

1 **Modeling the main channel-floodplain hydrodynamic interactions at the McCarran**  
2 **Ranch in the Lower Truckee River, USA**

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14       **Abstract**

15       This study applied a two-dimensional hydrodynamic model AdH (Adaptive  
16       Hydraulics) to a river reach for complex floodplain hydrodynamic analysis. Using the  
17       AdH model with combined bathymetry data and topographic data from USGS seamless  
18       server and United States Army Corps of Engineers (USACE), we intended to examine  
19       channel-floodplain interaction on a 10km reach at McCarran Ranch located at lower  
20       Truckee river in Nevada, USA. After the calibration of the model, we tested the  
21       dependence of modeling results to mesh density, input parameters and time steps, and  
22       compared the modeling results to the existing gauged data (both the discharge and  
23       water stage heights). Results show that the accuracy of prediction from the AdH model  
24       may decline slightly at higher discharges and water levels. The modeling results are  
25       much sensitive to the roughness coefficient of the main channel, suggesting that the  
26       model calibration should give priority to the main channel roughness. A detailed analysis  
27       of the flood water dynamics was then conducted using the modeling approach to  
28       examine the hydraulic linkage between the main channel and floodplains. It was found  
29       that large flood events could lead to a significantly higher proportion of total flow being  
30       routed through the floodplains. During peak discharges, a river channel diverted as  
31       much as 65% of the total discharge into the floodplain. During the periods of overbank  
32       flow, the transboundary flux ratio was about 5%~45% of the total river discharge,  
33       indicating substantial exchange between the main channel and floodplains. Results also  
34       showed that both the relations of the inundation area and volume versus the discharge  
35       exhibit an apparent looped curve form, suggesting an areal hysteresis effect of flood  
36       routing on floodplains.

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## 39 **1. Introduction**

40 Hydrodynamic characteristics of floods are highly concerned in hydraulics, fluvial  
41 geomorphology, and aquatic ecosystem due to its importance in flood control, river-bank  
42 erosion, sedimentation, restoration for freshwater habitat, and many related problems.  
43 In streams where large floodplains appear, floods may exhibit much more complex flow  
44 patterns since the overbank flow could be very different compared with the channel flow,  
45 and the hydraulic interaction between the floodplains and the main channel could be  
46 complicated. The dynamic channel-floodplain linkage during flood times may greatly  
47 affect the floodwaters, sediment transport, erosion, and deposition on floodplains with  
48 unsteady, non-uniform flow features (Amoros and Bornette, 2002; Antheunisse and  
49 Verhoeven, 2008; Bridge, 2003; Thoms, 2003; Sheldon et al., 2002; Stanford and Ward,  
50 1993). This linkage may not only affect flood conveyance and flood risks, but also  
51 influence water quality and ecological processes in the river system.

52 The pattern of flood inundation is of critical importance to the vegetation  
53 distribution in the floodplains in Lower Truckee River (Galat, 1990; McKenna et al., 1992).  
54 Temporal and spatial changes in flood inundation extent and water level have crucial role  
55 in maintaining the sustainable organic material/nutrients exchanges between the main  
56 channel and floodplains, yet are critical for understanding hydrological and  
57 biogeochemical processes in aquatical ecosystems (Bayley, 1995; Antheunisse and  
58 Verhoeven, 2008; Pettit et al., 2011). The ability to model potential flood inundation and  
59 map actual extent of inundation, timing, and intensity under different flood levels is  
60 central to understanding the dynamics of ecological interactions in the main  
61 channel-floodplain system.

62 Numerical models of channel-floodplain flows are important for understanding and  
63 predicting hydrodynamics and its environmental impacts in the channel-floodplain  
64 systems. As an increase in accuracy and reliability of flow and inundation predictions is

65 desirable for better decisions concerning land use and water management, the  
66 development and improvement of methods for high-resolution hydrologic modeling has  
67 been increasingly committed (Neal et al, 2012;). Significant advances in flood modeling  
68 have been achieved in the last decade through the use of a new generation of  
69 two-dimensional (2D) hydraulic numerical models (Leopardi et al., 2002; Hunter et al.,  
70 2007; Neal et al., 2011). These offer the potential to predict the local pattern and timing  
71 of flood depth and velocity, enabling informed flood risk zoning and improved  
72 emergency planning.

73 Suitable models for floodplain analysis must be capable of describing the  
74 interaction between floodplain topography and unsteady, non-uniform water flow and  
75 sediment transport. In particular, numerical models should be able to describe the effect  
76 of channel curvature on floodplain flow structure, and how they change in time over  
77 floods (Bridge, 2003). This means that the representation of flow field in the model  
78 should be two- or three-dimensional (2D or 3D). The US Army Corps of Engineers (USACE)  
79 AdH (Adaptive Hydraulics) model software is a 2D shallow water modeling tool capable  
80 for floodplain modeling studies (Gambucci, 2009). This tool is developed at the Coastal  
81 and Hydraulics Laboratory (CHL) and has been used to model hydrodynamics and  
82 sediment transport in sections of the Mississippi River, tidal conditions in southern  
83 California, and vessel traffic in the Houston Ship Channel, thus it is a suitable for  
84 channel-floodplain hydrodynamic interaction analysis.

85 The main objective of the present study was to investigate the hydrologic  
86 connectivity between floodplains and the main channel of a stream during floods. The  
87 2D hydrodynamics model AdH used in this study allowed for detailed analysis of flood  
88 flow characteristics in the channel-floodplain system. Through the examination of  
89 several hydrodynamic aspects of the river-floodplain linkage, including the  
90 channel-floodplain flow rate partitioning, transboundary flux, and the inundation  
91 dynamics, the study aims to improve our understanding of the interaction between the  
92 two main geomorphic components of rivers. Results of this study may help advance the

93 research of the exchange of nutrients and particulate matter in a dynamic  
94 river–floodplain system and its potential impact on aquatic ecosystem.

95

## 96 **2. Methodology**

### 97 **2.1 Study Site**

98 We selected the McCarran Ranch reach of the Truckee River in Nevada, U.S. as our  
99 study site to investigate the hydrodynamics of main channel-floodplain system. The  
100 Truckee River flows through the U.S. states of California and Nevada. It is the second  
101 largest river in Nevada and the only outflow from Lake Tahoe. Roughly every ten years,  
102 the Truckee River generates a damaging flood. The 1997 inundation was a major event,  
103 putting downtown Reno under several feet of water and turning much of the Sparks  
104 industrial area into an inland sea. Although flooding is inevitable, progress is being made  
105 on flood control to make the area less prone to such risks (<http://www.truckee-flood.us/>).  
106 The use of satellite observations for evaluating the inundation extent and water level has  
107 been considered as an efficient way (Townsend and Walsh, 1998; Overton,  
108 2005). However, currently available satellite observations of inundation extent and water  
109 level do not provide a solution as these are usually made using profiling altimeters with  
110 wide spacing between tracks (Birkett et al., 2002; Coe and Birkett, 2004), passive  
111 microwave instruments with good temporal but limited spatial resolution (Hamilton et  
112 al., 2002, 2004), or synthetic aperture radars with good spatial resolution but limited  
113 temporal coverage (Hess et al., 2003; Frappart et al., 2005). Whilst the regional  
114 significance of hydrology and biogeochemistry process in Truckee river floodplains is  
115 undisputed, flood risk analysis needs a higher accuracy at a much finer spatial and  
116 temporal resolutions.

### 117 **2.2 Governing equations and model settings**

118 The 2D shallow water model AdH solves the 2D nonlinear shallow water equations.

119 These equations have proven successful in describing water surface and velocity fields in  
 120 surface water modeling and accepted by many authors as it appears that most studies  
 121 use 2D models (Abderrezzak et al., 2009; Mignot et al., 2006; Bates et al., 2010;  
 122 deAlmeida et al., 2012).The equations are derived with the assumption that the vertical  
 123 velocity component is negligible. Neglecting shear stress and fluid pressure at the free  
 124 surface, the 2D shallow water equations as implemented within AdH are written as:

$$125 \quad \frac{\partial Q}{\partial t} + \frac{\partial F_x}{\partial x} + \frac{\partial F_y}{\partial y} + H = 0 \quad (1)$$

126 where,

$$127 \quad Q = \begin{Bmatrix} h \\ uh \\ vh \end{Bmatrix} \quad (2)$$

$$128 \quad F_x = \begin{Bmatrix} uh \\ u^2h + \frac{1}{2}gh^2 - h\frac{\sigma_{xx}}{\rho} \\ uvh - h\frac{\sigma_{yx}}{\rho} \end{Bmatrix} \quad (3)$$

$$129 \quad F_y = \begin{Bmatrix} vh \\ uvh - h\frac{\sigma_{yx}}{\rho} \\ v^2h + \frac{1}{2}gh^2 - h\frac{\sigma_{yy}}{\rho} \end{Bmatrix} \quad (4)$$

$$130 \quad H = \begin{Bmatrix} 0 \\ gh\frac{\partial z_b}{\partial x} + n^2g\frac{u\sqrt{u^2+v^2}}{h^{4/3}} \\ gh\frac{\partial z_b}{\partial y} + n^2g\frac{v\sqrt{u^2+v^2}}{h^{4/3}} \end{Bmatrix} \quad (5)$$

131 The Reynolds stresses are determined using the Boussinesq approach to the  
 132 gradient in the mean currents,

$$133 \quad \sigma_{xx} = 2\rho\nu_t \frac{\partial u}{\partial x} \quad (6)$$

134 
$$\sigma_{yy} = 2\rho\nu_t \frac{\partial v}{\partial y} \quad (7)$$

135 
$$\sigma_{xy} = \sigma_{yx} = 2\rho\nu_t \left( \frac{\partial u}{\partial y} + \frac{\partial v}{\partial x} \right) \quad (8)$$

136 where,  $h$  is flow depth,  $u$  and  $v$  are velocities in  $x$  and  $y$  directions,  $g$  is gravitational  
137 accelerate,  $\rho$  is flow density,  $\sigma_{xx}$ ,  $\sigma_{yy}$ ,  $\sigma_{xy}$  and  $\sigma_{yx}$  are shear stresses, where the first  
138 subscript indicates the direction, and the second indicates the face on which the stress  
139 acts, are due to turbulence.  $z_b$  is the river bed elevation and  $n$  is Manning's friction  
140 coefficient.  $\nu_t$  is the kinematic eddy viscosity, which varies spatially where turbulence  
141 closure is achieved through the algebraic eddy viscosity formulation described by Rodi  
142 (1993).

143 The critical input data is the Digital Elevation Model (DEM) of sufficient resolution  
144 and vertical accuracy to capture floodplain topographic features relevant to flow  
145 development at the scale of interest and channel bathymetric information detailing the  
146 longitudinal slope. The channel bathymetry was obtained from United States Army  
147 Corps of Engineers (USACE). The floodplain topology data was created from the 30 ×  
148 30m United States Geological Survey (USGS) Digital Elevation Model (DEM) obtained  
149 from the USGS seamless server (<http://seamless.usgs.gov/>) and it was integrated with  
150 the bathymetry data. These data are necessary for delineating the study area and  
151 assigning elevation for individual grid cells (Bates and De Roo, 2000). The modeling area  
152 is depicted in Fig.1. The AdH model was developed for the river reach of approximately  
153 10 km in length.

154 The other group of input parameters was the surface roughness. These parameters  
155 are generally associated with the land use information. The land use data was obtained  
156 from the webGIS website (<http://www.webgis.com>). It was used to determine the  
157 surface roughness with referenced data obtained from the tabular values in Chow (1988).  
158 Fine tuning of the roughness value was later on carried out in model calibration. The

159 land use pattern within the AdH model boundary is shown in Fig. 2(a). Also, the  
160 estimated eddy viscosity function with a coefficient value of 0.5 was used for this study.  
161 As the element wetting and drying limits could cause model instabilities that require an  
162 elaborate adjustment (Gambucci, 2009; Karadogan and Willson, 2010). We set the  
163 values at 0.15 meters for both the wetting and drying limits. Results of testing models  
164 showed that changes in these values have very little impact on the hydrodynamic results.

165 There is only one gauging station (USGS 10350340) in the study reach. This gauge is  
166 located at the lower part of the study area (Fig. 1) and started operation from June,  
167 1997. Data from this station were used for model validation. The nearest gauging station  
168 (USGS 10350000) is upstream to the study reach. It has record since January, 1995. Data  
169 from this station were used as inputs in a HEC-RAS simulation, and the output  
170 hydrograph was used as an upstream boundary condition of the study reach. Also, for  
171 flood events that happened before Jun, 1997, the outputs of HEC-RAS on the USGS  
172 gauging profile were used for validation. The river stage values also obtained from  
173 HEC-RAS simulation results was used for downstream boundary condition. The observed  
174 river flow was obtained from USGS NWIS (<http://waterdata.usgs.gov/nwis/sw>). It was  
175 used to compare the modeled flow with the observation.

## 176 **2.3 Model**

### 177 **2.3.1 Model calibration**

178 The model is designed to work in conjunction with the DoD Surface Water  
179 Modeling System (SMS) which can be used to create the mesh files directly for AdH  
180 setup. We used SMS to establish a finite element model for the chosen study area.  
181 Figure 2(b) represents the unstructured mesh domain. For a higher accuracy, the mesh  
182 adaptive technology was used for mesh refinement to get better results. Generally, the  
183 number of FEM nodes during the interaction ranged from 6307 to 7911. The devastating  
184 flood in early 1997 was chosen as a typical flood for model calibrations and validations.  
185 Due to the large inundation area during this flood event, it is more appropriate to

186 analyze the impacts of floodplain roughness on the flood propagation. Roughness  
 187 coefficient of main channel and floodplains were set separately for model calibration. In  
 188 order to examine the model response to roughness coefficient, we ran a matrix of 25  
 189 simulations with values of  $n_c$ (Roughness coefficient for the main channel) varying from  
 190 0.036 to 0.041 in 0.001 increments and  $n_f$  (Roughness coefficient for the floodplains)  
 191 varying from 0.044 to 0.05 in 0.002 increments.

192 Outputs of the model were compared with the observed values available at the  
 193 gauging station near the outlet of the river reach. Here we calculated the time series  
 194 discharge across the gauging profile based on the velocity magnitude and water depth  
 195 value along the profile line (showing in Fig.1). The accuracy for all simulations was then  
 196 calculated using the Nash–Sutcliffe efficiency criteria (Nash and Sutcliffe,1970),  $E_f$ :

$$197 \quad E_f = 1 - \frac{\sum_{i=1}^n (\hat{Y}_i - Y_i)^2}{\sum_{i=1}^n (Y_i - \bar{Y})^2} \quad (9)$$

198 in which  $\hat{Y}_i$  and  $Y_i$  are predicted and measured values of the criterion dependent  
 199 variable  $Y$ , respectively;  $\bar{Y}$  is the mean of the measured values of  $Y$ ; and  $n$  is the sample  
 200 size.  $E_f$  ranges between  $-\infty$  (where the observed mean is a better predictor than the  
 201 model) to 1 (where observed and predicted values are identical). The Nash-Sutcliffe  
 202 coefficient has been considered as a goodness of fit index to systemically assess  
 203 effectiveness of hydrological models (Krause et al., 2005; McCuen et al.,2006). By  
 204 calculating the  $E_f$  value for the 25 scenarios, it is found that the index value ranged from  
 205 0.770 with the lowest main channel roughness ( $n_c=0.036$ ) to 0.937 with the roughness  
 206 of main channel equals to 0.039. The change of roughness of floodplains makes less  
 207 difference in the Nash-Sutcliffe index (for example, the  $E_f$  value ranges from 0.924 to  
 208 0.937 with the  $n_f$  gradually varying from 0.044 to 0.05, while keeping  $n_c$  with a constant  
 209 value to 0.039), suggesting that the modeled flood discharge is much more sensitive to  
 210 the main channel friction than the floodplain friction. Base on this understanding on the

211 roughness impacts, the calibrated roughness coefficient of main channel was set to  
212 0.039, while the roughness coefficients for other land use types are set to 0.048 (grass  
213 land), 0.05(crop land), 0.011(high way) and 0.05 (strip mines), respectively. The model  
214 was validated by using the adjusted roughness coefficients for the flood occurred in  
215 early January,1997. The corresponded  $E_f$  is equal to 0.933, showing a good  
216 goodness-of-fit.

### 217 **2.3.2 Mesh dependence analysis**

218 The adaptive numerical mesh within the AdH model can improve model accuracy  
219 without sacrificing efficiency. Before applying the adaptive mesh approach, a base level  
220 mesh needs to be generated first. The mesh was created in software Surface Modeling  
221 System (SMS) which can be used as a pre- and post-processing graphic user interface for  
222 AdH. Following mesh generation, the bathymetric data of the stream was interpolated  
223 onto the mesh nodes. Triangular elements were used to discrete the domain is shown in  
224 Fig.2 (b). Special care was taken to generate a fine mesh in the vicinity of the main  
225 channel. Since the simulation results depend on the mesh resolution and quality, several  
226 mesh resolutions were adopted for mesh dependence study (Table 1). To compare with  
227 the observation, the simulated velocity and water depth on the profile where the USGS  
228 gauging station located were extracted. Fig.3(a) and Fig.3(b) show the velocity and  
229 water depth corresponding to different element number on the peak flow stage for a  
230 high discharge flood happened in the early January in 1997. The time step ( $\Delta t$ ) was set  
231 to 1s. Results show that the mesh size M2 and above were observed to be mesh  
232 independent.

### 233 **2.3.3 Time step sensitivity**

234 ADH is an implicit code and therefore, the time step size is not stability limited for  
235 the linear problem, however, nonlinear instability will occur if the time step is too large  
236 (Tate et al., 2009). Choosing a proper initial time step could reduce the turnaround time  
237 on time-critical simulations. Three different initial time step sizes were chosen for

238 investigating the initial time step dependence (see table 2). Same as the mesh  
239 dependence analysis, the depth and velocity value along the gauging profile at the peak  
240 flow stage in the early January, 1997 were used for comparison. As showing in Fig. 4,  
241 both the velocity and depth along the gauging profile are plotted for particular time  
242 levels. From Fig.4 it can be note that the initial time step sizes of 30s is good enough to  
243 capture the physical properties of floods modeling results.

### 244 **3. Results and discussion**

#### 245 **3.1 Model application**

246 The calibrated model is further applied to simulate different flood events in order to  
247 examine the applicability and accuracy of simulation model in details. As shown in Fig.5,  
248 we chose six other flood events for testing cases in addition to the flood event in early  
249 1997. These cases were sorted with index numbers according to the magnitude of peak  
250 flow. Comparison to the gauging station data (both the discharge and water level) for the  
251 7 testing cases is shown in Fig. 6 and Fig. 7. The scatter plot comparison for all data is  
252 shown at the lower right corner in each figure. The commonly used accuracy measure  
253 RMSE (Root Mean Square Error) is calculated for each testing cases (shown in sub-figures  
254 in both Fig.6 and Fig.7). The overall RMSE for all testing cases to the discharge  
255 comparison throughout the simulation was 5.83 m<sup>3</sup>/s. This was reduced to 3.06 m<sup>3</sup>/s for  
256 discharge less than 100 m<sup>3</sup>/s and increased to 6.94 m<sup>3</sup>/s for discharge higher than 100  
257 m<sup>3</sup>/s. The model predicted the low flow much better (RMSE ranges 0.90 to 1.70 m<sup>3</sup>/s for  
258 testing cases ①~③) and the model performance reduced during high flow (RMSE  
259 ranges 3.13 to 14.65 m<sup>3</sup>/s for testing cases ④~⑦). Similar in Fig. 6, the overall RMSE of  
260 water depth for all testing cases throughout the simulation was 0.12 m. The accuracy of  
261 model predictions was higher for lower water levels (RMSE was 0.07 m for water depth  
262 less than 3 m and enlarged to 0.13m for water depth higher than 3 m).

263 For the relatively lower flow cases (testing cases ①~③), the RMSE ranged from  
264 0.009 to 0.015m, showing a good accuracy of predictions at low water levels. The RMSE

265 for relevant higher flow (testing cases ④~⑦) ranged from 0.05 to 0.22m, showing a less  
266 accuracy of predictions at high water levels. One main cause of the error for water level  
267 is likely due to the resolution of the topographic data. The vertical elevations of finite  
268 element mesh nodes were interpolated from the coarse DEM (30m) on floodplains;  
269 therefore errors may exist for floodplain delineation. Another source of the error may  
270 come from the vertical accuracy of the elevation/bathymetry data. Also, the zoning and  
271 spatial properties for each element of the whole modeling domain were primarily based  
272 on the land use data and led to temporally constant parameters, which may not reflect  
273 the real conditions. Flow roughness could be affected by this reason. Although the  
274 roughness coefficients had been calibrated for the modeling period, they probably  
275 cannot accurately represent the real friction factor of each land use type at all time (e.g.  
276 vegetation property would change seasonally). Also, treating the roughness coefficients  
277 as constant values independent of flow depth in AdH modeling would result in errors. In  
278 reality, flow roughness can change with the water levels over the floodplain  
279 (Domeneghetti et al., 2012). Moramarco and Singh (2010) evaluated the trend of  
280 Manning's coefficient for two river sites along the Tiber River and they highlighted that  
281 the  $n$  value decreases with increasing flow depth (and hence increasing discharge),  
282 showing an asymptotical behavior for high water levels. Furthermore, the neglecting of  
283 both the evaporation and infiltration would be another error factor. Despite modeling  
284 errors appearing in high flows, the model provides a much detailed view of floodplain  
285 hydraulics that can enhance our understanding of water interactions between main  
286 channel and floodplains.

### 287 **3.2 Characterization of exchanges between main channel and floodplains**

288 We assessed the hydrological connectivity between the main channel and its  
289 floodplains with two approaches. First, we examined the spatial variation in the flux  
290 distribution at 12 different locations (marked in Fig.1) along the focused river reach. We  
291 calculated the averaged longitudinal flux passing through the floodplains ( $Q_{fp}$ ). The  
292 proportion of total flow that routed through the floodplains ( $\alpha_{fp}$ ) was then obtained by

293 dividing  $Q_{fp}$  with total discharge. Second, we examined the transboundary flux of both  
294 river banks along the focused reach, which is defined as the flow flux penetrating the  
295 boundary between the main channel and floodplains along a selected reach. The  
296 transboundary flux ratio ( $\beta_{ex}$ ) was then calculated by dividing the total transboundary  
297 flux with inflow discharge.

298 Quantifying the flux distribution is generally considered a good way to identify the  
299 river-floodplain exchange flux and connectivity (Thomaz et al., 2007; Heiler et al.,1995).  
300 Previous studies have shown that the lateral exchange can be considerably complicated  
301 and strongly depends on channel morphology, and both the magnitudes and direction  
302 of lateral flux are spatial-related variables. Such exchange cannot be simply described by  
303 a single flow quantity and needs to be examined in different aspect. The proportion of  
304 total flow routing through the floodplains ( $\alpha_{fp}$ ) is considered a useful indicator for flux  
305 distribution. Its magnitude and spatiotemporal change can disclose some details of the  
306 hydraulic role of the floodplains and the interaction between the main channel and the  
307 floodplains. For McCarran ranch, this ratio is calculated at each flux sampling location  
308 for 7 different discharges, carrying out 84 calculations in total.

309 Results of the analysis are plotted in Fig.8 (a). As shown, the error bars represent  
310 the spatial heterogeneity of the flux distribution in the river-floodplain system, and the  
311 mean values represent the averaged flux proportion that routing through the overall  
312 floodplains of the focused reach. The distribution of flux could have been caused by the  
313 flow pattern of the meandering channel. For example, since transects No. 6 and No.  
314 11(Fig. 1) have stronger meandering feature comparing to other transects (e.g., transect  
315 No.2 locates at a much straighter reach), the flux on the adjacent floodplains at these  
316 transects can be 3.2~5.3 times of a straight reach while the peak discharge is 248.4m<sup>3</sup>/s,  
317 with the rising of flux rate, the clout of meandering course is waning. The factor reduces  
318 to 2.3~4.1 when the discharge is as high as 521.3 m<sup>3</sup>/s. Also, the lateral slope in terrain  
319 could be another cause to the flux distribution in main channel-floodplain system. Due  
320 to the relative higher slope of hill slopes at transect No.1, the  $\alpha_{fp}$  always has the lowest

321 value comparing to other transects.

322 The ratio  $\alpha_{fp}$  increases with rising flow discharge. As shown in Fig.8 (a), the relation  
323 between the mean proportion and discharge can be fitted with a power-law function (a  
324 straight line in logarithmic scales with a slope of 1.5) with high goodness-of-fit  
325 ( $R^2=0.984$ ). Despite this power law relationship for flood water exchange ratio was  
326 obtained from a specified study reach of Truckee river, and may not necessarily be  
327 applicable to other sites, it reveals the fact that the floods have a significant impact on  
328 the flux exchange in a river-floodplain system, and this would consequently affect the  
329 nutrients and organic matters transport.

330 The mean value of  $\alpha_{fp}$  for McCarran ranch from year 1995 to 2000 is calculated by  
331 applying the power law function, shown in Fig. 8(b). Similar to the discharge trend,  $\alpha_{fp}$  is  
332 seasonally fluctuated according to the floods. Generally, the proportion of flux routing  
333 through floodplains at McCarran ranch is less than 5% during base flow, and it can reach  
334 to 15%~30% during small flood events. During extreme high floods, this ratio can be as  
335 high as 65%, representing a much high proportion of total flow that routed through the  
336 floodplains. Our results are compatible to other results reported in literature. Similar  
337 research results have been reported in other river system studies. Richey et al (1989)  
338 used Muskingum routing of main channel flow and simple floodplain representation to  
339 estimate the flow volume exchanges in river-floodplain systems at Itapena of Amazon  
340 River, their research results showed that the ratio of exchanged flux was approximately  
341 30%. Wilson et al (2007) updated this result based on 2-dimensional modeling and  
342 found the ratio to be at least 40% between Itapeua and Manaus on Amazon River.  
343 Zurbrügg et al (2012) have estimated the river-floodplain exchange in Kafue Flats  
344 through high resolution measurements of discharge and tracers, and found this  
345 exchange ratio to be as much as 80% during peak discharge. This river-floodplain  
346 exchange flow could have a strong impact on river quality, and resulting in seasonally  
347 recurring sharp changes in dissolved oxygen levels or other quality objectives (Zurbrügg  
348 et al, 2012; Zurbrügg et al, 2013).

349 A more direct look of the river-floodplain exchange is the transboundary flux, which  
350 is defined as the flow discharge penetrating the boundary between the main channel  
351 and floodplains. The transboundary flux versus river discharge of two particular flood  
352 events was plotted in Fig. 9. The fluxes were used to determine the quantity of  
353 floodwater from the main channel to the floodplains. As shown in Fig. 9, the maximum  
354 transboundary flux occurred before the peak flow for each flood event. Generally, the  
355 transboundary flux ratio is approximately from 0.05 to 0.45, and the corresponded flux  
356 is  $8\text{m}^3/\text{s}$  to  $70\text{m}^3/\text{s}$ . The variation of transboundary flux is mainly controlled by the  
357 magnitude of flood discharge, e.g. when the discharge is increased from  $270\text{m}^3/\text{s}$  to  
358  $550\text{m}^3/\text{s}$ , the total transboundary flux is increased from  $30\text{m}^3/\text{s}$  to  $65\text{m}^3/\text{s}$  accordingly.  
359 The opposite flow across the river boundary at rising stage and receding stage leads to  
360 the loop curve relation between transboundary flux and discharge.

### 361 **3.3 Flood inundation analysis**

362 The prediction of flood inundation is crucial for risk control and water resources  
363 management. Both the inundation area and volume were numerically calculated from  
364 the AdH modeling results. A Matlab code was developed for the inundation area and  
365 volume calculation based on water depth values on mesh nodes (outputs of AdH) and  
366 the finite element mesh information (inputs of AdH). The extreme flood event occurred  
367 in early January in 1997 was set as an example for inundation analysis. The inundated  
368 area and volume were calculated at different discharges that chosen from the flood  
369 rising stage, peak flow stage and recession stage. Snapshots of the flood inundation  
370 maps were illustrated in Fig.10. Fig.11 shows the scatter plots of inundated area and  
371 inundated volume. The maximum inundated area and volume can be as high as  $1.3\text{km}^2$   
372 and  $3.95\times 10^6\text{m}^3$ , respectively.

373 More interestingly, we found that both the inundated area-discharge relation and  
374 inundated volume-discharge relation show a looped curve pattern. These looped curves  
375 indicate that the same flow discharge at different stages of a flood produced different  
376 inundated areas or volumes. This is similar to the looped rating curve for stage-discharge

377 relation during flood events. Based on literatures survey, the looped curve pattern of the  
378 inundated area or volume-discharge relation has not been reported yet in the previous  
379 studies. This result has a great value in practice for flood risk mitigation, in improving the  
380 flood disaster assessment and risk estimation. Furthermore, the inundated  
381 area-discharge relation describes an areal result rather than at a station, i.e., an upscaled  
382 result of the point-scale stage-discharge relation. It shows that such hysteresis effect can  
383 appear not only at local scales, but also at large spatial scales. This result can help us  
384 further understand the flood regime and related biogeochemical processes.

385

#### 386 **4. Implications on riverine ecosystem and flood management**

387 Our study reveals the hydrological connectivity property in the main  
388 channel-floodplain system of Truckee River during flood events. As discussed in the  
389 previous section that the channel divides a considerable portion of its inflow into the  
390 floodplains, and the laterally water exchanges between the main channel and floodplain  
391 is closely related to the discharge fluctuations, showing an intensive heterogeneity  
392 under different spatio-temporal scales. This high level of spatio-temporal heterogeneity  
393 has been proved for forming riverine floodplains as one of the most species-rich  
394 environments (Ward et al., 1999). We have analyzed the hydraulic roles of floodplains  
395 quantitatively through a set of characterized parameters ( $\alpha_{fp}$  and  $\beta_{ex}$ ), since the  
396 floodplain hydrodynamics from flooding play a dominate role in maintaining a diversity  
397 of lentic, lotic and semi-aquatic habitat types (Ward et al., 1999; Amoros and Bornette,  
398 2002).

399 During the flood events, flood penetrate through the river bank while carrying large  
400 amounts of upstream sediment (organic or inorganic substance). When the hydraulic  
401 condition meets the appropriate water and sediment dynamic conditions, sediments  
402 would deposit on floodplains. This process provides conditions for the floodplain  
403 wetlands material recycling. In addition, the aquatic organisms (fishes, invertebrates,

404 plankton etc.) would be entrained into the low-lying zones of floodplains, and eventually  
405 participate in the local food chain activities (Stanford and Ward, 1993; Tockner et al.,  
406 1999). Biochemical processes such as the metabolism activities happened on patches of  
407 floodplain would not change much until the next flood pulse (Thoms, 2003).

408 In addition, the ecological health of the river corridor relies not only on the surface  
409 water hydrological connection but is also reflected by the surface-subsurface water  
410 interactions (i.e., hyporheic exchanges). Although we have only discussed the flood  
411 hydrodynamic process on the Truckee River, we can still speculate that the intense flood  
412 inundations and transient fluctuations will certainly affect subsurface flow within the  
413 riparian zone. For instance, the lateral hyporheic flow patterns. Recent studies have  
414 shown that hyporheic exchanges within the river-floodplain system plays a key role in  
415 maintaining the health of fluvial systems due to its control of biogeochemical and  
416 ecological processes (Boulton and Hancock, 2006; Boulton et al., 1998; Brunke and  
417 Gonser, 1997; Findlay, 1995). The flood will cause a much more inundation area. This  
418 implies that the hyporheic exchanged zone will extend to a larger configuration  
419 according to the inundation. Therefore, it will affect the biogeochemical processes in the  
420 riverbed and floodplain, for example, the nitrification processes in downwelling area  
421 and denitrification processes in upwelling zone (Findlay, 1995).

422 Our results also indicated an interesting hysteresis pattern to flood inundation  
423 behavior. The largest inundation area/volume occurred behind the peak discharge.  
424 Generally, due to the unsteady fluctuation rate, backwaters in bend area, heterogeneity  
425 of lateral exchanges and other factors, the flood propagation shows a strong nonlinear  
426 dynamics. The fact is that the maximum loss that caused by a certain flood event will lag  
427 behind the peak discharge. This implies that when we are facing in an evaluation of a  
428 disaster flood, it is more appropriate to bring the hysteresis pattern into mind.

## 429 **5. Conclusions**

430 The use of the hydrodynamic model (AdH) is an effective method for delineating

431 flood inundation in areas of subtle topographic relief. This model was applied for  
432 modeling the seasonally flood river at McCarran ranch on Truckee River with a much  
433 finer mesh grids. The model was calibrated with gauge data and the validated model  
434 performed well in representing the flood hydrographs of various magnitudes. Although  
435 the accuracy of prediction is declined slightly at higher discharge and water stage, the  
436 raw output of depth and velocity magnitudes from a 2-dimensional form of AdH  
437 appears adequate to produce reasonable results.

438         Results show that the proportion of flow that routed through floodplains is much  
439 higher during extreme flood events. Since the river-floodplain exchange plays a crucial  
440 role in maintaining ecosystem, estimating the exchange ratio through a modeling  
441 approach could be useful for river restoration and river landscape design, or even be  
442 used as a global index for river ecological assessment. However, field measurements are  
443 still recommended for further verification of AdH modeling results.

444         The inundation area (or volume)-discharge relation at McCarran Ranch on Truckee  
445 River was found to be a loop curve pattern, showing hysteresis of flood inundation  
446 exists in large spatial scales. Despite this result was obtained from a specific river reach,  
447 it will be potentially useful for flood risk assessment and water resources management  
448 of other river-floodplain systems, especially for rivers with considerably more floodplain  
449 areas.

450

451

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459 corresponding author upon request.

460

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### FIGURE CAPTIONS

601 Fig.1. Study location and the elevation of focused area.

602 Fig.2 The landuse information (a) and finite element mesh (b) of study area.

603 Fig.3 Results of mesh dependence testing

604 Fig. 4 Results of time sensitivity study

605 Fig.5 Scenarios of flood events, of which, case 6 and case 7 are representing the 10-yr  
606 and 50-yr flood events, respectively .

607 Fig. 6 Comparison of calculated discharge and observed discharge

608 Fig.7 Comparison of calculated water depth and observed water depth

609 Fig.8 (a)The proportion of total flow that routed through the floodplains ( $\alpha_{fp}$ ) vs. inflow  
610 discharge. The function of the fitted line is  $y=5.0e-5x^{1.5}$  ( $R^2=0.984$ ); (b) The mean value  
611 of  $\alpha_{fp}$  for McCarran ranch from year 1995 to 2000 based on the applying of the former  
612 function in (a).

613 Fig.9 the transboundary flux ratio (or the absolute transboundary flux) vs. river discharge  
614 of (a) 50-yr flood (case#⑦) and (b)10-yr flood (case#⑥).

615 Fig.10 Inundation maps of 50-yr flood event under different flood stage, of which, (a)  
616 and (b) are located on the flood rising stage, and (c) corresponds to peak discharge and  
617 (d) is located on the flood recession stage.

618 Fig.11 The scatter plots of inundated area vs. discharge (a) and inundated volume vs.  
619 discharge (b) of a sample flood event.

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## Tables

625

626 Table 1. Scenarios of mesh dependence testing

627

Mesh testing	No. of elements
M1	4911
M2	6307
M3	10306

628

629

630

631

632 Table 2. Scenarios of time sensitivity study

633

Time sensitivity testing	Time step(s)
$\Delta t_1$	1
$\Delta t_2$	10
$\Delta t_3$	30

634

635