



**Evaluation of coastal vulnerability to flooding in Emilia-Romagna, Italy**

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**Evaluation of coastal vulnerability to flooding: comparison of two different methodologies adopted by the Emilia-Romagna Region (Italy)**

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Received: 14 March 2015 – Accepted: 02 June 2015 – Published: 07 July 2015

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Published by Copernicus Publications on behalf of the European Geosciences Union.

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## Abstract

This paper aims at presenting and comparing two methodologies adopted by the Emilia-Romagna Region, northern Italy, to evaluate coastal vulnerability and to produce hazard and risk maps for coastal floods, in the framework of the EU Floods Directive.

The first approach was adopted before the Directive had been issued. Three scenarios of damage were designed (1, 10, 100-year return periods), produced by the concurrent happening of a storm, high surge levels and high water spring tidal levels. Wave heights were used to calculate run-up values along 187 equally spaced profiles and these were added to the tidal and atmospheric water level contributions. The result is a list of ten vulnerability typologies. To satisfy the requests of the Directive, the Geological, Seismic and Soil Service (SGSS) recently implement a different methodology that considers three scenarios (10, 100 and > 100-year return periods) in terms of set-up (not including run-up) plus the contribution of surge levels as well as the High Water Springs. The flooded area extension is determined by a series of computations that are part of a model built into ArcGIS®. The model uses as input a high resolution Lidar DEM that is then processed using the Cost-Distance tool of ArcGIS®. Inundation maps are then overlapped to land use maps to produce risk maps. The qualitative validation and the comparison between the two methods are also presented, showing a positive agreement.

## 1 Introduction

Climate change, sea level rise and their impact on humans and the environment is a key issue that was, and is still, addressed at European level by many EU funded projects within the 7th European Union Research Framework (Quevauviller, 2011). Likewise, in the newly born H2020 EU research framework a large investment will be made on improving the understanding of the risk posed to the EU population and economy by climate-induced hazards. In the framework of the EU Floods Directive, the SPRC

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### 7. Design of ten typologies of impact to create vulnerability maps.

The maps were validated through the comparison between the vulnerability typologies identified along the coastline and the observed impacts of significant storms. In the result section of the current paper, the comparison with a recent storm (10 March 2010) is presented as validation exercise. A second validation was carried out after a strong event occurred during the night of 31 October–1 November 2012, locally known as the “Halloween Storm” (Harley et al., 2015).

### 3.2 Hazard and risk maps

To produce hazard and risk maps at a regional scale, the SGSS of the Emilia-Romagna Region implemented a methodology that was calibrated with the information available in the catalogue of historical storm (Perini et al., 2011; “In\_Storm” online catalogue) and also with the terrain characteristics of the coastal stretch. The methodology is based on five steps:

1. Selection of storm information and computation of total water levels for three return period events (1-in-10, 1-in-100 and > 1-in-100 year).
2. Compilation of a model into ArcGIS® (Model Builder Tool) to elaborate input data and produce hazard maps; critical evaluation and refinement of the outputs.
3. Overlap of the hazard maps with land use maps to create risk maps.
4. Identification of low-lying locations (hereafter referred to as “passages”) that act as pathways for the water, leading to the inundation of rear areas.
5. Qualitative comparison of the obtained hazard maps with the extension of inundated areas measured after recent storms.

The model input DTM (Digital Terrain Model) is represented by the 2008 Lidar national flight (2m × 2m resolution, vertical precision = ±0.2 m) undertaken by the

Italian Ministry for the Environment (<http://www.pcn.minambiente.it/GN/en/projects/not-ordinary-plan-of-remote-sensing>). The DTM resolution was not reduced, because a very accurate analysis of the terrain's characteristics was needed. The total water level (TWL, Table 2) was computed as the sum of different variables extracted from the literature, to design three worst-case scenarios: surge levels (Masina and Ciavola, 2011), wave set up elevations (Decouttere et al., 1998) and the mean astronomical high spring tidal level (Idroser, 1996). The TWL return period value exceeding 100 years (Table 2) was chosen based on analyses of historical storms (1966 flooding, Perini et al., 2011) and extreme events included into the first coastal plan issued by the Emilia-Romagna Region (Idroser, 1982). Furthermore, the 2.5 m elevation is locally used by practitioners to design coastal protection structures along the Emilia-Romagna coastline.

The methodology does not include run-up levels, the effect of land subsidence and the presence of temporary flood protections built on beaches during the winter season (the so called "winter dunes", Harley and Ciavola, 2013). The elements listed above were not considered in the analysis because the Region wanted to implement a simple and quickly replicable methodology, while the inclusion of the above mentioned variables and features would have led to more complex and time consuming procedures (Sekovski et al., 2015).

Once TWLs were computed, they were compared to the elevation values of the 2008 high resolution DTM, using the bath-tub method. Overall the procedure seemed to overestimate the extension of flooded areas, as the elevation of the backshore is low, especially in the northern part of the region. Thus, an attenuation artifice was introduced as a proxy to bed friction and infiltration over a distance from the shoreline, projecting the water surface inland over a sloping plane which inclination corresponded to a cotangent of 0.002 (Sekovski et al., 2015). However, the resulting hazard maps were still not consistent with field observations and historical information (Sekovski et al., 2015). Hence, the Cost Distance Tool of ArcGIS<sup>®</sup> was applied (<http://help.arcgis>).

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then the area is flood prone. The final output was a grid (Grid\_5) that represented the extent of flood prone areas for each scenario. Finally, there were other steps completed outside the model: (i) the conversion of Grid\_5 into a polygon feature, (ii) the deletion of non-flood prone polygons, (iii) the deletion of isolated areas not connected with the shoreline. The final output was a polygon feature that represented the extent of flood-prone zones, for each scenario. The polygon features corresponding to each analysed return period (RP) were named, according to article 6 of the Floods Directive, as P1 = “rare” (RP > 100 years), P2 = “not frequent” (RP = 100 years) and P3 = “frequent” (RP = 10 years) (Table 2, Sekovski et al., 2015).

At regional and national level, it was decided to produce risk maps based on land use maps developed by the Regional authorities. The location of sensitive elements such as hospitals, key infrastructures, schools, number of inhabitants, etc. was also taken into account. As depth-damage curves for the Italian territory are not available for marine floods, the vulnerability of each type of land use was classified using a unit scale from 1 to 4 according to its degree of damage to flooding (i.e. from D1 = low vulnerable to D4 = very vulnerable). The damage classification was prepared by the SGSS, to take into account specific characteristics and different uses of the territory located close to coast. The regional and national authorities decided to consider as highly damageable the areas occupied by settlements, sensitive constructions and human activities (tertiary activities, agriculture, etc.), because human-related direct and indirect losses are considered the most critical issue. For this reason, goods such as urban areas, industrial zones, ports, water supply and electricity networks, were given the maximum value (D4), while the beach, active dunes, etc (i.e. areas without human occupation) were considered less damageable and given a D1 value. A matrix that combines the three designed scenarios and the damage values was built and risk classes, from R4 (high risk) to R1 (low risk), were derived from the linkage between the two inputs (Table 3).

The hazard maps were validated using several sources: (i) comparison between the hazard maps and the extension of inundated areas measured after significant storms,







## 4.2.1 Viserba site

An example of the hazard mapping is presented in Fig. 5c for the Viserba site (Rimini province, Fig. 5a). The site was chosen because it presents the best example of “passage” (yellow cross in Fig. 5c and d) that favours the water ingression. The given example is a road with an underpass below the railway track that is running alongshore. Thirteen passages were identified along the whole coastline, corresponding to weak points that deserve to be given special attention. In Fig. 5b land use typologies and sensitive structures, such as hospitals and health care structures, schools, water, electricity and gas networks and the railway, are presented. To notice that the area is mostly occupied by urban buildings, while the beach is protected by shore-parallel breakwaters (Fig. 5a). Risk maps were visualised through a GIS based programme (Fig. 5d). The beach area is mapped as low risk (R1), as well as the fields located in the hinterland, while structures and human-related activities are considered at R3 and R4 risks, thus the highest levels. R3 corresponds to areas occupied by buildings and infrastructures (land-use typologies: “bathing establishment facilities” and “urbanisation”) that resulted flood-prone under medium frequency conditions (P2); R4 is assigned to the same type of land use, but that is flood-prone under high frequency conditions (P3). It is important to notice how sensitive structures, such as schools and hospitals/health care structures are not affected by flooding in this area.

The comparison between the hazard maps and the vulnerability maps along profile lines is presented in Fig. 5c. The typologies of vulnerability are “flooding” and “damage to structures” (Fig. 3). The symbols in the figure refer to the 10 and 100-year return period scenarios. In fact, for both scenarios the area shows the same vulnerability (the legend is named accordingly). The comparison between the P3 hazard scenario (10-year return period) and the VaPL mapping shows that along the northern profile there is a full agreement, while along the southern profile the VaPL method predicts inundation while the hazard cartography underlies the probable flooding of the structures located close to the beach but not of hinterland zones. If we compare the P2 scenario (100-

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year return period) with the VaPL mapping, there is complete agreement along both profiles.

#### 4.2.2 Lido di Classe – Lido di Savio – Cervia sites

The hazard maps were qualitatively validated through the comparison with floods and damages measured after the 31 October–1 November 2012 storm (Harley et al., 2015) that was characterised by very high surge levels (1.15 m a.m.s.l., measured at Porto Corsini tide gauge, Ravenna, Fig. 1), between a 1-in-20 and a 1-in-50-year return period event and a significant wave height of 2.41 m (measured at the Cesenatico buoy, Fig. 1), slightly lower than the 1-in-1-year return period event. After the storm, the SGSS surveyed the location of flooded areas along the whole coastline and collected information on damages, beach erosion, inundation, damage to protection structures and river flooding as reported by local Technical Services. The surveys were carried out with an RTK-DGPS (vertical accuracy of  $\pm 0.05$  m; horizontal accuracy of  $\pm 0.5$  m). The qualitative comparison is shown in Fig. 6a for the area of Lido di Classe – Lido di Savio and Cervia, Ravenna province, together with the vulnerability typologies along profile lines. The typologies, as occurred for the Viserba site, are identical between the 10 and 100-year return periods. In Fig. 6b the corresponding risk map is also presented. In the northern area, the Technical Services reported flooding (green star in Fig. 6a), while, in the southern part, damage to bathing establishments was observed (red cross in Fig. 6a).

The VaPL prediction is consistent with the reported consequences of the storm. For example, the area close to the Savio River mouth (located in the northern section of the area) experienced flooding and indeed the vulnerability typology is consistent with the observed damage. Furthermore, the field survey shows that the first line of structures located on or close to the beach experienced damage and inundation. Again, the vulnerability along profile lines is consistent. The only non-consistent result occurs in the southern area where a larger inundation was surveyed, while the symbol indicates “damage to structure”.

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Mediterranean. The fact that the methods were qualitatively validated through the analysis of real cases provides robustness compared to purely theoretical approaches, based only on probabilistic considerations. The most important source of information to assess the reliability of the evaluations was an in-depth knowledge of the coastal territory which has been developed by the leading end-user (SGSS) in the region. A good knowledge of historical and more recent landscape evolution, as well as the availability of a database of historical information on extreme events and their consequences has provided test data for the flooding assessments. Thus, the large number of datasets used to implement and test both methodologies described in the current paper confirm that they are robust and provide reliable results.

Both approaches used in this paper are strictly dependant on the quality of the marine forcing parameters used for the evaluation. Return periods were obtained from literature sources which need updating and the wave and surge components were treated separately while a joint probability may occur. The SGSS will improve the methodology developed so far by updating the analysed return periods with more recent datasets and evaluating the combined probability of occurrence of storms and surge levels. However, this was not feasible within the time-scale set by the EU directive.

One of the most important outcomes of the present work is related to the resolution and accuracy of Digital Elevation Models in controlling the quality of flooding assessments. A high-resolution analysis is fundamental to take into account micro topographic variations and the presence of human structures, especially in highly developed areas like urban ones. The positions of passages that favour landward flows were detected only because a high resolution Digital Elevation Model was used. They are considered as weak points along the coastline that have to be given special attention as they act as preferential routes for flooding. The SGSS is carrying out a detailed analysis of surveyed storm impacts to define if the identified passages have in reality acted as preferential paths during recent events.

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The risk maps developed with the cost-distance method identified that where flood defence structures are located along the coastline (artificial embankment, dykes, rubble mound slopes), e.g. in the Ferrara littoral, these areas are more resilient, compared to others where the only defence are wave dissipating structures (e.g. breakwaters).

However, in the evaluation carried out through the VaPL method, only overtopping was considered in the case of dyke and rubble-mound slopes, but not structural failures. These aspects deserve further investigation, as well as the computation of overtopping discharge as this will control the quantity of water flowing landward.

The two methods used for this study have provided critical strategic information that could be used in the future to design effective integrated strategies and to improve future coastal planning. The coastal area of Emilia-Romagna is indeed under considerable pressure from urban development. Ideally, the methods could be used for developing set-back criteria for decreasing risk (Nordstrom et al., 2015), coupling the analysis with a full cost-benefit economic evaluation of adaptation measures. Finally, the method can be applied to any other area exposed to risk from marine flooding because of its simplicity and low demand for computational resources. The only limit remains the availability of good topographical and hydraulic information.

*Author contributions.* L. Perini and L. Calabrese designed, implemented and refined the methodology adopted to produce hazard maps. G. Salerno built the model into ArcGIS® and developed the code. P. Ciavola and C. Armaroli designed, implemented and tested the methodology of the vulnerability along profile lines in close cooperation with L. Perini and L. Calabrese. C. Armaroli prepared the manuscript with contributions from all co-authors, prepared the figures and made the comparison between the two methodologies and the surveyed data.

*Acknowledgements.* C. Armaroli and P. Ciavola acknowledge the support of the RISC-KIT project (EU contract 603458), details at [www.risckit.eu](http://www.risckit.eu). The Authors are grateful to P. Luciani of SGSS for the field surveys and GIS support.

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**Table 2.** Total water level values of each scenario. Comments on RP > 100 can be found in the text.

Scenario	Return period (years)	Storm-Surge (m)	High spring tide (m)	Wave set-up (m)	Total water level (m)
Frequent (P3)	10	0.79	0.40	0.30	1.49
Low frequency (P2)	100	1.02	0.40	0.39	1.81
Rare (P1)	> 100	–	–	–	2.5

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**Table 3.** Risk classes obtained through the matching between hazard scenarios and damage values given to different land use categories.

Damage	Hazard		
	P3	P2	P1
D4	R4	R3	R2
D3	R3	R3	R1
D2	R2	R2	R1
D1	R1	R1	R1

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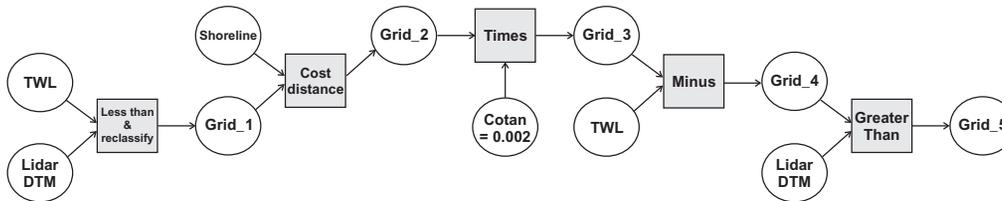





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**Figure 2.** Flow diagram of the ArcGIS® model: input and output are represented by ellipsoids; the ArcGIS® tools (Less Than, Reclassify, Cost Distance, Times, Minus, Greater Than) are represented by rectangles.

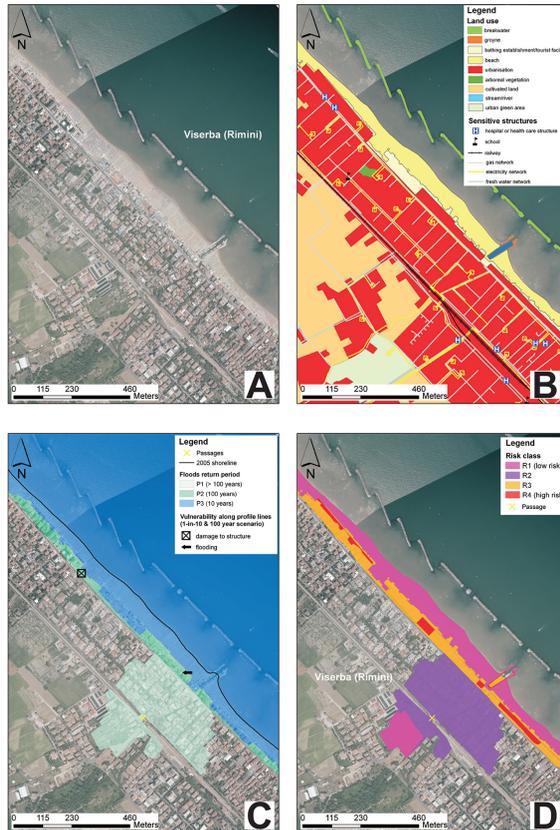






## Evaluation of coastal vulnerability to flooding in Emilia-Romagna, Italy

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**Figure 5.** The Viserba, Rimini province, site. **(a)** 2005 aerial photograph of the area (AGEA flight); **(b)** land use map and sensitive structures; **(c)** hazard map; **(d)** risk map. The symbols in panel **(c)** represent the vulnerability typologies of the 10 and 100-year return period scenarios. The yellow cross represents the location of a low-lying passage.

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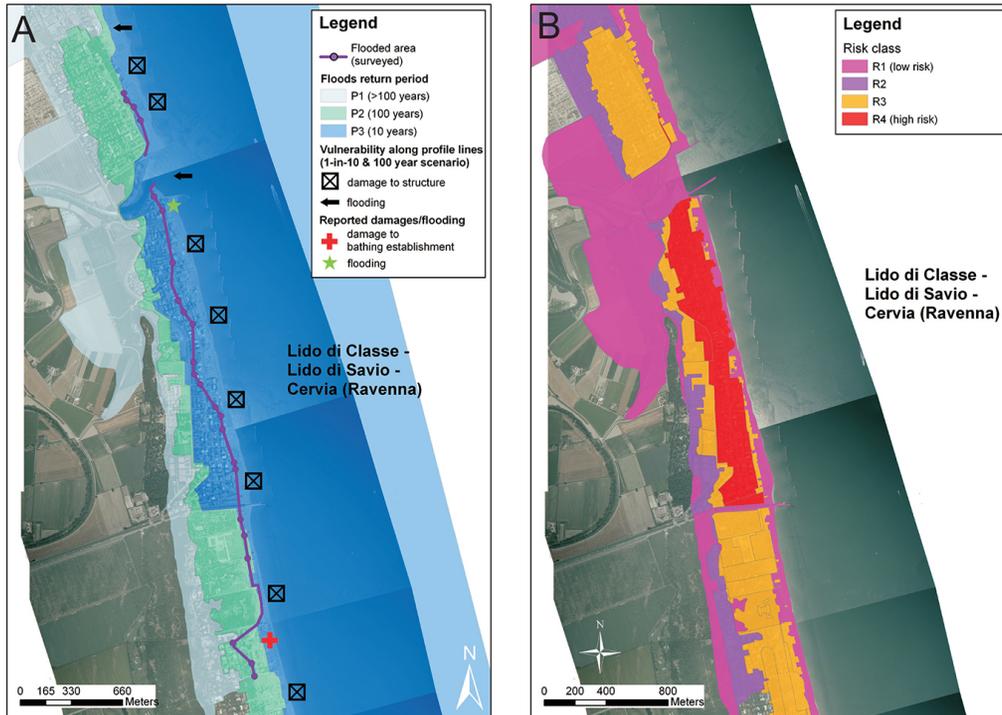
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**Figure 6.** The Lido di Classe – Lido di Savio – Cervia sites. **(a)** Hazard map, post-storm survey, reported impacts and symbology along profile lines of the 10 and 100-year return period scenarios; **(b)** risk map.

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