



**PM₁ geochemical and mineralogical
characterization
using SEM-EDX**

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**PM₁ geochemical and mineralogical
characterization using SEM-EDX to
identify particle origin – Agri Valley pilot
area (Basilicata, Southern Italy)**

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dust), which can impact negatively on the environment, air quality and human health (e.g. Migon et al., 1997; Sokolik and Toon, 1999; Nickovic, 2002; Pope et al., 2002, 2009; Moshhammer and Neuberger, 2003; Jawad Al Obaidy and Joshi, 2006; Pope and Dockery, 2006; Middleton et al., 2008; Kleanthous et al., 2009; Klein et al., 2010; Nickovic et al., 2012; Paternoster et al., 2014).

In order to obtain a complete typological characterization of atmospheric aerosols and to assess their role in the environment, it is important to apply measurement and characterization methods integrating conventional techniques. Scanning Electron Microscopy with Energy-Dispersed Analysis (SEM-EDX) play a very important role, providing morphological, chemical and mineralogical data fundamental in understanding the formation mechanisms of aerosols and distinguishing between natural and anthropogenic origin.

A PM₁ geochemical and mineralogical characterization was carried out using SEM, in a pilot site in the Agri Valley, to distinguish between the natural and anthropogenic origin of the finer atmospheric aerosols in an area of great environmental concern due to the presence of the largest European on-shore reservoir and an oil pre-treatment plant. In order to identify anomalies in the geochemical and mineralogical characters of the particles, observations were carried out from 22 September to 1 October 2012, before, during and immediately after a burning torch flare event on 28 September 2012.

The study focused on PM₁ as it is widely studied and recognized as a primarily anthropogenic aerosol, and its composition can be considered a good indicator of atmospheric pollution derived from SO₂ emissions (e.g. Nazaroff et al., 1990; Morawska et al., 1998; Wehner et al., 2002; Wiedensdohler et al., 2002; Alastuey et al., 2004; Monkonnen et al., 2005; Morawska et al., 2008; Weinzierl et al., 2009). Moreover, it is thought to be less affected by natural windblown dust than PM₁₀ and PM_{2.5} (e.g. Haller et al., 1991; Claiborn et al., 2000; Kegler et al., 2001).

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2 Pilot site

The pilot site (40°20'8" N, 15°54'7" E, 844 m.a.s.l.) is located in the village of Viggiano (Potenza, Southern Italy), in the vicinity of C.O.V.A., a source of anthropogenic emissions located in the bottom of the valley, more than 200 m downstream (Fig. 1). There are also some industrial settlements nearby, the surrounding zones are mainly rural, agricultural activities are prevalent and there are extended woodlands and pastures in middle-mountains, partially protected by the Appennino Lucano – Val d'Agri – Lagonegrese National Park. The area has local road networks, modest traffic volumes, and a busier motorway (S.S. 598) linking the Agri Valley with the cities of Potenza and Taranto. This sampling site was chosen as Viggiano is the most populated town in the area, making it a more representative site with respect to potential risk for human health. Some key meteorological parameters were also available, such as atmospheric pressure, temperature, relative humidity and precipitations, which were provided by the Viggiano Civil Protection weather station.

The site has a mountain climate influenced by Mediterranean atmospheric circulation, resulting in dry summers and cold winters with precipitation concentrated in autumn and winter. This high rainfall is due to a proximity to the southwest Lucanian Apennine mountains, one of the wettest zones in Basilicata due to its exposure to Atlantic humid currents (Basilicata Region, 2006).

From 22 September to 1 October 2012, the weather station recorded average temperatures between 18.6 and 25.6°C, with a peak of 30.9°C on 29 September. There was no rainfall and average relative humidity ranged between 26 and 62% (Fig. 2). During this period the Mediterranean Basin also experienced a dust episode, affecting the studied area (Fig. 3).

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3 Sampling, SEM-EDX analysis procedures and settings

As reported in Caggiano et al. (2010), PM₁ samples were collected using a low-volume gravimetric sampler equipped with a PM₁ cut-off inlet and polycarbonate filters. Sampling time was 24 h (starting from 12 p.m.). Each filter was humidity-conditioned in a filter-conditioning cabinet ($T = 20 \pm 2^\circ\text{C}$ and $\text{RH} = 50 \pm 5\%$) for 48 h, before and after sampling.

Microscopic analyses were carried out using a Field Emission Scanning Electron Microscope (FESEM, Zeiss Supra 40) equipped with an Energy Dispersive X-ray Spectrometer (EDX, Oxford Instruments). Portions of filter (about 0.5 cm^2) were attached to aluminum stubs (diameter 12 mm) using carbon sticky tabs and subsequently carbon coated. SEM images were obtained using both secondary (SE) and back-scattered (BSE) electrons. X-ray analyses were carried out using an energy-dispersive Si(Li) detector able to detect elements with $Z \geq 5$, nevertheless carbon and oxygen were not taken into account because they are components of polycarbonate substrate and carbon is used for coating the samples. Elemental composition characterization of particles was performed using the Inca Energy 350 Suite software.

Morphological and chemical analyses of particles were performed both manually and automatically. Automatic analyses were possible when an intense and clear signal was collected by BSE. By considering the Feret diameter (D_{Fe}), used for determining particle sizes (Merkus, 2009), finer particles ($D_{\text{Fe}} < 0.7\ \mu\text{m}$) were excluded due to the very weak image provided by the detector, and were only manually analyzed. On coarser particles ($D_{\text{Fe}} \geq 0.7\ \mu\text{m}$) automatic analyses were carried out by using Inca Feature software, presetting a BSE intensity threshold and using the following instrument parameters: working distance of 8.5 mm, acceleration voltage of 20 kV, aperture size of $60\ \mu\text{m}$ and magnification of $15\ 000\times$. X-ray acquisition time was fixed at 20 s. The settings and area layout chosen allowed the detection and analysis, for each sample, of about 500 particles in random fields of view. Mamane et al. (2001) reported that physical and chemical properties of a sample can be well represented by analyzing several

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hundred particles. Accordingly, 500 particles detected were considered representative of the entire filters, showing a good homogeneous distribution of particulate. Elemental composition and morphological features of each particle were determined. The error associated with automated analysis, such as overlapping particles, contrast artifacts and sizing error, were corrected or eliminated by both manual off-line data review and manual data reacquisition from selected particles, in order to improve data quality.

Clustering of coarser particles ($D_{Fe} \geq 0.7 \mu\text{m}$) was carried out according to the rules indicated by Coz et al. (2009), with some modifications. In particular, we could distinguish between quartz ($\text{Si} \geq 90\%$) and kaolinite ($\text{Si} + \text{Al} \geq 90\%$), thanks to the use of polycarbonate filters instead of aluminium foils. The rules of Coz et al. (2009) were also considered in identifying sub-categories within the group of aluminosilicates (kaolinite, smectite and illite/mica). The average Al/Si ratio of the $\geq 0.7 \mu\text{m}$ fraction was calculated for each filter using the results of the particle elemental characterization. Particles without peaks in their X-ray spectra were reallocated and manually characterized in order to classify them from their morphological features.

Particle concentration on filter was also calculated as a ratio between the number of particles counted and the whole scanned surface.

Manual characterization of finer particles ($D_{Fe} < 0.7 \mu\text{m}$) was performed using SE images, reducing acceleration voltage to 15 kV and aperture size to $30 \mu\text{m}$, and increasing magnification to $25\,000\times$. About 300 particles were analyzed in each sample. This number is comparable to other studies carried out using the manual procedure (e.g. Paoletti et al., 1999; Ebert and Weinbruch, 2001; Lettino et al., 2012).

4 Results

The analyses performed allowed us to identify eight main types of particulate: silica particles, aluminosilicates, carbonates, biogenic particles, non biogenic C-rich particles, metal particles, S-rich particles and secondary particles with low-Z elements (Fig. 4). These particles were distributed differently in the $\geq 0.7 \mu\text{m}$ and $< 0.7 \mu\text{m}$ fractions.

Calcium sulfates were also present as residual gypsum (Fig. 4g). Smaller amounts of sodium sulfates, potassium sulfates and barium sulfates were recognized. A few droplet shaped particles counting only S (following OSP) were also identified.

In the finer fraction ($D_{Fe} < 0.7 \mu\text{m}$), particles with low-Z elements (i.e. C, N, O) were prevalent, such as soot and droplet shaped ammonium nitrates (Fig. 4h). Secondary phases represented by amorphous or droplet shaped particles, in which the EDX microanalysis detected only sulfur and/or sodium (ammonium sulfates, sodium nitrates, sodium sulfates or their mixtures) were also observed.

Mineral component of the aerosol is less abundant than that observed in the $\geq 0.7 \mu\text{m}$ fraction, with no change in composition: aluminosilicates, silica particles and carbonates were present in decreasing order. EDX spectra of these particles sometimes show a small peak in sulfur. Calcium sulfates were also present as residual gypsum.

5 Discussion

The $\geq 0.7 \mu\text{m}$ and $< 0.7 \mu\text{m}$ particle size fractions show very different compositional characters with respect to the distribution of natural and anthropogenic components.

In the coarser fraction ($D_{Fe} \geq 0.7 \mu\text{m}$) anthropogenic component consists mainly of soot. A few metal particles detected show a spherical morphology unequivocally indicative of an anthropogenic origin associated with combustion processes. The remaining metal particles (mainly iron or titanium oxides), show an irregular shape which did not allow the identification of origin.

Natural component is dominated by geogenic particles, originating from crustal erosion. They are composed of aluminosilicates (mainly clay minerals), quartz and carbonates (calcite and dolomite), in decreasing amount order. These mineral phases are consistent with lithological and pedological characters in the pilot area, characterized by soils on calcareous, marly or arenaceous substrates, moderately evolved by brunification and removal of carbonates (Basilicata Region, 2006). Biogenic particles (e.g. pollens, vegetable fragments, brocosomes) also contribute to the natural component.

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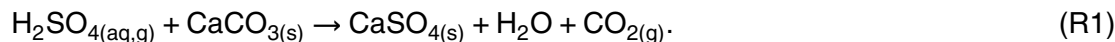
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As shown in Fig. 7, S-rich aerosols consist mainly of mixed particles with a composed origin, as it can originate from deposition and heterogeneous nucleation (Kandler et al., 2007) of secondary sulfates (anthropogenic component) on mineral dust (natural component). Formation of CaSO₄ regular crystals accreted upon the surface of the pre-existing carbonate phases can result from interaction between H₂SO₄ and rich-Ca mineral particles (mainly carbonates), according to the following reaction (Harrison and Kitto, 1990; Clarke and Karani, 1992; Zhuang et al., 1999; Alastuey et al., 2004):



A geogenic S-rich component, represented by residual gypsum, is also present in minor amounts. This phase is a constituent of desert soil (Schwikowski et al., 1995; Claquin et al., 1999) and it is supposedly linked to a Saharan dust episode rather than an autochthonous contribution, hardly justifiable in the light of the lithologies outcropping in the area. Conversely, the OSP consist of secondary sulfur compounds of anthropogenic origin.

Total particle surface concentrations are reported in Fig. 8a. The largest amounts of particles in the coarser fraction were observed on 28 September 2012, due to increased geogenic particle quantities (Fig. 8b). Indeed, the dry deposition of crustal particles seems to make a significant contribution to the PM₁ accumulation on the filters, limited to the $\geq 0.7 \mu\text{m}$ fraction.

As shown in Fig. 7, highest values of S-rich particle surface concentration were detected on the day of the flare, due to a significant increase in mixed particles. This increase is probably favoured by larger amounts of crustal particles that serve as substrate to nucleation or deposition of secondary sulphates.

Highest values of gypsum particles were detected on 24 September and 28 September, allowing the hypothesis that the Saharan dust episode influenced the PM₁ sampled on these dates, which also show a relative and absolute peak of geogenic particles respectively (Fig. 8b). Furthermore, these particles often have rounded morphologies or chamfered edges, and consist mainly of clay minerals (smectite, kaolinite and illite/mica

in variable proportions) and quartz, which are the main components of atmospheric Saharan dust (e.g. Krueger et al., 2004; Brooks et al., 2005; Coz et al., 2009; Kandler et al., 2009). Particularly, on these dates the highest amounts of quartz were detected (Figs. 5 and 6), in accordance with the high mechanical stability of this mineral phase.

5 Average Al/Si ratios for each sample are consistently close to the value of 0.3, with lowest values on 24 September and 28 September (respectively 0.23 and 0.24, Fig. 9).

Al/Si ratios > 0.3 are generally considered indicators of desert dust (Molinaroli et al., 1996; Guerzoni et al., 1997; Blanco et al., 2003; Kandler et al., 2007; Matassoni et al., 2011), however Coude-Gaussen et al. (1987) have already found that the Al/Si ratio can vary with particle size. They noted that finest fractions have an Al/Si ratio significantly lower than that of mean aerosols, with values below 0.3, due to larger amounts of quartz. These fine quartz particles seem to be good indicators of aeolian and desert dust, because their formation can be attributed to impacts between sand grains (Krinsley and MacCoy, 1978), crystalline rock wind corrosion (Wilding et al., 1977) and amorphization of grains (Le Ribault, 1971, 1977), related to saltation and rolling aeolian mobilization. These processes are very efficient in desert areas, due to the absence of vegetation.

In the light of these considerations, the Al/Si ratios detected are in accordance with the assumption that the Saharan episode, particularly on 24 and 28 September, had a significant influence on the PM₁. A wind mark on the quartz particles was made on their morphological characters: they are often very “worked”, with rounded corners and microfeatures probably originating from impacts during long periods of transport.

As shown in Fig. 8c, the highest soot surface densities on the filters was identified on the day after the flare at C.O.V.A. However, soot amounts had been significantly increasing since 25 September, long before the event, suggesting that this increase is related to different causes, such as long range transport, although we cannot exclude a soot contribution from flaring.

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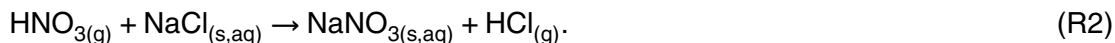
Greatest amounts of OSP were detected on the filter of the day following the flare event. These particles may be indicators of larger amounts of sulphur in the atmosphere.

The finer particles ($D_{Fe} < 0.7 \mu\text{m}$) consist mainly of anthropogenic or composite origin aerosols (soot and secondary particles such as ammonium sulfates, sodium nitrates, sodium sulfates or their mixtures), whereas natural component is much less significant than that in the coarser fraction.

Origin of secondary compounds can be attributed to different formation mechanisms.

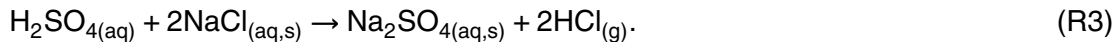
Ammonium sulfates are the product of reaction between sulfuric acid and ammonia, which is the preferential reaction in the atmosphere (Korhonen et al., 1999; Kuhns et al., 2003; Baek et al., 2004; Almeida et al., 2005; Alastuey et al., 2004; Kandler et al., 2007; Vester et al., 2007).

Sodium nitrates could originate from the Reaction (R2) between sea salt and gaseous nitric acid (Savoie and Prospero, 1982; Harrison and Pio, 1983; Harrison et al., 1994; Finlayson-Pitts and Hemminger, 2000; Laskin et al., 2002).



The supply of sea salt could be related to the pathways of air mass coming from North Africa across the Mediterranean Sea. Only a few unprocessed sea salt particles were detected, probably due to nitrates quickly replacing chloride (e.g. Laskin et al., 2002). Furthermore, the behavior of the hygroscopic NaCl particles can encourage aggregation with silicate particles, abundant in Saharan dust outbreaks (Levin et al., 2005; Matassoni et al., 2011).

The origin of sodium sulfates can be attributed to an analogous mechanism, ruled by the Reaction (R3) between H_2SO_4 and NaCl (Savoie and Prospero, 1982; Harrison and Pio, 1983; Querol et al., 1998; Meszaros, 1999; Zhuang et al., 1999; Alastuey et al., 2004)



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Wind erosion from saline dry lakes (Garrett, 2001) might contribute to a geogenic sodium sulfate component.

6 Conclusions

The pilot site's PM₁ contains a significant allochthonous component. Fine quartz particles and lower Al/Si ratios represent good markers for a desert dust origin, proving that Saharan dust episodes can play a significant role in supplying geogenic aerosol components to the PM₁. Therefore, studies of mineral dust and its influence on atmospheric processes, terrestrial environment and human health should not be neglected in this fraction.

Soot is the main anthropogenic component in the $\geq 0.7 \mu\text{m}$ fraction. However, it's not possible to identify a certain cause–effect relationship between soot increment and flaring, because this increase started long before the event and could also be due to the Saharan dust episode which supplies allochthonous soot particles.

Deposition and heterogeneous nucleation of secondary sulfates on mineral dust and formation of composed origin (natural and anthropogenic) particles are very important mechanisms during the period studied, due to the presence in the atmosphere both of sulfur compounds and of geogenic substrates. Furthermore, the reactions of sulfur and nitrogen compounds with dust particles can be considered an important removal mechanism of SO₂ gaseous pollutants and their reaction products present in the atmosphere. This removal mechanism due to dust particles was already indicated for the supermicrometer fractions by Kerminen et al. (1997) and Zhuang et al. (1999).

In the light of these considerations, and in agreement with Alastuey et al. (2005), it can be concluded that Saharan episodes mark the PM₁ composition with regard both to geogenic component and to soot amounts and secondary aerosols, also supplying allochthonous pollutants as already indicated by Formenti et al. (2011) and Rodriguez et al. (2011).

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Figure 1. Location of the sampling site and Agri Valley pre-treatment plant (C.O.V.A.). Copyright AGEA – Orthophoto 2011.

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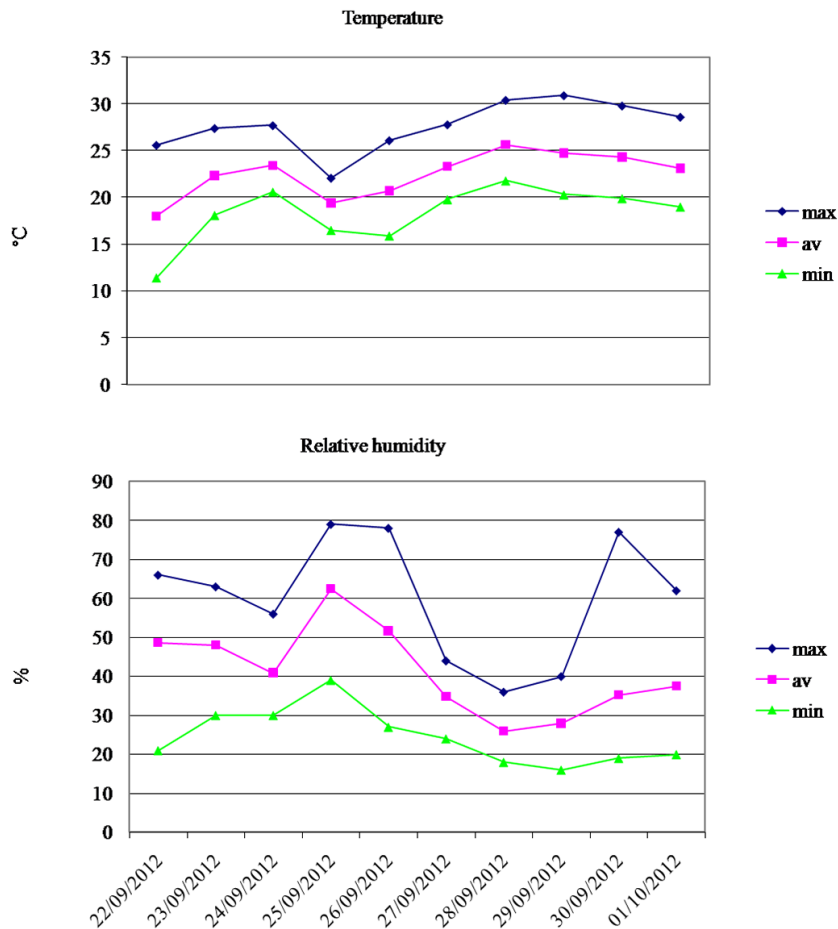


Figure 2. Temperature and relative humidity trends during the observation period at Viggiano Civil Protection weather station.

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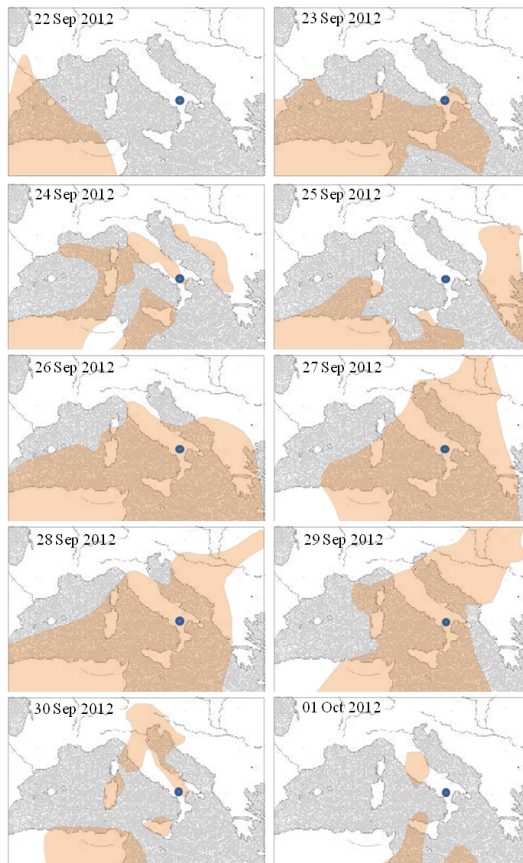


Figure 3. Sketch maps of the Mediterranean Basin highlighting areas with Dust Surface Concentrations $\geq 20 \mu\text{g m}^{-3}$ at 12:00 UTC, from NAAPS (Navy Aerosol Analysis and Prediction System) Archive of US Naval Research Laboratory (<http://www.nrlmry.navy.mil/aerosol/>), modified.

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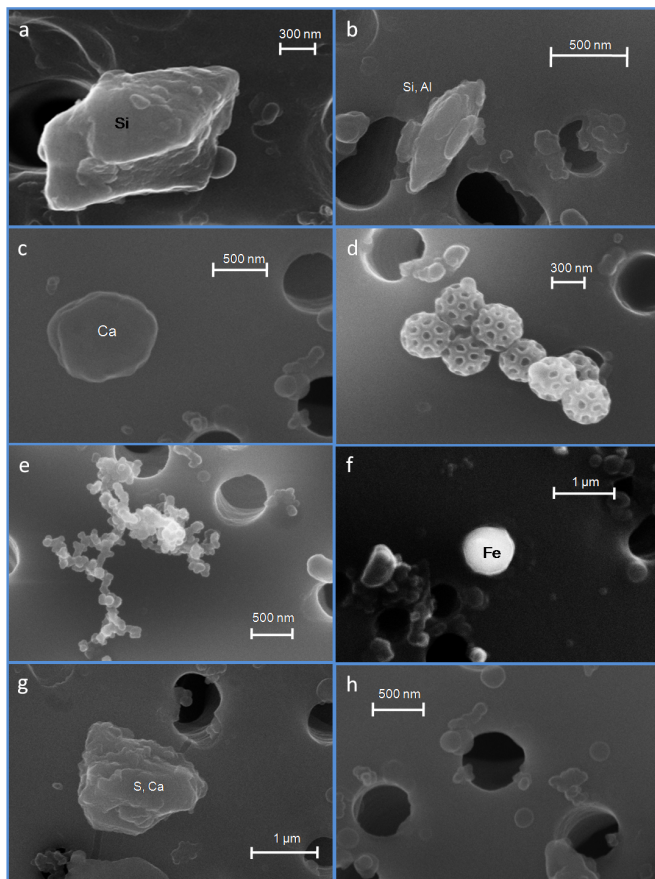


Figure 4. SEM images of each main typology of particles identified: **(a)** silica particle (quartz); **(b)** aluminosilicate (kaolinite); **(c)** carbonate particle (calcite); **(d)** biogenic particle (brocosomes); **(e)** non biogenic C-rich particle (soot); **(f)** metal particle (iron oxide); **(g)** S-rich particle (gypsum); **(h)** secondary low-Z element particles.

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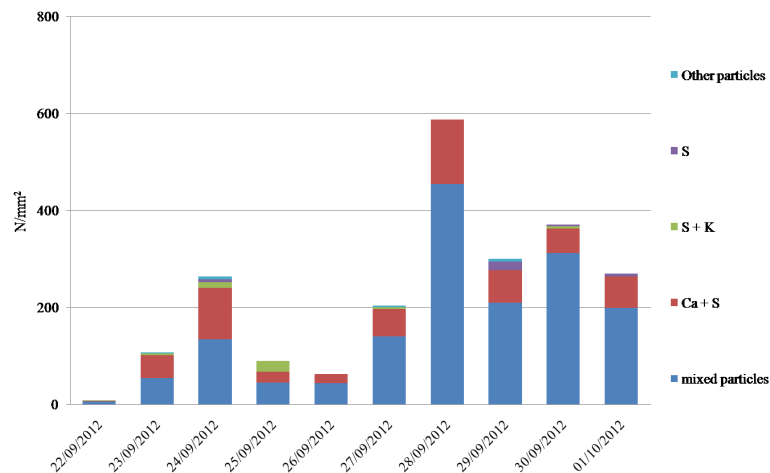


Figure 7. Surface concentration of several S-rich particulate components in the $\geq 0.7 \mu\text{m}$ fraction.

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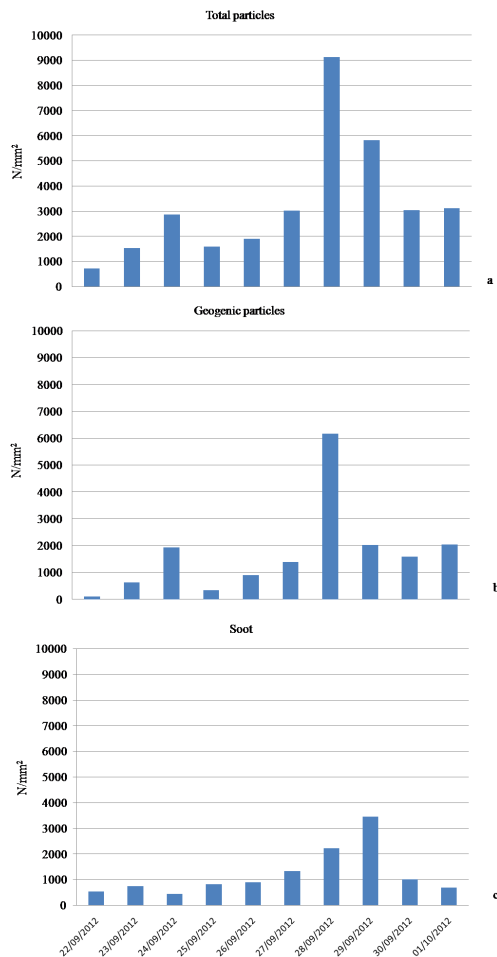


Figure 8. Surface concentration trends of total particles (a), geogenic particles (b) and soot (c) in the $\geq 0.7 \mu\text{m}$ fraction.

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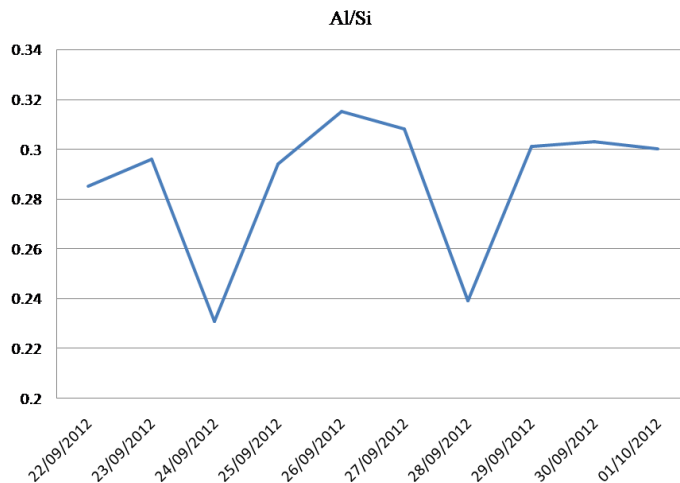


Figure 9. Al/Si ratio trend.

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