nicaragua, El Salvador and Guatemala. The Landslide Hazard
5 Assessment for Situational Awareness (LHASA) model presented here builds upon ~~the~~-this
6 previous work to develop a dynamic regional framework that provides estimations of ~~regional~~
7 landslide hazard in near real-time across multiple countries in Central America. The model
8 incorporates a new landslide susceptibility map developed for Central America and the
9 Caribbean region (Kirschbaum et al., 2015a) with local percentile-based rainfall and
10 antecedent rainfall thresholds. LHASA has been incorporated into a prototype regional natural
11 hazard website: <http://ojo-streamer.herokuapp.com/meso>. The public is now able to view a
12 daily map identifying moderate and high landslide hazard areas, static landslide susceptibility,
13 precipitation and antecedent rainfall over the study domain and download the model's major
14 data inputs.

15 This study proposes one method for approximating ~~addresses the challenge of providing a~~
16 ~~near real-time estimation of~~ potential landslide activity across broad regions using when there
17 ~~are with~~ sparse ~~in situ~~ landslide inventories and other in situ information. Ideally, an empirical
18 relationship between precipitation and landslide occurrence would be based on a long
19 historical landslide record with many events and corresponding gauge-based rainfall at the
20 local scale (Frattini et al., 2009; Guzzetti et al., 2007). However, due to the dearth of both
21 landslide information and rainfall gauges over this region, extracting local I-D thresholds was
22 not possible. The approach presented here leverages the long-term TMPA precipitation record
23 to relate landslide events from the Global Landslide Catalog (GLC) to a statistical distribution
24 of rainfall from 2001-2013. While intense rainstorms are the most important trigger of
25 landslides in the Caribbean region (Larsen and Simon, 1993), landslides are often exacerbated
26 by prior soil moisture conditions (Nadim et al., 2009). Using antecedent daily rainfall has
27 been shown to help predict landslides, especially those cases where the triggering
28 precipitation event is small (Cepeda et al., 2009). The LHASA model incorporates an
29 antecedent rainfall index (ARI) to represent the conditions prior to the day of the triggering
30 event. Since the relationship between rainfall, antecedent rainfall, susceptibility and landslide
31 triggering is not linear, we employ a binary decision tree approach to test the feasibility of the
32 model to accurately resolve landslide nowcasts while minimizing the overall number of alerts
33 issued. This paper first presents an overview of the regional application of the model and data

1 used for this study. Next the LHASA threshold-based decision tree model framework is
2 presented and calibration and validation procedures for parameterizing the model over Central
3 America and the Caribbean are outlined. Lastly, the paper concludes with a discussion
4 outlining the applicability of this model framework across a range of spatiotemporal scales
5 and the possibility of using different hydrometeorological and in situ data products.

7 **2 Data**

8 **2.1 Regional Setting**

9 The LHASA model provides a flexible architecture that can be applied over a variety of
10 spatiotemporal scales by leveraging regional environmental, climatic and landslide data. The
11 model was initially developed to serve two regions: Central America and Hispaniola. The
12 Central America study area ranges from 93 to 76⁰ west longitude and from 6 to 19⁰ north
13 latitude. In addition to the nations of Central America, the analysis includes Jamaica and
14 small portions of Mexico and Colombia. Central America has a tropical climate and a wide
15 range of terrain dominated by the Central American Volcanic Arc along the western coast and
16 active geologic faults throughout the region. The region experiences intermittent spring rains
17 and a long rainy season from July through November, marked by landfalling tropical cyclones
18 from the Caribbean Sea and eastern Pacific Ocean. These heavy rains combine with tectonic
19 activity to make Central America a hotspot for landslide activity (Nadim et al., 2006). The
20 Hispaniola study area encompasses Haiti, the Dominican Republic and Puerto Rico. The
21 timing and intensity of the rainy season varies across Hispaniola due to five major mountain
22 ranges across the island, with elevations varying from 3,000 meters to below sea level. Due to
23 the associated rain shadow, annual precipitation varies from over 2,500 mm in the elevated
24 northern regions to as little as 500 mm in the semi-arid southern regions (Alpert, 1941). In
25 Haiti, the impact of earthquakes and tropical cyclones on deforested slopes with poorly
26 constructed dwellings makes this area exceptionally vulnerable to landslides (Mora, 1995).
27 All of the countries in this region have experienced significant losses from landslides as a
28 result of previous disasters such as Hurricane Mitch in 1998 and the 2010 Haiti earthquake,
29 among others (Guha-Sapir et al., 2014). This region is also very likely to suffer significant
30 losses from landslides as a result of changing precipitation and tropical cyclone patterns in a
31 changing climate (IPCC, 2007).

2.2 Landslide Catalogs

~~consistently~~ There are several different landslide inventories available within Central America that have varying geographic extents, compilation methodologies, temporal information and accuracies. We used four landslide inventories to develop and test the regional landslide susceptibility map, which are outlined in (Kirschbaum et al., 2015a). These inventories include: 1) landslides triggered by Hurricane Mitch in 1998, compiled by USGS and others (Bucknam et al., 2001; Cannon et al., 2001; Crone et al., 2001; Harp et al., 2004); 2) a historical Nicaragua database compiled by (Devoli et al., 2006, 2007); 3) a historical landslide database from El Salvador (Gerencia de Geología, 2012); and 4) the Global Landslide Catalog. While each of these inventories were useful in various ways to compute the regional static susceptibility map, the GLC had the most relevant spatial and temporal information for calibrating and evaluating the LHASA model. As a result, ~~In order to understand the conditions under which landslides occur and evaluate model performance,~~ a record of historical landslides was selected from the GLC (Kirschbaum et al., 2010). We also selected 24 landslides from the El Salvador inventory compiled by the Ministry of the Environment and Natural Resources (MARN) (Gerencia de Geología, 2012). No times of occurrence were available for these points, nor were spatial accuracies defined. The combined landslide data covered the years 2007-2013.

Despite its limitations ~~of this catalog~~, the GLC is a key resource in ~~systematically~~ evaluating landslide patterns and represents the only event-based landslide database available across all countries in Central America and the Caribbean Region. The GLC is populated primarily from media reports, but it also incorporates online disaster databases, and personal communication in some instances. Due to the compilation methodology of the GLC, there are several types of ~~biases~~ ~~error~~ that impact the accuracy of the catalog, including regional reporting biases, variations in cataloging methodology, and report accuracy. Kirschbaum et al. (2015b) outlines these biases in more detail. ~~Another uncertainty stems from the landslide typologies presented in this catalog. The GLC includes all~~ mass movements that are reported to have been triggered directly by rainfall (including debris flows, mudslides, rock falls, etc.), all of which we herein refer to ~~all~~ as landslides. While it is often impossible to differentiate between landslide types from ~~only~~ a media report unless detailed descriptions or a photo is included, we believe that the majority of landslides that are used to calibrate and evaluate the LHASA model are rapid, shallow ~~in nature with compositions~~ movements of soil, rock, and

1 ~~other debris that occur rapidly (opposed to creeping events).~~ The size of each landslide is
2 ~~often even more difficult to determine in most cases, but based on reporting many of the~~
3 ~~reported landslides often occur above roads and tend to be narrow, long runout debris flows.~~
4 ~~These assertions are based on review of GLC event entries as well as previous work in this~~
5 ~~region~~ (Bucknam et al., 2001; Cepeda et al., 2010a; Devoli et al., 2006, 2008). ~~Despite the~~
6 ~~limitations, this database is a key resource in systematically evaluating landslide patterns and~~
7 ~~represents the only event-based landslide database available across all countries in Central~~
8 ~~America and the Caribbean Region.~~ In many cases, there is uncertainty on exactly where and
9 when the landslide took place due to limited information. ~~As a result~~ To limit the effects of
10 this problem, only rain-triggered landslides with a spatial accuracy of 25 kilometres or better
11 and a known date of occurrence were chosen. From this selection, 99 landslides from Central
12 America and 24 landslide events from Hispaniola were used for this study (Figure 1). The
13 exact time of occurrence was only known for 17 of these reports. Because the landslides
14 occurred in multiple time zones, it was necessary to correct a few dates. However, no time
15 zone correction could be made for the vast majority of events; thus, in addition to any errors
16 present in the original report, it is likely that some landslides may have actually occurred on a
17 different UTC date than the date of record. ~~In addition to the GLC, 24 landslides were~~
18 ~~selected from a catalog compiled by El Salvador's Ministry of the Environment and Natural~~
19 ~~Resources (MARN) (Gerencia de Geología, 2012). No times of occurrence were available for~~
20 ~~these points, nor were spatial accuracies defined. The combined catalog covered the years~~
21 ~~2007-2013.~~

22 In 2014, 877 new landslides were added to the GLC. These were not available during the
23 development of the dynamic landslide model and represent an independent dataset of the
24 same type as the 2007-2013 catalog. 79 landslides were located within the study areas
25 described above, accounting for 49 deaths and 30 injuries. Of these, 56 were known to be
26 triggered by rain and had a spatial accuracy better than 25 kilometres. Due to the submission
27 of a single detailed report, the exact location of 14 landslides was known. However, these
28 points represent a single cluster of landslides occurring on June 23rd, 2014 near El Ayote,
29 Nicaragua (INETER, 2014). In order to reduce the weight placed on this cluster, 6 closely
30 spaced landslides were pruned from the GLC. The resulting 2014 catalog used in the analysis
31 includes 42 landslides that occurred in Central America, 1 in Jamaica, and 7 that occurred in
32 the Hispaniola study area.

2.3 Susceptibility Map

A susceptibility map was created for all of Central America and the Caribbean Islands at a resolution of 30 arc-seconds with the goal of discriminating susceptible from non-susceptible regions (Figure 1) (Kirschbaum et al., 2015a). In order to achieve a consistent output across the region, one regional ~~dataset~~ (faults) and three global ~~datasets~~ (slope, soils, and roads) geographic datasets were combined (Table 1). These ~~datasets~~ variables were selected ~~from a modified sensitivity analysis conducted over parts of the region~~ on the basis of geographic extent, consistency, expert opinion, and empirical relevance. Several ~~different~~ other surface variables, such as ~~percent~~ forest cover and geology, were also tested within the susceptibility model framework, but did not enhance predictions. In some cases, variables that were largely redundant (e.g. cation exchange capacity) were ~~eliminated, despite good validation results.~~

The distance to the nearest major fault was derived from a geologic map of the Caribbean (French and Schenk, 2004). The United States Geological Survey (USGS) produced a global product highlighting statistical properties of slope and elevation (*e.g.*, median, maximum, 70th percentile, etc.) where Shuttle Radar Topography Mission (SRTM) data was aggregated from the nominal resolution (3 arc-seconds) to 30 arc-seconds (Verdin et al., 2007). The 70th ~~quantile~~ percentile slope gradient was considered for this evaluation because the slope distribution most closely correlated to the 3 arc-second SRTM data for reported landslide locations. The distribution of major soil types was obtained in raster format from the Harmonized World Soil Database (FAO/IIASA/ISRIC/ISSCAS/JRC, 2012). The variable “percent clay” was selected to represent the regional soil properties. Road locations were obtained from the Global Roads Open Access Data Set, Version 1 (CIESIN and ITOS 2013).

These 4 layers were overlaid in ArcGIS through the use of fuzzy operators. First, each variable was transformed into a “possibility” between 0 (representing low landslide hazard) and 1 (representing high hazard) through the use of a fuzzy membership function. Next, the non-topographic variables were combined with a “fuzzy gamma” function, in which gamma was set to 0.4. Finally, the output was overlaid with the transformed slope values with the “fuzzy product” operator, a simple function chosen to prevent the identification of flat ground as hazardous.

Using the standard deviation classification scheme, the susceptibility map was divided into 5 categories that represented relative ~~hazard~~ susceptibility: very low, low, medium, high, and very high. Four historical landslide catalogs—varying greatly in temporal and, spatial scale,

1 size, and completeness—were used to evaluate the susceptibility map. Figure 2 compares the
2 distribution of the 5 susceptibility categories at recorded landslides to the distribution over the
3 total study area. Few landslides occurred in locations rated as having “very low” landslide
4 susceptibility (SI = 1), despite the fact that this is the largest category by area. These locations
5 were not considered susceptible to landslides for the purposes of the binary decision tree
6 model.

7 **2.4 Rainfall Estimates**

8 Satellite precipitation estimates from the Tropical Rainfall Measuring Mission (TRMM) Real-
9 Time Multi-Satellite Precipitation Analysis (TMPA-RT) are available at a resolution of 0.25 x
10 0.25 degrees (Huffman et al., 2007, 2010). This product provides a snapshot of precipitation
11 rates utilizing TRMM and other satellites to provide a precipitation map every 3 hours from
12 50°N-S. TMPA-RT data is available from March 2000 to the present. For this analysis, ~~the 3-~~
13 ~~hourly files were merged to compute~~ daily rainfall totals were used ~~for this region~~. The Global
14 Precipitation Measurement (GPM) mission was launched in February, 2014 and is a global
15 successor to TRMM. GPM’s multi-satellite product called IMERG (Integrated Multi-Satellite
16 Retrievals for GPM) is already providing data, although TMPA-RT will continue to be
17 processed into the near future.

18

19 **3 Methods**

20 The model inputs to LHASA required several processing and calibration steps before they
21 could be directly applied. Unless otherwise noted, all calculations were performed in the
22 statistical programming language, R v3.1.2 (R Core Team 2013). Raster operations were
23 performed with ~~the~~ “raster”, a third-party package for R (Hijmans and van Etten, 2014). All
24 raster files were resampled to a resolution of 30 arcseconds, the same as the susceptibility
25 map, using the nearest neighbour method, and clipped to the two study areas. Thus, each pixel
26 represents a data point of approximately 1 square kilometre (30 ~~areseconds~~ arc-seconds) and
27 the model is run every 24 hours. Where possible, the dates of landslides were adjusted to
28 match the time zone of the daily rainfall data. The landslide catalog was converted from a
29 shapefile to a series of daily presence/absence landslide rasters over the same extent as the
30 rainfall files. This format enabled landslide events to be directly compared to the daily maps

1 of landslide hazard outputs of the LHASA model. Most raster operations were performed in
2 parallel on a Linux server.

3 **3.1 Landslide Catalog**

4 The landslide catalogs used to calibrate this model are known to contain both spatial and
5 temporal errors (Kirschbaum et al., 2015b). The spatial accuracy of each landslide point was
6 estimated at the time of recording. Although the least accurate reports were not used in this
7 study, some landslides may have occurred as much as 25 kilometres from their reported
8 locations. In these cases, as well as with more accurate reports, the terrain and rainfall where
9 the landslide occurred may differ from the conditions at the reported coordinates. In addition,
10 the exact date and time of an event is often unknown. To evaluate the extent to which
11 landslide reports were incorrectly evaluated as false negatives due to spatiotemporal
12 uncertainty, landslide points were spatially and temporally buffered. Temporal uncertainties
13 were accounted for by considering 1, 3 and 7-day windows surrounding the reported landslide
14 date. Spatial uncertainties were evaluated by considering the exact location of the reported
15 landslide, 1 and 5 km circular buffers surrounding the location, as well as a variable buffer
16 based on the spatial accuracy denoted in each landslide entry. For this analysis, if a nowcast
17 was generated anywhere within the buffer spatiotemporal window, we considered this
18 nowcast to be a success (true positive). There are challenges inherent with this assumption,
19 which are outlined in the Discussion section. True ~~Positive~~ positive Rates ~~rates~~ were
20 calculated for each combination of windows.

21 **3.2 Daily Rainfall**

22 Owing to the diverse topography, coastal zones and prevailing wind patterns, rainfall is
23 unevenly distributed over ~~the~~ Central America and Hispaniola ~~study regions~~. There have been
24 many different approaches to representing the intensity-duration rainfall triggering
25 relationships at various scales including critical rainfall (Aleotti, 2004; Li et al., 2011; Saito et
26 al., 2010; Tiranti and Rabuffetti, 2010), normalized rainfall or return periods ~~using mean~~
27 ~~annual precipitation~~ (Dahal and Hasegawa, 2008; Hromadka II et al., 2010; Terlien, 1998),
28 ~~return period (Hromadka II et al., 2010)~~ and the combination of multiple thresholds (Brunetti
29 et al., 2010; Cepeda et al., 2010b; Chleborad et al., 2006), among others. ~~Many of these~~
30 ~~approaches are summarized in Guzzetti et al. (2008)~~. One of the challenges with approaching
31 rainfall-triggering relationships at a regional scale is that the prevailing precipitation regimes

1 are regionally heterogeneous. As a result, developing a single regional intensity-duration
 2 threshold to represent the landslide triggering relationships across this region was not optimal.
 3 To address this problem, we considered the statistical distribution of daily rainfall over a 13-
 4 year record, using percentiles to create a precipitation metric that could be compared across
 5 morphologies and landslide events. A daily precipitation time series from January 1st, 2001
 6 to December 31st 2013 was prepared for each pixel over the study region and days with no
 7 rainfall were removed from the calculations. Then, every 5th percentile was calculated from
 8 the distribution of non-zero values using the “quantile” function’s default method. The
 9 resulting series of raster files identify the local precipitation distribution at each pixel and
 10 provide a more localized way to address regional landslide triggering (Figure 3). The rainfall
 11 thresholds were then calibrated with the landslide data to assign a separate rainfall threshold
 12 for each 0.25-degree pixel. The calibration procedure is described below.

13 **3.3 Antecedent Rainfall**

14 Real-time measurements of subsurface pore pressure are not available at most locations
 15 within the study area. Satellite-based soil moisture retrievals are often biased or limited over
 16 complex terrain, particularly with dense vegetation (Jackson and Schmugge, 1991; Njoku et
 17 al., 2003). Therefore, remotely sensed rainfall was chosen as a proxy for this variable. Time is
 18 required for rain to infiltrate soil and rock and generate higher pore pressures that lead to
 19 slope instabilities, as well as for pore pressure to dissipate. High levels of precipitation often
 20 trigger landslides, but the amount required to do so is usually dependent upon the volume of
 21 prior rain and the permeability of the soils and rocks. To describe this phenomenon, an
 22 antecedent rainfall index (ARI) was created from the TMPA-RT daily rainfall estimates using
 23 a time-weighted average of the previous 60 days:

$$24 \quad \frac{\sum_{t=1}^{60} w_t * p_t}{\sum_{t=1}^{60} w_t} \quad (1)$$

25 Where t = the number of days before the present, p_t = the precipitation at time t , and $w_t = t^{-0.5}$.
 26 This closely resembles the antecedent precipitation index introduced by Kohler and Linsley
 27 (1951). The decay exponent and the 60-day window were chosen by calibrating a preliminary
 28 decision tree model using landslide reports and 500 randomly selected locations.

29 No rainfall or ARI threshold can serve as a perfect classifier to differentiate landslide and
 30 non-landslide rainfall events because the distributions of these two datasets overlap (Figure

4). However, Figure 5 shows that the relative frequency of landslides increases at higher precipitation levels, allowing thresholds for current and antecedent rainfall to be combined in such a way that the resulting classification is reasonably effective.

3.4 Decision Tree Framework

At the most simplistic level, higher soil moisture values prior to a landslide occurrence can be a key predisposing factor in future landslide triggering (Wieczorek, 1987). ~~Past-d~~Previous decision tree models considering precipitation and antecedent values have been derived at ~~mostly~~ the city level and apply the trade-off between rainfall and past rainfall infiltration to create an alert framework (Aleotti, 2004; Godt et al., 2006) or at a regional (sub-national) scale considering accumulated precipitation and specifying a critical rainfall threshold (Lagomarsino et al., 2013; Martelloni et al., 2012; Segoni et al., 2014). In this study, antecedent rainfall was incorporated into a 3-level binary decision tree structure (Figure 6). At the first level, those pixels believed to have “very low” susceptibility to landslides (Susceptibility Index of 1 or 0) are excluded from further analysis. All other pixels are considered as having a non-negligible chance of slope failure. An $SI \geq 2$ (low) was chosen to exclude a large portion of Central America without losing the ability to predict most landslide events. ~~We selected this binary threshold due to the XXXX.~~ This categorization means that over XXless than 60% of the study area is considered to be susceptible ~~within the model,~~ which we feel is reasonable because XXX., while over 90% of the landslides occurred in susceptible pixels. ~~easysuseptibility.~~

At the second level of analysis, the antecedent rainfall value for each pixel is compared to the 50th percentile value. This antecedent rainfall threshold roughly corresponds to the division between the Central American wet season, during which most landslides occur, and the dry season (Figure 7b). At the third level of the decision tree, the current daily rainfall accumulation is compared to the daily rainfall threshold and a moderate or high landslide hazard nowcast is issued. If the 50th percentile rainfall is exceeded and the soils are considered to be wet ($ARI > 50^{\text{th}}$ percentile), a moderate hazard level is assigned. If the rainfall exceeds the 95^{th} percentile, a high hazard is assigned. In dry conditions ($ARI < 50^{\text{th}}$ percentile), a moderate hazard level is assigned if the daily rainfall exceeds the 90th percentile and a high hazard nowcast is generated if rainfall exceeds the 95^{th} percentile. The “high hazard” nowcast is designat~~ed~~ed to represent the extreme triggering conditions under which landslides have a higher possroba~~ibility~~ibility of occurrence; whereas the “moderate hazard”

1 ~~nowcasts represent a lower possibility of potential-landslide activity but still represent the~~
2 ~~possibility of landslides occurring in the designated areas.~~ With additional data, future work
3 will seek to assign more probabilistic values on ~~narrowly~~ quantify the probability of landslides
4 potential to ~~for each of these hazard classes.~~

5 The moderate hazard nowcast ~~thresholds were determined~~ was calibrated by ~~comparing~~
6 varying the ARI and daily rainfall thresholds, then determining the model's success for the
7 ~~123 events from the~~ Central American catalog ~~and optimizing the predominant thresholds for~~
8 ~~these instances.~~ Due to the computational burden, ~~The~~ the calibration process involved ~~did not~~
9 ~~include every possible set of thresholds due to the compute time, but provided a~~
10 representative sample of the percentiles thresholds between the 50th and 95th percentiles for
11 both ARI and daily rainfall records, not every possible set of thresholds. The model was not
12 calibrated for the 24 landslides that occurred in Hispaniola because preliminary analysis of
13 the reported landslide locations indicated that no combination of rainfall thresholds would
14 provide a good fit to the landslide observations. The high-~~landslide~~-hazard ~~classification~~
15 nowcast was ~~determined based on the desire~~ created to provide a representation of extreme
16 rainfall at any time over the study region. The 95th percentile was chosen based on past
17 research and qualitative analysis of the rainfall distributions over this area (Kirschbaum et al.,
18 2015b). Further discussion of this topic can be found in the Results section.

19 Given the triggering variables, surface information and landslide catalogs considered within
20 LHASA, we posit that the LHASA model is more successful in resolving the potential
21 conditions for landslides with a mix of soil, rock and other debris, ranging from moderate to
22 shallow depths and occurring at moderate to high velocities. This assertion is mostly due to
23 the main types of landslides observed within the study area as well as from the fact that
24 currently we do not consider other triggering variables such as earthquake occurrence,
25 anthropogenic triggers (mining, construction, etc.), etc.

26 **3.5 Evaluation**

27 The predictive success of the dynamic landslide model was evaluated with the “distance to
28 perfect classification” metric, r_j (Cepeda et al., 2010a), which combines the true positive rate
29 (TPR) with the false positive rate (FPR):

$$30 \quad r_j = \sqrt{(FPR^2 + (1 - TPR)^2)} \quad (2)$$

1 Where $TPR = \text{true positives} / (\text{true positives} + \text{false negatives})$ and $FPR = \text{false positives} /$
2 $(\text{false positives} + \text{true negatives})$. These metrics are often used to compare binary classifiers
3 (Fawcett, 2006). In this case, the true positives are pixels where a landslide occurred on the
4 same date that the model issued a nowcast, the false negatives are pixels where a landslide
5 occurred on the same date that the model failed to identify the potential for slope failure, the
6 false positives are pixels where a landslide did not occur on the same date that the model
7 identified the potential for slope failure, and the true negatives are pixels where the model did
8 not identify the potential for slope failure and no landslide was reported. The confusion
9 matrix was calculated for each day in the study period, ~~and~~ then results were summed before
10 calculating the FPR and TPR. This process was repeated each time the model ran during
11 calibration. For the 2007-2013 dataset, the TPR varied more rapidly with threshold changes
12 than the FPR, so changes in r_j largely reflected changes in the model's ability to predict the
13 occurrence of a relatively small number of landslides (Figure 8). To quantify how the
14 predictions respond to different levels of accuracy of in the GLC, we varied the spatial area
15 from 0 to 25+ km around each of the landslide points as well as and varied the temporal
16 window considered around each landslide reported date/time by 1, 3 and 7 days. This
17 provided a way to better quantify calculate the probability of detection more realistically since
18 the uncertainty in both the location and the date of the validation landslides was variable.~~The~~
19 ~~predictions were also evaluated across a variety of modest spatial and temporal windows.~~
20 After calibration, the same metrics were calculated for an independent 2014 dataset.
21 ~~Thresholds ranging from XXX—XXX were tested and results with r_j statistics and FPR~~
22 ~~values are shown in Table 3.~~

23

24 **4 Results**

25 The LHASA model was evaluated over Central America and Hispaniola for 2007-2013 and
26 then compared with an independent validation dataset for 2014. The best performance, an r_j of
27 0.38, was observed with an antecedent rainfall threshold of the 50th percentile and current
28 daily rainfall thresholds of the 50th and 90th percentiles. The high hazard threshold (95th
29 percentile) was not calibrated with the available landslide data. The model results are
30 summarized in Table 2 and Table 3. The TPR varied depending on the spatiotemporal
31 window considered for identifying the landslide. As introduced above, we accounted for the
32 uncertainty in the reported date and location of the landslide by applying spatial and temporal

1 buffers around the reported latitude and longitude and date of the event. We considered TPR
2 results for 1, 3, and 7-day windows surrounding the date of the landslide and 0, 1 and 5 km
3 buffers, as well as ~~another~~ buffer ~~field that directly applies~~ equal to the qualitative location
4 accuracy value reported for the event (ranging from a 0 to 25 km radius surrounding the
5 landslide location). For each of these ~~buffered~~ windows, if a nowcast were issued anywhere
6 within the ~~buffer area considered~~, we considered it to be a successful prediction. The same
7 approach was taken for both moderate and high hazard categories.

8 **4.1 Central America (2007-2013)**

9 Results for all regions and time spans considered indicate that generally as the buffer temporal
10 and spatial window increase, the TPR increases as well. This result is not surprising as it
11 provides more opportunities for a nowcast to be successful. The temporal window (or spatial
12 buffer) has more variability in the TPR values at the most conservative tolerances assigned,
13 ranging from 64% to 83% for the temporal windows and from 64% to 81% for the spatial
14 windows over Central America. For the high hazard nowcasts, results are considerably lower,
15 with only a TPR of 26 to 40% based on the spatial buffers and 26 to 47% TPR when a 1, 3
16 and 7-day window was considered. The FPR for Central America was 11% for the moderate
17 hazard nowcasts and 1% for the high hazard nowcasts. Table 2 also shows the percentage of
18 landslides that fall above the threshold ($SI \geq 2$) specified in the decision tree structure
19 according to the spatial buffer considered (maximum susceptibility value was considered
20 within each buffered area). For Central America, 12 (10%) landslides are located within the
21 lowest hazard zone ~~of the susceptible pixels~~. This may be due to the map's 1-km resolution,
22 where generally "safe" pixels may contain small areas that are prone to landslides, or due to
23 the location of the reported landslide (*e.g.*, the landslide was reported in the ~~landslide~~-runout
24 zone where slopes are very gradual vs. higher up on the slope where ~~the~~ initiation likely
25 occurred). ~~Implications for this spatiotemporal buffering are presented below.~~

26 Even with the largest spatiotemporal buffers applied to the reports, not every landslide was
27 predicted. For example, one group of landslides was reported in western Costa Rica along the
28 highway between Balsa de Atenas and Orotina (Figure 89). This report represents at least 5
29 different rockfalls that occurred over this section of the road, denoted in the figure as a single
30 point. As the specific location was unknown, a spatial accuracy of 10 kilometres was
31 assigned. The date of the event was reported as April 14th, 2010, but the exact time is
32 unknown. The model issued a moderate hazard nowcast for the area east of the reported

1 landslide on both the 14th and 15th of April, 2010, but not to the west where the rockfalls
2 | occurred (Figure 8a9a). Because the exact timing of these events was unknown, there was a
3 possible temporal error of 1 day for this event. A second event occurred in the same area on
4 May 22nd and a high hazard nowcast was issued in the area of the reported rockfall event
5 | (Figure 8b9b). Figure 7 plots the rainfall and antecedent rainfall for the same area shown in
6 | Figure 89, where four landslides occurred in 2010: April 14th, May 22nd, July 30th, and
7 November 5th. As described above, a nowcast was not issued for the April 14th event because
8 it occurred before antecedent rainfall exceeded the 50th percentile, despite the daily rainfall
9 | exceeding the 50th percentile (Figure 8a9a). This specific incident might also be attributed to
10 slope destabilization associated with recent highway construction because relatively little rain
11 was required to move these steep slopes out of equilibrium. The May 22nd event generated a
12 | high hazard nowcast (Figure 8b9b). Rainfall totals for July 30th were not substantial enough
13 to trigger a nowcast. Lastly, a moderate landslide hazard nowcast was issued for the
14 November 5th event, which occurred the day after a very intense precipitation event.

15 **4.2 Hispaniola (2007-2013)**

16 Because of the limited number of data points in Hispaniola, no calibration was performed in
17 this study area. Instead, the thresholds calibrated from the Central American catalog were
18 applied to the rainfall distributions over these islands. A FPR of 9% was observed for the
19 moderate hazard threshold and 1% for the high hazard threshold. The TPR for the exact
20 location and date of the reported landslide was 21% (i.e. the model predicted 5 of the 24
21 landslide events). The high hazard model performed poorly, with a TPR of 17-21% for the
22 spatial buffers on the day of the landslide. The highest TPR value at the most liberal spatial
23 and temporal window was 54%. This rate is largely due to the fact that half of the landslide
24 events are recorded in locations not considered to be susceptible to landslides, as shown in the
25 far right column of Table 2. The low susceptibility values corresponding to the locations of
26 the reported events may be due to spatial errors in the GLC, since only 13 of the 24 reports
27 were assigned an accuracy better than 5 kilometres. As the buffer size increases, the TPR
28 significantly improves. In addition, 11 of the 24 landslides reportedly occurred on days
29 without any rainfall. It is likely that some degree of temporal error in the catalog explains this
30 | **issuefact**. The limited data inventory for Hispaniola may also affect the susceptibility map
31 calculations over this area.

4.3 Global Landslide Catalog (2014)

The landslides recorded in the GLC during 2014 make up an independent dataset with which to evaluate the performance of the thresholds developed for the previous years. The 50 points in the GLC were separated by study area. The TPR for the Central American study area for the exact location and date was 58%, while the FPR was 9% for the moderate hazard nowcasts. By contrast, the TPR for the 7 landslides in the Hispaniola study area was only 43%. If the spatial and temporal tolerance is increased, the TPR rates-ranges from 63-91% for Central America and 57-86% for Hispaniola. Overall, these results are similar to the results for the period 2007-2013. This suggests that the model is not overfitted to a single dataset. On the other hand, the fact that the model does not perform as well in Hispaniola over both time periods suggests that the use of percentile thresholds may be limited to the geographic regions for which they were developed.

The high hazard nowcasts had a FPR of 1% and consistently gave a TPR of 43% for the 1 and 3-day windows irrespective of the buffer considered, while the 7-day window produced a TPR of 71%. The TPR values were consistent across all spatial buffers because this very small dataset (7 events) did not happen to contain any events in which a storm occurred near but not at the reported location of landslide. Three of the reported events had rainfall that exceeded the 95th percentile, while 2 of the events occurred on fairly dry days.

The use of validation data with substantial spatial and temporal errors makes the evaluation of model performance difficult. One approach to this issue is to find times and locations near recorded points that may be closer to the true location of the landslides. Considering model outputs within 1 day and 1 kilometre (less than the spatial uncertainty of most reports) of reported landslides raised the TPR of the Central American catalog to 79% and the TPR of the Hispaniola catalog to 71%. The performance of the model against a perfectly complete and precise landslide catalog is, unfortunately, unknown. However, when the cluster of 14 landslides with exact locations in Nicaragua was compared to the model output for June 23rd, 2014, only 1 landslide was not predicted (Figure 910). This cluster only represents a single event, but supports an optimistic interpretation of the results for the larger catalogs. Another potential approach could consider dividing the study area into geomorphologically similar regions and re-calibrating the rainfall and ARI thresholds at sub-regional scales, allowing the rainfall thresholds and even susceptibility bins to be adjusted. However, this approach requires a robust landslide inventory data-for calibration-and-would-need-to-assume-that-all

1 ~~areas had consistent and sufficient data points.~~ We may consider this approach should new
2 ~~datasets become available or we apply this model over a different study area.~~

4 5 Discussion

5 ~~The objectives of this LHASA system are~~is to estimate potential landslide activity over a
6 ~~very broad area in near real-time using input data that both~~ has very few points (relative to the
7 ~~area considered) as well as variable accuracy. These ambitious~~ challenges ~~difficulties~~
8 ~~require~~ restrict the usage of this model to ~~be approached in~~ the appropriate context: a
9 ~~situational awareness tool for further investigation of~~ that flags potentially affected areas for
10 ~~further investigation rather than a direct tool for issuing warnings or declaring impacts.~~
11 ~~Results of the evaluation suggest that when the finest spatial buffers and temporal windows~~
12 ~~are considered for the 2014 validation dataset, the true positive rate for the moderate hazard~~
13 ~~model is between 43 and 58% for the Hispaniola and Central America datasets respectively~~
14 ~~(Table 2). However, as the search criteria are expanded, even slightly, results are more~~
15 ~~promising. The high hazard model continues to have poorer performance~~ has a relatively low
16 ~~probability of predicting landslides, which most likely results from the few nowcasts made as~~
17 ~~well as the~~ due to the fact that ~~very limited number of~~ many landslide reports in the GLC
18 ~~that~~ are not recorded on the same day as ~~coincide with these~~ extreme rainfall ~~periods~~ events.
19 ~~Given the limitations of the data available for evaluating this system as well as for calibration~~
20 ~~of its components, we feel that results of the LHASA model are encouraging for~~
21 ~~servicing~~ nevertheless encourage its use as a regional situational awareness tool for potential
22 ~~landslide activity.~~

23 The LHASA model is currently implemented in a multi-hazard website servicing Central
24 America and Hispaniola. While the model is currently parameterized for this region, it could
25 be adapted to serve other landslide-prone locations. This flexible binary decision tree
26 framework enables different forcing variables (precipitation, ~~soil moisture~~ antecedent
27 ~~precipitation~~) and susceptibility variables to be considered dynamically. The process of
28 transferring the model to another location requires a susceptibility map and an event-based
29 landslide catalog such as the GLC. The simplicity of the model, combined with the fact that
30 rainfall data are made available without cost by NASA for every location between 50 degrees
31 north to 50 degrees south latitude, means that it should be possible for web developers,
32 students, and other programmers to implement the LHASA system rapidly.

1 ~~There are several limitations in this methodology owing to the landslide catalog available for~~
2 ~~both calibrating and validating the LHASA system. A future step in this work could~~
3 ~~consider~~ include calibrating the LHASA model in an area with an extensive ~~, extended and~~
4 ~~accurate landslide inventory to fully assess the performance of this system. However, t~~ To our
5 ~~knowledge, no landslide inventories of this type exist over the current study region. and~~
6 ~~therefore~~ Therefore, ~~we~~ it would ~~need~~ be necessary to parameterize the model over a new
7 ~~domain. This is outside the scope of the existing work but may be feasible as we continue to~~
8 ~~test this system in other regions.~~

9 The thresholds chosen by calibration in this study represent a compromise between
10 identifying landslide hazard and limiting the number of days on which an alert is issued. As a
11 result, a large number of days are identified as having moderate hazard across the study area,
12 especially during the rainy season. The frequency of ~~high~~-hazard nowcasts is ~~reduced when~~
13 ~~considering the high hazard category using the 95th percentile of the 13-year TRMM~~
14 ~~record~~ significantly lower. ~~—~~ Ultimately, optimal thresholds could be determined from
15 information on the relative cost to model users of false positives and false negatives.
16 Gathering this economic data is beyond the scope of this study, as it would require extensive
17 consultation with current and potential users of the system.

18 This model relies on TRMM's TMPA-RT data inputs but GPM's IMERG data will be
19 incorporated into this system in the near future, which will extend the latitudinal boundaries
20 of the precipitation information to 65 degrees N-S and increase the spatiotemporal resolution
21 to ~~30-30~~-minute sampling at a ~~0.4-1~~-degree spatial resolution. If rain gauge or forecasted
22 rainfall data is available for a region, this may also be applied to create a more accurate real-
23 time hazard assessment system. The LHASA regional system is currently run on the Heroku
24 Cloud Application Platform (Heroku, 2015) with limited computational resources required for
25 generating regional, daily nowcast products. The real-time IMERG product was made
26 available in March, 2015 while TMPA-RT continues to provide data. Once the IMERG
27 algorithm has been running routinely for a period of time, the entire TRMM archive will be
28 reprocessed to encompass one consistent precipitation dataset for both TRMM and GPM era.
29 We will begin testing the application of IMERG in the near future but will only be able to
30 recompute the percentiles in the analysis and fully recalibrate the model once the reprocessing
31 takes place (tentatively scheduled for 2017). Additional testing is required to determine the
32 effectiveness of satellite products from the Soil Moisture Active Passive (SMAP;

1 <http://nasa.gov/smap>) or modelled soil moisture products within this area of complex terrain
2 and dense tropical vegetation. This is a topic of future study. It may be possible to determine
3 the relationship between the water content of surficial soils and deeper soils (Swenson et al.,
4 2008), resulting in an estimate of pore pressure at critical depths below the ground surface. A
5 different approach would be to separate the geologic and topographic properties currently
6 embodied in the susceptibility map, then use them directly in the decision tree structure.
7 Finally, other triggering variables such as seismicity ~~or temperature~~ may also be considered in
8 a future version of this model by adding another branch to the decision tree. In some regions,
9 temperature has been shown to drive landslide triggering during freeze/thaw episodes or
10 spring snowmelt (do Amaral Vargas Jr. et al., 2013; Chleborad, 1997; Li et al., 2013; Tatar
11 et al., 2010); however, in the Central America region, this triggering variable is less relevant
12 given the predominant tropical or subtropical temperatures. —The inclusion of other
13 susceptibility or triggering variables within the LHASA framework is both feasible and fairly
14 straightforward to implement.

15 Fundamentally, the model calibration for both the susceptibility map and rainfall thresholds
16 can be significantly improved with a more robust, event-based landslide archive. The GLC
17 used here provides a global source for investigating rainfall-triggered landslides. However,
18 due to topographic, linguistic and other reporting biases, there remain large gaps in the
19 landslide inventory for many areas. An additional capability of the prototype regional natural
20 hazard website is the ability to access, share, edit and accept volunteered geographic
21 information on landslide events in multiple languages ~~on landslide events~~. Future dynamic
22 landslide models are likely to benefit from the improved accuracy and completeness of event-
23 based landslide catalogs compiled and edited through citizen science efforts by large numbers
24 of local end users.

25

26 **6 Conclusion**

27 The LHASA model provides a simple, flexible framework that can be easily calibrated and
28 transferred to other regions. This model is meant to provide a regional near real-time
29 perspective of moderate to high landslide hazard potential and currently lacks the spatial
30 resolution and accuracy to be considered over smaller (e.g. city, municipality) scales. The
31 ultimate goal of this paper is to present the LHASA model framework with a set of calibrated
32 thresholds for Central America and the Caribbean Region. With improved landslide inventory

1 information we feel that the model calibration could be significantly improved. The
2 availability of satellite-based rainfall, susceptibility products and the GLC provide the
3 opportunity to expand this analysis to other susceptible regions. With TRMM and GPM data
4 it is possible to produce rainfall thresholds that incorporate the unique climate of each site,
5 even in locations where no rain gauges exist. Susceptibility maps can benefit from the many
6 landslide catalogs that lack date or time attributes. Thus, dynamic models that incorporate
7 susceptibility maps derived from these long-term catalogs may estimate long-term hazard in
8 ways that models derived solely from recent event-based catalogs cannot.

9 Given the regional scope of this system, the LHASA model correctly identifies the potential
10 for a majority of the landslide events recorded in the GLC. Although a large number of days
11 without recorded landslides were identified as moderately hazardous, many of these data
12 points may have had slope failures that went unrecorded due to lack of observations,
13 economic impact or other factors. This dynamic landslide model made use of the best
14 available real-time rainfall data. However, future inclusion of GPM's IMERG will enable
15 landslide modelling at finer spatial and temporal resolutions. In addition, improved soil
16 moisture estimates from SMAP may help to better quantify the ground conditions prior to
17 extreme rainfall events. Precipitation forecast data may also be considered within this
18 framework to provide landslide forecasts, rather than near-real-time nowcasts. This is an area
19 of active research. While more sophisticated landslide hazard assessment and prediction
20 models are feasible when considering smaller spatial scales and more landslide information,
21 the LHASA model serves as a template for rapid adaptation of remote sensing datasets for
22 dynamic situational awareness of landslide hazards at the regional scale.

23

24

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3

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- 26

1 Table 1. Data sources used in the LHASA model.

Data Type	Data Set	Resolution	Extent	Source and Details
Slope	70 th quantile <u>percentile</u> slope, USGS	30 arc-seconds (~1 km)	65 degrees N-S	(Verdin et al., 2007) derived from 3-arc-second SRTM DEM
Soils	Harmonized World Soil Database	30 arc-seconds, nominal scale (1:5,000,000)	Global	(FAO/IIASA/ISRIC/ISSCA S/JRC, 2012)
Roads	Global Roads Open Access Data Set, Version 1	Multiple sources (accuracy ranges from 30m to 1265m)	Global	((CIESIN and ITOS Center for International Earth Science Information Network (CIESIN)/Columbia University and Georgia, 2013)CIESIN and ITOS, 2013)
Fault zones	Map Showing Geology, Oil and Gas Fields, and Geologic Provinces of the Caribbean Region	1:2,500,000	Central America and Caribbean	(French and Schenk, 2004)
Rainfall	TRMM Multi- satellite Precipitation Analysis Version 7, Real-Time	0.25 degree, 3- hourly resolution	50 degrees N-S	http://pmm.nasa.gov
Landslide Inventory	GLC, 322 points in region	Accuracy is defined on a point-by-point basis	Global	(Kirschbaum et al., 2010)
	MARN, 297 points	Various mapping scales and survey types	El Salvador	(Gerencia de Geología, 2012)

2

3

1 Table 2. True Positive Rate considered over 0, 1, 5 km buffers and a variable buffer based on
2 the reported location accuracy. Temporal windows of 1, 3 and 7-days were also evaluated.
3 Results are shown in percentages, with high hazard TPR percentages shown in parentheses.
4 The susceptible pixels column (far right) shows the percentage of reported landslides that
5 have a Susceptibility Index of 2 or greater within the spatial buffer considered, indicating the
6 maximum TPR that could be generated based on the rainfall and antecedent thresholds of the
7 model.

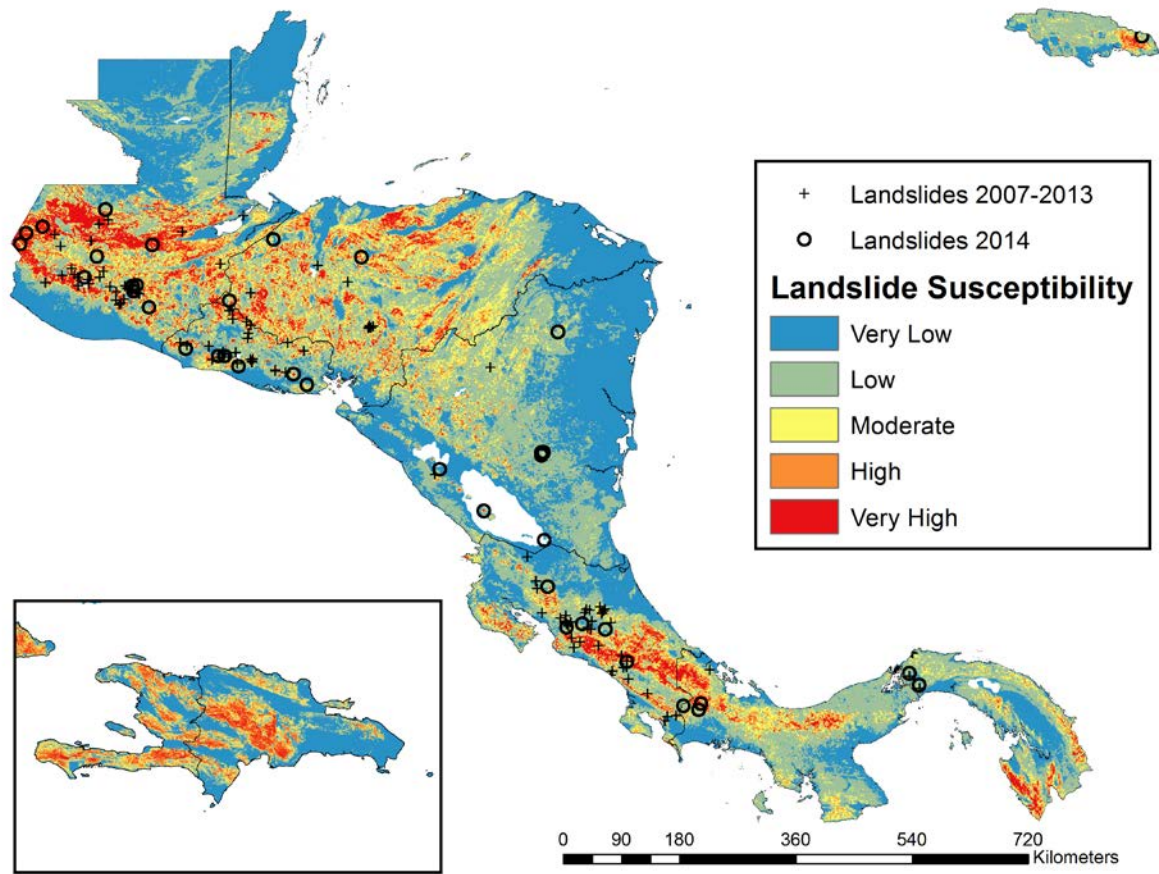
	Spatial Buffer Distance	1-Day Window	3-Day Window	7-Day Window	Susceptible pixels
Central America 2007-2013	0 km	64 (26)	77 (37)	83 (47)	90
	1 km	67 (28)	81 (39)	87 (50)	92
	5 km	72 (34)	85 (48)	93 (59)	100
	Variable	81 (40)	89 (57)	94 (65)	100
Hispaniola 2007-2013	0 km	21 (17)	29 (21)	46 (21)	50
	1 km	33 (21)	67 (29)	67 (29)	75
	5 km	46 (21)	71 (46)	88 (50)	100
	Variable	54 (21)	71 (50)	88 (54)	96
Central America 2014	0 km	58 (12)	74 (33)	79 (47)	86
	1 km	63 (12)	79 (35)	84 (49)	93
	5 km	72 (14)	86 (44)	91 (56)	100
	Variable	67 (12)	84 (37)	91 (51)	91
Hispaniola 2014	0 km	43 (43)	57 (43)	71 (71)	86
	1 km	57 (43)	71 (43)	86 (71)	100
	5 km	71 (43)	86 (43)	86 (71)	100
	Variable	71 (43)	86 (43)	86 (71)	100

8
9

1 Table 3. False Positive Rate considered over 0, 1, 5 km buffers and a variable buffer based on
2 the reported location accuracy and Distance to Perfect Classification (r_j) for both high-hazard
3 and moderate-hazard nowcasts. Temporal windows of 1, 3 and 7 days were also evaluated.
4 The moderate-hazard model was calibrated for the period 2007-2013 in Central America, so it
5 is not surprising that this location has the lowest r_j value. Because the high-hazard nowcast is
6 a heuristic intended to identify only the most dangerous extreme conditions while minimizing
7 false alarms, r_j values for this model are expected to be large. Results are shown in
8 percentages, with high hazard FPR percentages shown in parentheses. The susceptible pixels
9 column (far right) shows the percentage of reported landslides that have a Susceptibility Index
10 of 2 or greater within the spatial buffer considered, indicating the maximum FPR that could
11 be generated based on the rainfall and antecedent thresholds of the model.:

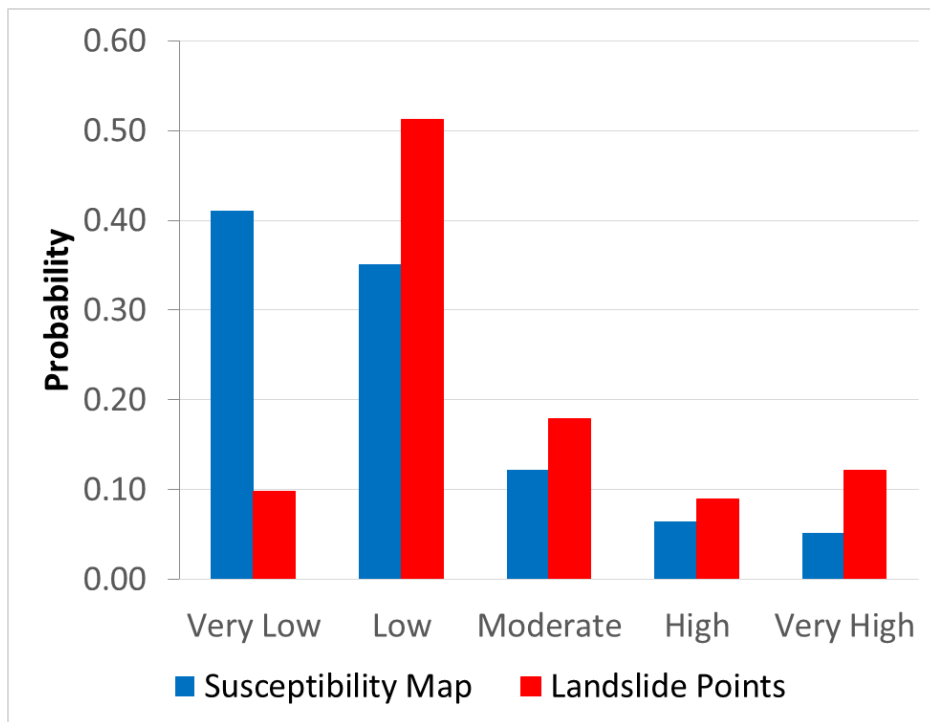
	Hazard Level	FPR	r_j
Central America 2007-2013	Moderate	11%	0.38
	High	1%	0.74
Hispaniola 2007-2013	Moderate	9%	0.80
	High	1%	0.83
Central America 2014	Moderate	9%	0.43
	High	1%	0.88
Hispaniola 2014	Moderate	7%	0.57
	High	1%	0.57

12



1
 2 Figure 1. Regional landslide susceptibility map created using a fuzzy overlay methodology
 3 from global slope, soil, and road databases, as well as a regional map of faults at a resolution
 4 of 30 arc-seconds (approximately 1-kilometre) resolution—(Kirschbaum et al.,
 5 2015a). Landslides recorded in the study areas are shown for the years 2007-2013 (+) and
 6 2014 (o). 166 landslide reports are from the Global Landslide Catalog and 24 landslides in El
 7 Salvador were selected from the MARN catalog. Large numbers of landslides are located near
 8 capital cities, implying some degree of reporting bias. Inset map shows landslide
 9 susceptibility map for Hispaniola with 31 reported landslides.

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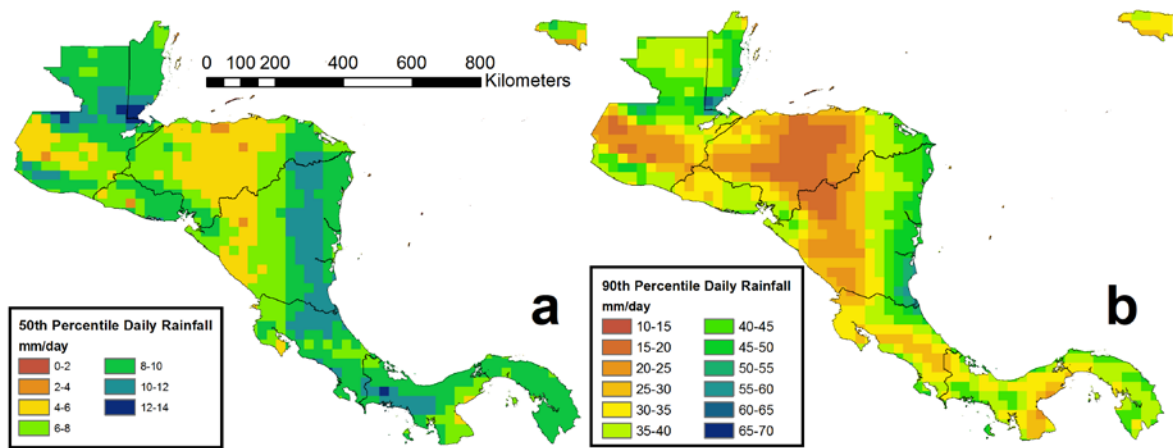


1

2 Figure 2: Probability distribution of susceptibility values at landslide locations reported from
 3 2007 to 2013 compared to landslide susceptibility values for all of Central America.
 4 Landslides occurred in all susceptibility categories, but few landslides (<10%) occurred in the
 5 lowest category. The LHASA model used a threshold of "low" susceptibility or greater ($SI \geq$
 6 2) with rainfall and antecedent rainfall thresholds within the decision tree framework (Figure
 7 6). ~~An~~ $SI \geq 2$ (low) was chosen to exclude a large portion of Central America without losing
 8 the ability to predict most landslide events.

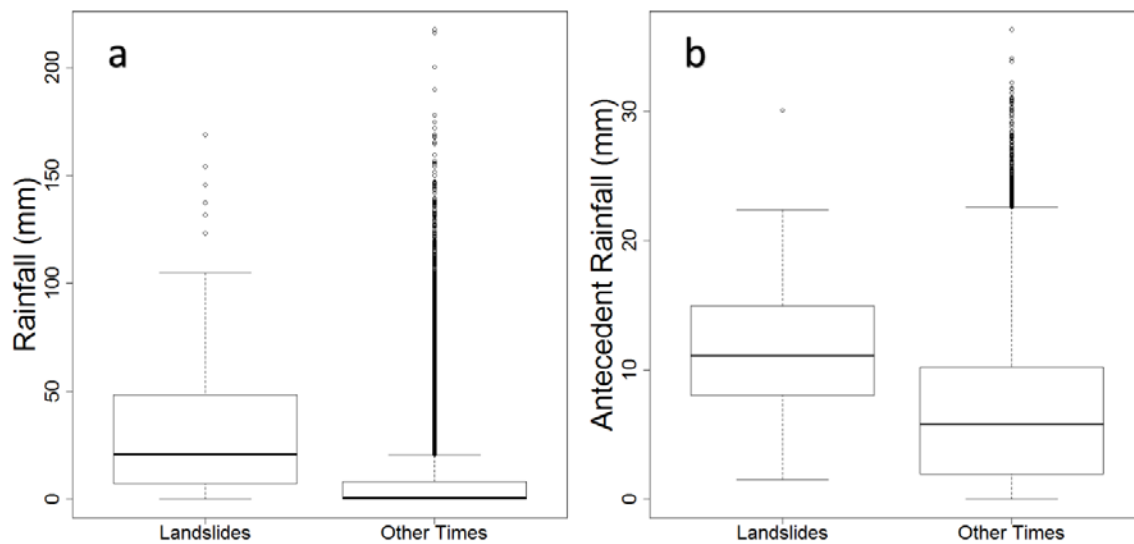
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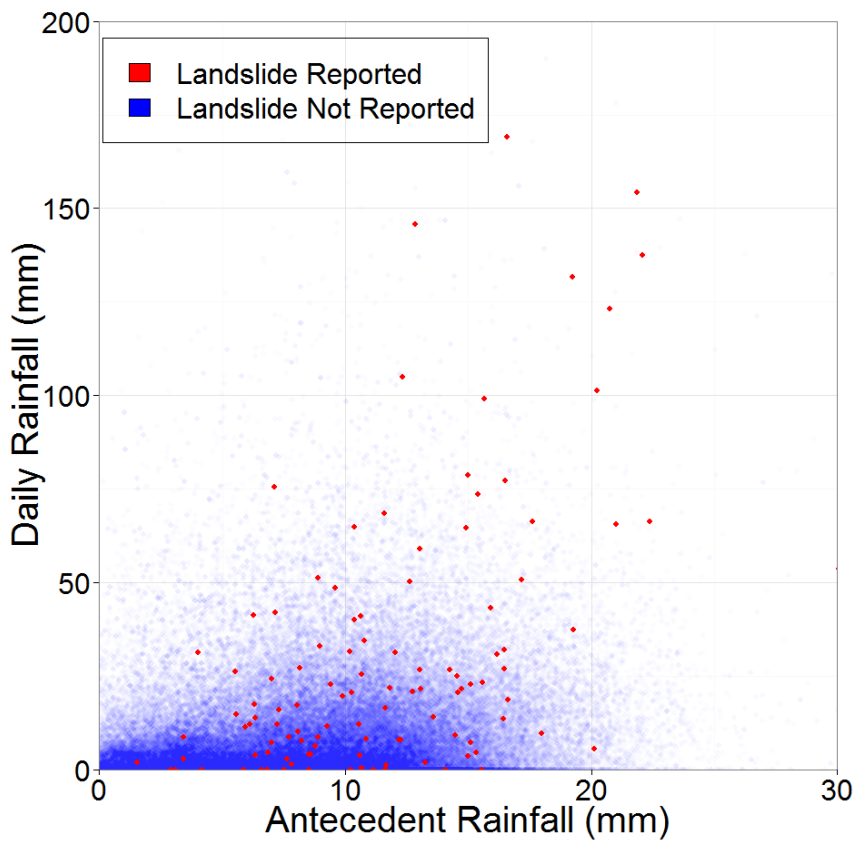


1
 2 Figure 3. Maps showing rainfall values in mm/day for a) 50th and b) 90th percentiles
 3 calculated from TMPA daily rainfall estimates covering the years 2001-2013. These two
 4 percentile maps were used within the decision tree framework to produce moderate landslide
 5 hazard nowcasts.

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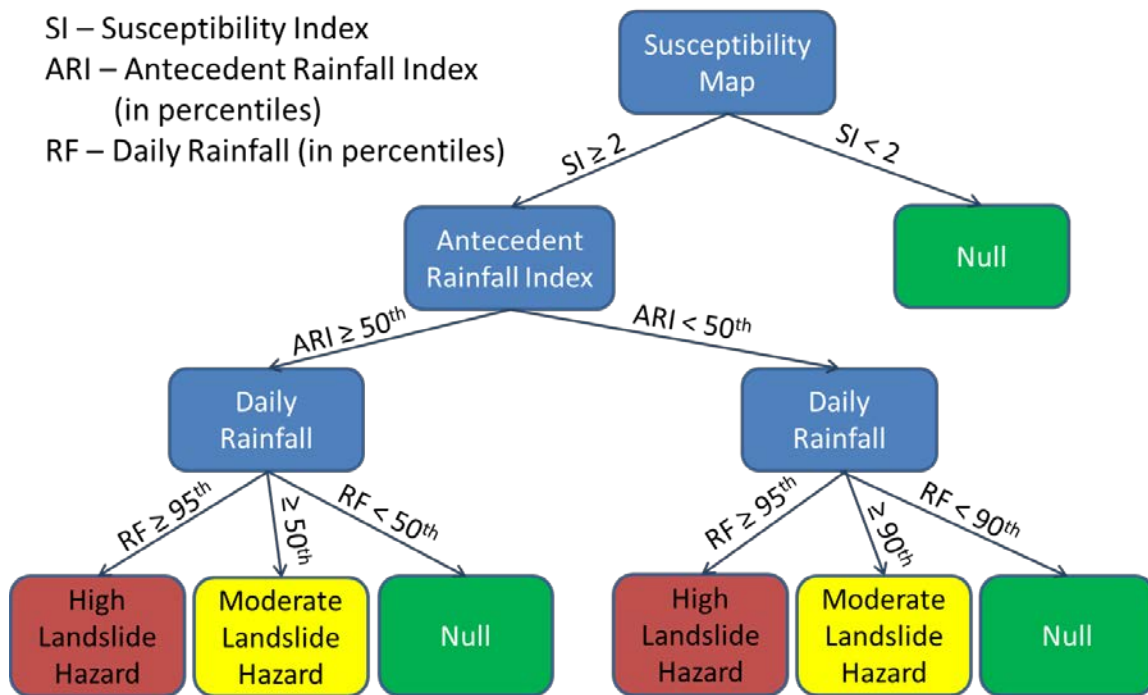


1
 2 Figure 4. Comparison of a) daily rainfall and b) antecedent rainfall distributions (in mm) for
 3 days with and without reported landslides. Results are plotted only for locations ~~the~~-where
 4 landslides were historically recorded and include 123 landslides from Central America and
 5 316,697 data points from ~~other~~-times landslides were not reported. Due to the limitations in
 6 the GLC, it is likely that unreported landslides may have occurred in the category we are
 7 classifying as "other times". The distributions substantially overlap, suggesting that daily and
 8 antecedent rainfall thresholds cannot classify the data perfectly into landslide events and non-
 9 events.



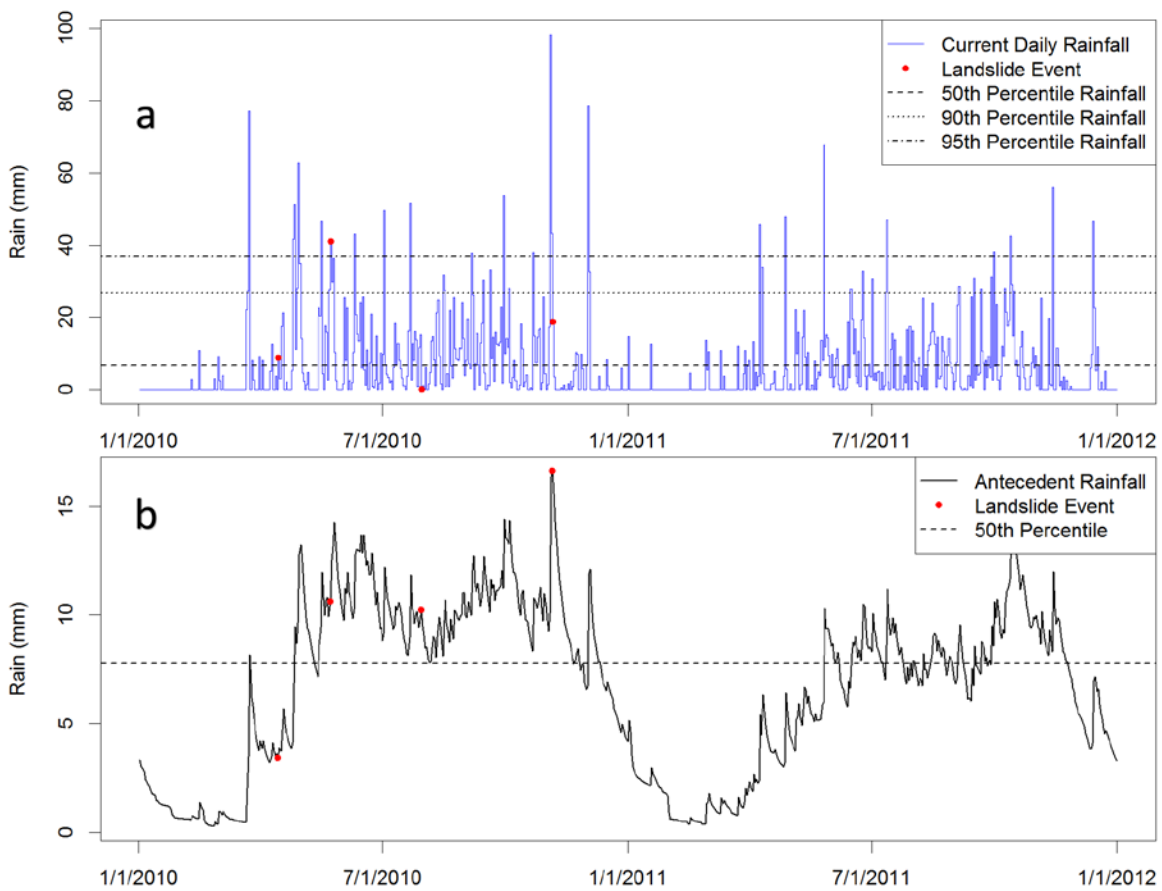
1
 2 Figure 5. Scatter plot showing the distribution of landslides (red) and dates without recorded
 3 landslides (blue) comparing antecedent rainfall and daily rainfall.

4
 5



1 Figure 6. Decision tree structure highlighting the three tiers of decisions made in this model
 2 on a pixel-by-pixel basis across the study area, which is computed daily. First, if a pixel has
 3 an $SI \geq 2$, the antecedent rainfall index (ARI) is considered using the 50th percentile value. If
 4 the $ARI \geq 50$ th percentile, a nowcast is issued if the daily rainfall exceeds the 50th percentile
 5 (moderate hazard) or 95th percentile (high hazard). If the $ARI < 50$ th percentile, a nowcast is
 6 issued if the daily rainfall exceeds the 90th percentile (moderate hazard) or 95th percentile
 7 (high hazard). In all other cases, there is no nowcast issued (Null).

8
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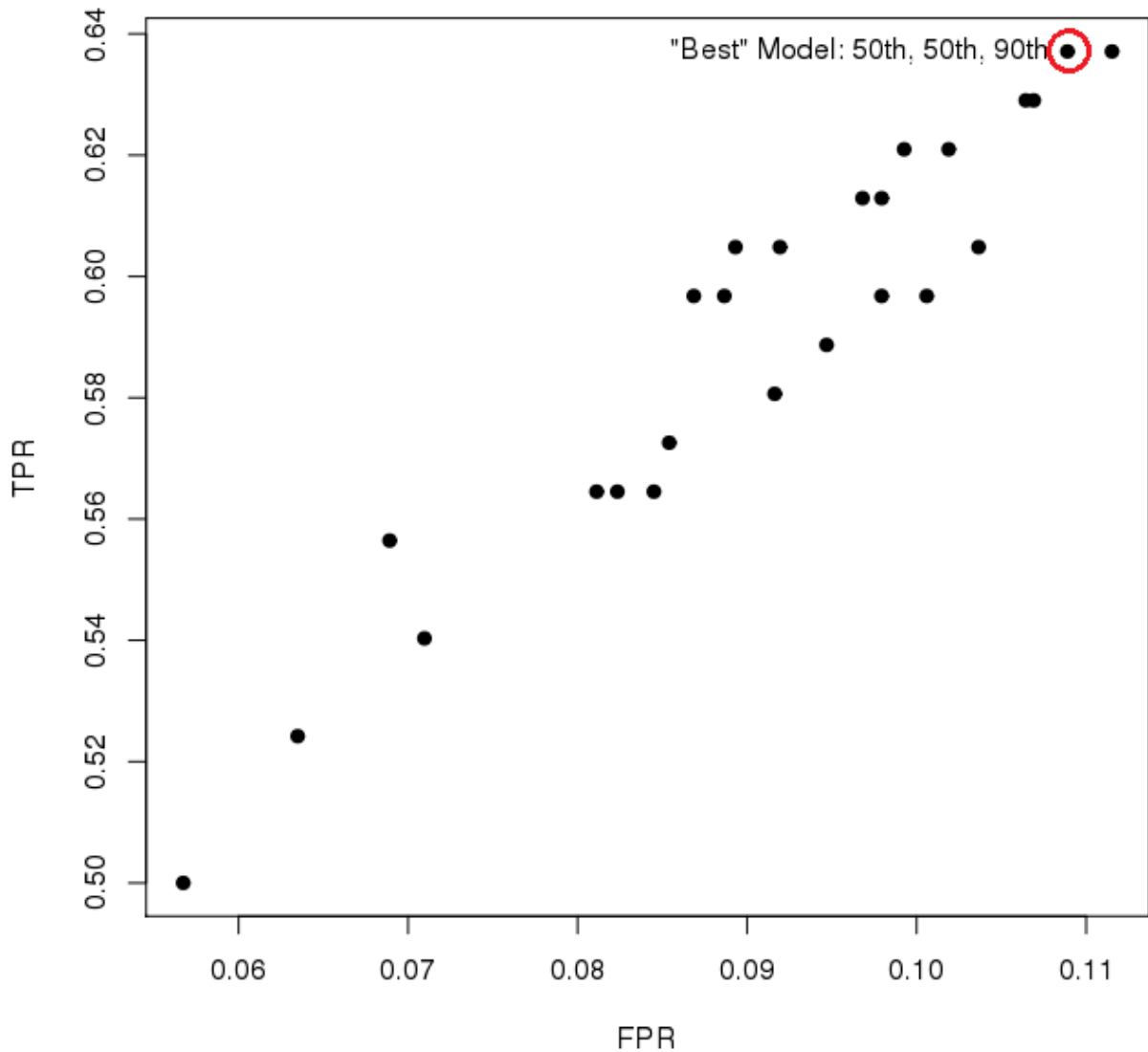


10

11 Figure 7: Example time series of a) rainfall and b) antecedent rainfall for a pixel west of San
 12 Jose, Costa Rica. The time series highlight 4 landslides that were reported in this area in 2010:
 13 April 14th, May 22nd, July 30th, and November 5th (shown as red ~~crosses~~circles). The first
 14 landslide (Figure 8a9a) occurred very early in the year, before the peak rainy season and a
 15 nowcast was not issued. The series indicates that a moderate hazard nowcast was triggered for
 16 the November 5th event and a high hazard nowcast was triggered for the May 22nd event

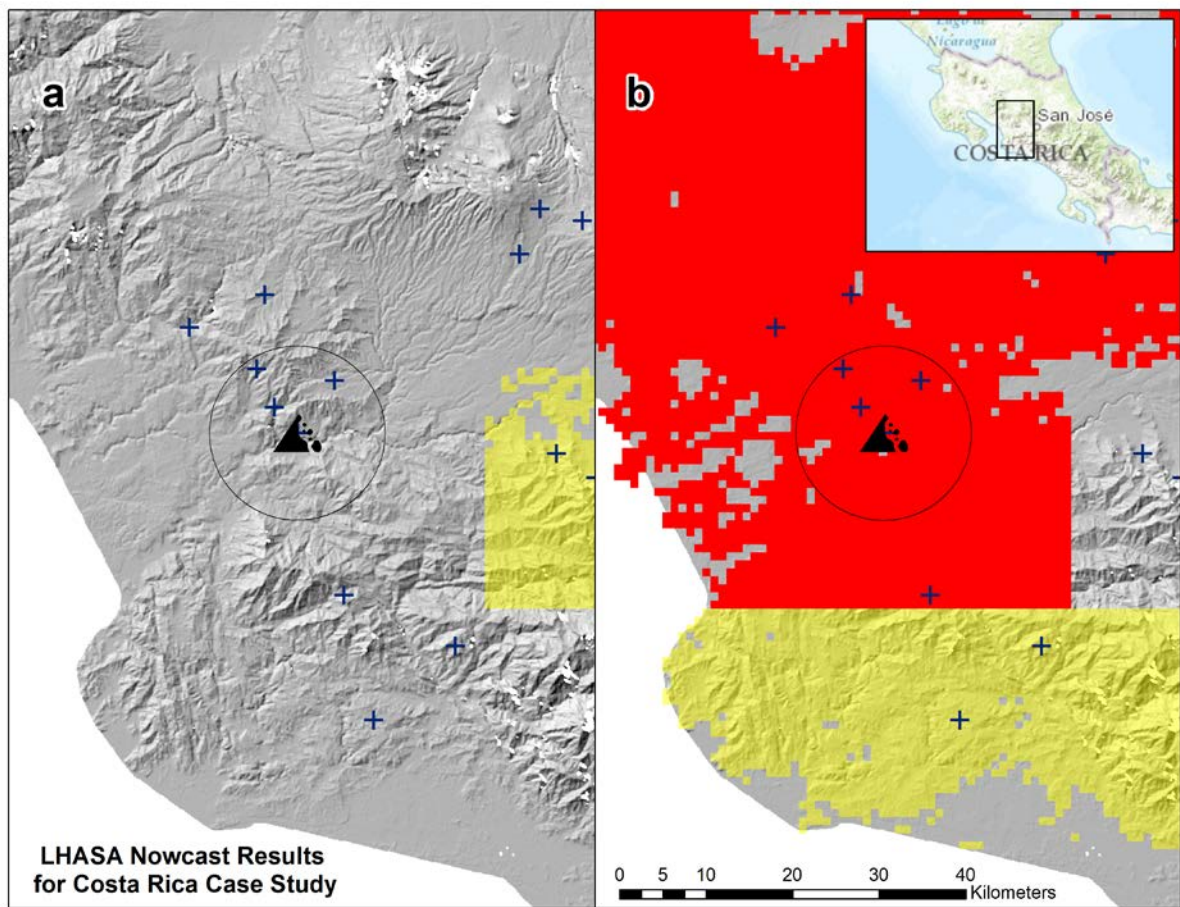
1 (Figure 8b9b). However, due to the antecedent rainfall for April 14th event and low rainfall
2 totals for the July 30th event, no nowcasts were issued.

3



4

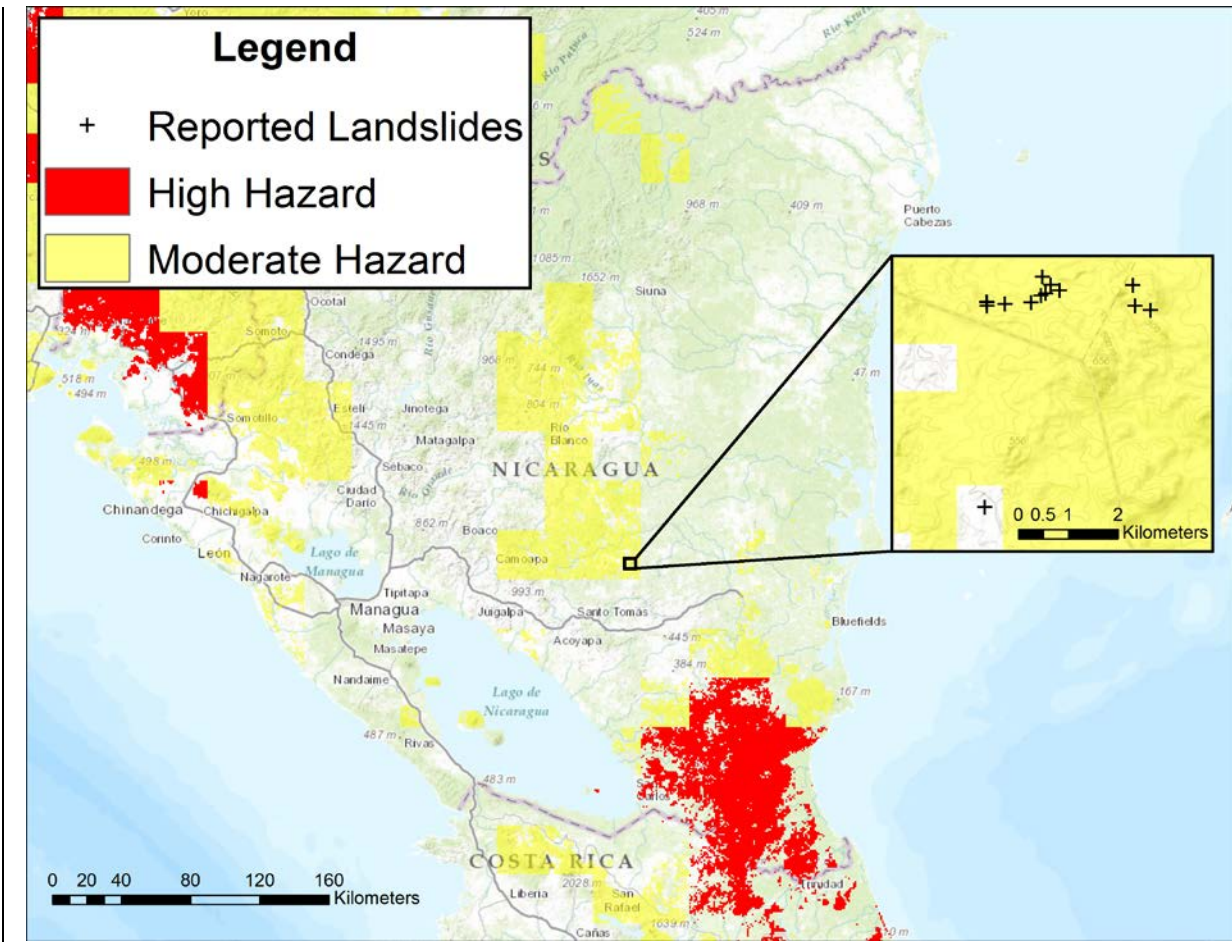
5 Figure 8. The moderate-hazard nowcast was calibrated by calculating r_j , the distance to
6 perfect classification, for a variety of rainfall and ARI thresholds that ranged from the 50th to
7 the 95th percentile values. The lowest (best) r_j value was observed for the 50th percentile
8 ARI, 50th percentile rainfall over moist-condition ground, and 90th percentile rainfall over
9 moistdry-condition ground. These thresholds are represented by the second dot from the right
10 in the red circle. Ideally, the choice of the "best" rainfall thresholds depends upon the
11 intended use of the model and the economic costs of different errors. Since these details are
12 not yet available, r_j was selected to provide a balanced and easily interpreted measure of
13 model success.



2

3 Figure 89. This shows the results of the model nowcasts for a cluster of rockfalls (denoted by
 4 a single black rockfall icon) that occurred within the same area of the highway near Caldera,
 5 Costa Rica for two dates in 2010: a) April 14th and b) May 22nd. The black circle indicates the
 6 estimated maximum spatial error of these landslide reports (10 km), suggesting that the
 7 landslides could have occurred anywhere within that area. Blue crosses indicate the locations
 8 of other landslides in the GLC from 2007-2013. The April 14th event did not generate a
 9 moderate hazard nowcast (yellow), but enough rainfall was observed to the east of the
 10 landslide location to trigger a moderate hazard nowcast. Comparatively, the May 22nd event
 11 (b) shows that many high and moderate hazard nowcasts were generated within the proximity
 12 of the reported event.

1



2

3 Figure 910: Landslide hazard potential for 6/23/2014. Black crosses indicate locations where
4 a cluster of landslides occurred near El Ayote, Nicaragua. Yellow pixels (moderate hazard)
5 and red pixels (high hazard) are shown for that day. 13 of 14 landslides were predicted by the
6 moderate hazard category. The southernmost landslide was located in a relatively flat location
7 that had been mapped as having “very low” landslide susceptibility, so it was not predicted,
8 despite daily rainfall exceeding the 50th percentile threshold.