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A hybrid model for mapping simplified seismic response via a GIS-metamodel approach Grelle G.^{1*}, Bonito L.¹, Revellino P.¹, Guerriero L.¹, Guadagno FM.¹

Key words: Earthquake hazard, Geographic Information Systems, Metamodeling, Seismic microzonation,

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Seismic response.

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11 Abstract

12 In the earthquake prone area the site seismic response due to lithostratigraphic sequence plays a 13 main role in the seismic hazard assessment. A hybrid model, consisting of GIS and metamodel 14 (model of model) procedures, was introduced with the aim to estimate the 1D spatial seismic site 15 response in agreement with spatial variability of sediment parameters. Inputs and outputs are 16 provided and processed by means of an appropriate GIS model, named GIS Cubic Model (GCM). 17 This consists of a block-layered parametric structure aimed to resolve a predicted metamodel by 18 means of pixel to pixel vertical computing. The metamodel, opportunely calibrated, is able to 19 emulate the classic shape of the spectral acceleration response in relation to the main physical 20 parameters that characterize the spectrum itself. Therefore, via the GCM structure and the 21 metamodel, the hybrid model provides maps of normalized acceleration response spectra. The 22 hybrid model is applied and tested on the built-up area of the San Giorgio del Sannio village, 23 located in a high-risk seismic zone of Southern Italy. Efficiency tests show good correspondence 24 between the spectral values resulting from proposed approach and the 1D physical computational 25 models. Supported by lithology and geophysical data and corresponding accurate interpretation 26 about modelling, the hybrid model can be an efficient tool in the assessing of the urban planning 27 seismic hazard/ risk.

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29 **1. Introduction**

In earthquake-prone areas, microzonation studies assume a main role in urban planning and managing seismic risk. For this purpose, several studies have been proposed by several authors with the aim of consolidating knowledge on local amplification (e.g. Grasso and Maugeri, 2012; Bianchi Fasani et al., 2008; Scott et al., 2006; Thuladar et al., 2004; Maresca et al, 2003) or introducing methods and procedures aimed at evaluating or estimating the seismic site response (e.g. Papadimitriou et al., 2008; Kienzle et al., 2006; Jimenez et al., 2000). Microzonation studies are developed at three different detail levels and depths (ISSMGE-TC4, 1999), depending on the type and amount of geological, geotechnical and geophysical data available. In contrast to the first two levels, the third level of detail analytically quantifies the seismic response by providing building design parameters. Many building codes, like Eurocode 8 and FEMA 356, require seismic design actions to be expressed in terms of spectral acceleration at surface level, derived from spectral acceleration at bedrock level in combination with the amplification due to the sediment column.

7 In addition to a need to have a sufficient amount of information suitable for the seismic 8 microzonation approached, computerized data management and spatial distribution in terms of 9 input and output/outcome is also a requirement. Therefore, Geographic Information Systems (GIS) 10 contribute the most to maximizing the available data in assessing or estimating ground-motion 11 amplification (Kolat et al., 2006, Ganapathy 2011, Hashemi and Alesheikh 2012, Turk et al., 2012, 12 Hassanzadeh et al., 2013) as well as seismic-induced effects (Grelle et al., 2011, Grelle and 13 Guadagno, 2013). In this regard, literature suggests approaches based on either experimental geophysical methods, such as dynamic low-strain (linear) measurements, mainly from ambient 14 15 noise, or else numerical simulation methods of linear or non-linear stress strain response during 16 shear wave propagation in the layered cover. In such experimental methods, GIS are largely used 17 in the spatial distribution of predominant site periods and related amplification factors (Al Yuncha 18 and Luzon, 2000). The methods based on microtremor records don't investigate the possible non-19 linearity effects of the dynamic stress-strain behaviour and seem to provide the good results in 20 geological settings characterised by high impedance contrasts (Bonnefoy-Claudet et al., 2009). 21 However, these methods are largely used because their are more expeditious and of low cost 22 (Mukhopadhyay and Bormann, 2004).

23 In microzonation studies carried out using numerical methods for estimating and evaluating the 24 seismic site response, GIS provide the spatial distribution of parameters that characterize the 25 seismic motion. Kienzle et al. (2006) approached the microzonation of Bucharest by creating a 26 multi-layer geological model and interpolating the values obtained from the transfer function 27 analysis, in map node points, by using linear modelling software such as Proshake (EduPro Civil 28 System, 1999). In the microzonation of Barcellona (Jimenez et al., 2000), the seismic risk hazard 29 was assessed by using the SERGISAI methodology. In this case, the site response analysis was 30 performed using the 1D linear equivalent method of SHAKE91 (Idriss and Sun, 1992), which 31 assumes a system of homogeneous, horizontally layered viscoelastic soil deposits.

Recently, automated procedures for calculating seismic soil response have been introduced. In these procedures the calculation of multivariate regression functions is modelled on the response outputs of 1D non-linear analysis collected in the regional Hellenic dataset, HelGeoRDaS, for different layer soil sequences and input motions (Papadimitriou et al., 2008). Building upon the above mentioned numerical methods, this study presents a hybrid model that is
 capable of predicting the spatial simplified seismic response by coupling GIS and metamodel
 procedures.

4 The hybrid model is based on a GIS model with a layered structure mainly performing a vertical 5 pixel to pixel calculation using and producing data for and from associated "external-GIS" 6 processes. Among the external GIS processes, the metamodeling (modelling of model) assumes 7 the main role. Metamodeling consists in numerical data-driven models training on data-output of 8 physically based models aimed of emulate (approximate) the performance of physically based 9 models itself (Doebling et al., 2002). In this way the metamodel permit to quickly expand the analysis to a greater number of cases. Therefore, the success of these methods on the simplified 10 description of natural phenomena depend both on the regression accuracy and robustness of the 11 12 regression model chosen, its calibration, (Sen and Akyol, 2010) and on the choice of suitable 13 physical models in the training.

14 The proposed approach provides spatial distributions of the spectral acceleration response or 15 spectral amplitude response following the seismic-lithological setting, which is generally modelled 16 on all the quantitative and qualitative (regional knowledge) datasets on the seismic subsurface. 17 This approach permits minimizing the well-known errors and limitations linked to the use of the 18 spatial interpolation method when it is applied to highly irregular spatial data such as seismic 19 response parameters. In addition, the hybrid model is based on a GIS-metamodel calibrated on a 20 geophysical and geotechnical local database. This last aspect gives the model the opportunity to be 21 re-calibrated when the dataset is upgraded.

The hybrid model was applied to the built-up area of San Giorgio del Sannio village in Southern Italy, where a large amount of geological, geotechnical and geophysical data was available.

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27 **2. Hybrid model**

The hybrid model architecture is characterized by clusters of procedures and sub-models (figure 1) where data flow and informations are driven in a semi-automated way using a tool-code written in Python 2.7 (van Rossum and Drake 2005) allowing a fast calculation mainly for regression iterations (Montecarlo technique) and calibration processes.

The code is currently being improved with regard to greater automation and user-friendliness. The main clusters and sub-models of the hybrid model are: i) The Gis Cubic Model (GCM) introduced in this study, ii) a metamodeling process, and iii) pre-processing procedures of inputs on numerical and cartographical datasets. Stemming from this dataset, the data/information flow occurs in sequence cascades between the various clusters, with the exception of a final loop between the
 GCM and the metamodeling process.

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4 2.1 Gis Cubic Model (GCM)

GCM is a simplified and parameterized geometric model of underground half-space. In this way,
GCM is a pseudo-3D physically-layered model based on feature sets and raster-grid calculations.
In the first step, it executes a sequential calculation of raw and pre-treated input data.
Subsequently, in the second step, it performs the calculation of data from metamodeling processes
driven by instructions from the first step.

10 The Gis Cubic Model is based on two main elements: layer and zone (figure 2). The layer corresponds to "litho-dynamic unit" with specific lithology and dynamic properties. This "litho-11 12 dynamic unit" is mainly defined in terms of shear wave velocity depth-depending curve, and by its 13 non-linear dynamic behaviour. The depth depending curves result from the regression analysis of 14 Vs-depth values, which are obtained both from depth and surface seismic geophysical surveys as 15 well as deriving from penetration test parameters or other V_S-correlated parameters from field 16 tests. The layer is a geometric entity that extends on total area but it identifies the corresponding 17 litho-dynamic unit (assuming physic entity) only where this latter is present. The zone is identified by the vertical combination of litho-dynamic units in relation to their presence/absence in the layer 18 19 sequence. 20 The model is set on a "matrix structure" having a dimension $n \times m$, where n is the number of i-

layers constituting the fields of the polygon features, and m is the number of j-zones forming the
records of the polygon features.

23 The GCM claims that the number of layers is generally equal to the number of litho-dynamic 24 units, but it may be greater when one or more litho-dynamic units are repeated in the sequence. 25 The layer position in the sequence is usually in accordance with the chronostratigraphic 26 relationship. In the matrix structure of n-layer sequence, a layer is defined as empty, assuming a 27 value of 0, when the corresponding litho-dynamic unit is not present. Diversely, it assumes a value 28 of 1 if the layer is filled (figure 2). Therefore, given an n-layer sequence, the maximum possible number of m-zones is 2^{n-1} . The bedrock is the nth layer at the base of the sequence, and it is always 29 30 present in a matrix structure assuming a value of 1. A complete sequence shows all litho-dynamic 31 units present in a study area. Two or more types of bedrock involve the multiplication of 32 maximum possible zones in relation to the number of bedrocks.

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35 2.2 Preliminary analysis and identification of layers and zones

The recognition and delimitation of the zones is a key point due to the fact that they entail the
 distribution of a one-dimensional layered model, and therefore the associated seismic response.

3 The geometrical delimitation of zones requires qualitative and quantitative data. A preliminary 4 delimitation based on surface geology can be obtained from field surveys and pre-existing maps. 5 The presence and therefore the spatial extension of litho-dynamic units in the layers is defined by 6 understanding the combined data obtained from borehole drilling and surface geophysical surveys. 7 The spatial distribution of the thickness of the layers, is carried out by means of the map 8 interpolation technique for the definition of the zones. Such a distribution is obtained by the 9 identification of the litho-dynamic units and the interpretation of the litho-stratigraphic profiles in accordance with available seismic-logs. In a preliminary phase, the space-identification of the 10 litho-dynamic unit in the layer is associated to an assigned minimum layer thickness. Therefore, 11 12 taking into account this aspect, layers that in seismic-logs show a thickness less than the minimum 13 layer thickness are considered empty and the thickness must be associated to the next litho-14 dynamic units. Consequently, the zones have litho-dynamic sequences with a thickness not less 15 than the minimum layer thickness. In the preliminary step, the unconfined interpolation of 16 thickness can be performed for all the layers. In a second subsequent step, the values of layer 17 thickness less than the minimum layer thickness are re-assigned to zero, indicating the absence of 18 the litho-dynamic unit. In addition, the minimum layer thickness value corresponds to the depth at 19 which the seismic response output is defined. This depth is usually associated to the mean 20 foundation plane of a building.

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23 2.3 Shear wave velocity depth-dependent curves.

The model requires that the shear wave velocities associated to the cover layer are non linear depth-dependent according to a space-invariant function. The function is a non-linear-log for coverage layers:

27
$$Vs_i(z) = Vs_{0i} + \alpha_i \log(1+z)$$

Rigid bedrock assumes a constant velocity value. If the bedrock is not rigid, the model expects thatthe rigid condition is reached by a linear depth-dependent function:

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$$Vs_n(z) = Vs_{0_n} + \alpha_n z$$
 (when non-rigid);

- 31 with the condition that $\alpha_n = 0$ (when rigid); [2]
- 32 where z is the depth, Vs_0 and α , are the intercept and the gradient, respectively, obtained via the 33 regression analysis of V_s-depth data.

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[1]

In predictive terms, the empirical shear wave velocity curves given by [1] and [2] are the best representative values as they take into account the increase of the stiffness due to the lithostatic load (figure 3). In agreement with the matrix structure of the GCM, the shape of the bedrock and cover layers functions takes into consideration the same number of coefficients. The linear-log function assumed for the cover layer seems to have a fit-performance close to the three-parameter power function usually used in regression for V_S depth-dependent analysis (Robertson et al., 1995).

In non-rigid bedrock, the linear function establishes that the shear-wave velocity increases downward with the depth until this velocity assumes the value assigned to the rigid bedrock (e.g. 800 m/s) (figure 3). In addition, there is the need for the intercept velocity of the non-rigid bedrock function to be greater than/or equal to the function of the cover litho-dynamic units. This aspect reflects a condition, and assumption, where non-rigid bedrocks must be more rigid than lithodynamic cover units and, therefore, they reach a rigid condition much quicker at a depth than these latter.

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17 2.4 First stage procedure in GCM

A new matrix named "parameters matrix" with dimensions of $2n \times m$ was added to the structure matrix. In both matrices, zero values are corresponding. Values introduced in the parameters matrix are real coefficients stemming from depth-V_s regression analysis. The structure matrix fields and the parameters matrix fields were converted to raster and distributed over the whole area. The raster parameters are layer_i, Vs_{0_i} , α_i and $h_{i(x,y)}$, and their processes (progressions) are the following raster mathematical operations:

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i) The spatial limitation of the thickness of the layers, and consequently of the zones, is obtained through a raster-calculation cutting $:h_{i(x,y)} = h_{i(x,y)}^* \cdot layer_i$, where $h_{i(x,y)}^*$ is the ith layer thickness raster obtained by usual spatial interpolation methods under an unconfined condition. The raster cutting sets to zero the possible interpolated residual thickness in zones where the litho-dynamic unit is not present.

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ii) The shear-wave velocity at the top and bottom of each n-1 cover layer is obtained using theparameterized log-linear functions.

The vertical shear-wave velocity distribution of the cover layers can also allow inverted rigidity conditions in relation to their position (figure 3).

1
$$Vs_{i(x,y)}^{TOP} = Vs_{0_i} + \alpha_i \left\{ ln \left[1 + \left(\sum_{i=1}^{n-1} h_{i-1(x,y)} \right) \right] \right\}$$
 [4]

2
$$Vs_{i(x,y)}^{BOT} = Vs_{0_i} + \alpha_i \left\{ ln \left[1 + \left(\sum_{i=1}^{n-1} h_{i(x,y)} \right) \right] \right\}$$
[5]

4 iii) With regard to rigid bedrock (nth layer), it is defined by a unique value of shear-wave velocity. 5 When the bedrock is non-rigid (geological bedrock), it is possible to assign a thickness of $h_{n(x,y)}$ 6 down to the rigid condition; in relation, the model necessitates the assignment of a shear wave 7 velocity to the rigid bedrock, e.g. bedrock velocity $Vs_{n(x,y)}^{BOT}$ =800 m/s (EC8 prEN1998). This 8 parameter is therefore defined by the following equation:

9

10
$$h_{n(x,y)} = \left(800 - Vs_{n(x,y)}^{\text{TOP}}\right) / \alpha_n; \text{ where } Vs_{n(x,y)}^{\text{TOP}} = \max\left(Vs_{n-i(x,y)}^{\text{BOT}}, Vs_{0_n}\right)$$
 [6]

11

12 where α_n is the gradient and the $Vs_{n(x,y)}^{TOP}$ is equal to max values between $Vs_{n-i(x,y)}^{BOT}$, the shear wave 13 velocity of the end cover litho-dynamic unit and the Vs_{0_n} , the intercept value of the bedrock V_S -14 depth regression curve. De facto, equation 6 takes into account the possible head rigidity increase 15 due to lithostatic layer cover loads in non rigid bedrock (relatively low V_S values) or this increase 16 is not contemplated in the presence of quasi rigid bedrock (relatively high V_S values).

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iv) The spatial distribution of shear-wave velocity at the top and bottom of the layers allows fordefining the raster of the average shear-wave velocity of each litho-dynamic unit:

$$20 \qquad \overline{\mathbf{V}}\mathbf{s}_{i(x,y)} = \frac{1}{2} \left(\mathbf{V}\mathbf{s}_{i(x,y)}^{\text{TOP}} + \mathbf{V}\mathbf{s}_{i(x,y)}^{\text{BOT}} \right)$$
[7]

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22 v) The average shear-wave velocity defines the raster of the fundamental vibration period:

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$$T_{0(x,y)} = \frac{4\sum_{i=1}^{n} h_{i(x,y)}}{\sum_{i=1}^{n} (\overline{V}s_{i(x,y)}h_{i(x,y)}) / \sum_{i=1}^{n} h_{i(x,y)}}$$
[8]

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1 2.5 Metamodeling processes

The metamodeling process aims at obtaining prediction models generated and trained on an output dataset resulting from a seismic site response analysis performed on the simulation of layered V_{s} profiles. In this way, the obtained model is used to predict the seismic response of similar layered V_{s} -profiles in a simplified manner.

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7 -Generation of vertical layering V_S-profiles

8 The generation of the layered V_s -profiles is performed by means of the Monte Carlo simulation 9 technique of n-1 cover layers. This simulation technique is based on an uniform random 10 distribution. It is suitable in a linear gradient and a multimodal distribution of the thickness of the 11 layers. Alternatively, other simulation techniques based on the Gaussian distribution can be used 12 for this purpose.

The choice of the thickness of the layers occurs within the assigned interval in which the maximum and minimum values are defined by the GCM. The thickness of the nth layer is zero in the case of rigid bedrock. Instead, when the bedrock is non-rigid, its thickness is the function of the depth reached by the cover layer sequence (Eq. 6) once the shear-wave velocities of the cover bed sequence are defined (Eq. 5). For a better prediction performance of the model, the number of profiles generated must take into account the width of the thickness of the existing interval and the number of layers that characterize each zone.

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21 *-1D seismic response*

22 On the simulated layered V_s -profiles that are representative of each zone, the seismic response is 23 defined by numerical methods that compute the seismic wave propagation in the subsoil (e.g. 24 EERA, SHAKE, NERA etc.). These methods are based on the 1D shear wave propagation from 25 the rigid bedrock within a plane-parallel layered subsoil. In terms of total stress, the dynamic behaviour is analyzed using a viscoelastic constitutive shear stress-strain relation. However other 26 27 numerical models can be used. The calculation requires the basic seismic input and the layered V_{S-} 28 profiles which are parameterized in terms of shear waves velocity, V_s, density, p, the reduction 29 curve of shear normalized modulus, G/G_0 , and damping curves, D/D_0 .

In order to increase analysis accuracy, the layered V_s -profile can be further divided into sub-layers having the corresponding shear velocity computed by Eqs [1] and [2]. The result is the dampedelastic acceleration response spectra, SA, and it stems from the fixed depth within the shallow layers (mean foundation plane). Successively, the normalized acceleration response spectra, NSA, is obtained in relation to the response spectrum which refers to the outcrop bedrock. Discrete 1 NSA_T values are sampled/selected in a spectral window where the amplification is significantly

- 2 high for all the 1D-models representing the zones.
- 3 -Data driven modelling

4 The sampled/selected NSA values constitute the training and validation dataset used in the 5 multivariate regression analysis. The dataset consists of eighty-two spectral series of six cover 6 zones and two non-rigid bedrock zones, in which eight NSA_T values were selected, for a total of 7 648 training theoretical parameters. This dataset refers to the application case of the hybrid model 8 outlined below.

- 9 Eureqa Formulize (Schmidt and Lipson, 2009; Schmidt and Lipson, 2013), which creates evolutionary equations using genetic programming, was used to develop the prediction model. 10 This model is sustained by a sensitivity analysis in order to define the Principal Component 11 12 Regression (PCR). The Principal Components are: i) the simulated average shear-wave velocities of the shallow layers, $\overline{V}s^{UP}$, ii) the simulated elastic fundamental period T₀ and iii) the identified 13 14 periods, T. The first two are the endogenous variables directly related to the performance of the 15 regression modelling, due to the fact that they are linked to the physical nature of the phenomena. In contrast, the spectral period T is the exogenous variable introduced to identify the spectral 16 17 position of the predicted NSA_T values.
- Using the aforementioned variables, and by means of semi-automatic modelling, an effective and efficient regression model constituted by a bilinear-polynomial equation was developed. The equation of the prediction model in generic x,y map points is:

21 NSA_{T(x,y)} =
$$a_1 \overline{V} s_{(x,y)}^{UP} + a_2 T + \sum_{k=1}^{4} b_k (T_{0(x,y)} - T)^k$$

22 [9]

23 where a_1 and a_2 are linear coefficients while b_k are respectively the four coefficients of polynomial 24 functions. For each 1D layered model, the calibrated coefficients can be calculated by iterative 25 methods, for example the least squares methods, in order to minimize error. In reference to the 26 physical nature of spectral curves, the variables assumed in the polynomial of equation (Eq. 9) 27 promote a best fitting performance. This variable is in relation to fundamental period and it 28 favours a flexible fitting of spectral shapes in large or small peak cases. However, in order to 29 ensure a greater performance in the calibration phase, the theoretical spectral values must be 30 selected in the window where the spectral amplification is substantial.

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1 2.7 Second stage procedure in GCM

The second stage of the GCM allows the NSA_{T(x,y)} spatial distribution to solve the regression
equation (Eq. 9), having defined the best calibration coefficients. The fundamental period T_{0(x,y)} is
calculated in the first step (Eq. 8).
The spatial distribution of the simplified models from a regression analysis is characterized by an

6 intrinsic jump effect along the border between two zones due to the different performance of the7 respective prediction models.

8 This effect is solved by means of an under sampling via a dense regular mesh. Therefore a 9 subsequent redistribution of the $NSA_{T(x,y)}$ values is obtained using a selected spatial interpolation 10 technique.

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13 **3. Application and results**

The hybrid model was applied in the built-up area of the San Giorgio del Sannio village in the Campania region - Southern Italy. The area has a plain-hill morphology with a surface of 4.8 km², a population density of 1,500 people per square kilometres, and it is classified as being at highlevel seismic-hazard by the official Italian seismic hazard map (NTC 2008). In addition, the location is close to active tectonic structures which have produced powerful earthquakes in the last two-thousand years (Galadini et al., 2000).

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21 3.1 Lithological and geophysical features

22 Pre-existing geological studies (Martelli et al., 2009) and field investigations highlight that the 23 bedrock consists of Pliocene-marine deposits, while the cover layers are Quaternary terrains 24 deposited in a fluvio-lacustrine environment and more recent pyroclastic deposits. Together with 25 the above qualitative data, depth investigations permitted the identification of lithological units 26 that also took into account the rigidity of material. A total of 177 boreholes, with a depth from ten 27 to forty meters, 15 multichannel analyses of surface waves (MASW), 4 down holes, and 2 H/V 28 spectral ratios from ambient noise records permitted an investigation of the cover layers and thus 29 an identification of the following related litho-dynamic units (figure 4):

i) layer 1 - PIR, air-fall and/or flow pyroclastic deposits. The particle-size distribution
 characterises them as being mono-granular sands. Thin layers of pumices of gravel size are
 frequently present;

33 ii) layer 2 - FLR, recent fluvio-lacustrine deposits consisting of loose sands;

34 iii) layer 3 - FLA, ancient fluvio-lacustrine deposits consisting coarse grained and thinner package.

1 The bedrock is faulted. The dislocation placed it in contact with two deposits that have 2 approximately the same age:

- 3 iv) layer 4a SBC, thick, stratified granular deposits, mainly sandy conglomerates
- 4 v) layer 4b GRL, stiff blue clay/silt.
- 5 Both units show characteristics of a non-rigid bedrock.
- 6

7 3.2 Model application and calibration

8 The identified layered-sequences determine the eight zones. Zone 1 and 2 are two bedrock layers,

- 9 while the combinations of the cover layers define six zones from 3 to 8, where the latter shows the
- 10 litho-dynamic complete sequence (figure 4).

11 Based on the litho-dynamic units detected, the distribution of the thickness of the layers was

12 determined by means of a "topo-to-raster" interpolation technique (Hutchinson, 1996) using the

- 13 data points that defined the stratigraphic-log and geophysical surveys.
- 14 With regards to the cover layers, the depth-distribution of the shear-wave velocities (figure 5)

15 show low values for pyroclastic soils and recent fluvio-lacustrine deposits. In contrast, larger

- 16 values are displayed in ancient fluvio-lacustrine deposits. A large amount of surveys exist for 17 ancient fluvio-lacustrine deposits, due to the fact that these deposits are widely present in the
- 18 whole area.

The depth-distributions of shear-wave velocities within the bedrock layers have shown their nonrigid nature at shallow depths. Thickened granular stratified deposits, SBC, have shown a greater increase of depth-dependent shear-wave velocities than stiff blue clay/silt, GRL. Shear-wave velocity values at the bedrock are frequently detected in the undercover condition. However, in the linear regression analysis, an intercept value is imposed equal to the ancient fluvio-lacustrine

24 deposits as foreseen by the model (paragraph 2.3).

25 Once completed, the structural and parametric matrix gives the possibility to define the average 26 shear-wave velocities and thickness of the layers in accordance with the elastic fundamental period

27 mapped in the GIS Cubic Model (figure 6).

28 The thickness distribution of the layers permits defining the limit values of the possible layered 29 profiles characterizing the eight detected zones. On the basis of these values, the simulated-layered 30 V_S-profiles were generated using the Monte Carlo technique (figure 7). In this way, the number of 31 profiles is assumed taking into account the number and extension of the layers constituting the 32 zone. Ten to fifteen profiles were generated on these zones in which the cover layers were present. 33 Subsequently, an additional half-division function of depth was performed for the simulated 34 profile including the cover layer (zones from 3 to 8), while a multi-division was performed for the 35 profiles simulating the outcropping bedrock (zones 1 and 2) (figure 7).

1 Using the simulated V_S-profile, the numerical analysis of the seismic response was performed by 2 means of the NERA code, Non-linear Earthquake site Response Analysis (Bardet and Tobita, 3 2001). The code permits resolving the seismic motion equation in the time domain taking into 4 consideration the vertical propagation of the shear waves in a layered medium having a non-linear 5 hysteretic stress-strain behaviour. The constitutive IM-model implemented in NERA was proposed 6 by Iwan (1967) and Mroz (1967). This model foresees that the shear-stress-strain hysteretic loop 7 follows the Masing's model. The damping curves ratio are derived from normalized rigid module 8 curves G/G₀ that cannot be introduced into the independent modality in contrast to the linear 9 equivalent models. Usually the experimental damping curves are used for a comparison with 10 theoretical curves.

11 The input motion used in the response analysis was defined in accordance with regional seismic 12 hazard studies as reported in technical regulations for constructions (NTC 2008). The input motion 13 is spectrum-compatible with the elastic horizontal spectral response acceleration corresponding to 10% exceedance probability over a 50-year time interval; this spectrum refers to the life 14 15 preservation state in normally crowded buildings. Disaggregation analysis, performed by Rexel 16 3.5 beta computer software (Iervolino et al., 2009), shows that the major hazard spectral 17 contribution refers to earthquakes with a local magnitude between 6.5 and 7.0 and a distance 18 between 15 and 20 kilometers. Taking into account the aforementioned studies, the seismic input 19 was obtained from the north-south component of the real time history of the Irpinia earthquake 20 (year 1980 with 6.9 Mw) recorded by the Bagnoli Irpino strong-motion station, located 20 km 21 from the study area, with a epicentral distance of 30 km at the earthquake time.

Normalized shear modulus reduction and damping ratio curves were obtained from the literature regarding this subject (Guadagno et al., 1998; A.J. Zhang et al., 2005), taking into account lithology, grain size distribution and V_s or SPT (figure 8).

The output acceleration response spectra is defined at 5% of damping and it refers to a depth of three meters from the ground surface. Eight NSA_T values were extracted from a sampling of 0.10s within the period-window 0.00s (PGA) - 0.70s; in this range, most of the amplifications were shown for all layered models (zones).

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Therefore, 648 NSA_T values were obtained for 82 series simulating the eight layered models; these values constitute an equal ratio of training and validation dataset used in the multiple calibration coefficient analysis (table1) of the prediction model defined by Eq. [9]. Therefore, the best performance of the model (table 2) in regression analysis was detected in relation to minimum Mean Squared Error. 1 The second step of the GCM determined the average shear-wave velocity raster of the shallow 2 layers (figure 6), using the raster equation [10]. Subsequently, the $NSA_{T(x,y)}$ rasters were obtained 3 from Eq [9] using the calibrated coefficient. Finally, the spatial smoothing of $NSA_{T(x,y)}$ was 4 performed by an under sampling with a 50 meter regular mesh (figure 9).

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6 4. Validation and discussion

7 The hybrid model is characterized by a sequence of physical-mathematical processes to produce 8 simplified maps regarding spectral acceleration response values at different identified discrete-9 periods. The simplification involves many components of the model, each of them influencing 10 different degrees of the estimation/prediction performance of the very same model. These 11 simplifications include:

12 The simplification involves many components of the model, each of them influencing different 13 degrees of the estimation/prediction performance of the very same model. These simplifications 14 include:

i) the coherent identification in term of Vs-depth values distribution of the litho-dynamic units. In
fact, in the identification of lithodynamic units, subsequently number of layers and consequently
the zones, the modeller should be taken into account of a appropriate distribution of Vs-z values.
In some cases, this condition shows as the geophysical e geotechnical proprieties of soils can be
decisive in the build of GCM model compared with use exclusive of recognizing of lithologic
typology.

ii) the efficiency of a prediction model (metamodel) for any given 1D-layered model zone: this
aspect is connected to fitting errors which are ordinary in data driven models ;

iii) the uncertainties and approximations due to the 1D numerical modelling when it is used incontemporally with a complex-layering or topographic setting;

25 iv) in minor part, the techniques used in the spatial distribution of layer thicknesses.

26 The performance in efficiency of the hybrid model is validated on four down-hole locations where 27 the stratigraphic-logs and the velocity profiles are experimentally known (figure 10). In this 28 regard, we highlight that in the proposed computational model the data of down holes, as well as 29 any data coming from direct or indirect geophysical tests, are used in the build and 30 characterization of the model at the same way. Specifically, the one or more seismic-layers can be 31 associated at one litho-dynamic unit, therefore Vs-h values are part of cloud of values coming 32 from different location and in great part from different geophysical tests such as site-geotechnical 33 correlation tests. In addition the 1D Vs-h models of zones used in the training of hybrid model are 34 obtained using random driven Montecarlo distribution technique; therefore, these training models 35 can be more or less close to the seismic-layer profiles detected by the specific site survey.

Therefore, in term of validation, down holes data considered in input does not directly ensure the
 good fit between model and down-hole input data responses.

3 In order to perform aforementioned comparing test, the depth-extension of some V_{S} -profiles to the 4 rigid bedrock were performed in relation to the spatial distribution of the rigid bedrock depth 5 resulting from the GCM model. Thus, by comparing the spectral acceleration numerical response 6 with the hybrid model $NSA_{T(x,y)}$ values, a good validation feedback in the spectral amplification 7 window (0.00 - 0.70s) is highlighted. An almost similar approximation is shown with and without 8 spatial smoothing output. In addition, the validation test shows that the regression functions 9 obtained by the metamodeling process can be directly used for the local definition of seismic 10 response values in the same spectral periods chosen in the hybrid model. However, the V_S experimental profiles necessitate simplification in accordance with the 1D-layered model defined 11 12 for the hybrid model processing. The identification of the average shear wave velocity of the shallow layer, $\overline{Vs}_{(x,y)}^{UP}$, must be carried out with accuracy. This layer must be defined taking into 13 14 consideration the lithology, like to homogeneous material or heterogeneous material sequence, 15 such as the corresponding litho-dynamic unit was identified in the hybrid model. (figure 10).

The prediction model defined and tested on the eight layered-model-series highlights a good degree of accuracy and precision, showing correlation coefficients, R, ranging between 0.83 and 0.92. This short range, in addition to the low complexity of the regression function [9] confers to the model the requirements of predictive accuracy and robustness. The efficacy of the predictors, $\nabla_{s_{(x,y)}}^{up}$ and T_0 , is supported by the fact that they are used in the definition of curves and abacuses regarding the estimation procedure of site amplification factors (Pergalani and Compagnoni, 2008).

Calculation of fitting errors of disaggregated spectral analysis (graphic in figure 9) shows that the fitting performance of the model is variable with the period and it seems that the error in several cases is greater nearer to PGA values and less near the fundamental periods. Such analysis should be carried out and reported in the $NSA_{T(x,y)}$ maps, aimed at providing accuracy in estimation in relation to expected ground-building structure resonance.

In the study area the distribution of $NSA_{T(x,y)}$ shows that for periods between 0.2 and 0.4 seconds the spectral amplification is the greatest reaching values near to 2.0 in a north sector where more recent fluvio-lacustrine deposits and a great thickness of covered layer sequence are present. In addition this spectral range is near to the fundamental vibration frequency of great part of existing buildings.

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1 Conclusions

2 This paper introduces a hybrid model with the purpose of mapping simplified local seismic
3 response in areas characterized by stratified sequences featured by low geometrical complexity.
4 This method is based on a GIS model, named GIS Cubic Model, and metamodeling processes.

5 The GCM is a layered model constructed for spatial calculation and distribution of 1D models 6 which are characterized by litho-dynamic units sequences. A litho-dynamic unit is a detected and 7 defined lithological unit that is characterized by shear-wave depth-dependent curve and 8 consequently by non-linear stress-strain behaviour. The specific combination of the litho-dynamic 9 sequences constitutes the "zone".

10 The metamodeling process carries out a regression analysis on data of local seismic responses 11 from layered profiles that simulate the possible V_s -profiles in a generically-defined zone. In this

12 work, we propose simulated profiles obtained using the Monte Carlo technique.

13 The prediction model results from a metamodeling process, a bi-linear polynomial mathematical

14 shape in which the exogenous predictors are the shear wave velocity of the shallow layer, $\overline{V}s_{(xy)}^{UP}$,

15 and the fundamental period, T_0 ; the period T constitutes the endogenous predictor detecting the

16 spectral coordinates of the normalized spectral acceleration, $NSA_{T(x,y)}$, within the spectral window 17 where the amplification is shown.

The application and the development of the method was carried out in the urban area of the San Giorgio del Sannio village in Southern Italy. In this area a great number of geognostic and geophysical surveys are present in addition to up-to-date geological maps. All this information permits the use of 1D numerical modelling of the seismic site response.

In this context, the metamodeling processes created an output data set of eight V_s -layered simulated profiles that were processed through the NERA code. For all the areas, the prediction model proved to be sufficiently robust and accurate.

Moreover, the back-efficacy test was performed in zones where experimental profiles of 4 downholes were present. Depending on the case, test results highlighted a high-to-good fit between the values of the spectral response of the hybrid model and those calculated from the physically based numerical model.

The hybrid model proposed and described in this paper is mainly a spatial computational tool able to deliver data about stratigraphic seismic response on the basis of the trained model built using geological, geotechnical and geophysical dataset. Therefore, the success of the model in the areas seismic characterization is strictly dependent on abundance and quality of the data input and at the same time on the ability in the modelling-design and data interpretation of the geoscientist or technical operator.

1	In conclusion, considering the nature of the mapped quantitative informations, the hybrid model
2	aspires to perform a third level of reliability (ISSMGE-TC 4, 1999); therefore it is able to deliver
3	quantitative information in the urban planning about the safety measures of the pre-existing build
4	infrastructure and regulate the designing of new.
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Figure 1: Flow diagram of hybrid model architecture.





Figure 2: Subsoil half-space modeling by the GIS Cubic Model and structure matrix, an example
 using four layers.

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Figure 4: Seismology and geo-lithological setting of the study area: a) History earthquakes and
 tectonic genetic structures; b) Litho-dynamic units and survey distribution map, cross-section and
 zones deriving from 1D layers combination.





Figure 5: V_s depth-dependent curves of the litho-dynamic units: covered layers on the left and non-rigid bedrock on the right.

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2 Figure 6: Maps resulting from the GIS Cubic Model; the average V_s -layering maps report also the

3 respective iso-thickness contours .





Figure 7: Simulated layered V_s-profiles, generated using the Monte Carlo technique. An example of some sub-layer divisions used in the NERA analysis.

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Figure 8: Strain-dependent curves of shear normalized modulus, G/G₀, and damping curves, D/D₀
extracted from: Guadagno et al., 1998 for PIR; A.J. Zhang et al., 2005 for FLR, FLA SBC and
GRL

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Figure 9: Maps of normalized acceleration response spectra, NSA_T, with 5% damping; an example of spatial smoothing using an under sampled regular mash of 50 meters. In addition, the

4 fitting errors in period-disaggregated analysis in terms of mean squared error are shown.



Predictor Coefficients	Zone 1&2	Zone 3	Zone 4	Zone 5	Zone 6	Zone 7	Zone 8
a ₁	$2.22 \cdot 10^{-3}$	$8.17 \cdot 10^{-3}$	$4.56 \cdot 10^{-3}$	5.36·10 ⁻³	$7.71 \cdot 10^{-3}$	8.29·10 ⁻³	8.74·10 ⁻³
a ₂	1.761	1.135	0.209	-0.520	1.509	1.266	1.769
b 1	1.341	1.737	1.809	0.079	1.593	1.588	2.648
b ₂	-3.981	-10.39	-1.652	-4.28	-7.507	-5.115	-6.953
b ₃	6.587	-1.757	-10.11	-7.086	1.098	-3.040	-0.177
b ₄	29.08	39.732	0.795	1.756	30.663	9.78	30.154

Table 1: Best calibration coefficients of the metamodel.

Best performance	Zone 1&2	Zone 3	Zone 4	Zone 5	Zone 6	Zone 7	Zone 8	Mean
Correlation coefficient, R	0.871	0.832	0.853	0.853	0.863	0.922	0.925	-
Maximum Error	0.204	0.444	0.497	0.314	0.332	0.303	0.367	0.352
Mean Squared Error	0.005	0.036	0.016	0.011	0.018	0.011	0.012	0.016
Mean Absolute Error	0.053	0.157	0.097	0.082	0.102	0.084	0.082	0.094

Table 2: Best performance parameters in regression coefficient analysis.