

# 1 **Regional Trends and Controlling Factors of Fatal** 2 **Landslides in Latin America and the Caribbean**

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## 11 **Abstract**

12 A database of landslides that caused loss of life in Latin America and the Caribbean in the  
13 period from 2004 and 2013 inclusive has been compiled using established techniques. This  
14 database indicates that in the ten year period a total of 11631 people lost their lives across the  
15 region in 611 landslides. The geographical distribution of the landslides is very  
16 heterogeneous, with areas of high incidence in parts of the Caribbean (most notably Haiti),  
17 Central America, Colombia, and SE. Brazil. The number of landslides varies considerably  
18 between years; the El Niño / La Niña cycle emerges as a major factor controlling this  
19 variation, although the study period did not capture a large event. Analysis suggests that on a  
20 continental scale the mapped factors that best explain the observed distribution are  
21 topography, annual precipitation and population density. On a national basis we have  
22 compared the occurrence of fatality-inducing landslide occurrence with the production of  
23 research articles with a local author, which shows that there is a landslide research deficit in  
24 Latin America and the Caribbean. Understanding better the mechanisms, distributions causes  
25 and triggers of landslides in Latin America and the Caribbean must be an essential first step  
26 towards managing the hazard.

# 1 1 Introduction

2 Landslides are a ubiquitous hazard, [mainly](#) occurring in every high relief area of the world,  
3 and a significant source of loss of life in such terrains. Regions such as South Asia and South  
4 America are characterised by high tectonic uplift rates, which lead to steep, unstable slopes;  
5 and populations that are concentrated in deep valleys prone to catastrophic landslides. Thus,  
6 the background landslide risk is comparatively high. It is widely considered that landslide  
7 vulnerability in mountain environments is further increased in areas of dense urbanization  
8 and/or where precarious squatter settlements have developed on, or at the foot of, steep slopes  
9 in poor or developing countries (Alexander, 2005). Such is the case of large Latin American  
10 cities such as Rio de Janeiro, Caracas or Valparaiso.

11 The acquisition and analysis of historic data of casualties due to landslide events is key for the  
12 evaluation of risk, as found in regional studies (e.g. Evans, 1997; Guzzetti, 2000; Guzzetti et  
13 al., 2005; Salvati et al., 2010). On a global basis, Petley (2012a, 2012b) compiled a database  
14 of landslides that caused loss of life for the period 2004 to 2010, demonstrating that losses  
15 were considerably higher than had [been](#) previously considered. In the [latter](#) [ose](#) studies, a  
16 number of hotspots of landslide activity were identified, most notably in parts of China, S.  
17 Asia, SE. Asia, the Caribbean, C. America and S. America. However, detailed analysis of  
18 each of these areas was not undertaken.

19 A disadvantage with the original study was that most of the data acquisition was undertaken  
20 using English language textual searches. Petley (2012b) noted that this might cause an under-  
21 sampling in those areas with low penetration of English, especially for example Latin  
22 America.

23 This study seeks to provide a better understanding of the distribution of landslides that cause  
24 loss of life in the Caribbean and Latin America. In doing so, this study extends the original  
25 database by using search terms in local languages (most notably Spanish) and by including a  
26 longer time period (ten rather than seven years). Thus, it seeks to provide a better  
27 understanding of the spatial and temporal distribution of landslide losses in this area.  
28 [However, the study is performed at a continental scale for a ten year period, thus the size of](#)  
29 [the dataset is limited and the results are not conclusive for the long term.](#)

## 1 **2 Methodology**

2 Data on the occurrence of landslides that resulted in loss of life worldwide has been collated  
3 since September 2002 in the Durham Fatal Landslide Database (DFLD). The methodology  
4 through which the data is collected has been described in detail in Petley et al. (2005, 2010),  
5 and analyses of the dataset through to 2010 are presented in Petley (2012a, 2012b). The  
6 dataset has also been used for analyses of specific aspects of landslide impacts, such as the  
7 relationship with climate in South Asia (Petley et al. 2010) and the occurrence of fatality-  
8 inducing landslides associated with large dams (Petley, 2013).

9 In brief, the dataset is compiled through a combination of a daily internet search with pre-  
10 determined keywords, plus the use of the research literature; government and aid agency  
11 reports; and in some cases direct correspondence. The dataset includes all mass movements,  
12 including landslips, debris flows and rockfalls, but snow and ice avalanches, and  
13 hyperconcentrated flows, are excluded. The dataset includes anthropogenically-induced  
14 landslides.

15 The location of each landslide is identified using a range of tools, primarily the National  
16 Geospatial Intelligence Agency's Geonames Search Engine  
17 (<http://geonames.nga.mil/namesgaz>), supplemented with the use of Google Earth and similar  
18 tools. The location of each landslide is generally identified to within about 2 km; no attempt  
19 is made to more precisely locate them as this would be an extremely challenging task, and  
20 would generally not be possible from the available information. For about 10% of landslides  
21 it is impossible to identify a ~~precise~~<sup>useful</sup> location.

22 The reliability of the dataset is described in Petley et al. (2005) and Petley (2012a). In general  
23 the dataset probably slightly underestimates the occurrence of fatality-inducing landslides for  
24 two key reasons:

25 1. The dataset inevitably fails to capture some smaller events, especially in remote  
26 mountainous areas. However, it is likely that such events represent a small proportion of the  
27 total number of fatalities;

28 2. The dataset probably fails to register all of the deaths associated with some larger  
29 landslide events, most notably those victims who succumb to injuries after being recovered  
30 from the landslide.

1 In common with other natural hazard impact datasets, the greatest errors in terms of losses are  
2 likely to occur in the largest events, when it can be difficult to determine reliably the total  
3 losses. This can be particularly pertinent in the case of very large landslides in poor countries  
4 in which the recovery of bodies is generally not practicable, and the ability to ascertain  
5 exactly who has been killed is limited.

6 In this study, an entirely separate attempt was made to compile a landslide fatality dataset for  
7 South and Central America, and the Caribbean. In this case the search used key terms in  
8 Spanish, such as “deslizamiento”, “deslave”, “flujo”, “avalancha”, “desprendimiento”,  
9 “aluvión”, among others.- The difference between the two datasets was found to be small; the  
10 Spanish-based dataset increasing slightly (by about 5%) the number of events, the great  
11 majority of which were associated with low levels of losses, in comparison with the original  
12 dataset. The analysis presented here uses the combined dataset (Table 1), termed here the  
13 Enhanced Durham Fatal Landslide Database (EDFLD).

14 We have examined the improved dataset in the context of a range of physical and social  
15 datasets as follows:-

16 • Topographic parameters such as slope gradient were obtained from the Shuttle Radar  
17 Topography Mission with 30 m resolution (SRTM30).

18 • The regional geology was obtained from the Geological Map of the World (CGMW,  
19 2010).

20 • Rainfall data was acquired from the Global Precipitation Climatology Center (GPCC)  
21 1° and 0.5° datasets (Schneider et al., 2011a, 2011b).

22 • The regional seismicity was characterized using the data from the Global Seismic  
23 Hazard Map Project (GSHAP; Giardini et al., 1999, 2003).

24 • National population and development data were obtained from the United Nations  
25 2012 World Population Prospects (United Nations, 2013) and the 2013 Human Development  
26 Report (UNDP, 2013).

27 • The country corruption factor, which have been identified with a strong positive  
28 correlation with casualties during earthquakes (Ambraseys and Bilham, 2001; Escaleras et al.  
29 2007), was obtained from Transparency International Corruption Perceptions Index  
30 (Transparency International, 2013).

1 • The spatial population density for the year 2000 mapped by the NASA Earth  
2 Observatory based on data from the Socioeconomic Data and Applications Center (SEDAC)  
3 of Columbia University (NEO, 2014). Whilst data from 2000 is now somewhat out of date, it  
4 ~~is~~ remains one of ~~most the best such~~ comprehensive datasets available.

## 6 **3 Results**

### 7 **3.1 Fatal Landslides in Latin America and the Caribbean 2004-2013**

8 The EDFLD recorded in Latin America and the Caribbean a total of 611 landslides causing  
9 11631 deaths in the ten-year period between 2004 and 2013 inclusive (Fig. 1 and Table 1).  
10 Fatal landslides were recorded in 25 countries (seven in Central America, nine in South  
11 America and seven in the Caribbean; Fig. 2 and Table 1). The year with the most fatal  
12 landslide events was 2010 (133 cases) while the lowest number was registered in 2004 (21).  
13 Other years with high landslide activity were 2005, 2008, 2009 and 2011 (Fig. 1). While the  
14 number of cases is mainly dominated by small landslides with a few casualties, the annual  
15 number of fatalities is strongly influenced by a low number of catastrophic events (Fig. 1). ~~In~~  
16 ~~fact, Surprisingly,~~ the year with the highest recorded number of deaths caused by landslides  
17 was 2004 (3865), which is the year with smallest number of fatal events. This is controlled by  
18 a landslide disaster in September 2004 triggered by Hurricane Jeanne in Haiti, causing over  
19 3000 casualties. Other years with high fatality records are 2005 (2076 deaths, over half of  
20 them from a single large event in Guatemala), 2008 (1199 fatalities, almost half of them from  
21 another hurricane-induced event in Haiti), 2010 (1277 fatalities) and 2011 (1688 records), the  
22 latter two heavily influenced by multiple rainfall-induced landslides in Brazil.

23 Nearly 90% of the recorded cases in the EDFLD were triggered by ~~heavy rainfall, from which~~  
24 ~~(Fig. 3). Most of them (74%) were induced by intense rainstorms, while~~ 15% were clearly  
25 identified as related with a hurricane or tropical storms (TS) ~~episodes (Fig. 3)~~, mainly in  
26 Central America and the Caribbean. Only 4% of the cases were induced by earthquakes, with  
27 the remainder being associated with construction, mining or volcanic activity. In terms of  
28 fatalities it is remarkable to note that the hurricane-related cases represents over 50% of the  
29 deaths (Fig. 3), and even this might be undersampled as in such events landslide deaths are  
30 often not identified as such. Nevertheless, it is important to note that in the 10 year study  
31 period there were no cases of extremely large, catastrophic landslides induced by seismicity

1 (such as the 1970 Huascaran earthquake in Peru; Evans et al., 2009), volcanism (such as the  
2 1985 Nevado del Ruiz eruption in Colombia; Pierson et al., 1990) or rainfall (such as the 1999  
3 Vargas disaster in Venezuela; Bezada, 2009). In each case these earlier events caused over  
4 15,000 deaths. We note that the study period is not associated with a very strong El Niño  
5 event, which may be significant in terms of the long term pattern of landslide incidence (see  
6 below).

7

8 The frequency distribution of the annual data as well as the whole dataset shows a strong  
9 inter-annual consistency (Fig. 4), although for events with more than a few hundred of  
10 fatalities there are no records for many years. There is a slight reduction in gradient [of the](#)  
11 [frequency curve](#) for events with small number of deaths, which has also been identified for  
12 the global database (Petley, 2012a). This is probably due to undersampling of small cases,  
13 especially from some countries where the number of records is surprisingly low or even null  
14 (for example Bolivia and Cuba, respectively). However, there is no “rollover” for the smallest  
15 landslide events in the fatality data, as is found for [some](#) landslide volume and area (Malamud  
16 et al. 2004) datasets, except in the case of a small number of the annual curves.:-

### 17 **3.2 Temporal and Spatial Distribution and Controlling Factors**

18 The annual total data shows high levels of inter-annual variability in the temporal distribution  
19 of events (Fig. 1). However, the annual patterns suggest some seasonality, which is  
20 unsurprising given that most of the cases are related to climatic conditions (Fig. 5). In terms  
21 of the number of landslide events, peaks occur early in the year and in the September-  
22 November period, with the highest peak in early October. The fatality record generally  
23 coincides with this, but the influence of single catastrophic events generates a much noisier  
24 dataset.

25 This seasonality in the number of fatal landslides has a strong correlation with precipitation  
26 patterns at a sub-continental scale, as is the case for Asia (Petley, 2010). The annual  
27 precipitation cycle differs between regions, and the landslide record tends to follow these  
28 changes (Fig. 6). While in Central America and the Caribbean the hurricane season, mainly  
29 between September and November, controls the landslide temporal distribution, in South  
30 America it is large storms in November-January and March-April that have a strong  
31 influence, especially in rainy countries such as Brazil and Colombia, and to a lesser extent in

1 the arid Andean highlands of southern Peru, Bolivia and northern Chile, where summer-early  
2 fall rain periods are the main trigger of landslides and debris flows (e.g. O’Hare and Rivas,  
3 2005; Carreño et al., 2006; Sepúlveda et al. 2014). The clear positive correlation between the  
4 number of fatal landslides per month and monthly precipitation can be also compared for each  
5 region (Fig. 6d), showing that the number of events is higher in Central America for moderate  
6 to low precipitation, while for the largest rainfall amounts tend to produce more cases in  
7 South America.

8 The countries with the highest number of fatal landslides in the studied period are (in  
9 decreasing order) Brazil, Colombia, Mexico, Guatemala, Peru and Haiti. The same six  
10 countries record the largest amount of fatalities, in this case led by Haiti (Table 1). The  
11 seasonal variations discussed above are mainly controlled by landslide activity in these  
12 countries.

13 The spatial distribution of landslides causing death may be controlled by both natural and  
14 human factors, and may vary strongly even within a country. We have undertaken a first  
15 order, coarse-scale analysis of the relationship between a series of natural and social  
16 conditioning factors and the landslides in the EDFLD. For this first-order analysis, we use  
17 slope gradient to account for relief and regional lithology to illustrate the natural controlling  
18 factors (Fig. 7). As expected, landslides tend to occur in high gradient areas such as the  
19 Andean range in South America and hilly zones in Central America and the Caribbean.  
20 However, some gaps can be observed, for example in the eastern slope of the Altiplano  
21 plateau in Bolivia and northern Argentina, and in northern Mexico, illustrating that these  
22 topographic factors cannot solely explain the landslide distribution. The regional lithology  
23 factor (Fig. 7) is even less clear, although it can be observed that most landslides occur in  
24 regions dominated by igneous and metamorphic rocks, which tend to coincide with higher  
25 slopes. ~~However, as the local geology is likely to be a key fact determining the occurrence of~~  
26 ~~landslides, it is not possible to analyze much further at this scale. However, at a local scale,~~  
27 ~~the geology is likely to be a key factor determining the occurrence of landslides. Because of~~  
28 ~~the coarse scale of our study and of the data used here, no further analysis was undertaken.~~

29 As commented before, most of the landslides of the database were triggered by heavy rainfall,  
30 and to a lesser extent by earthquakes. Fig. 8 shows the fatal landslide distribution in  
31 comparison with regional seismicity, represented by the GSHAP seismic hazard map by  
32 Giardini et al. (1999, 2003) and mean precipitation in the studied period (GPCC, Schneider et

1 al. 2011a). Given the tectonic setting, the Andean range in western South America as well as  
2 Central America and the Caribbean islands are seismically very active, showing a good  
3 coincidence with landslide locations. However, given that <5% of the landslides were induced  
4 by earthquakes, this pattern probably relates to the role of tectonics in mountain building and  
5 the generation of strong relief that is prone to landslides. However, tectonics are not dominant  
6 – Brazil for example is a seismically-passive area with many landslides in the study period,  
7 especially along the hills close to the Atlantic shoreline (Fig. 8). This shows that the role of  
8 precipitation is key, showing strong correlations with areas of higher landslide activity within  
9 countries such as Colombia, Mexico and Brazil. The apparent lack of fatal landslide records  
10 in the Andean range of Bolivia, northern Chile and Argentina is likely to be associated with  
11 the low rainfall totals in these areas.

12 As the dataset is focused on fatalities, social factors must also influence the spatial  
13 distribution of fatal landslides. Areas where natural conditioning and triggering factors are  
14 favourable for landsliding, but which have only small populations, would not be likely to  
15 generate many fatal events. At the country level there is a strong correlation between numbers  
16 of fatal landslides and the national population, and an even stronger correlation with  
17 population density (Fig. 9). The more densely populated areas in hilly terrain, such as in  
18 central Colombia, SE- Brazil and some Caribbean islands, generate more fatal events,  
19 illustrating that higher exposure and vulnerability increase the chances of fatal landslide  
20 occurrence. At a national scale, population density (Table 2) has a strong positive correlation  
21 with landslide density (Fig. 9).

22 As discussed by Alexander (2005), the location of dense populations in precarious, informal  
23 or poor urban settlements in less developed countries is a critical factor in determining high  
24 numbers of fatalities in landslide events. An analysis of settlement type, based on the EDFLD  
25 data, indicates that -while only 41% of the fatal landslide events were recognized in poor or  
26 informal settlements, 81% of the fatalities occurred in such -locations. We have also examined  
27 the relationship [of total fatalities per country during the studied period](#) with other socio-  
28 economic factors ([Appendix 1](#)), such as Gross National Income and the Human Development  
29 Index (UNDP, 2013). A weak increasing trend of fatalities induced by landslides can be  
30 observed for less developed countries, but the scatter is much higher than for population  
31 density. A similar [tendency result](#) is obtained when the number of fatalities is compared with  
32 an indication of the level of corruption in each country using the Country Corruption



1 Perceptions Index (Transparency International, 2013). Once again this shows a positive trend  
2 (i.e. that more corrupt countries tend to have more recorded landslides) but once again the  
3 level of scatter is high, [possibly due to the complexity of the landslide phenomena that cannot](#)  
4 [be directly related to single societal indexes such these at this scale.](#)

5 The above analyses indicate that the best representation of the spatial distribution of observed  
6 landslides at a regional scale is derived from slope gradient, precipitation and population  
7 density maps, as noted by Parker (2010) for the original DFLD. Combinations of these factors  
8 improve the relationships further. For example, the direct product of slope and mean annual  
9 precipitation generates a good fit to the data, which is improved further when population  
10 density is included (Fig. 10). Thus, these three factors should be considered as [first order](#)  
11 [primary](#) controlling factors of fatality-inducing landslides in the study region.

### 12 **3.3 The impact of scientific research on landslides in Latin America and the** 13 **Caribbean**

14 [As noted by Petley \(2012b\) with examples in Hong Kong and China, it is generally accepted](#)  
15 [that](#) research can play a key role in reducing the impact of natural hazards, especially if the  
16 knowledge is properly transferred to national and regional agencies in charge of civil  
17 protection, urban planning and emergency response. Petley (2012b) showed that for  
18 landslides at a global scale, the volume of research (as indicated by the number of published  
19 peer-reviewed articles) has increased substantially in the last two decades, but that this  
20 development is geographically heterogeneous. He showed that those countries with the  
21 highest levels of research (i.e. with the highest number of landslide articles) generally have  
22 lower number of fatalities. Note that the relationship is complex, with levels of research also  
23 indicating levels of wider societal investment (in for example infrastructure, emergency  
24 response and hazard management), which may also reduce landslide losses. In terms of  
25 research however, whilst knowledge obtained from one location may be transferable to  
26 another, there are many impediments to transfer such knowledge to less developed countries,  
27 including the small number of local researchers, a lack of funding and language differences  
28 (Petley, 2012b).

29 In this study we have undertaken a similar but more detailed analysis for Latin America and  
30 the Caribbean. Research papers with “landslide” or “landslides” in the title, abstract or  
31 keywords published in the 2004-2013 period were searched in all databases available in the

1 Thomson Reuters ISI Web of Science database (including the Web of Science Core  
2 Collection, Scielo and others) for every country with records of fatal landslides in the same  
3 period (Table 2). The records were searched by country, using the institutional address of at  
4 least one of the authors as a national indicator. A total of 354 academic papers were recorded  
5 in the period, from which 62% are from South America, 30% from Central America and 8%  
6 from Caribbean countries. In common with the global dataset, there is a notable increase  
7 (more than double) in the last decade in the number of academic papers published on  
8 landslides in the study area. This increase is strongly driven by the South American countries,  
9 and may well have helped to keep the fatalities trend relatively stable despite the increase in  
10 population.

11 The country with most academic papers with at least one local author in the study time period  
12 is Mexico with 76 publications, followed by Brazil (69), Argentina (41), Chile (36) and  
13 Colombia (29). Fig. 12 illustrates the relationship between the number of scientific  
14 publications on landslides and the number of fatalities, considering those countries with more  
15 than 10 fatalities in the ten-year period. While it is evident that some countries, such as Haiti  
16 and Guatemala, have large numbers of fatalities with very little research, for big countries  
17 such as Brazil and Mexico the number of casualties is still high even though they are the  
18 leaders in scientific publications (Fig. 12). However, the huge differences in national  
19 population in the region (Table 2) should be accounted for a more refined analysis. If the  
20 number of academic papers and fatalities are both normalized by total national population,  
21 clearer patterns can be identified (Fig. 12), with higher rate of fatalities caused by landslides  
22 in countries with lower normalized scientific production. The most productive countries in  
23 terms of research papers per capita, with over one paper per million people in ten years, are  
24 Costa Rica (3.2), Trinidad and Tobago (2.3), Chile (2.1), Jamaica (1.8) and Ecuador (1.1). It  
25 is interesting to note that of those only Chile and Ecuador have more than 10 million  
26 inhabitants, with other medium and big size countries presenting lower rates of scientific  
27 production per capita. Nonetheless, those levels of research are still far from landslide-prone,  
28 developed countries, where the same indicator reaches values as high as 40.9 (Norway) or  
29 21.5 (Italy). With better science policies and improved funding schemes, Latin American and  
30 Caribbean countries may start to approach countries such as United States (4.3) or Japan  
31 (4.6).

32

## 1 **4 Discussion**

2 At the coarse scale the spatial incidence of fatality-inducing landslides in Latin America and  
3 the Caribbean is primarily the result of a combination of high relief, dense populations and  
4 large trigger events (over the time period in question, primarily precipitation). Thus,  
5 populated, humid upland regions of Brazil, Colombia, Haiti or Guatemala represent zones of  
6 high landslide occurrence resulting in loss of life. The role of precipitation is emphasized at  
7 the subcontinent scale, where a seasonal pattern is clear in the annual data that reflects the  
8 local precipitation cycle (which varies across the region). The mortality rate is higher in less  
9 developed countries that undertake little scientific research.

10 The original dataset in English included about 95% of the total identified fatal landslide cases,  
11 showing that coverage in English is reasonably good for this sort of studies. It is not clear if  
12 this would remain for not fatal cases that are not frequently covered by the media. The use of  
13 Spanish terms to enhance the dataset was of limited value, adding generally small events with  
14 few casualties and often in small countries, such as Ecuador, that might not have as good  
15 coverage by global media in English as others. Nevertheless, the search in Spanish was not  
16 done systematically during the whole studied period as in English, but was performed at the  
17 end of the period, with higher number of cases for the last three or four years, possibly due to  
18 the deletion of older web pages. This factor, along with the absence of other important  
19 languages spoken in the continent such as Portuguese, may have precluded an optimum  
20 coverage of all cases.

### 21 **4.1 Precipitation variation and the role of the El Niño Southern Oscillation**

22 For much of Latin America, rainfall events are positively affected by strong El Niño events,  
23 especially in southern Andean countries (e.g. Moreiras, 2005; Sepúlveda et al., 2006), while  
24 for Colombia an increase of landslide activity has been observed during La Niña periods  
25 (Klimes and Ríos-Escobar, 2010). The 1996 – 1997 El Niño event, the strongest on record to  
26 date, was associated with heavy rainfall and large numbers of landslides in the study region.  
27 The period of this study coincides with a phase of the El Niño Southern Oscillation (ENSO)  
28 in the Pacific (Trenberth, 1997) that has favoured comparatively weak El Niño and strong La  
29 Niña events, such that during the study period, no large El Niño events occurred. However,  
30 early 2010, which was characterized by moderate El Niño conditions also represents the peak  
31 occurrence of fatal landslides in our study, while a weak correlation between La Niña

1 conditions and higher landslide activity can be observed in Colombia and Venezuela, in  
2 particular for late 2010-2011.

3 Thus, the spatial and temporal patterns presented here represent those associated primarily  
4 with moderate to strong La Niña periods. It is likely that the spatial and temporal patterns of  
5 fatality-inducing landslides will be different during a strong El Niño event. This EDFLD will  
6 not properly represent the long-term occurrence of fatality-inducing landslides until such an  
7 event is captured. In fact, a study of a smaller dataset between 1993 and 2002 reported by  
8 Alexander (2005) returned Venezuela, Nicaragua, Colombia, Haiti and El Salvador as the  
9 Latin American or Caribbean countries with more deaths caused by landslides, showing that  
10 there is only partial coincidence with our dataset from one decade later.

#### 11 **4.2 The role of extreme event triggers**

12 The occurrence of a rare but extreme landslide event, such as the 1970 Huascaran rock  
13 avalanche (Evans et al., 2009) or the 1999 Vargas debris flows (Bezada, 2009), may multiply  
14 the number of casualties by an order of magnitude or more, making it difficult to extrapolate  
15 our results to the long term. As shown by Guzzetti [et al.](#) (2000), the average number of  
16 fatalities per year is extremely variable, but higher in active regions such as the Andes, which  
17 is consistent with our results.

18 A perhaps surprising finding is that during the study period earthquakes triggered only small  
19 numbers of fatality-inducing landslides. Latin America and the Caribbean are known to be  
20 prone to seismically-induced landslides (e.g. Bommer and Rodriguez, 2002; Schuster et al.,  
21 2002) because of the combination of high rates of tectonic activity and steep slopes. The  
22 study period captured the largest earthquake in the region in about 40 years (the 2010  
23 Mw=8.8 earthquake in Chile) and one of the most disastrous earthquakes in term of fatalities  
24 and damage in recent times (the 2010 Mw=7.0 earthquake in Haiti). We think that there is a  
25 high probability that the latter is under-sampled in terms of landslide-related casualties. This  
26 is often the case for earthquakes with large number of fatalities as there is no way to record  
27 the phenomenon that caused the loss of life (Petley et al. 2006). There is some photographic  
28 evidence that at least some collapses of houses on steep slopes may have been induced by  
29 slope failure, but the numbers are unconstrained.

30 The lack of recorded fatalities from seismically-induced landslides should not be taken to  
31 infer that this issue is no longer a problem in Latin America and the Caribbean. Instead, it is

1 the consequence of a paucity of large, shallow earthquakes affecting vulnerable populated  
2 areas with steep slopes during the study period. It is likely that the next large earthquake of  
3 this type in Latin America and the Caribbean will induce large numbers of fatality-inducing  
4 landslides.

### 5 **4.3 The World Bank disaster “hotspots” analysis**

6 In a previous assessment as part of the World Bank “hotspots” analysis of natural disasters,  
7 Nadim et al. (2006) produced a global-scale landslide hazard and mortality risk map. The  
8 EDFLD dataset can be considered to be the realisation of landslide mortality risk over the  
9 study period. Whilst in some areas, for example in the Andes and in Central America, there is  
10 a good relationship between the landslide and mortality risk maps, in other areas (such as  
11 Brazil) the World Bank analysis strongly under-estimates mortality risk. The probable reason  
12 for this is that in the [World Bank](#) ~~is~~ approach hazard is assessed by multiplying a number of  
13 factors, such as precipitation and seismic hazard. Thus [in](#) an area of low seismic hazard such  
14 as Brazil it tends to generate a comparatively low hazard (and thus risk) score, which  
15 therefore fails to capture adequately the true risk in these areas.

16 However, we also note that the lack of large landslide-inducing seismic events also means  
17 that there is no mechanism to benchmark properly the risk from earthquake-induced  
18 landslides in Latin America and the Caribbean. This will need further attention in due course.

### 19 **4.4 The role of research in disaster prevention**

20 [Even though no simple and direct link between research and landslide impact can be](#)  
21 [concluded, as other factors such as research quality and lag times or incapacity to apply](#)  
22 [research results in disaster prevention should be considered - as well as other important](#)  
23 [processes including people education - our analysis reinforce the idea that research can play a](#)  
24 [significant role of reducing the losses from landslides. Future work should explore in depth](#)  
25 [what factors or research and its communication \(e.g. type of study, type of publication\) may](#)  
26 [have a stronger impact in disaster prevention. It also should take into account the potential](#)  
27 [impact of unpublished reports, usually issued by national geological services or emergency](#)  
28 [offices, or articles in local congress proceedings, as local scientists out of academia do not](#)  
29 [tend to publish in journals in this region.](#)

30

## 1 **5 Conclusions**

2 This study has evaluated the occurrence of fatality-inducing landslides in Latin America and  
3 the Caribbean in the period 2004 to 2013 inclusive. Over this time period we recorded 611  
4 landslides that caused 11631 deaths, mostly as a result of rainfall triggers. The geographic  
5 distribution of the landslides is heterogeneous, but mostly reflects the combination of relief,  
6 precipitation and population density. In urban areas, the presence of informal settlements has  
7 a big impact on the number of fatalities, showing the effect of poverty and marginalization.

8 For the different parts of the study region the occurrence of landslides reflects the annual  
9 precipitation. In the longer term the dataset has not captured a strong El Nino event or a  
10 series of large earthquakes in landslide prone areas. It is likely that the long term spatial and  
11 temporal patterns would be changed when such events are captured properly.

12 The study also shows that there is a research deficit in terms of landslides in the study area.  
13 Increasing understanding of landslides in these regions is likely to be a pre-requisite if a  
14 meaningful reduction in landslide losses is to be achieved.

15

## 16 **Acknowledgements**

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22 research was enabled by the NERC/ESRC Increasing Resilience to Natural Hazards  
23 programme under the Earthquakes Without Frontiers project, grant reference NE/J01995X/1,  
24 NERC/Newton Fund grant NE/N000315 and Fondecyt 1140317 project.

25

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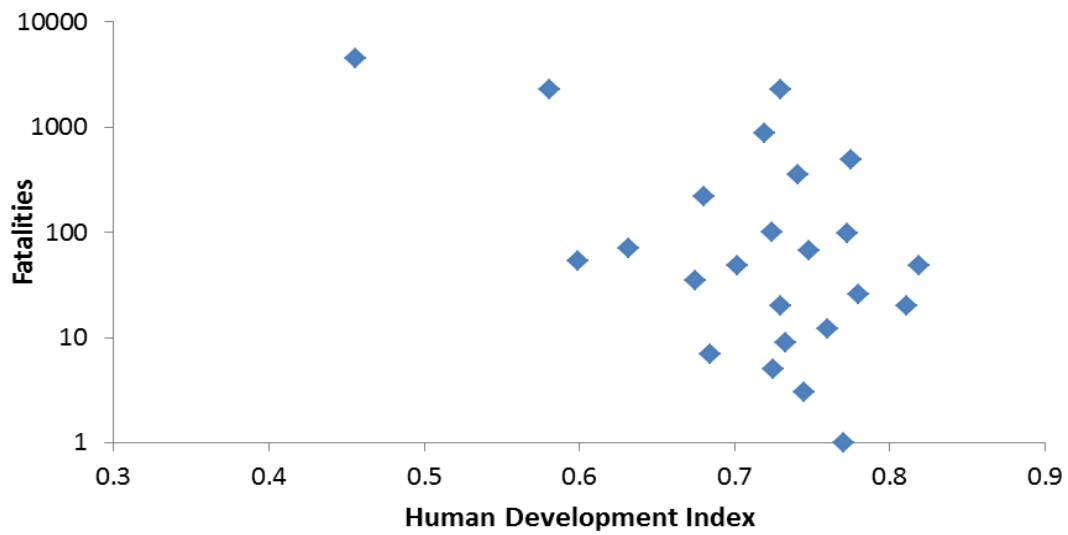
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1 Table 1. Number of fatal landslides and fatalities for each country with positive cases.

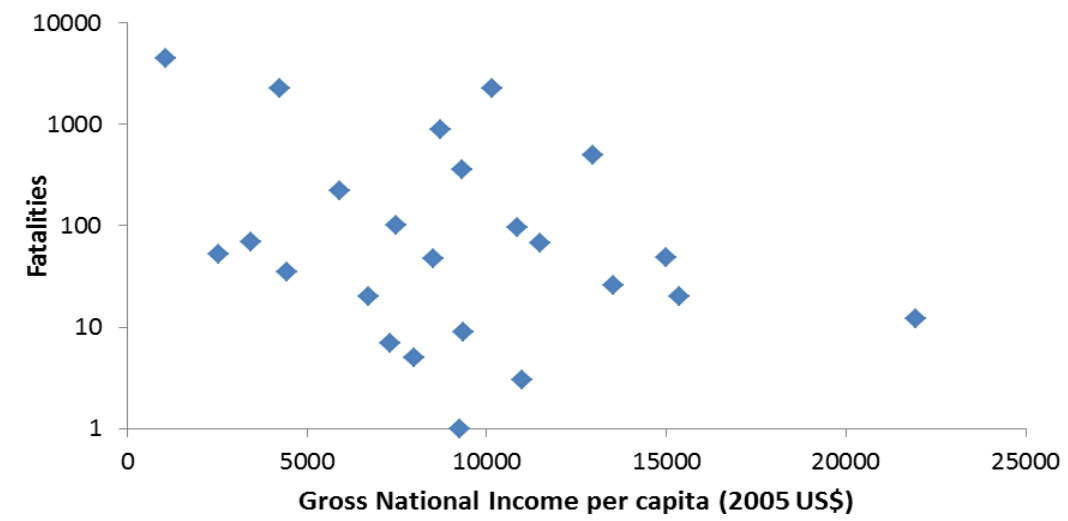
Country	Fatal Landslides 2004-2013	Fatalities 2004-2013
<b>CARIBBEAN</b>		
Dominica	1	3
Dominican Republic	11	48
Grenada	1	1
Haiti	33	4529
Jamaica	10	20
Puerto Rico	2	2
St Lucia	1	5
St. Vincent & the Grenadines	4	9
Trinidad and Tobago	9	12
<b>CENTRAL AMERICA</b>		
Costa Rica	17	97
El Salvador	21	220
Guatemala	64	2264
Honduras	15	70
Mexico	72	493
Nicaragua	3	53
Panama	8	26
<b>SOUTH AMERICA</b>		
Argentina	6	20
Bolivia	6	35
Brazil	119	2262
Chile	15	49
Colombia	110	880
Ecuador	18	101
Peru	38	357
Suriname	1	7
Venezuela	26	68
<b>TOTAL</b>	<b>611</b>	<b>11631</b>

- 1 Table 2. Population data (United Nations, 2013) and scientific research on landslides indices
- 2 (ISI Web of Science) for those countries with fatal landslides during 2004-2010.

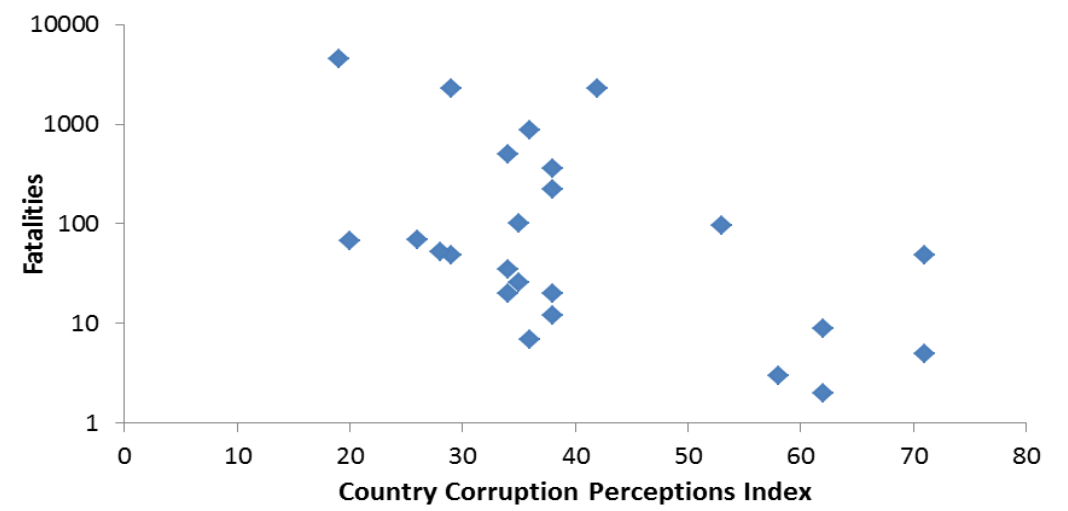
Country	Population 2010 (thousands) <sup>a</sup>	Pop. Density (persons/km2) <sup>a</sup>	Research Papers (2004-2013) <sup>b</sup>
<b>CARIBBEAN</b>			
Dominica	71.2	94.8	2
Dominican Republic	10,016.8	206.5	1
Grenada	104.7	304.3	0
Haiti	9,896.4	356.6	2
Jamaica	2,741.5	249.4	5
Puerto Rico	3,709.7	418.0	14
St Lucia	177.4	329.1	0
St. Vincent & the Grenadines	109.3	281.7	0
Trinidad and Tobago	1,328.1	258.9	3
<b>CENTRAL AMERICA</b>			
Costa Rica	4,669.7	91.4	15
El Salvador	6,218.2	295.5	5
Guatemala	14,341.6	131.7	3
Honduras	7,621.2	68.0	1
Mexico	117,886.4	60.2	76
Nicaragua	5,822.2	44.8	6
Panama	3,678.1	48.7	2
<b>SOUTH AMERICA</b>			
Argentina	40,374.2	14.5	41
Bolivia	10,156.6	9.2	3
Brazil	195,210.2	22.9	69
Chile	17,150.8	22.7	36
Colombia	46,444.8	40.8	29
Ecuador	15,001.1	52.9	16
Peru	29,262.8	22.8	15
Suriname	525.0	3.2	0
Venezuela	29,043.3	31.8	10
<b>TOTAL</b>	<b>571,561.1</b>	<b>3460.5</b>	<b>354</b>



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[Appendix 1. Relationship between fatalities induced by landslides and societal indexes of Human Development, Gross National Income and Country Corruption.](#)

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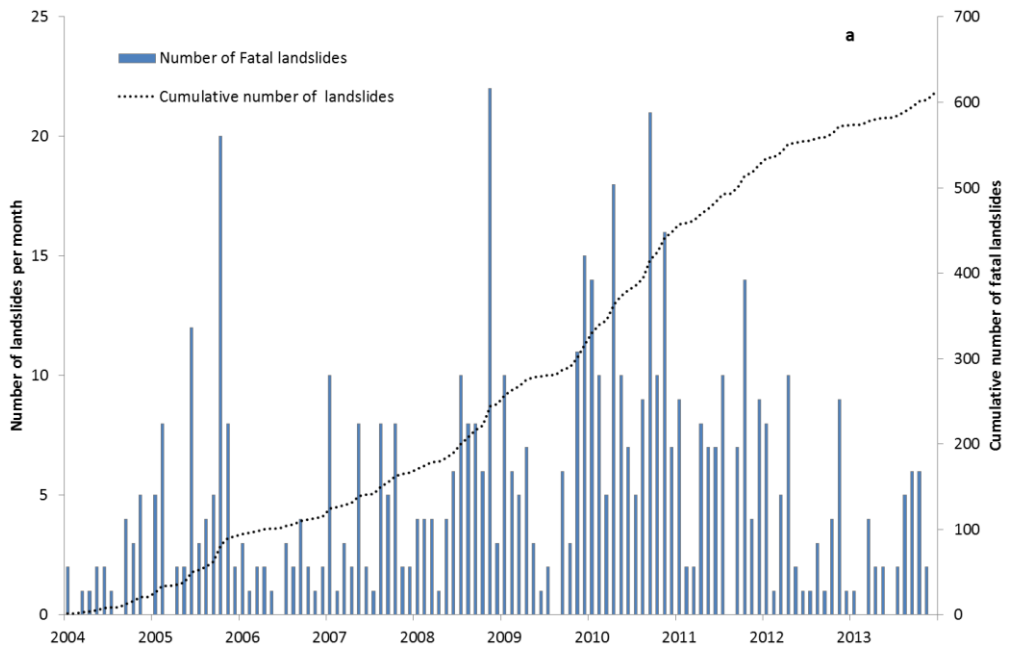
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Corrected Figures:

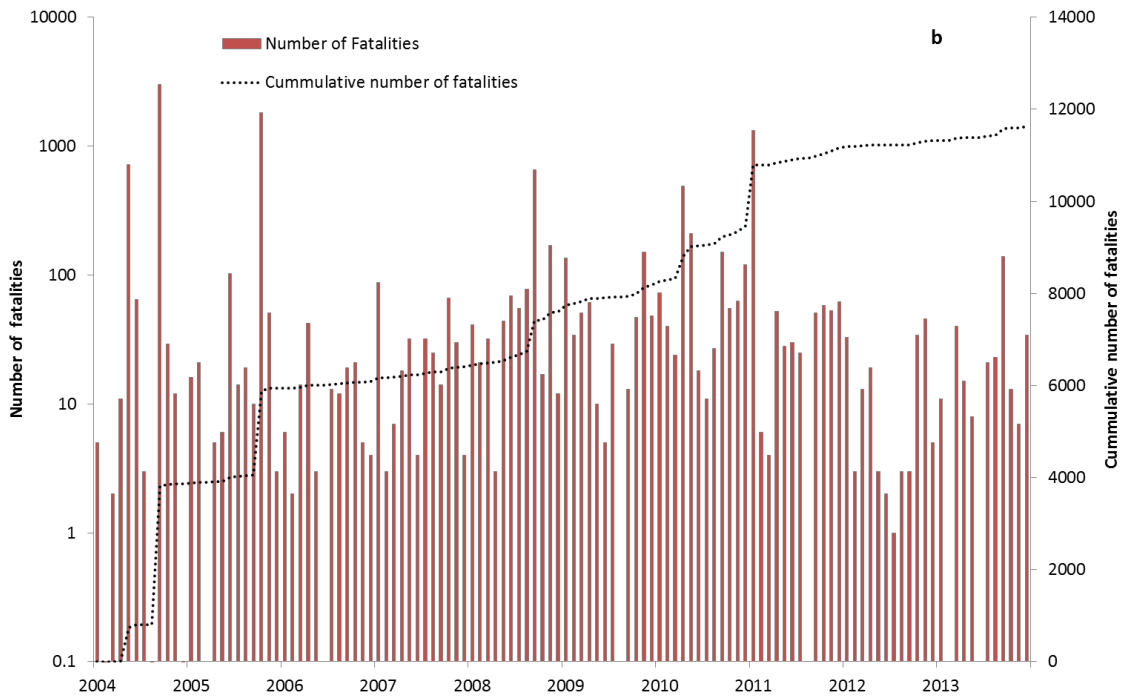


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Fig 1a

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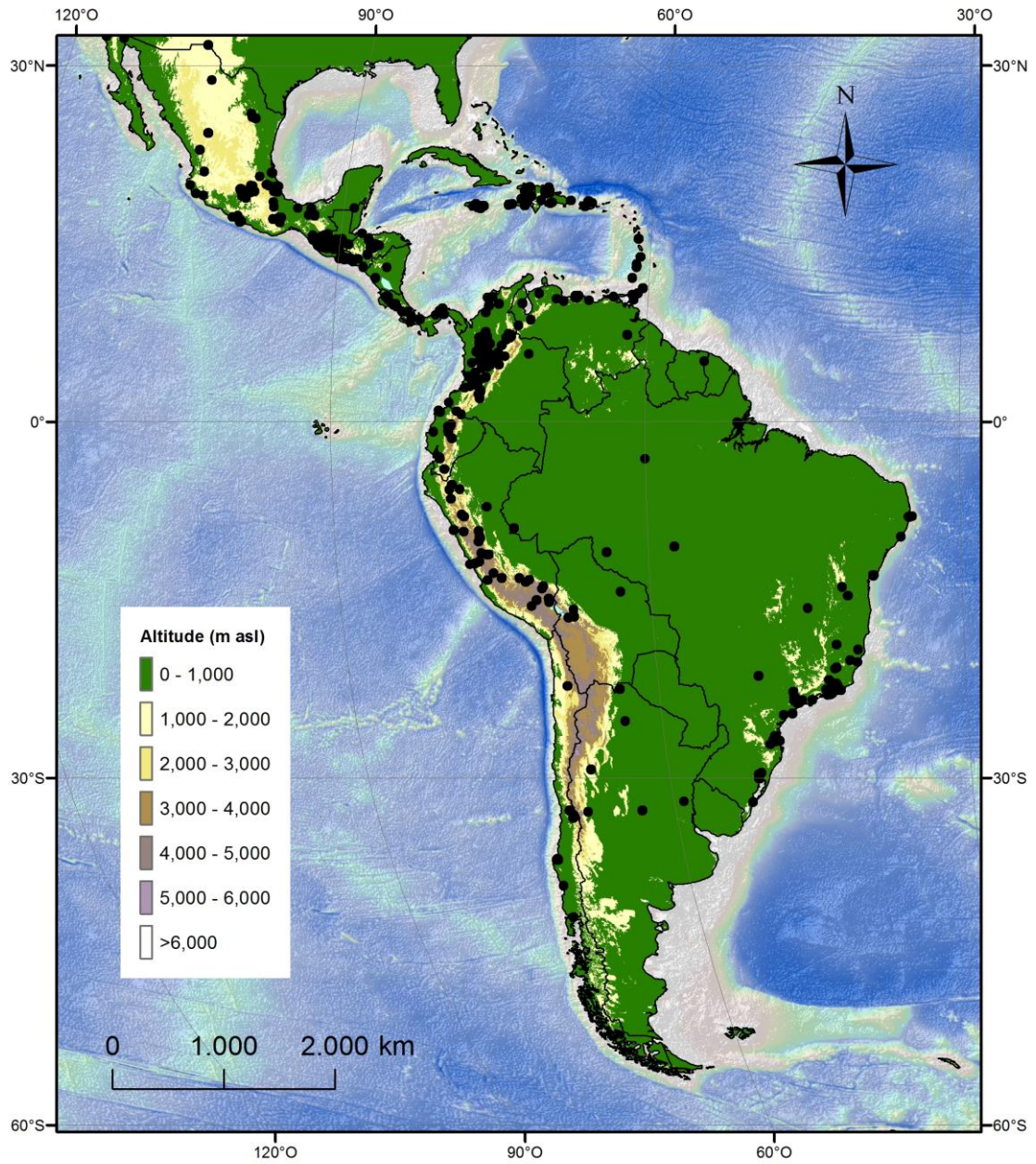


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Fig 1b

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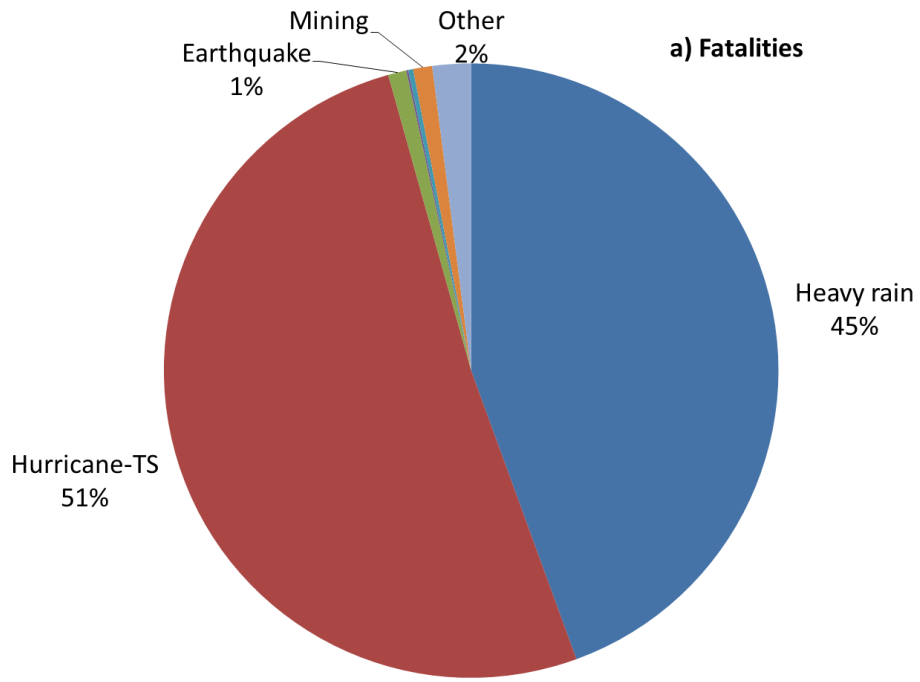


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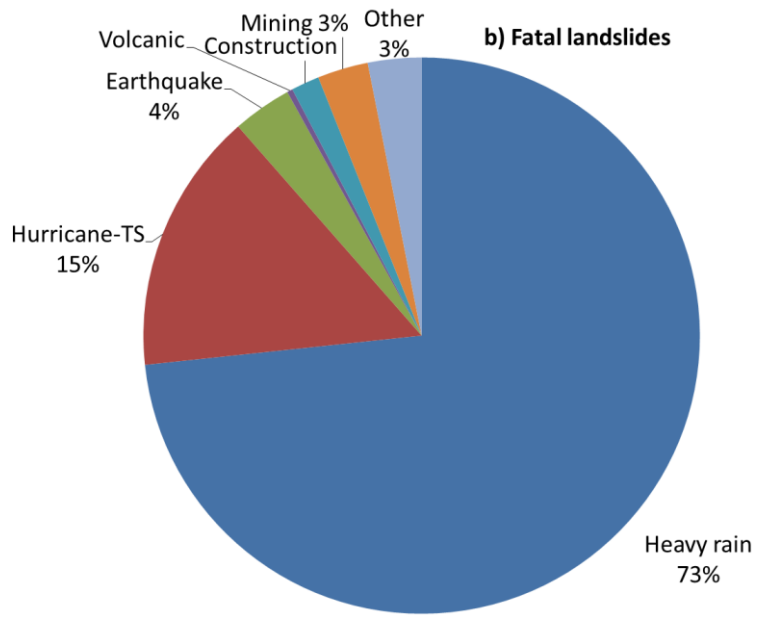
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[Fig 2](#)



[Fig 3a](#)



[Fig 3b](#)

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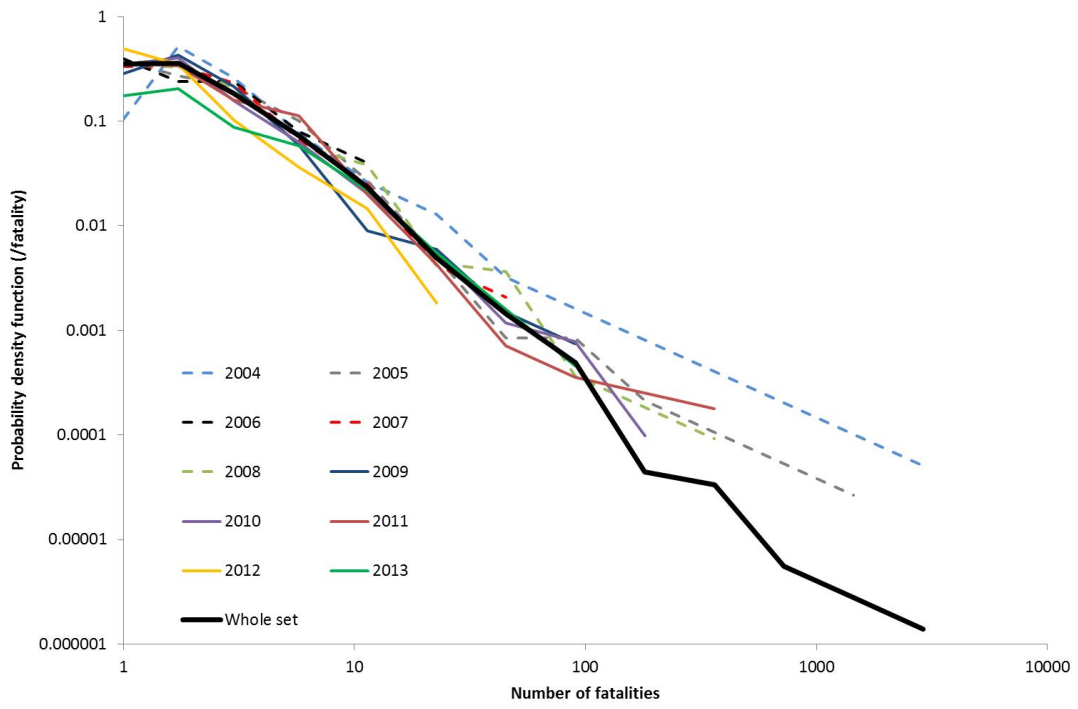


Fig 4

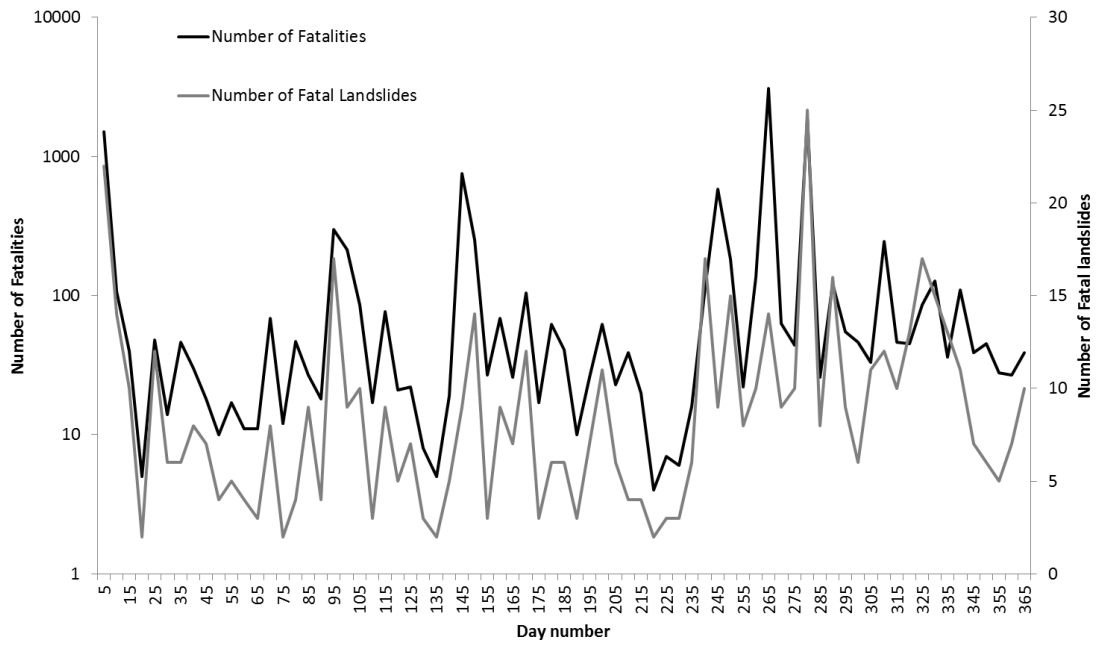
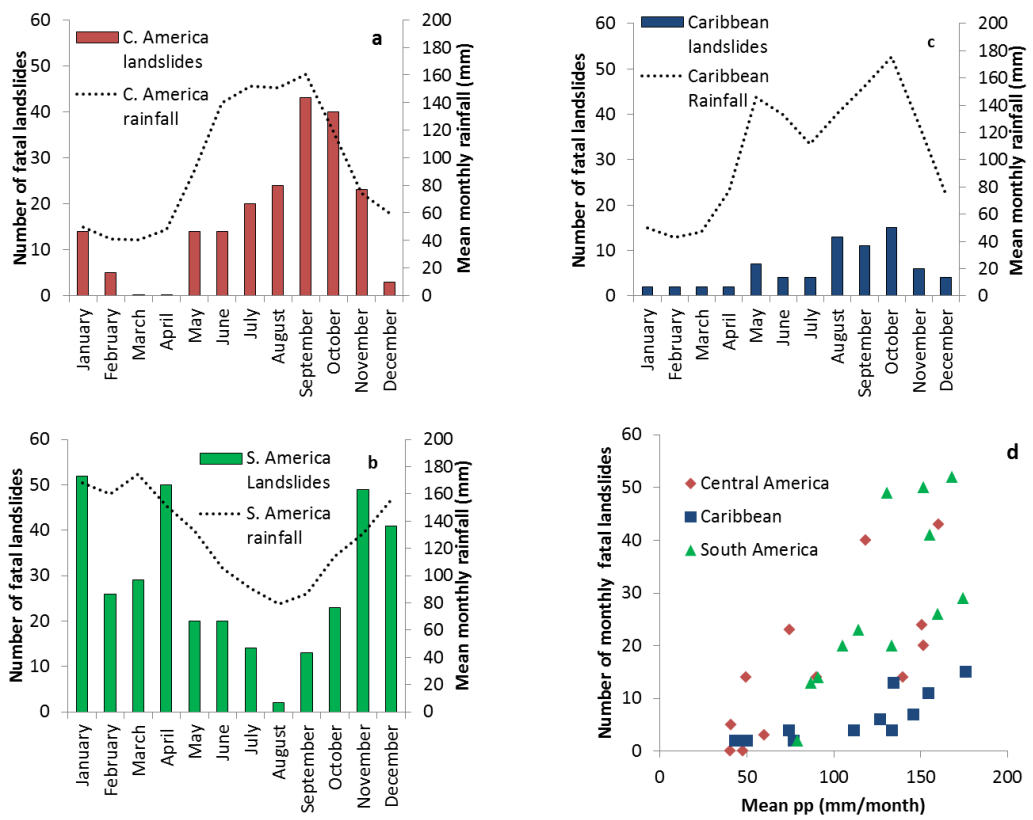


Fig 5

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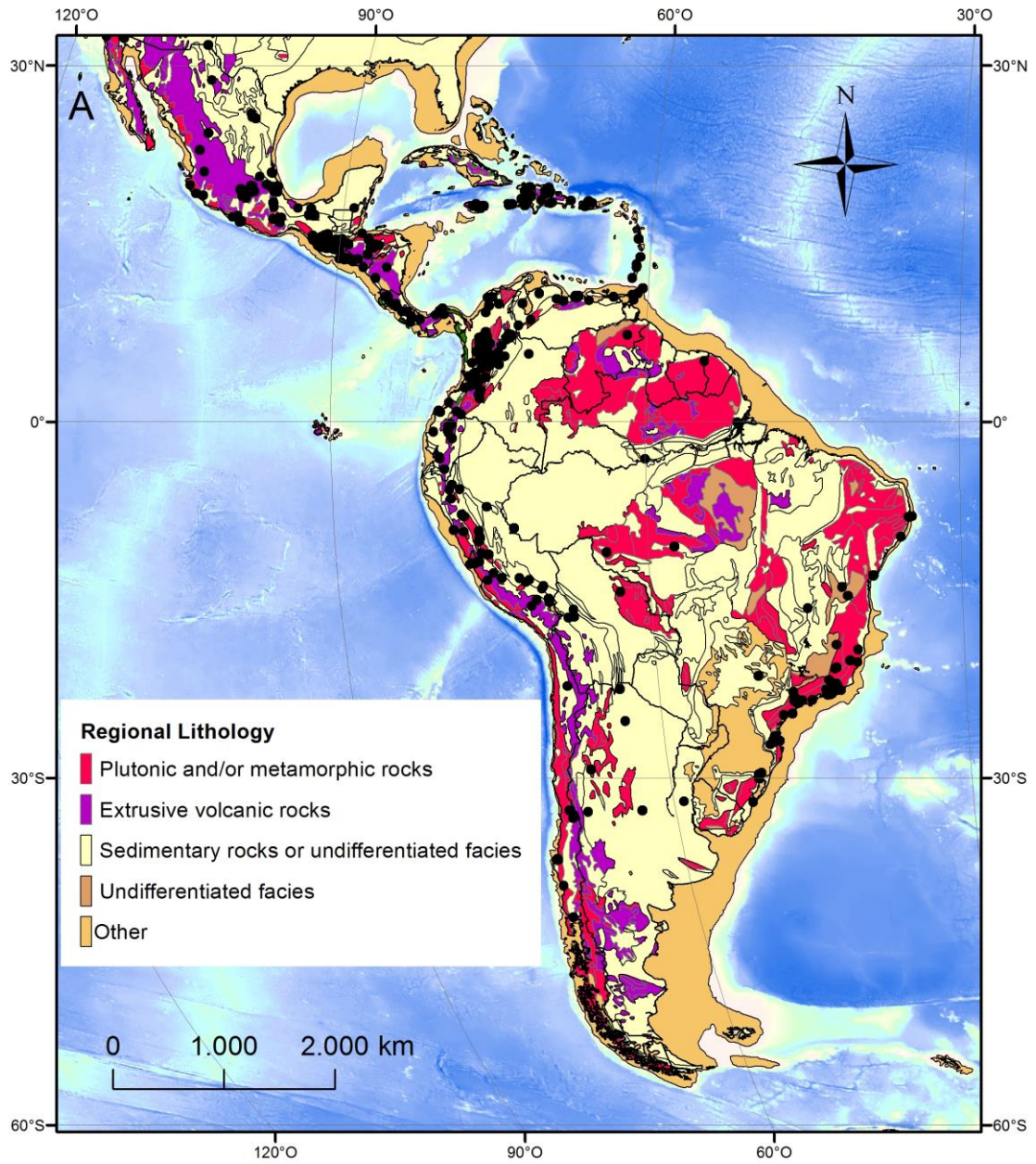


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Fig 6



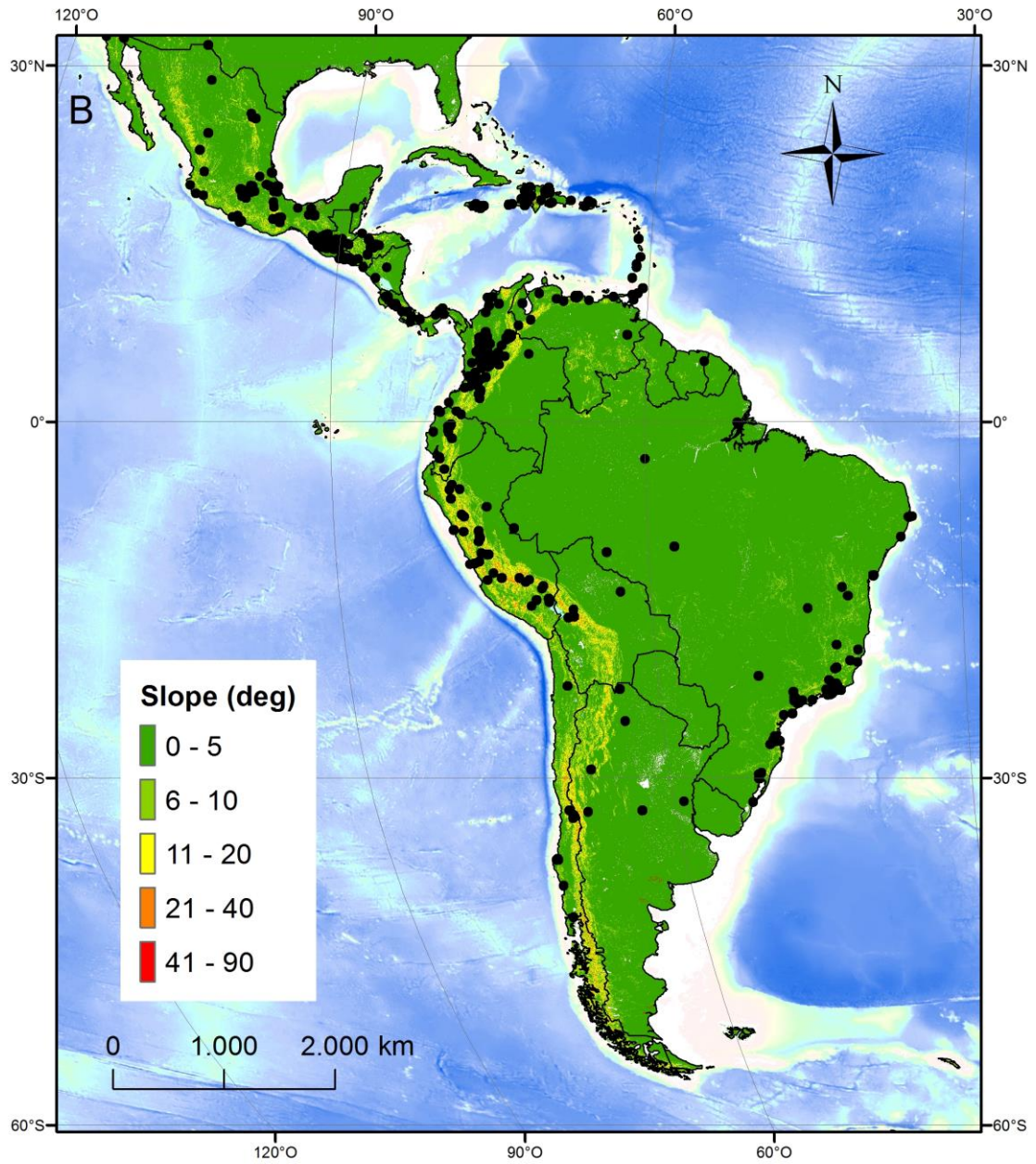
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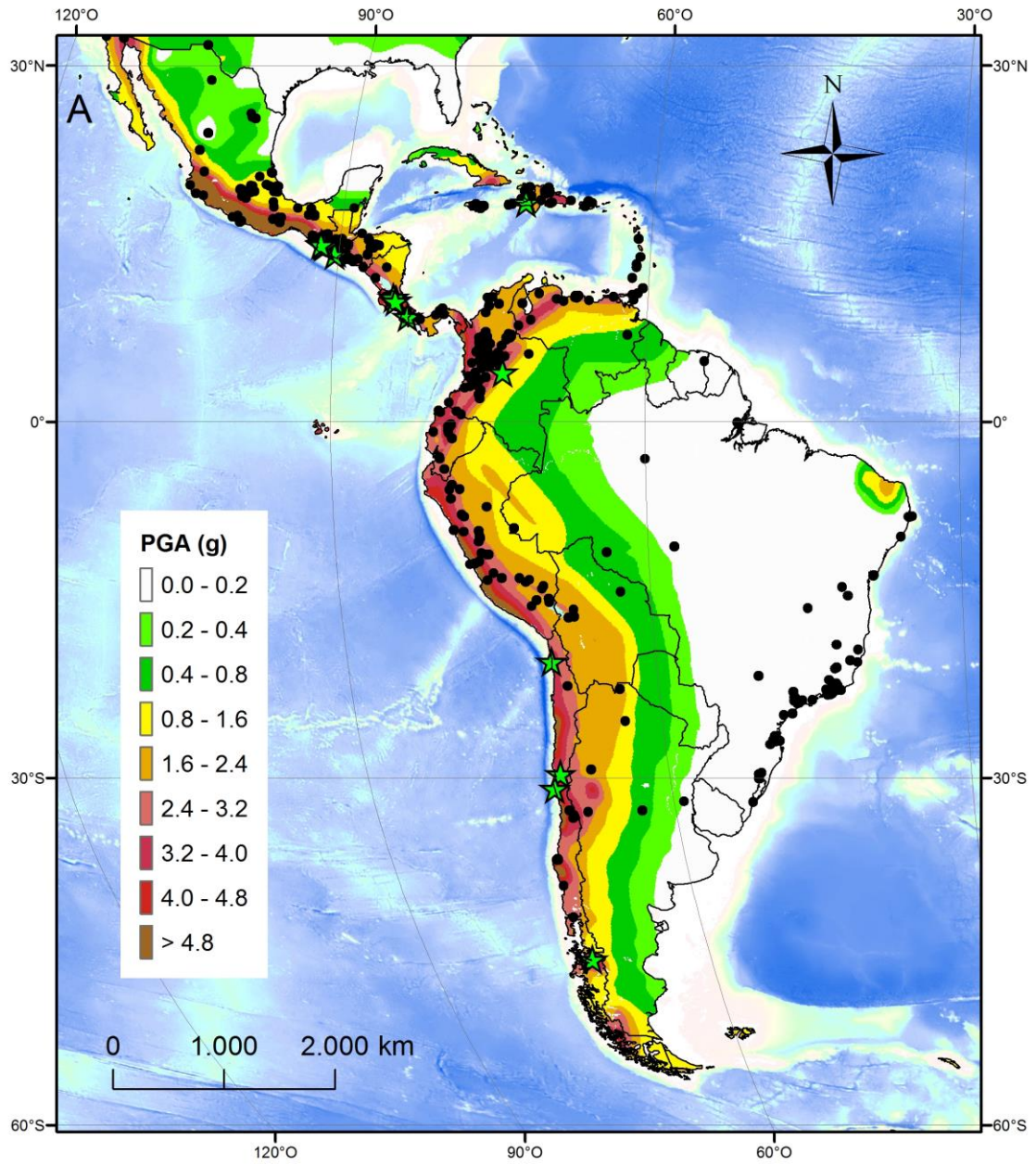
Fig 7a



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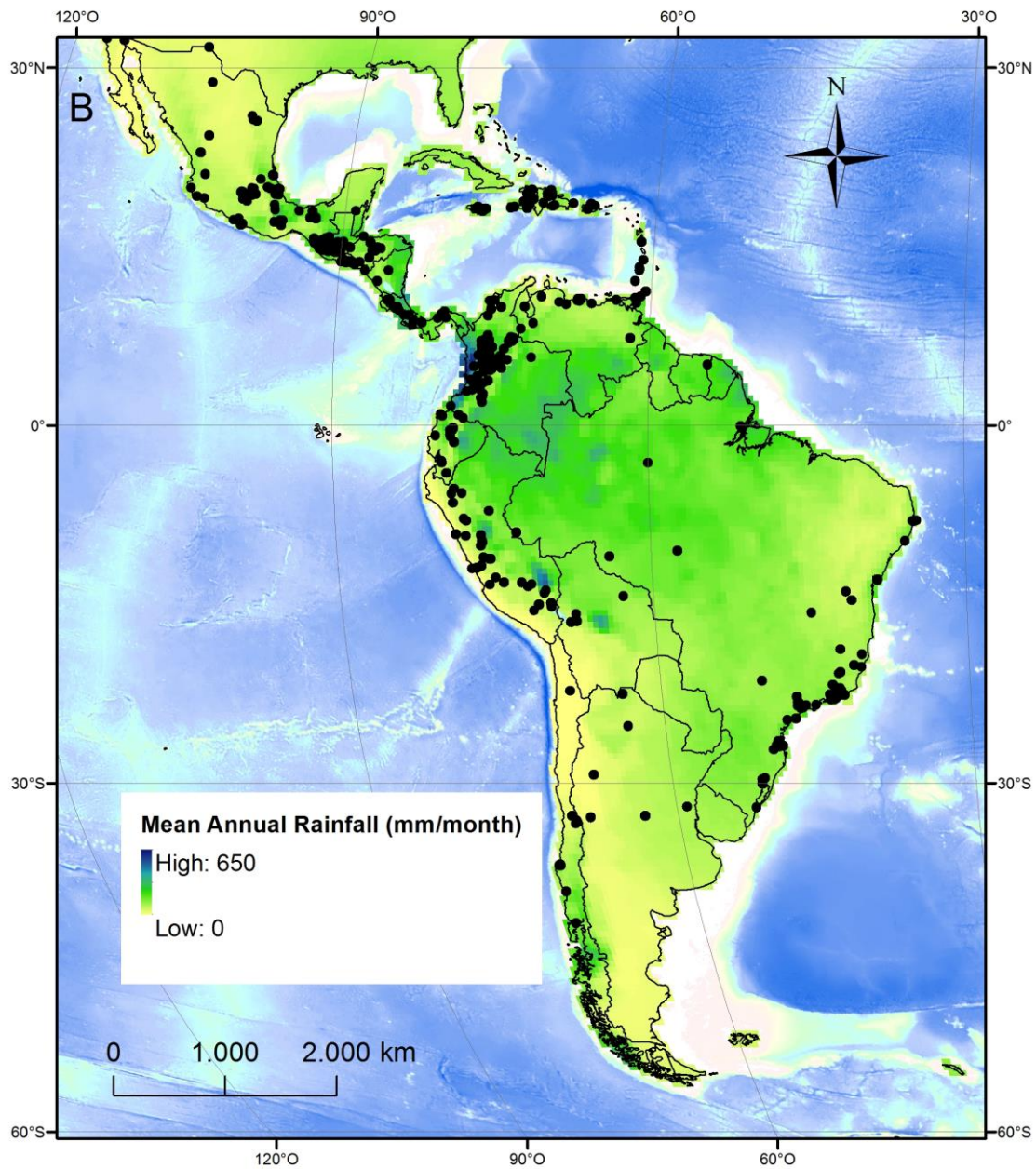
Fig 7b





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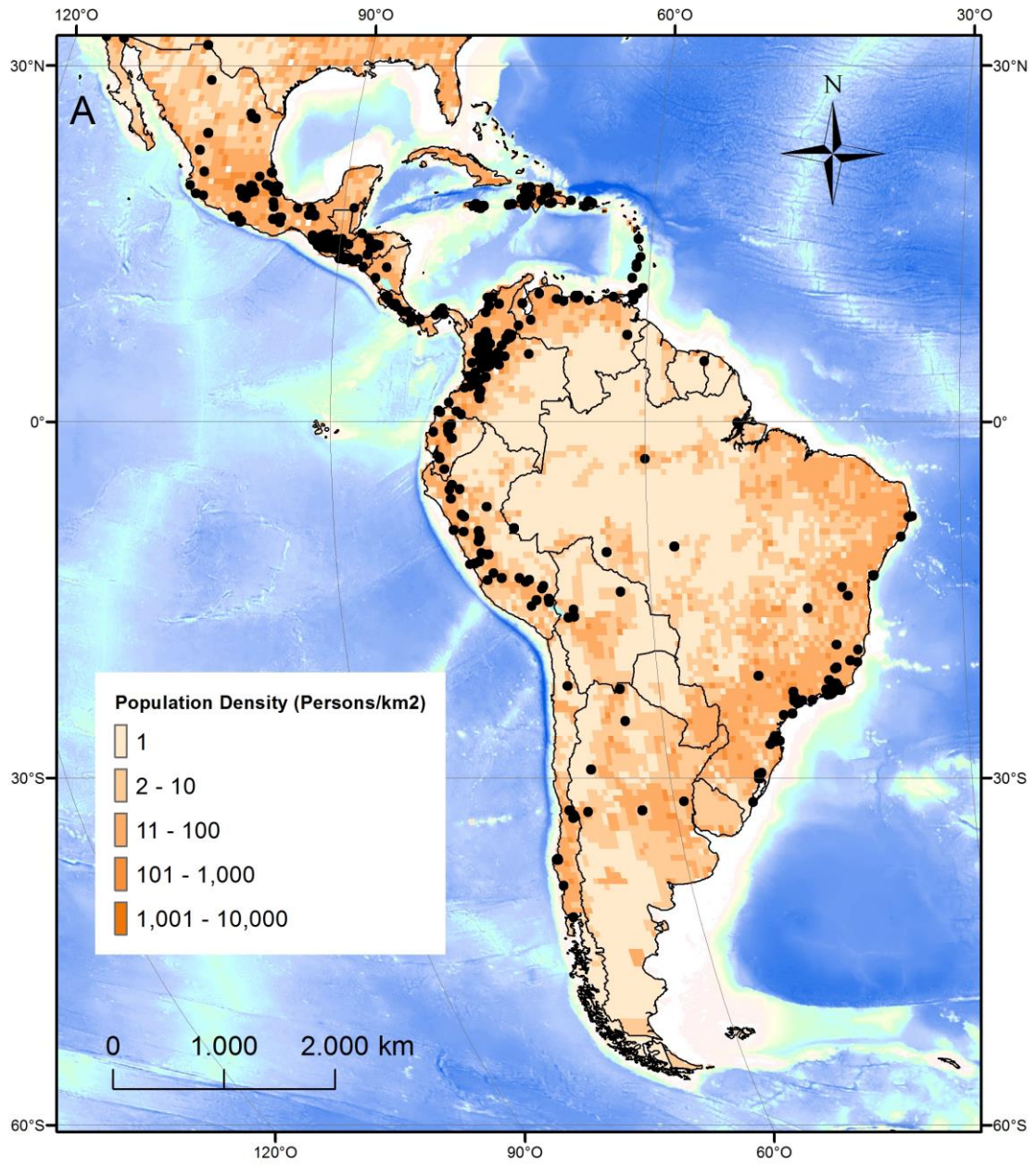
Fig 8a



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Fig 8b



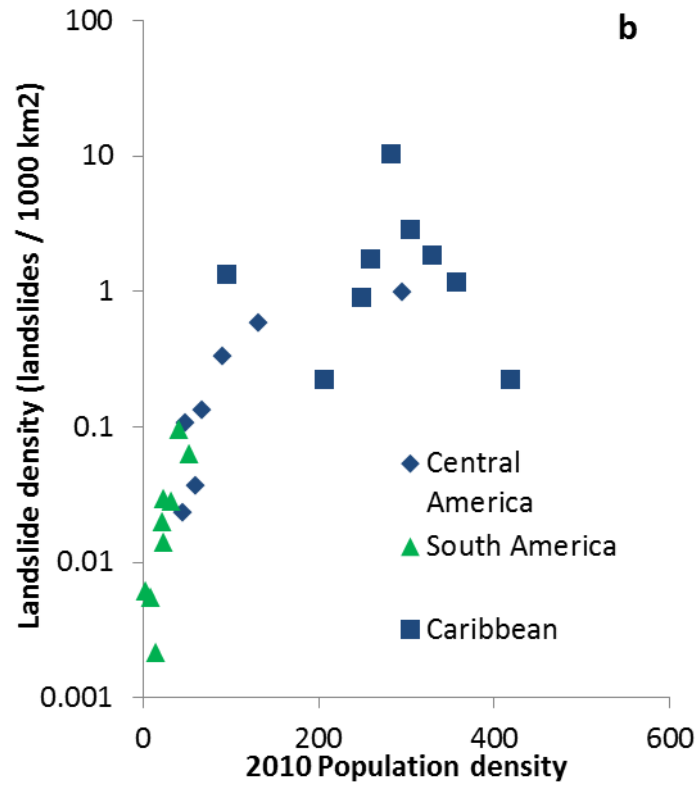


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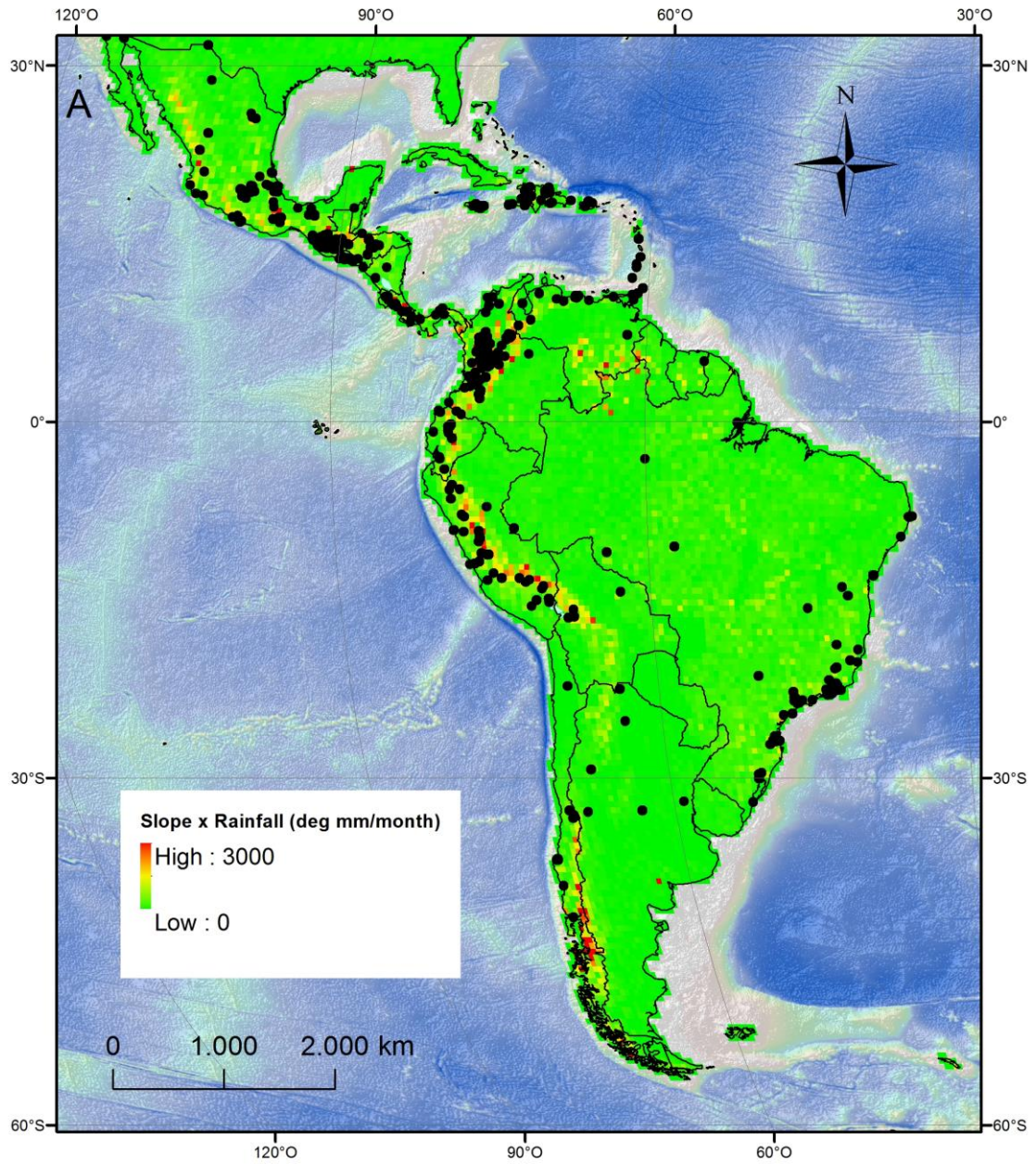
Fig 9a



[Fig 9b](#)

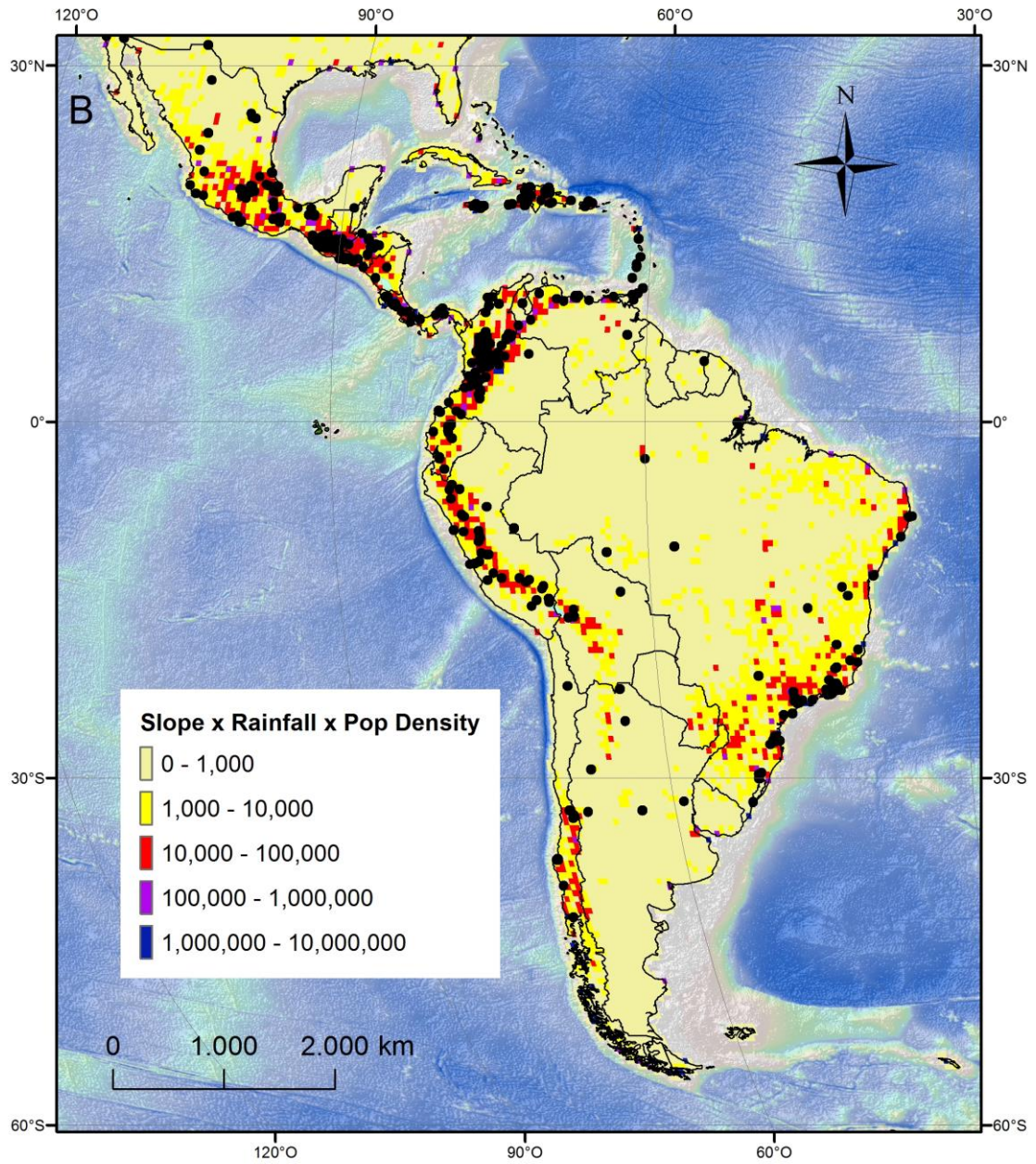
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[Fig 10a](#)



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[Fig 10b](#)



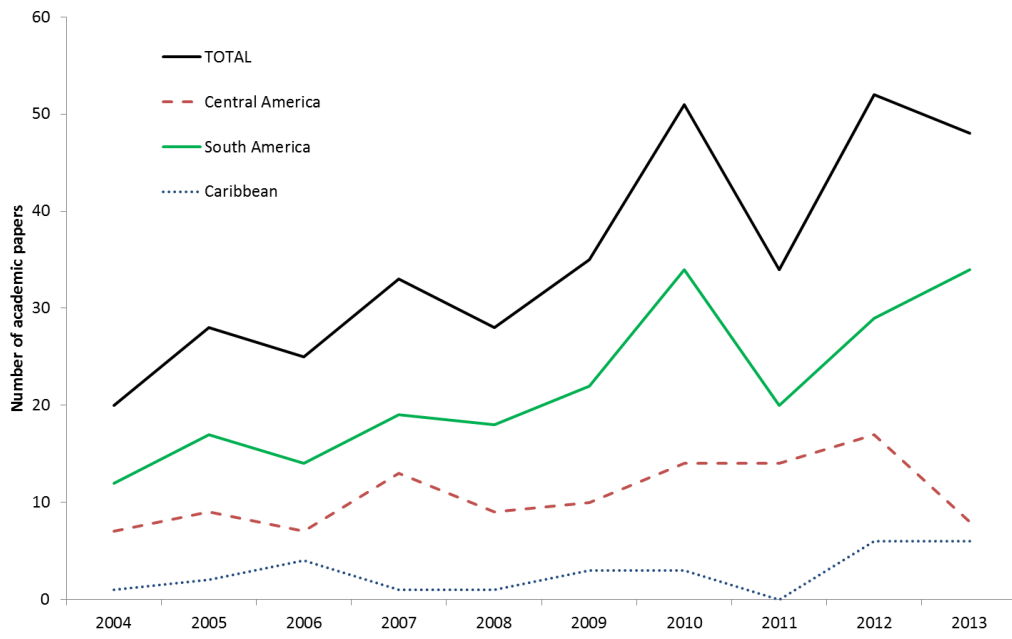
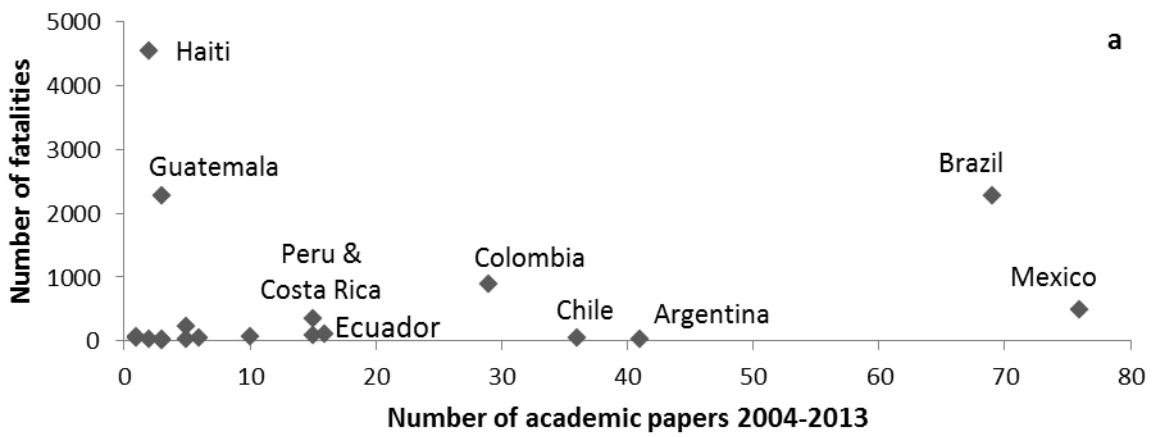
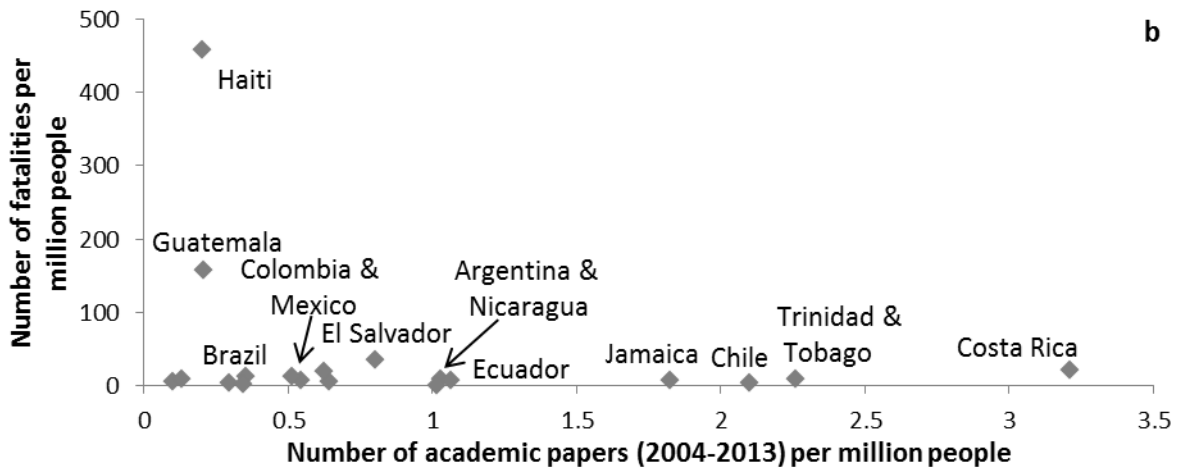


Fig 11

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[Fig 12](#)