



**Regional trends and
controlling factors of
fatal landslides**

S. A. Sepúlveda and
D. N. Petley

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Regional trends and controlling factors of fatal landslides in Latin America and the Caribbean

S. A. Sepúlveda¹ and D. N. Petley^{2,*}

¹Departamento de Geología, Universidad de Chile, Santiago, Chile

²Institute of Hazard, Risk and Resilience, Department of Geography, Durham University, Durham, UK

*now at: School of Environmental Sciences, University of East Anglia, Norwich, UK

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Correspondence to: S. A. Sepúlveda (sesepulv@ing.uchile.cl)

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the Caribbean. Only 4 % of the cases were induced by earthquakes, with the remainder being associated with construction, mining or volcanic activity. In terms of fatalities it is remarkable to note that the hurricane-related cases represents over 50 % of the deaths (Fig. 3), and even this might be undersampled as in such events landslide deaths are often not identified as such. Nevertheless, it is important to note that in the 10 year study period there were no cases of extremely large, catastrophic landslides induced by seismicity (such as the 1970 Huascarán earthquake in Peru; Evans et al., 2009), volcanism (such as the 1985 Nevado del Ruiz eruption in Colombia; Pierson et al., 1990) or rainfall (such as the 1999 Vargas disaster in Venezuela; Bezada, 2009). In each case these earlier events caused over 15 000 deaths. We note that the study period is not associated with a very strong El Niño event, which may be significant in terms of the long term pattern of landslide incidence (see below).

The frequency distribution of the annual data as well as the whole dataset shows a strong inter-annual consistency (Fig. 4), although for events with more than a few hundred of fatalities there are no records for many years. There is a slight reduction in gradient for events with small number of deaths, which has also been identified for the global database (Petley, 2012a). This is probably due to undersampling of small cases, especially from some countries where the number of records is surprisingly low or even null (for example Bolivia and Cuba, respectively). However, there is no “rollover” for the smallest landslide events in the fatality data, as is found for landslide volume and area (Malamud et al., 2004) datasets, except in the case of a small number of the annual curves.

3.2 Temporal and Spatial Distribution and Controlling Factors

The annual total data shows high levels of inter-annual variability in the temporal distribution of events (Fig. 1). However, the annual patterns suggest some seasonality, which is unsurprising given that most of the cases are related to climatic conditions (Fig. 5). In terms of the number of landslide events, peaks occur early in the year and in the September–November period, with the highest peak in early October. The fatal-

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terrain, such as in central Colombia, SE. Brazil and some Caribbean islands, generate more fatal events, illustrating that higher exposure and vulnerability increase the chances of fatal landslide occurrence. At a national scale, population density (Table 2) has a strong positive correlation with landslide density (Fig. 9).

As discussed by Alexander (2005), the location of dense populations in precarious, informal or poor urban settlements in less developed countries is a critical factor in determining high numbers of fatalities in landslide events. An analysis of settlement type, based on the EDFLD data, indicates that while only 41 % of the fatal landslide events were recognized in poor or informal settlements, 81 % of the fatalities occurred in such locations. We have also examined the relationship with other socio-economic factors such as Gross National Income and the Human Development Index (UNDP, 2013). A weak increasing trend of fatalities induced by landslides can be observed for less developed countries, but the scatter is much higher than for population density. A similar result is obtained when the number of fatalities is compared with an indication of the level of corruption in each country using the Country Corruption Perceptions Index (Transparency International, 2013). Once again this shows a positive trend (i.e. that more corrupt countries tend to have more recorded landslides) but once again the level of scatter is high.

The above analyses indicate that the best representation of the spatial distribution of observed landslides at a regional scale is derived from slope gradient, precipitation and population density maps, as noted by Parker (2010) for the original DFLD. Combinations of these factors improve the relationships further. For example, the direct product of slope and mean annual precipitation generates a good fit to the data, which is improved further when population density is included (Fig. 10). Thus, these three factors should be considered as primary controlling factors of fatality-inducing landslides in the study region.

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3.3 The impact of scientific research on landslides in Latin America and the Caribbean

It is generally accepted that research can play a key role in reducing the impact of natural hazards, especially if the knowledge is properly transferred to national and regional agencies in charge of civil protection, urban planning and emergency response. Petley (2012b) showed that for landslides at a global scale, the volume of research (as indicated by the number of published peer-reviewed articles) has increased substantially in the last two decades, but that this development is geographically heterogeneous. He showed that those countries with the highest levels of research (i.e. with the highest number of landslide articles) generally have lower number of fatalities. Note that the relationship is complex, with levels of research also indicating levels of wider societal investment (in for example infrastructure, emergency response and hazard management), which may also reduce landslide losses. In terms of research however, whilst knowledge obtained from one location may be transferable to another, there are many impediments to transfer such knowledge to less developed countries, including the small number of local researchers, a lack of funding and language differences (Petley, 2012b).

In this study we have undertaken a similar but more detailed analysis for Latin America and the Caribbean. Research papers with “landslide” or “landslides” in the title, abstract or keywords published in the 2004–2013 period were searched in all databases available in the Thomson Reuters ISI Web of Science database (including the Web of Science Core Collection, Scielo and others) for every country with records of fatal landslides in the same period (Table 2). The records were searched by country, using the institutional address of at least one of the authors as a national indicator. A total of 354 academic papers were recorded in the period, from which 62 % are from South America, 30 % from Central America and 8 % from Caribbean countries. In common with the global dataset, there is a notable increase (more than double) in the last decade in the number of academic papers published on landslides in the study area. This increase

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tions and large trigger events (over the time period in question, primarily precipitation). Thus, populated, humid upland regions of Brazil, Colombia, Haiti or Guatemala represent zones of high landslide occurrence resulting in loss of life. The role of precipitation is emphasized at the subcontinent scale, where a seasonal pattern is clear in the annual data that reflects the local precipitation cycle (which varies across the region). The mortality rate is higher in less developed countries that undertake little scientific research.

4.1 Precipitation variation and the role of the El Niño Southern Oscillation

For much of Latin America, rainfall events are positively affected by strong El Niño events, especially in southern Andean countries (e.g. Moreiras, 2005; Sepúlveda et al., 2006), while for Colombia an increase of landslide activity has been observed during La Niña periods (Klimes and Ríos-Escobar, 2010). The 1996–1997 El Niño event, the strongest on record to date, was associated with heavy rainfall and large numbers of landslides in the study region. The period of this study coincides with a phase of the El Niño Southern Oscillation (ENSO) in the Pacific (Trenberth, 1997) that has favoured comparatively weak El Niño and strong La Niña events, such that during the study period, no large El Niño events occurred. However, early 2010, which was characterized by moderate El Niño conditions also represents the peak occurrence of fatal landslides in our study, while a weak correlation between La Niña conditions and higher landslide activity can be observed in Colombia and Venezuela, in particular for late 2010–2011.

Thus, the spatial and temporal patterns presented here represent those associated primarily with moderate to strong La Niña periods. It is likely that the spatial and temporal patterns of fatality-inducing landslides will be different during a strong El Niño event. This EDFLD will not properly represent the long-term occurrence of fatality-inducing landslides until such an event is captured. In fact, a study of a smaller dataset between 1993 and 2002 reported by Alexander (2005) returned Venezuela, Nicaragua, Colombia, Haiti and El Salvador as the Latin American or Caribbean countries with more

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deaths caused by landslides, showing that there is only partial coincidence with our dataset from one decade later.

4.2 The role of extreme event triggers

The occurrence of a rare but extreme landslide event, such as the 1970 Huascarán rock avalanche (Evans et al., 2009) or the 1999 Vargas debris flows (Bezada, 2009), may multiply the number of casualties by an order of magnitude or more, making it difficult to extrapolate our results to the long term. As shown by Guzzetti et al. (2000), the average number of fatalities per year is extremely variable, but higher in active regions such as the Andes, which is consistent with our results.

A perhaps surprising finding is that during the study period earthquakes triggered only small numbers of fatality-inducing landslides. Latin America and the Caribbean are known to be prone to seismically-induced landslides (e.g. Bommer and Rodriguez, 2002; Schuster et al., 2002) because of the combination of high rates of tectonic activity and steep slopes. The study period captured the largest earthquake in the region in about 40 years (the 2010 Mw = 8.8 earthquake in Chile) and one of the most disastrous earthquakes in terms of fatalities and damage in recent times (the 2010 Mw = 7.0 earthquake in Haiti). We think that there is a high probability that the latter is under-sampled in terms of landslide-related casualties. This is often the case for earthquakes with large number of fatalities as there is no way to record the phenomenon that caused the loss of life (Petley et al., 2006). There is some photographic evidence that at least some collapses of houses on steep slopes may have been induced by slope failure, but the numbers are unconstrained.

The lack of recorded fatalities from seismically-induced landslides should not be taken to infer that this issue is no longer a problem in Latin America and the Caribbean. Instead, it is the consequence of a paucity of large, shallow earthquakes affecting vulnerable populated areas with steep slopes during the study period. It is likely that the next large earthquake of this type in Latin America and the Caribbean will induce large numbers of fatality-inducing landslides.

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4.3 The World Bank disaster “hotspots” analysis

In a previous assessment as part of the World Bank “hotspots” analysis of natural disasters, Nadim et al. (2006) produced a global-scale landslide hazard and mortality risk map. The EDFLD dataset can be considered to be the realisation of landslide mortality risk over the study period. Whilst in some areas, for example in the Andes and in Central America, there is a good relationship between the landslide and mortality risk maps, in other areas (such as Brazil) the World Bank analysis strongly under-estimates mortality risk. The probable reason for this is that in this approach hazard is assessed by multiplying a number of factors, such as precipitation and seismic hazard. Thus an area of low seismic hazard such as Brazil it tends to generate a comparatively low hazard (and thus risk) score, which therefore fails to capture adequately the true risk in these areas.

However, we also note that the lack of large landslide-inducing seismic events also means that there is no mechanism to benchmark properly the risk from earthquake-induced landslides in Latin America and the Caribbean. This will need further attention in due course.

5 Conclusions

This study has evaluated the occurrence of fatality-inducing landslides in Latin America and the Caribbean in the period 2004 to 2013 inclusive. Over this time period we recorded 611 landslides that caused 11 631 deaths, mostly as a result of rainfall triggers. The geographic distribution of the landslides is heterogeneous, but mostly reflects the combination of relief, precipitation and population density. In urban areas, the presence of informal settlements has a big impact on the number of fatalities, showing the effect of poverty and marginalization.

For the different parts of the study region the occurrence of landslides reflects the annual precipitation. In the longer term the dataset has not captured a strong El Niño

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Table 1. Number of fatal landslides and fatalities for each country with positive cases.

Country	Fatal Landslides 2004–2013	Fatalities 2004–2013
CARIBBEAN		
Dominica	1	3
Dominican Republic	11	48
Grenada	1	1
Haiti	33	4529
Jamaica	10	20
Puerto Rico	2	2
St Lucia	1	5
St. Vincent and the Grenadines	4	9
Trinidad and Tobago	9	12
CENTRAL AMERICA		
Costa Rica	17	97
El Salvador	21	220
Guatemala	64	2264
Honduras	15	70
Mexico	72	493
Nicaragua	3	53
Panama	8	26
SOUTH AMERICA		
Argentina	6	20
Bolivia	6	35
Brazil	119	2262
Chile	15	49
Colombia	110	880
Ecuador	18	101
Peru	38	357
Suriname	1	7
Venezuela	26	68
TOTAL	611	11 631

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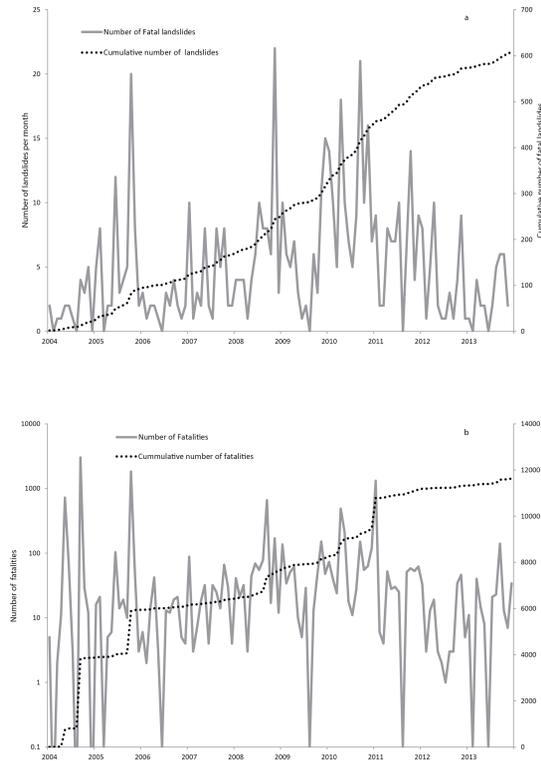


Figure 1. Number of **(a)** fatal landslides and **(b)** fatalities caused by landslides in the period 2004–2013 in Latin America and the Caribbean, based on monthly records. The dotted lines show the cumulative records, showing a smooth curve for the landslides and a stepped curve for the fatalities due to catastrophic events with large number of deaths on single landslides or multiple events in matter of a few days.

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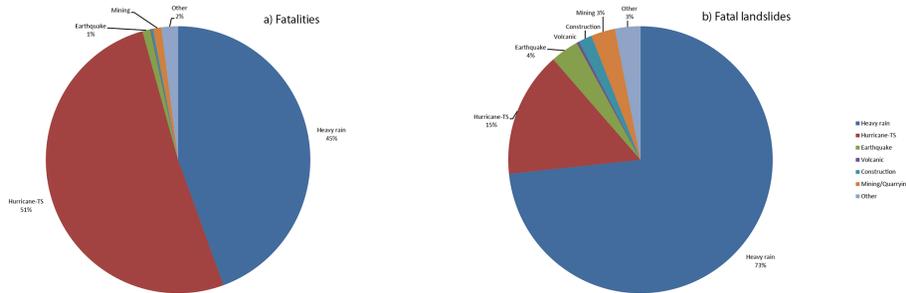


Figure 3. Main triggers of fatal landslides in the studied period. **(a)** Distribution of fatalities and **(b)** distribution of fatal landslides according with the reported trigger for each event.

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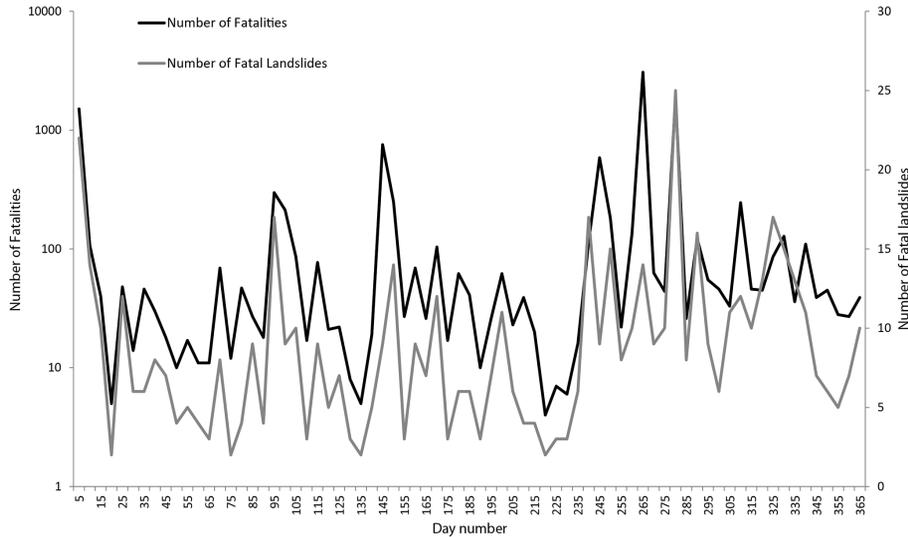


Figure 5. Annual cycle of fatal landslides and fatalities shown in five-day bins (pentads).

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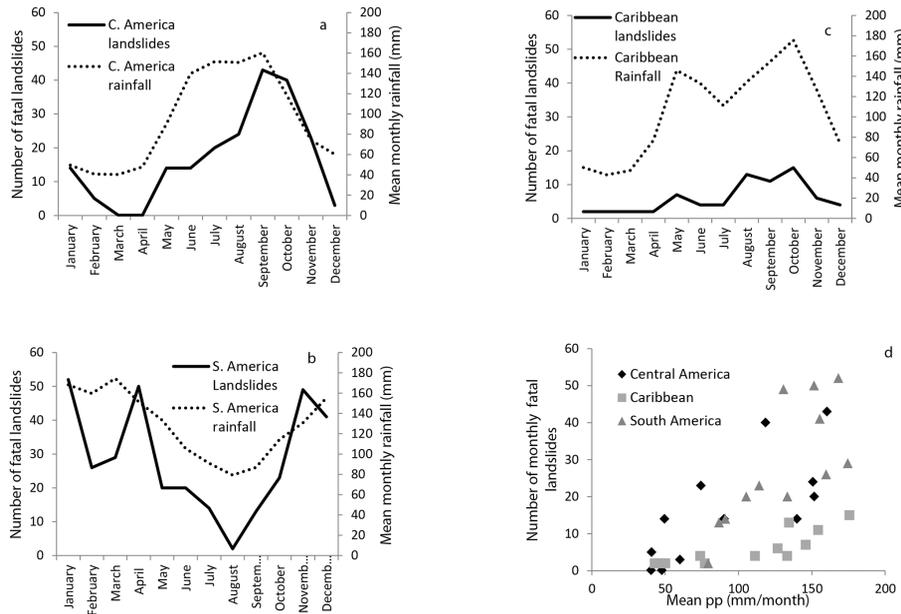


Figure 6. Monthly distribution of landslides in 2004–2013 and mean monthly precipitation in the same period (GPCC 1° dataset, Schneider et al., 2011b) in **(a)** Central America, **(b)** South America and **(c)** the Caribbean; and **(d)** relationship between fatal landslides and amount of monthly rainfall for the three regions.

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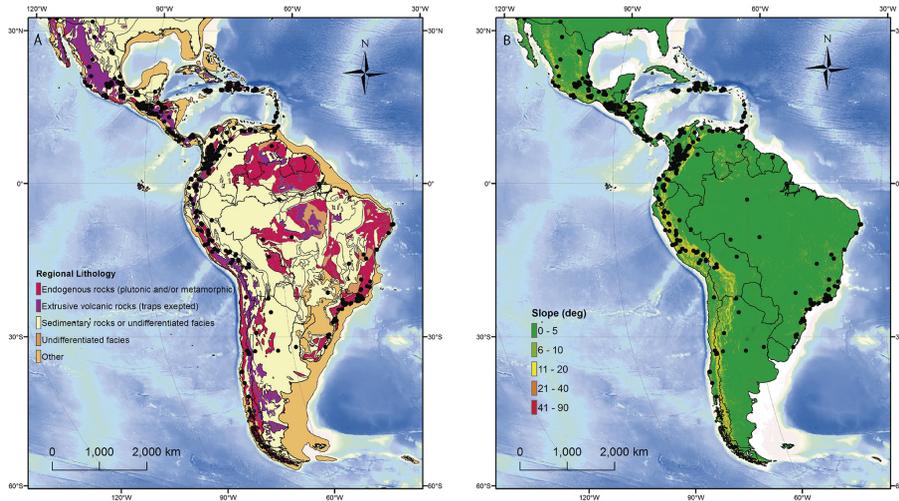


Figure 7. Spatial distribution of landslides (black dots) on top of a geological map (Geological Map of the World, CGMW, 2010, left) and a slope map (STRM30 database, right).

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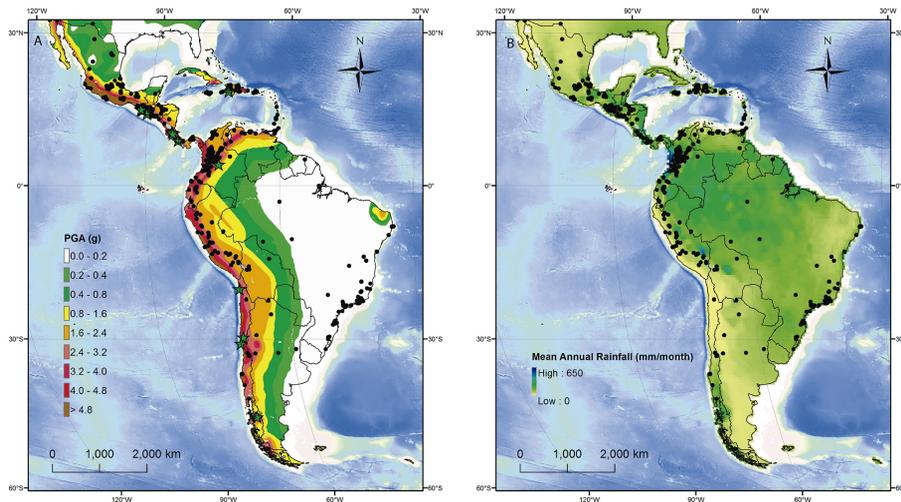


Figure 8. Left: GSHAP seismic hazard map (Giardini et al., 1999, 2003) compared with fatal landslide distribution (black dots). Green stars represent those fatal earthquake-induced landslides in the 2004–2013 period. Right: mean annual precipitation (GPCC 0.5° dataset, Schneider et al., 2011a) map and fatal landslides (black dots) for the 2004–2013 period.

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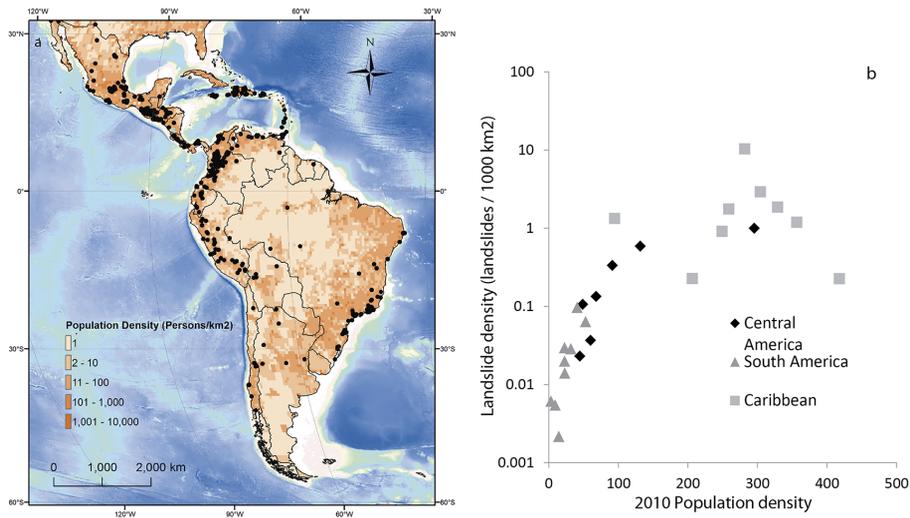


Figure 9. Population density map (year 2000 data, NEO 2014) and fatal landslide distribution (black dots). **(b)** Landslide density vs. population density per country.

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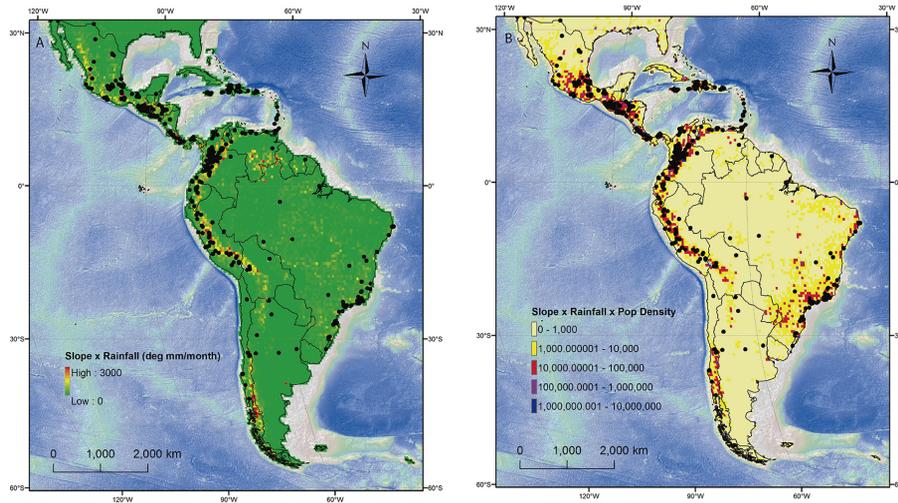


Figure 10. Combined maps of: product of slope and mean annual rainfall (left) and product of slope, mean annual rainfall and population density (right).

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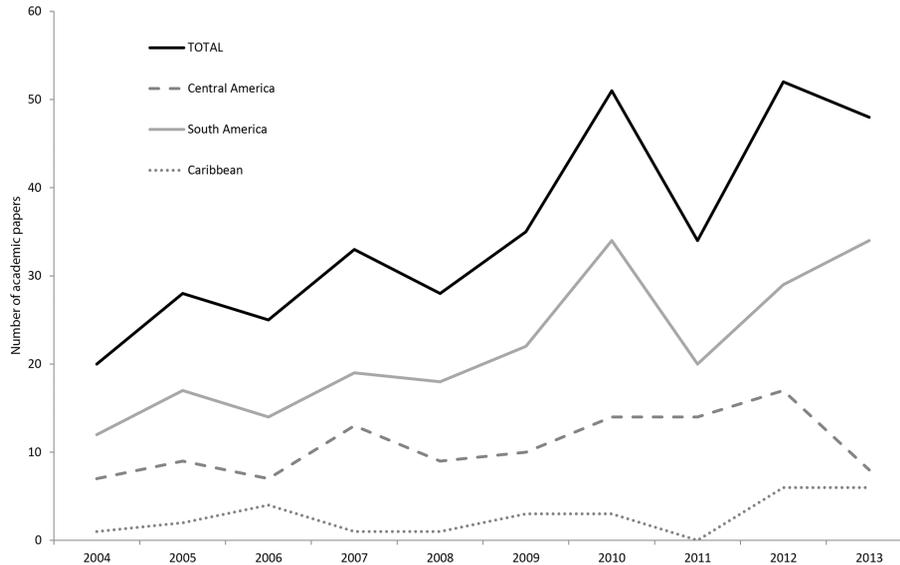


Figure 11. Scientific papers on landslides (Web of Science databases) annual distribution of all countries with recorded fatal landslides.

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