

1 **Modeling ~~the main inundation channel-floodplain hydrodynamic interactions of~~**
2 **~~seasonally flooded wetlands at the~~ McCarran Ranch ~~on in the Lower~~ Truckee River,**
3 **USA**

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15 **Abstract**

16 This ~~paper study among the first presents the application and validation of applied~~
17 ~~a two-dimensional~~ hydrodynamic model AdH (Adaptive Hydraulics ~~model, AdH~~) ~~of to a~~
18 ~~river reach the McCarran ranch for complex floodplain hydrodynamic analysis. We use-~~
19 ~~Using~~ the AdH model with ~~combined topographic bathymetry~~ data ~~and topographic data~~
20 ~~by combining the DEM data~~ from USGS seamless server and ~~the ESRI tin data from-~~
21 United States Army Corps of Engineers (USACE), ~~we intended to to predict examine~~
22 ~~channel-floodplain inundation interaction on a for 10km reach at a river reach of-~~
23 ~~McCarran Ranch ~10km~~ located at lower Truckee river in Nevada-, ~~USA state. After the~~
24 ~~calibration of the model, w~~We tested the ~~dependence of modeling results to~~ mesh
25 ~~independencedensity, sensitivity of~~ input parameters and time steps, and ~~then-~~
26 compared the modeling results to the existing gauged data (both the discharge and
27 water stage heights). Results show that the accuracy of prediction from ~~the~~ AdH model
28 ~~can may~~ decline slightly at higher discharges and water levels. The modeling results are
29 much sensitive to the roughness coefficient of ~~the~~ main channel, suggesting ~~that~~ the
30 model calibration should give priority to the main channel roughness. ~~A dThe simulation-~~
31 ~~results~~ ~~tailed analysis of the flood water dynamics was then conducted using the~~
32 ~~modeling approach to examine the hydraulic linkage between the main channel and~~
33 ~~floodplains. It was found that suggest that~~ large flood events could lead to a significantly
34 higher proportion of total flow ~~that being~~ routed through the floodplains. During peak
35 discharges, a river channel ~~constriction~~ diverted as much as 65% of the ~~river's 512.3-~~
36 ~~m³/total~~ discharge into the floodplain. During the ~~periods of~~ overbank flow, the
37 transboundary flux ratio ~~is was~~ about 5%~45% of the total river discharge, ~~indicating~~
38 ~~substantial exchange between the main channel and floodplains~~. Results also showed
39 that both the relations of ~~the~~ inundation area and volume ~~between versus~~ the discharge
40 exhibit an apparent looped curve form, ~~suggesting an areal hysteresis effect of flood~~
41 ~~routing on floodplains~~.

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44 **1. Introduction**

45 Hydrodynamic characteristics of floods are highly concerned in hydraulics, fluvial
46 geomorphology, and aquatic ecosystem due to its importance in flood control, river-bank
47 erosion, sedimentation, restoration for freshwater habitat, and many related problems.
48 In streams where large floodplains appear, floods may exhibit much more complex flow
49 patterns since the overbank flow could be very different compared with the channel flow,
50 and the hydraulic interaction between the floodplains and the main channel could be
51 complicated. The dynamic channel-floodplain linkage during flood times may greatly
52 affect the floodwaters, sediment transport, erosion, and deposition on floodplains with
53 unsteady, non-uniform flow features (Amoros and Bornette, 2002; Antheunisse and
54 Verhoeven, 2008; Bridge, 20023; Thoms, 2003; Sheldon et al., 2002; Stanford and Ward,
55 1993). This linkage may not only affect flood conveyance and flood risks, but also
56 influence water quality and ecological processes in the river system.

57 The pattern of flood inundation is of critical importance to the vegetation
58 distribution in the floodplains in Lower Truckee River (Galat, 1990; McKenna et al., 1992).
59 Temporal and spatial changes in flood inundation extent and water level have crucial role
60 in maintaining the sustainable organic material/nutrients exchanges between the main
61 channel and floodplains, yet are critical for understanding hydrological and
62 biogeochemical processes in aquatical ecosystems (Bayley, 1995; Antheunisse and
63 Verhoeven, 2008; Pettit et al., 2011). The ability to model potential flood inundation and
64 map actual extent of inundation, timing, and intensity under different flood levels is
65 central to understanding the dynamics of ecological interactions in the main
66 channel-floodplain system.

67 Numerical models of channel-floodplain flows are important for understanding and
68 predicting hydrodynamics and it environmental impacts in the channel-floodplain
69 systems. As an increase in accuracy and reliability of flow and inundation predictions is

70 desirable for better decisions concerning land use and water management, the
71 development and improvement of methods for high-resolution hydrologic modeling has
72 been increasingly committed (Neal et al, 2012;). Significant advances in flood ~~inundation~~
73 modeling have been achieved in the last decade through the use of a new generation of
74 two-dimensional (2D) hydraulic numerical models (Leopardi et al., 2002; Hunter et al.,
75 2007; Neal et al., 2011). These offer the potential to predict the local pattern and timing
76 of flood depth and velocity, enabling informed flood risk zoning and improved
77 emergency planning.

78 Suitable models for floodplain analysis must be capable of describing the
79 interaction between floodplain topography and unsteady, non-uniform water flow and
80 sediment transport. In particular, numerical models should be able to describe the effect
81 of channel curvature on floodplain flow structure, and how they change in time over
82 floods (Bridge, 2003). This means that the representation of flow field in the model
83 should be two- or three-dimensional (2D or 3D). The US Army Corps of Engineers (USACE)
84 AdH (Adaptive Hydraulics) model software is a 2D shallow water modeling tool capable
85 for floodplain modeling studies (Gambucci, 2009). This tool is developed at the Coastal
86 and Hydraulics Laboratory (CHL) and has been used to model hydrodynamics and
87 sediment transport in sections of the Mississippi River, tidal conditions in southern
88 California, and vessel traffic in the Houston Ship Channel, thus it is a suitable for
89 channel-floodplain hydrodynamic interaction analysis.

90 ~~This paper demonstrates the application of a 2D Adaptive Hydraulics model (AdH)~~
91 ~~with fine resolution, and the validation of the ability of such a code to simulate flood~~
92 ~~dynamics on a topographically complex floodplain. Also, the characterization of flow~~
93 ~~exchanges in channel-floodplains system and the inundation feature of the McCarran~~
94 ~~ranch were studied based on the modeling results. The main objective of the present~~
95 ~~study was to investigate the hydrologic connectivity between floodplains and the main~~
96 ~~channel of a stream during floods. The 2D hydrodynamics model AdH used in this study~~
97 ~~allowed for detailed analysis of flood flow characteristics in the channel-floodplain~~

98 system. Through the examination of several hydrodynamic aspects of the
99 river-floodplain linkage, including the channel-floodplain flow rate partitioning,
100 transboundary flux, and the inundation dynamics, the study aims to improve our
101 understanding of the interaction between the two main geomorphic components of
102 ivers. Results of this study may help advance the research of the exchange of nutrients
103 and particulate matter in a dynamic river–floodplain ~~complex~~system and its potential
104 impact on aquatic ecosystem.

106 **2. Methodology**

107 **2.1 Study Site**

108 We selected the McCarran Ranch reach of the Truckee River in Nevada, U.S. as our
109 study site to investigate the hydrodynamics of main channel-floodplain system. The
110 Truckee River flows through the U.S. states of California and Nevada. It is the second
111 largest river in Nevada and the only outflow from Lake Tahoe. Roughly every ten years,
112 the Truckee River generates a damaging flood. The 1997 inundation was a major event,
113 putting downtown Reno under several feet of water and turning much of the Sparks
114 industrial area into an inland sea. Although flooding is inevitable, progress is being made
115 on flood control to make the area less prone to such risks (<http://www.truckee-flood.us/>).
116 The use of satellite observations for evaluating the inundation extent and water level has
117 been considered as an efficient way (Townsend and Walsh, 1998; Overton,
118 2005). However, currently available satellite observations of inundation extent and water
119 level do not provide a solution as these are usually made using profiling altimeters with
120 wide spacing between tracks (Birkett et al., 2002; Coe and Birkett, 2004), passive
121 microwave instruments with good temporal but limited spatial resolution (Hamilton et
122 al., 2002, 2004), or synthetic aperture radars with good spatial resolution but limited
123 temporal coverage (Hess et al., 2003; Frappart et al., 2005). Whilst the regional
124 significance of hydrology and biogeochemistry process in Truckee river floodplains is

125 undisputed, flood risk analysis needs a higher accuracy at a much finer spatial and
 126 temporal resolutions.

127 **2.1.2 Governing equations and model settings**

128 The 2D shallow water ~~module~~ model of AdH solves the 2D nonlinear shallow water
 129 equations. These equations have proven successful in describing water surface and
 130 velocity fields in surface water modeling and accepted by many authors as it appears
 131 that most studies use 2D models (Abderrezzak et al., 2009; Mignot et al., 2006; Bates et
 132 al., 2010; deAlmeida et al., 2012). The equations are derived with the assumption that
 133 the vertical velocity component is negligible. Neglecting shear stress and fluid pressure
 134 at the free surface, the 2D shallow water equations as implemented within AdH are
 135 written as:

$$136 \quad \frac{\partial Q}{\partial t} + \frac{\partial F_x}{\partial x} + \frac{\partial F_y}{\partial y} + H = 0 \quad (1)$$

137 where,

$$138 \quad Q = \begin{Bmatrix} h \\ uh \\ vh \end{Bmatrix} \quad (2)$$

$$139 \quad F_x = \begin{Bmatrix} uh \\ u^2h + \frac{1}{2}gh^2 - h\frac{\sigma_{xx}}{\rho} \\ uvh - h\frac{\sigma_{yx}}{\rho} \end{Bmatrix} \quad (3)$$

$$140 \quad F_y = \begin{Bmatrix} vh \\ uvh - h\frac{\sigma_{yx}}{\rho} \\ v^2h + \frac{1}{2}gh^2 - h\frac{\sigma_{yy}}{\rho} \end{Bmatrix} \quad (4)$$

$$141 \quad H = \begin{Bmatrix} 0 \\ gh\frac{\partial z_b}{\partial x} + n^2g\frac{u\sqrt{u^2+v^2}}{h^{1/3}} \\ gh\frac{\partial z_b}{\partial y} + n^2g\frac{v\sqrt{u^2+v^2}}{h^{1/3}} \end{Bmatrix} \quad (5)$$

142 The Reynolds stresses are determined using the Boussinesq approach to the
143 gradient in the mean currents,

$$144 \quad \sigma_{xx} = 2\rho\nu_t \frac{\partial u}{\partial x} \quad (6)$$

$$145 \quad \sigma_{yy} = 2\rho\nu_t \frac{\partial v}{\partial y} \quad (7)$$

$$146 \quad \sigma_{xy} = \sigma_{yx} = 2\rho\nu_t \left(\frac{\partial u}{\partial y} + \frac{\partial v}{\partial x} \right) \quad (8)$$

147 where, h is flow depth, u and v are velocities in x and y directions, g is gravitational
148 accelerate, ρ is flow density, σ_{xx} , σ_{yy} , σ_{xy} and σ_{yx} are shear stresses, where the first
149 subscript indicates the direction, and the second indicates the face on which the stress
150 acts, are due to turbulence. z_b is the river bed elevation and n is Manning's friction
151 coefficient. ν_t is the kinematic eddy viscosity, which varies spatially where turbulence
152 closure is achieved through the algebraic eddy viscosity formulation described by Rodi
153 (1993).

154 The critical input data is the Digital Elevation Model (DEM) of sufficient resolution
155 and vertical accuracy to capture floodplain topographic features relevant to flow
156 development at the scale of interest and channel bathymetric information detailing the
157 longitudinal slope. The channel bathymetry was ~~captured using the ESRI tin data~~
158 obtained from United States Army Corps of Engineers (USACE). The floodplain topology
159 data was created from the 30 × 30m United States Geological Survey (USGS) Digital
160 Elevation Model (DEM) obtained from the USGS seamless server
161 (<http://seamless.usgs.gov/>) and it was integrated ~~into with~~ the ~~bathymetry ESRI tin data~~
162 ~~obtained from USACE~~. ~~These data are~~ ~~DEM is~~ necessary for delineating the study area
163 and assigning elevation for individual grid cells (Bates and De Roo, 2000). The modeling
164 area is depicted in Fig.1. The AdH model was developed for the river reach of
165 approximately 10 km in length.

166 The other group of input parameters was the surface roughness. These parameters
167 are generally associated with the land use information. The land use data was obtained
168 from the webGIS website (<http://www.webgis.com>). It was used to determine the
169 surface roughness with referenced data obtained from the tabular values in Chow (1988).
170 Fine tuning of the roughness value was later on carried out in model calibration. The
171 land use pattern within the AdH model boundary is shown in Fig. 2(a). Also, the
172 estimated eddy viscosity function with a coefficient value of 0.5 was used for this study.
173 As the element wetting and drying limits could cause model instabilities that require an
174 elaborate adjustment (Gambucci, 2009; Karadogan and Willson, 2010). We set the
175 values at 0.15 meters for both the wetting and drying limits. Results of testing models
176 showed that changes in these values have very little impact on the hydrodynamic results.

177 There is only one gauging station (USGS 10350340) in the study reach. This gauge is
178 located at the lower part of the study area (Fig. 1) and started operation from June,
179 1997. Data from this station were used for model validation. The nearest gauging station
180 (USGS 10350000) is upstream to the study reach. It has record since January, 1995. Data
181 from this station were used as inputs in a HEC-RAS simulation, and the output
182 hydrograph was used as an upstream boundary condition of the study reach. Also, for
183 flood events that happened before Jun, 1997, the outputs of HEC-RAS on the USGS
184 gauging profile were used for validation. The river stage values also obtained from
185 HEC-RAS simulation results was used for downstream boundary condition. The observed
186 river flow was obtained from USGS NWIS (<http://waterdata.usgs.gov/nwis/sw>). It was
187 used to compare the modeled flow with the observation.

188 **2.3 Model**

189 **2.3.1 Model calibration**

190 The model is designed to work in conjunction with the DoD Surface Water
191 Modeling System (SMS) which can be used to create the mesh files directly for AdH
192 setup. We used SMS to establish a finite element model for the chosen study area. ~~The~~

193 number of mesh grid is described in the case M2, and the initial time step size was set to
 194 30s. Figure 2(b) represents the unstructured mesh domain. –For a higher accuracy, the
 195 mesh adaptive technology was used for mesh refinement to get better results. Generally,
 196 the number of FEM nodes during the interaction ranged from 6307 to 7911. The
 197 devastating flood in early 1997 was chosen as a typical flood for model calibrations and
 198 validations. Due to the large inundation area during this flood event, it is more
 199 appropriate to analyze the impacts of floodplain roughness on the flood propagation.
 200 Roughness coefficient of main channel and floodplains were set separately for model
 201 calibration. In order to examine the model response to roughness coefficient, we ran a
 202 matrix of 25 simulations with values of n_c (Roughness coefficient for the main channel)
 203 varying from 0.036 to 0.041 in 0.001 increments and n_f (Roughness coefficient for the
 204 floodplains) varying from 0.044 to 0.05 in 0.002 increments.

205 Outputs of the model were compared with the observed values available at the
 206 gauging station near the outlet of the river reach. Here we calculated the time series
 207 discharge across the gauging profile based on the velocity magnitude and water depth
 208 value along the profile line (showing in Fig.1). The accuracy for all simulations was then
 209 calculated using the Nash–Sutcliffe efficiency criteria (Nash and Sutcliffe,1970), E_f :

$$210 \quad E_f = 1 - \frac{\sum_{i=1}^n (\hat{Y}_i - Y_i)^2}{\sum_{i=1}^n (Y_i - \bar{Y})^2} \quad (9)$$

211 in which \hat{Y}_i and Y_i are predicted and measured values of the criterion dependent
 212 variable Y , respectively; \bar{Y} is the mean of the measured values of Y ; and n is the sample
 213 size. E_f ranges between $-\infty$ (where the observed mean is a better predictor than the
 214 model) to 1 (where observed and predicted values are identical). The Nash-Sutcliffe
 215 coefficient has been considered as a goodness of fit index to systemically assess
 216 effectiveness of hydrological models (Krause et al., 2005; McCuen et al.,2006). By
 217 calculating the E_f value for the 25 scenarios, it is found that the index value ranged from

218 0.770 with the lowest main channel roughness ($n_c=0.036$) to 0.937 with the roughness
219 of main channel equals to 0.039. The change of roughness of floodplains makes less
220 difference in the Nash-Sutcliffe index (for example, the E_f value ranges from 0.924 to
221 0.937 with the n_f gradually varying from 0.044 to 0.05, while keeping n_c with a constant
222 value to 0.039), suggesting that the modeled flood discharge is much more sensitive to
223 the main channel friction than the floodplain friction. Base on this understanding on the
224 roughness impacts, the calibrated roughness coefficient of main channel was set to
225 0.039, while the roughness coefficients for other land use types are set to 0.048 (grass
226 land), 0.05(crop land), 0.011(high way) and 0.05 (strip mines), respectively. The model
227 was validated by using the adjusted roughness coefficients for the flood occurred in
228 early January,1997. The corresponded E_f is equal to 0.933, showing a good
229 goodness-of-fit.

230 **2.3.22—_mesh-Mesh dependence analysis**

231 The adaptive numerical mesh within the AdH model can improve model accuracy
232 without sacrificing efficiency. Before applying the adaptive mesh approach, a base level
233 mesh needs to be generated first. The mesh was created in software Surface Modeling
234 System (SMS) which can be used as a pre- and post-processing graphic user interface for
235 AdH. Following mesh generation, the bathymetric data of the stream was interpolated
236 onto the mesh nodes. Triangular elements were used to discrete the domain is shown in
237 Fig.2 (b). Special care was taken to generate a fine mesh in the vicinity of the main
238 channel. Since the simulation results depend on the mesh resolution and quality, several
239 mesh resolutions were adopted for mesh dependence study (Table 1). To compare with
240 the observation, the simulated velocity and water depth on the profile where the USGS
241 gauging station located were extracted. Fig.3(a) and Fig.3(b) show the velocity and
242 water depth corresponding to different element number on the peak flow stage for a
243 high discharge flood happened in the early January in 1997. The time step (Δt) was set
244 to 1s. Results show that the mesh size M2 and above were observed to be mesh
245 independent.

2.3.3 Time step sensitivity study

ADH is an implicit code and therefore, the time step size is not stability limited for the linear problem, however, nonlinear instability will occur if the time step is too large (Tate et al., 2009). Choosing a proper initial time step could reduce the turnaround time on time-critical simulations. Three different initial time step sizes were chosen for investigating the initial time step dependence (see table 2). Same as the mesh dependence analysis, the depth and velocity value along the gauging profile at the peak flow stage in the early January, 1997 were used for comparison. As showing in Fig. 4, both the velocity and depth along the gauging profile are plotted for particular time levels. From Fig.4 it can be note that the initial time step sizes of 30s is good enough to capture the physical properties of floods modeling results.

3. Results and discussion

3.1 Model ~~test~~ application

~~We have established a finite element model for the chosen study area. The number of mesh grid is described in the caseM2, and the initial time step size was set to 30s. For a higher accuracy, the mesh adaptive technology was used for mesh refinement to get better results. Generally, the number of FEM nodes during the interaction ranged from 6307 to 7911. The devastating flood in early 1997 was chosen as a typical flood for model calibrations and validations. Due to the large inundation area during this flood event, it is more appropriate to analyze the impacts of floodplain roughness on the flood propagation. Roughness coefficient of main channel and floodplains were set separately for model calibration. In order to examine the model response to roughness coefficient, we ran a matrix of 25 simulations with values of n_c (Roughness coefficient for the main channel) varying from 0.036 to 0.041 in 0.001 increments and n_f (Roughness coefficient for the floodplains) varying from 0.044 to 0.05 in 0.002 increments. Outputs from the model were compared with the observed values available at the gauging station near the outlet of the river reach. Here we calculated the time series discharge across the~~

273 gauging profile based on the velocity magnitude and water depth value along the profile
274 line (showing in Fig.1). The accuracy for all simulations was then calculated using the
275 Nash-Sutcliffe efficiency criteria (Nash and Sutcliffe,1970), E_f :

$$276 \quad E_f = 1 - \frac{\sum_{i=1}^n (\hat{Y}_i - Y_i)^2}{\sum_{i=1}^n (Y_i - \bar{Y})^2} \quad (9)$$

277 in which \hat{Y}_i and Y_i are predicted and measured values of the criterion dependent
278 variable Y , respectively; \bar{Y} is the mean of the measured values of Y ; and n is the sample
279 size. E_f ranges between $-\infty$ (where the observed mean is a better predictor than the
280 model) to 1 (where observed and predicted values are identical). The Nash-Sutcliffe
281 coefficient has been considered as a goodness of fit index to systemically assess
282 effectiveness of hydrological models (Krause et al., 2005; McCuen et al.,2006). By
283 calculating the E_f value for the 25 scenarios, it is found that the index value ranged from
284 0.770 with the lowest main channel roughness ($n_c=0.036$) to 0.937 with the roughness
285 of main channel equals to 0.039. The change of roughness of floodplains makes less
286 difference in the Nash-Sutcliffe index (for example, the E_f value ranges from 0.924 to
287 0.937 with the n_f gradually varying from 0.044 to 0.05, while keeping n_c with a constant
288 value to 0.039), suggesting that the modeled flood discharge is much more sensitive to
289 the main channel friction than the floodplain friction. Base on this understanding on the
290 roughness impacts, the calibrated roughness coefficient of main channel was set to
291 0.039, while the roughness coefficients for other land use types are set to 0.048 (grass-
292 land), 0.05 (crop land), 0.011 (high way) and 0.05 (strip mines), respectively. The model
293 was validated by using the adjusted roughness coefficients for the flood occurred in
294 early January, 1997. The corresponded E_f is equal to 0.933, showing a good
295 goodness of fit.

296 The calibrated model is further applied to simulate different flood events in order to
297 examine the applicability and accuracy of simulation model in details. As shown in Fig.5,

298 we chose six other flood events for testing cases in addition to the flood event in early
299 1997. These cases were sorted with index numbers according to the magnitude of peak
300 flow. Comparison to the gauging station data (both the discharge and water level) for the
301 7 testing cases is shown in Fig. 6 and Fig. 7. The scatter plot comparison for all data is
302 shown at the lower right corner in each figure. The commonly used accuracy measure
303 RMSE (Root Mean Square Error) is calculated for each testing cases (shown in sub-figures
304 in both Fig.6 and Fig.7). The overall RMSE for all testing cases to the discharge
305 comparison throughout the simulation was 5.83 m³/s. This was reduced to 3.06 m³/s for
306 discharge less than 100 m³/s and increased to 6.94 m³/s for discharge higher than 100
307 m³/s. The model predicted the low flow much better (RMSE ranges 0.90 to 1.70 m³/s for
308 testing cases ①~③) and the model performance reduced during high flow (RMSE
309 ranges 3.13 to 14.65 m³/s for testing cases ④~⑦). Similar in Fig. 6, the overall RMSE of
310 water depth for all testing cases throughout the simulation was 0.12 m. The accuracy of
311 model predictions was higher for lower water levels (RMSE was 0.07 m for water depth
312 less than 3 m and enlarged to 0.13m for water depth higher than 3 m).

313 For the relatively lower flow cases (testing cases ①~③), the RMSE ranged from
314 0.009 to 0.015m, showing a good accuracy of predictions at low water levels. The RMSE
315 for relevant higher flow (testing cases ④~⑦) ranged from 0.05 to 0.22m, showing a less
316 accuracy of predictions at high water levels. One main cause of the error for water level
317 is likely due to the resolution of the topographic data. The vertical elevations of finite
318 element mesh nodes were interpolated from the coarse DEM (30m) ~~and ESRI tin data on~~
319 ~~floodplains; therefore~~ errors may existed ~~in the terrain data of study reach for~~
320 floodplain delineation. Another source of the error may come from the vertical accuracy
321 of the elevation/bathymetry data. Also, the zoning and spatial properties for each
322 element of the whole modeling domain were primarily based on the land use data and
323 led to temporally constant parameters, which may not reflect the real conditions. Flow
324 roughness could be affected by this reason. Although the roughness coefficients had
325 been calibrated for the modeling period, they probably cannot accurately represent the
326 real friction factor of each land use type at all time (e.g. vegetation property would

327 change seasonally). Also, treating the roughness coefficients as constant values
328 independent of flow depth in AdH modeling would result in errors. In reality, flow
329 roughness can change with the water levels over the floodplain (Domeneghetti et al.,
330 2012). Moramarco and Singh (2010) evaluated the trend of Manning's coefficient for
331 two river sites along the Tiber River and they highlighted that the n value decreases with
332 increasing flow depth (and hence increasing discharge), showing an asymptotical
333 behavior for high water levels. Furthermore, the neglecting of both the evaporation and
334 infiltration would be another error factor. Despite modeling errors appearing in high
335 flows, the model provides a much detailed view of floodplain hydraulics that can
336 enhance our understanding of water interactions between main channel and
337 floodplains.

338 **3.2 Characterization of exchanges between main channel and floodplains**

339 We assessed the hydrological connectivity between the main channel and its
340 floodplains with two approaches. First, we examined the spatial variation in the flux
341 distribution at 12 different locations (marked in Fig.1) along the focused river reach. We
342 calculated the averaged longitudinal flux passing through the floodplains (Q_{fp}). The
343 proportion of total flow that routed through the floodplains (α_{fp}) was then obtained by
344 dividing Q_{fp} with total discharge. Second, we examined the transboundary flux of both
345 river banks along the focused reach, which is defined as the flow flux penetrating the
346 boundary between the main channel and floodplains along a selected reach. The
347 transboundary flux ratio (β_{ex}) was then calculated by dividing the total transboundary
348 flux with inflow discharge.

349 Quantifying the flux distribution is generally considered a good way to identify the
350 river-floodplain exchange flux and connectivity (Thomaz et al., 2007; Heiler et al., 1995).
351 Previous studies have shown that the lateral exchange can be considerably complicated
352 and strongly depends on channel morphology, and both the magnitudes and direction
353 of lateral flux are spatial-related variables. Such exchange cannot be simply described by
354 a single flow quantity and needs to be examined in different aspect. The proportion of

355 total flow routing through the floodplains (α_{fp}) is considered a useful indicator for flux
356 distribution. Its magnitude and spatiotemporal change can disclose some details of the
357 hydraulic role of the floodplains and the interaction between the main channel and the
358 floodplains. For McCarran ranch, this ratio is calculated at each flux sampling location
359 for 7 different discharges, carrying out 84 calculations in total.

360 ~~The~~ Results of the analysis are plotted in Fig.8 (a). As shown, the error bars
361 represent the spatial heterogeneity of the flux distribution in the river-floodplain system,
362 and the mean values represent the averaged flux proportion that routing through the
363 overall floodplains of the focused reach. The distribution of flux could have been caused
364 by the flow pattern of the meandering channel. For example, since transects No. 6 and
365 No. 11(Fig. 1) have stronger meandering feature comparing to other transects (e.g.,
366 transect No.2 locates at a much straighter reach), the flux on the adjacent floodplains at
367 these transects can be 3.2~5.3 times of a straight reach while the peak discharge is
368 $248.4\text{m}^3/\text{s}$, with the rising of flux rate, the clout of meandering course is waning. The
369 factor reduces to 2.3~4.1 when the discharge is as high as $521.3\text{ m}^3/\text{s}$. Also, the lateral
370 slope in terrain could be another cause to the flux distribution in main
371 channel-floodplain system. Due to the relative higher slope of hill slopes at transect
372 No.1, the α_{fp} always has the lowest value comparing to other transects.

373 The ratio α_{fp} increases with rising flow discharge. As shown in Fig.8 (a), the relation
374 between the mean proportion and discharge can be fitted with a power-law function (a
375 straight line in logarithmic scales with a slope of 1.5) with high goodness-of-fit
376 ($R^2=0.984$). Despite this power law relationship for flood water exchange ratio was
377 obtained from a specified study reach of Truckee river, and may not necessarily be
378 applicable to other sites, it reveals the fact that the floods have a significant impact on
379 the flux exchange in a river-floodplain system, and this would consequently affect the
380 nutrients and organic matters transport.

381 The mean value of α_{fp} for McCarran ranch from year 1995 to 2000 is calculated by
382 applying the power law function, shown in Fig. 8(b). Similar to the discharge trend, α_{fp} is

383 seasonally fluctuated according to the floods. Generally, the proportion of flux routing
384 through floodplains at McCarran ranch is less than 5% during base flow, and it can reach
385 to 15%~30% during small flood events. During extreme high floods, this ratio can be as
386 high as 65%, representing a much high proportion of total flow that routed through the
387 floodplains. Our results are compatible to other results reported in literature. Similar
388 research results have been reported in other river system studies. Richey et al (1989)
389 used Muskingum routing of main channel flow and simple floodplain representation to
390 estimate the flow volume exchanges in river-floodplain systems at Itapena of Amazon
391 River, their research results showed that the ratio of exchanged flux was approximately
392 30%. Wilson et al (2007) updated this result based on 2-demensional modeling and
393 found the ratio to be at least 40% between Itapeua and Manaus on Amazon River.
394 Zurbrügg et al (2012) have estimated the river-floodplain exchange in Kafue Flats
395 through high resolution measurements of discharge and tracers, and found this
396 exchange ratio to be as much as 80% during peak discharge. This river-floodplain
397 exchange flow could have a strong impact on river quality, and resulting in seasonally
398 recurring sharp changes in dissolved oxygen levels or other quality objectives (Zurbrügg
399 et al, 2012; Zurbrügg et al, 2013).

400 A more direct look of the river-floodplain exchange is the transboundary flux, which
401 is defined as the flow discharge penetrating the boundary between the main channel
402 and floodplains. The transboundary flux versus river discharge of two particular flood
403 events was plotted in Fig. 9. The fluxes were used to determine the quantity of
404 floodwater from the main channel to the floodplains. As shown in Fig. 9, the maximum
405 transboundary flux occurred before the peak flow for each flood event. Generally, the
406 transboundary flux ratio is approximately from 0.05 to 0.45, and the corresponded flux
407 is $8\text{m}^3/\text{s}$ to $70\text{m}^3/\text{s}$. The variation of transboundary flux is mainly controlled by the
408 magnitude of flood discharge, e.g. when the discharge is increased from $270\text{m}^3/\text{s}$ to
409 $550\text{m}^3/\text{s}$, the total tranboundary flux is increased from $30\text{m}^3/\text{s}$ to $65\text{m}^3/\text{s}$ accordingly.
410 The opposite flow across the river boundary at rising stage and receding stage leads to
411 the loop curve relation between transbounday flux and discharge.

412 3.3 Flood inundation analysis

413 The prediction of flood inundation is crucial for risk control and water resources
414 management. Both the inundation area and volume were numerically calculated from
415 the AdH modeling results. A Matlab code was developed for the inundation area and
416 volume calculation based on water depth values on mesh nodes (outputs of AdH) and
417 the finite element mesh information (inputs of AdH). The extreme flood event occurred
418 in early January in 1997 was set as an example for inundation analysis. The inundated
419 area and volume were calculated at different discharges that chosen from the flood
420 rising stage, peak flow stage and recession stage. [Snapshots of the flood inundation](#)
421 [maps were illustrated in Fig.10](#). Fig.10-11 shows the scatter plots of inundated area and
422 inundated volume. The maximum inundated area and volume can be as high as 1.3km^2
423 and $3.95 \times 10^6\text{m}^3$, respectively.

424 More interestingly, we found that both the inundated area-discharge relation and
425 inundated volume-discharge relation showing a looped curve pattern. These looped
426 curves indicate that the same flow discharge at different stages of a flood produced
427 different inundated areas or volumes. This is similar to the looped rating curve for
428 stage-discharge relation during flood events. Based on literatures survey, the looped
429 curve pattern of the inundated area or volume-discharge relation has not been reported
430 yet in the previous studies. This result has a great value in practice for flood risk
431 mitigation, in improving the flood disaster assessment and risk estimation. Furthermore,
432 the inundated area-discharge relation describes an areal result rather than at a station,
433 i.e., an upscaled result of the point-scale stage-discharge relation. It shows that such
434 hysteresis effect can appear not only at local scales, but also at large spatial scales. This
435 result can help us further understand the flood regime and related biogeochemical
436 processes.

437

438 [4. Implications on riverine ecosystem and flood management](#)

439 Our study reveals the hydrological connectivity property in the main
440 channel-floodplain system of Truckee River during flood events. As discussed in the
441 previous section that the channel divides a considerable portion of its inflow into the
442 floodplains, and the laterally water exchanges between the main channel and floodplain
443 is closely related to the discharge fluctuations, showing an intensive heterogeneity
444 under different spatio-temporal scales. This high level of spatio-temporal heterogeneity
445 has been proved for forming riverine floodplains as one of the most species-rich
446 environments (Ward et al., 1999). We have analyzed the hydraulic roles of floodplains
447 quantitatively through a set of characterized parameters (α_{fp} and β_{ex}), since the
448 floodplain hydrodynamics from flooding play a dominate role in maintaining a diversity
449 of lentic, lotic and semi-aquatic habitat types (Ward et al., 1999; Amoros and Bornette,
450 2002).

451 During the flood events, flood penetrate through the river bank while carrying large
452 amounts of upstream sediment (organic or inorganic substance). When the hydraulic
453 condition meets the appropriate water and sediment dynamic conditions, sediments
454 would deposit on floodplains. This process provides conditions for the floodplain
455 wetlands material recycling. In addition, the aquatic organisms (fishes, invertebrates,
456 plankton etc.) would be entrained into the low-lying zones of floodplains, and eventually
457 participate in the local food chain activities (Stanford and Ward, 1993; Tockner et al.,
458 1999). Biochemical processes such as the metabolism activities happened on patches of
459 floodplain would not change much until the next flood pulse (Thoms, 2003).

460 In addition, the ecological health of the river corridor relies not only on the surface
461 water hydrological connection but is also reflected by the surface-subsurface water
462 interactions (i.e., hyporheic exchanges). Although we have only discussed the flood
463 hydrodynamic process on the Truckee River, we can still speculate that the intense flood
464 inundations and transient fluctuations will certainly affect subsurface flow within the
465 riparian zone. For instance, the lateral hyporheic flow patterns. Recent studies have
466 shown that hyporheic exchanges within the river-floodplain system plays a key role in

467 maintaining the health of fluvial systems due to its control of biogeochemical and
468 ecological processes (Boulton and Hancock, 2006; Boulton et al., 1998; Brunke and
469 Gonser, 1997; Findlay, 1995). The flood will cause a much more inundation area. This
470 implies that the hyporheic exchanged zone will extend to a larger configuration
471 according to the inundation. Therefore, it will affect the biogeochemical processes in the
472 riverbed and floodplain, for example, the nitrification processes in downwelling area
473 and denitrification processes in upwelling zone (Findlay, 1995).

474 Our results also indicated an interesting hysteresis pattern to flood inundation
475 behavior. The largest inundation area/volume occurred behind the peak discharge.
476 Generally, due to the unsteady fluctuation rate, backwaters in bend area, heterogeneity
477 of lateral exchanges and other factors, the flood propagation shows a strong nonlinear
478 dynamics. The fact is that the maximum loss that caused by a certain flood event will lag
479 behind the peak discharge. This implies that when we are facing in an evaluation of a
480 disaster flood, it is more appropriate to bring the hysteresis pattern into mind.

481 **54. Conclusions**

482 The use of the hydrodynamic model (AdH) is an effective method for delineating
483 flood inundation in areas of subtle topographic relief. This model was applied for
484 modeling the seasonally flood river at McCarran ranch on Truckee River with a much
485 finer mesh grids. The model was calibrated with gauge data and the validated model
486 performed well in representing the flood hydrographs of various magnitudes. Although
487 the accuracy of prediction is declined slightly at higher discharge and water stage, the
488 raw output of depth and velocity magnitudes from a 2-dimensional form of AdH
489 appears adequate to produce reasonable results.

490 Results show that the proportion of flow that routed through floodplains is much
491 higher during extreme flood events. Since the river-floodplain exchange plays a crucial
492 role in maintaining ecosystem, estimating the exchange ratio through a modeling
493 approach could be useful for river restoration and river landscape design, or even be

494 used as a global index for river ecological assessment. However, field measurements are
495 still recommended for further verification of AdH modeling results.

496 The inundation area (or volume)-discharge relation at McCarran Ranch on Truckee
497 River was found to be a loop curve pattern, showing hysteresis of flood inundation
498 exists in large spatial scales. Despite this result was obtained from a specific river reach,
499 it will be potentially useful for flood risk assessment and water resources management
500 of other river-floodplain systems, especially for rivers with considerably more floodplain
501 areas.

502

503

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511 available from the corresponding author upon request.

512

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652

653

FIGURE CAPTIONS

654 Fig.1. Study location and the elevation of focused area.

655 Fig.2 The landuse information (a) and finite element mesh (b) of study area.

656 Fig.3 Results of mesh dependence testing

657 Fig. 4 Results of time sensitivity study

658 Fig.5 Scenarios of flood events, of which, case 6 and case 7 are representing the 10-yr
659 and 50-yr flood events, respectively .

660 Fig. 6 Comparison of calculated discharge and observed discharge

661 Fig.7 Comparison of calculated water depth and observed water depth

662 Fig.8 (a)The proportion of total flow that routed through the floodplains (α_{fp}) vs. inflow
663 discharge. The function of the fitted line is $y=5.0e-5x^{1.5}$ ($R^2=0.984$); (b) The mean value
664 of α_{fp} for McCarran ranch from year 1995 to 2000 based on the applying of the former
665 function in (a).

666 Fig.9 the transboundary flux ratio (or the absolute transboundary flux) vs. river discharge
667 of (a) 50-yr flood (case#⑦) and (b)10-yr flood (case#⑥).

668 Fig.10 Inundation maps of 50-yr flood event under different flood stage, of which, (a)
669 and (b) are located on the flood rising stage, and (c) corresponds to peak discharge and
670 (d) is located on the flood recession stage.~~The scatter plots of inundated area vs.~~
671 ~~discharge (a) and inundated volume vs. discharge (b) of a sample flood event.~~

672 Fig.11 The scatter plots of inundated area vs. discharge (a) and inundated volume vs.
673 discharge (b) of a sample flood event.

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Tables

679

680 Table 1. Scenarios of mesh dependence testing

681

Mesh testing	No. of elements
M1	4911
M2	6307
M3	10306

682

683

684

685

686 Table 2. Scenarios of time sensitivity study

687

Time sensitivity testing	Time step(s)
Δt_1	1
Δt_2	10
Δt_3	30

688

689