# **Data interoperability software solution for emergency**

# 2 reaction in the Europe Union

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### 12 Abstract

13 Emergency management becomes more challenging in international crisis episodes because of 14 cultural, semantic and linguistic differences between all stakeholders, especially first 15 responders. Misunderstandings between first responders makes decision-making slower and 16 more difficult. However, spread and development of networks and IT-based Emergency 17 Management Systems (EMS) has improved emergency responses, becoming more coordinated. 18 Despite improvements made in recent years, EMS have not still solved problems related to 19 cultural, semantic and linguistic differences which are the real cause of slower decision-making. 20 In addition, from a technical perspective, the consolidation of current EMS and the different 21 formats used to exchange information offers another problem to be solved in any solution 22 proposed for information interoperability between heterogeneous EMS surrounded by different 23 contexts.

To overcome these problems we present a software solution based on semantic and mediation technologies. *EMERGency ELements* (EMERGEL) (Fundacion CTIC and AntwortING Ingenieurbüro PartG 2013), a common and modular ontology shared by all the stakeholders, has been defined. It offers the best solution to gather all stakeholders' knowledge in a unique and flexible data model, taking into account different countries cultural linguistic issues. To deal with the diversity of data protocols and formats, we have designed a *Service Oriented*  Architecture for Data Interoperability (named DISASTER) providing a flexible extensible
 solution to solve the mediation issues. Web Services have been adopted as specific technology
 to implement such paradigm that has the most significant academic and industrial visibility and
 attraction.

Contributions of this work have been validated through the design and development of a crossborder realistic prototype scenario, actively involving both emergency managers and
emergency first responders: The Netherlands - Germany border fire.

#### 8 1 Introduction

9 Emergency management involves several actors that must interact in order to prevent a risk or 10 to coordinate their activities to react to hazardous situations. This interaction mainly implies 11 the interchange of information to provide a quick and integrated response to the threatening 12 event. Time is the number one quality parameter (Swersey 1994) not only because swiftness 13 appears to be reasonable in an emergency situation, but also because time can be measured far 14 more easily than other possible quality parameters (Swersey 1994). The authors of this paper 15 have extensive experience in operational emergency management as well as emergency 16 planning. The experience as well as interviews with practitioners during the DISASTER project 17 show that sharing information and coordination between international workforces and dealing with a large amount of information in a highly dynamic environment is one of the most 18 19 challenging tasks in emergency management. This is due to the fact that decision makers 20 operate in a given framework of reference, that they are trained in and that allows them to make 21 quick, efficient and effective decisions (Willem J. Muhren, Bartel Van de Walle. 2010.). 22 Enabling interoperability between different systems – both technical and cultural – allows 23 decision makers to make sense of a situation in their own terms of references and enables 24 swifter, more effective and more efficient emergency management. DISASTER aims to enable 25 and improve the interoperability between systems.

In order to manage and share critical information, dedicated Information and Communication Technology (ICT) systems, usually known either as Emergency Management Systems (EMS) or Crisis Information Management Systems (CIMS), have emerged. In the Member States of the EU, each stakeholder has deployed its own system of command, control and communication. As a direct result of this situation, EMSs and information data models and formats are invariably incompatible with each other, meaning that cooperation between emergency forces becomes almost impossible in many situations. Moreover, in an international

context, the situation with regard to the EMS-to-EMS exchange of information provides a 1 2 number of challenges, considering not only technical interoperability (data formats, models and communication protocols), but also diversity in language (e.g. in Europe 28 member states, 3 4 with more than 24 official working languages), background and cultural particularities (e.g. 5 metric system), methodology or structure (diversity of organizational structures starting at local 6 level), legal issues (different regulation, complex legal landscape), or data representation 7 (myriad colour codes, different graphical symbol sets), among others. To address these 8 challenges a twofold solution is proposed in this article: the development of a common and 9 modular ontology shared by all the stakeholders taking into account different countries cultural, 10 semantic and linguistic issues (named EMERGEL (Fundacion CTIC and AntwortING 11 Ingenieurbüro PartG 2013)). And, from that point, the implementation of transparent Service 12 Oriented Architecture providing mediation algorithms compliant with current data formats and 13 existing solutions (named DISASTER).

14 Of course this approach is a bottom up approach trying to integrate different emergency 15 management systems, both technical and cultural. Another approach would be a top-down one by simply standardizing all emergency management operations and the cooperation between 16 17 agencies throughout the EU. This top-down approach has several disadvantages. First of all 18 there is the language barrier across most of the EUs inner borders. Standardizing terms would 19 mean a harmonization by translation and thus an automatic fallback to a worse translation 20 solution than translating via a knowledgebase. Second, emergency management works most 21 effectively and efficiently if there is a certain level of standards but also flexibility to react 22 individually on a local, regional or national level. Standard operating procedures such as the 23 German DV 100 reflect this by offering a framework to work in but allow decision makers to 24 adapt to a situation. Third, the bottom up approach is a slow but effective integration process 25 especially in terms of acceptance of a system. By choosing a bottom up approach the overall 26 system can learn from practitioners and also allow them to keep their own frameworks and 27 terms of reference. However, it also enables learning from each other so that a slow but effective 28 harmonization process can take place.

Main roles (users) involved in the proposed solution can be stated the silver command or tactical command level of a rescue operation. But also gold level decision makers can benefit from our solution since translation and mediation needs to take place on both, the vertical and the horizontal axis. Vertical here means the exchange of information between operational units on the same hierarchical level, vertical means the exchange of information across hierarchical levels (reports up, orders down). However, since most organisations have established effective hierarchical methods to distribute information, errors and misunderstandings are harder to identify on the horizontal axis.

5 The mentioned users take advantage of using our software interoperability solution to exchange 6 data with other sections or other organizations within the same operation following existing 7 models (Vickery and Vickery 2004). The requirements taken into account in our research were 8 identified by interviews to stakeholders and emergency experts as well as the authors' team 9 member own experience and reinforced by survey results.

Since this work aims to create an interoperability solution for the emergency management sector the issues connected with and to be addressed during the development need to be split into technical issues that need to be solved within the software and non-technical issues that need to be solved with the software.

14 The technical issues that need to be solved are the keystone of our contribution. The main areas 15 of interest here are format and protocol as well as data representation. Format and protocol issues, or in other words syntactical interoperability, are the basis of all communication between 16 17 systems. This communication can take place either by standards or by message mapping to convert the data. It is necessary to identify in which cases which solution is suitable. However, 18 19 message mapping might be the more suitable solution for complex concepts that need to be 20 transferred like units or vehicle types. Although the translated terms especially of vehicles, but 21 also of unit types (e.g. fire-engine ore ambulance) suggest that the named objects are capable 22 of doing the same tasks in a operation, this is not necessarily the case. For example the German 23 emergency medical services know at least three different vehicle types that can all be translated 24 with the word "ambulance". Vehicles and units operate as parts of a management system that is in the best case a national one, but also regional management systems exist (e.g. the German 25 fire services know 16 different laws for fire protection according to the 16 federal states). A 26 27 plain translation or standardisation is thus not suitable to satisfy the different complex 28 management structures. These data needs serious interpretation by the user to be understood 29 and put into a correct context and reference frame (Willem J. Muhren 2010). It is important that 30 such reference frame is crucial for the sense-making process of the decision maker. Only such reference frame created by training and repetition of situations and concepts allows the decision 31 32 maker to decide fast.

Therefore a plain translation of terms is not suitable. Rather, these data needs to be translated 1 2 also in terms of underlying concepts. Understanding of the situation comes with a suitable representation of the transferred data. The most comprehensive form to represent relevant data 3 4 in a rescue operation is the Common Operation Picture (COP), which can be seen as the 5 concrete form of the framework of reference of a particular operation. The DISASTER + 6 EMERGEL proposed solution will be able to transfer the needed data to create such a situational 7 map. In this context geo-referencing is important for the system to correctly place units and 8 other items on the map if the map is created by the system out of different pieces of information 9 (Köhler et al. 2006). Understanding of a situation needs to be created by transferring the 10 underlying concept of the data to the receiver. It is necessary to do this in a very structured way 11 so that no information is erased or created without recognizing it. Translation tools as 12 mentioned above can help to achieve this goal. However, it is necessary to know that most of 13 these issues can only be solved by technical means. In contrast to the syntactic issues this type 14 of issues can be summarized as semantic issues.

15 The last aspects to mention are cultural and organizational differences between the organizations that like to exchange information. While cultural differences hinder the 16 17 understanding of the information since the concepts and the framework of reference is different, 18 the organizational differences might hinder the very data exchange. Cultural differences can be 19 solved by implementing solutions for the semantic issues mentioned above. This means the 20 more different the (EMS) culture, the more translation work needs to be done. The final goal of 21 the proposed solution is to create understanding for a situation by different stakeholders. 22 Therefore the non-technical interoperability issues are of great importance.

23 The rest of the paper is structured as follows. Section 2 overviews the general solution 24 composed by DISASTER and EMERGEL. Section 3 presents EMERGEL, the ontology 25 innovation to achieve the semantic integration of resources. Section 4 defines the DISASTER architecture and its implementation details. Validation of the contributions is presented in 26 27 Section 5 through the design and execution of a realistic prototype scenario actively involving both emergency managers and emergency first responders: The Netherlands - Germany border 28 29 fire. A discussion of the results is also presented in such section. Finally, Section 6 concludes 30 the paper.

#### 1 2 Technical Solution Overview

This section aims to give an integrated vision of the software solution as a whole system, its
inputs and outputs and its functionality. Further details about the design and implementation of
the two main components EMERGEL and DISASTER are presented in Sections 3 and 4 (Fig.
1).

6 DISASTER software plays the role of intermediary between different systems that need to 7 collaborate. It is in charge of receiving original data and sending the mediated information to 8 the final destination. DISASTER main capabilities include data format and protocol 9 transformation. Not only technical adaptations are required, but also conceptual adaptation is 10 usually needed. That is the objective of EMERGEL. EMERGEL is an ontology that supplies 11 semantic mediation between emergency related concepts. EMERGEL provides an API that is 12 consulted by DISASTER in order to execute the whole transformation process.

13 DISASTER architecture follows the Service Oriented Architecture (SOA) principles (Sahin and 14 Gumusay 2008). Using this approach, it provides the capability of using a single resource 15 through its published service and not directly addressing the implementation. This loose 16 coupling allows changes to the implementation by the service provider should not affect the 17 service consumer. Web Services (WS) can implement SOA and they are self-contained, self-18 describing, they can be published, located, and dynamically invoked providing interoperable 19 machine-to-machine iteration over a network and an open-extensible solution (Weerawarana et 20 al. 2005).

21 DISASTER system is designed as a network of *mediator* components and a central element 22 (Core) that provides functionality to the rest of participants as shown in Fig. 1. DISASTER 23 *Core* is the kernel of the system and provides functionality that is shared by involved mediators, 24 making their implementation easier and uniform. The *Core* component is a WS where the 25 functionality is separated into WS operations. Mediators are gateways between specific EMSs and resources. Each mediator relies on DISASTER Core exposed services to perform its tasks. 26 27 Mediators are also WSs providing to each EMS an interface to use the whole DISASTER 28 solution.

The EMERGEL ontology is the main source of information, well-structured to support the mediation. It mainly supports emergency situations within a common and modular ontology capable of being exploited by all the stakeholders dealing with such emergency situations. The ontology has been tailored manually by consortium emergency experts, and automatically published thanks to the mediation software infrastructure. As one of the final results of this work, this ontology can be exploited by different players in different forms. First, the mediation component consumes EMERGEL mappings to perform specific translations. Next, the EMERGEL Application Programming Interface (API) adds a REST WS<sup>1</sup> layer to enable a lightweight query functionality that is already being consumed by the DISASTER solution.

#### 6 3 The EMERGEL ontology

This section presents EMERGEL (EMERgency ELements), a new context-dependent ontology
defined by experts to provide semantic mediation services for emergency related concepts.
EMERGEL plays a main role in the software solution for data interoperability proposed in this
work. It has been made publicly available at (Fundacion CTIC and AntwortING Ingenieurbüro
PartG 2013).

12 An emergency situation is a natural, man-made or technological hazard resulting in an event of 13 substantial extent causing significant physical damage or destruction, loss of life, drastic change to the environment or simply damage to property. From a security point of view, disasters can 14 15 be seen as the consequence of inappropriately managed risks, which are the product of a combination of both hazards and vulnerability. That kind of events stem from other events such 16 17 as earthquakes, floods, catastrophic accidents, fires, or explosions. That is why the concept of 'event' is pivotal in the modelling of the ontology, as it will be duly noted in the following 18 19 paragraphs.

The EMERGEL ontology development process is driven by broad-scope questions, as well as by the competency questions (González-Moriyón and Rubiera 2012) defining the coverage of the to-build data model:

What. The ontology interprets a disaster as a kind of event. Therefore, EMERGEL
 reuses the class dul:Event from the upper-level ontology DOLCE ("DOLCE:
 Descriptive Ontology for Linguistic and Cognitive Engineering" 2015).. Furthermore,
 and to specify that generic event class the ontology builds upon existing emergency
 incidents classifications widely used in security domains, such as insurance, freight
 transport and critical infrastructures (ports, airports, etc.). These classifications have
 been adapted and merged to fit the modelling requirements identified in a set of

<sup>&</sup>lt;sup>1</sup> Representational State Transfer (REST), a software architecture style for creating scalable web services.

competency questions handed to the domain-expert partners from the DISASTER
 project to enclose the scope of the ontology.

- Why. Events are susceptible to cause other events. A simple landing operation of a plane
   can lead to an incident like an airplane crash in an airport. Additionally, this accident
   may have direct and collateral consequences as a fire, chained explosions, a chemical
   accident in a neighbour industrial facility, a full airport block, etc. To semantically
   capture the causality chain between the diverse events in a given disaster, the property
   emergel:causes (and a set of companion subproperties) were added to the
   ontology.
- 10 Where and when. The proper spatio-temporal contextualization of a disaster is crucial • 11 to ensure successful information exchange among stakeholders. The ontology provides means to temporally describe a crisis situation in RDF<sup>2</sup>. This is a critical problem as 12 13 information changes over time, and in particular, with respect to space. For instance, the damaged surface due to a forest fire is not the same at the beginning of the conflagration 14 15 than two days afterwards. EMERGEL approach is based on a 4D (four-dimensionalism) view of the reality, sometimes called a perdurantist perspective, and builds upon 16 17 previous work of tOWL (Milea, Frasincar, and Kaymak 2012) and 4D Fluents ontology. With respect to spatial representation of an emergency situation, the ontology introduces 18 19 a pristine ontological distinction between the involved conceptual layers: (1) features, (2) geometries, and (3) feature-types classifications related with cartographic visual 20 21 representation (i.e., maps). This distinction eases the reconciliation of geographical-22 feature description of emergency-entities with pure geometrical representation of the 23 space. The geographical information is captured by the NeoGeo Vocabulary, which 24 provides the distinction between features and geometries by means of 25 spatial:Feature and geom:Geometry classes. The property 26 geom: geometry is used to reconcile both facets of the same entity.

<sup>&</sup>lt;sup>2</sup> Resource Description Framework (RDF) a W3C specification that has come to be used as a general method for conceptual description or modeling of information that is implemented in web resources, using a variety of syntax notations and data serialization formats.

1 Who: Many agents (with different descriptive granularity and resolution) are involved 2 in a crisis situation: from a rescue army brigade to the technical specifications of a fire 3 truck. Agents are understood in a broad and generic way in order to cover beyond organizations, groups of people and individual profiles. Therefore equipment, affected 4 5 buildings, casualties, etc. also fall into this agentive dimension of the ontology. In this sense EMERGEL reuses other vocabularies "in full force" within the Semantic Web 6 community, such as FOAF<sup>3</sup> and the Organization ontology (W3C 2015). FOAF is used 7 8 to model *personas* in an emergency situation and it is combined with another 9 vocabulary, WAI<sup>4</sup>, based on FOAF and focusing on modelling profiles and roles. The Organization ontology is a recommendation from the W3C<sup>5</sup> to model organizations, and 10 EMERGEL reuses it for the stakeholders' organized structures. 11

The design of EMERGEL is divided into three main modules (Fig. 2): a *core* ontology, which is a supple lightweight vocabulary focusing exclusively on events and agents. This core module however is combined as well with a second *transversal* module dealing with time and space. Finally, the third module (*vertical* modules) is designed to host in the form of concept schemes any relevant vocabulary able to assist the core module.

17 These *vertical* modules enable to browse those modules by means of an ad-hoc viewer (called 18 SKOSIĆ (Fundacion CTIC 2014)) as a thesaurus for "human beings" and not only being 19 exploited by the DISASTER API as a machine. They are thematically split into 8 clearly 20 differentiated spaces, namely:

"Companies": companies/enterprises potentially involved in an emergency situation,
 both as harmed parties (airlines, ferry lines, etc.), vehicle or goods manufacturers or as
 involved agents in that situation.

<sup>&</sup>lt;sup>3</sup> FOAF (Friend of a friend) is a machine-readable ontology describing persons, their activities and their relations to other people and objects. [http://www.foaf-project.org/original-intro]

<sup>&</sup>lt;sup>4</sup> A vocabulary to describe roles and profiles for the Semantic Web [http://vocab.ctic.es/wai/wai.html]

<sup>&</sup>lt;sup>5</sup> The World Wide Web Consortium, the main international standards organization for the World Wide Web [www.w3.org].

- "Places": places and locations in a broad sense that are relevant in such situations. From
   continents, geographical areas, countries and their subdivisions, country associations,
   aerial regions, airports, power stations, bodies of water, etc.
- "Vehicles": vehicles potentially involved in incidents as victims or as agents assisting
  in such situations. Under this category a wide number of codes used by international
  organizations to identify them are included.
- "Dangerous goods": Dangerous goods and substances, including symbols and
   pictogram used to represent them.
- "Emergency symbols": graphical icons, symbols and pictograms used by different
  countries and/or organizations to represent emergency situations, agents, POIS, etc from
  a tactical point of view.
- "Third-party vocabularies": Standard vocabularies relevant for an emergency situation
   used by external organizations. EMERGEL provides mappings between concepts,
   aligning this way EMERGEL to these vocabularies.
- "Standardisation organisations": organizations standardasing products, technologies,
   codes, etc. and that owns some of the symbol sets, codes used in other theme sections
   of EMERGEL.
- "EMSs": a list of Emergency Management Systems used by diverse organizations to
   address this type of events.

#### 20 **3.1** Vertical modules development methodology

21 The vertical modules are designed to ease the interaction between domain experts and ontology 22 engineers. To that end, emergency-domains (i.e., vertical modules) were formalised 23 collaboratively between the ontology engineers (with strong experience in OWL-based 24 modelling) and the domain experts who have collaborated in this work. The initial approach 25 was based upon a number of competency questions prepared by the ontology engineers to be 26 addressed by the domain experts. The answers to these competency questions (González-27 Moriyón and Rubiera 2012) were the cornerstone of the first steps to model the ontology. There are a number of non-ontological resources at national and European levels that are of 28 29 EMERGEL interest. For instance, regarding crisis data representation in a given cartography, 30 there exist different symbologies used in the European landscape. These differences pose a hindrance to interoperability in both international cross-border cooperation and national coordination of stakeholders. EMERGEL aims to incorporate these in-use schemes (taxonomies, data catalogues, cartographic symbologies, and so forth) into a common representation format, i.e., RDF, to enable the specification of semantic equivalences to drive data translation processes between IT crisis management systems.

6 There are a number of options to specify these mappings between knowledge resources, ranging 7 from heuristic-based semiautomatic generation to manual definition by experts. The former is 8 more of a research topic that might not guarantee accurate results. The latter is backed by the 9 knowledge of an expert. Moreover, these manual alignments can be validated by the experts' 10 community. Given the strong domain knowledge in the project where this work has been carried 11 out, it was reasonable to design a manual methodology to successfully involve consortium 12 security experts in the ontology development loop.

This methodology is a 3-step workflow, defined as following: Fig. 3 shows a particular exampleof a translation between a Dutch map symbology and a German map symbology:

- *Taxonomy creation and mapping specification*. The domain expert encodes original
   non-ontological resources and specifies correspondences between them in the form of a
   table that is specially formatted for further automatic processing.
- Automatic generation of SKOS taxonomies and RDF mappings (EMERGEL vertical modules). The taxonomies and classifications are automatically encoded in SKOS/OWL. The previous correspondences are automatically extracted from the table and converted to mappings defined in a technical format, i.e., SKOS vocabulary to taxonomies alignment.
- 23 3. *Execution of mappings*. The mappings are available online as part of the EMERGEL
   24 ontology. They are used on demand by the mediation component to perform a given
   25 data translation process.

26 In order to allow third-party applications to access the ontology, an API has been defined and

27 called "EMERGEL API". The EMERGEL API is available as REST services following general

28 DISASTER architecture approach. In addition, this API includes an  $SPARQL^6$  endpoint

<sup>&</sup>lt;sup>6</sup> SPARQL Protocol and RDF Query Language, an RDF query language, that is to say, a semantic query language for databases, able to retrieve and manipulate data stored in Resource Description Framework (RDF) format.

interface to access the ontology directly. Technical documentation is detailed in (Tejo-Alonso,
 Polo, and Casado 2013)(González-Moriyón and Tejo-Alonso 2014). The reference
 implementation of EMERGEL API REST services has been developed using Play Framework
 2.1.1. The web application that contains the services is deployed over an Apache Tomcat/7.0.26
 using Java 1.6.0 24-b24.

#### 6 4 The DISASTER software architecture

7 This section describes the architecture of the DISASTER solution but also presents the 8 technologies used to implement the whole system. The WS platform has been chosen as 9 technical paradigm due to its loosely coupled, standard-based approach for building SOA 10 solutions.

Fig. 4 presents a top level of the technical architecture. The DISASTER Core is the kernel of the proposed technical solution. It provides a set of functionality to the mediators making their implementation easier and uniform. The services offered by the core are organized in three families according to its nature:

- *Handlers*: According to the data model used in DISASTER (Section 2.1), all data have
   to be transformed to the common format RDF. Once the mediation process is finished,
   DISASTER can provide the data in different formats. These tasks are carried out by
   specific services taking into account the source and destination format.
- Adapters: Once the data is RDF format, several mediation processes are executed to
   transforms data expressed in a given format according to a given data scheme and
   available through a given protocol, into equivalent data in possibly different format,
   schema and protocol.
- *Resources*: Resources in DISASTER are defined as a catalogue of geospatial
   information services compound of data about geolocated features represented primarily
   by images and tables or grids of observed or calculated attributes. This family of
   services allows publication, management and subscription of resources.

The other key componente in the DISASTER architecture design is the mediator. A DISASTER
Mediator is a gateway between a concrete EMS and the rest of existing resources. There are
two kinds of mediators:

- Input mediators allow an EMS to consume external resources adapted to its own style.
- Output mediators allow sharing information to other EMS.

Mostly mediators are input mediators since the most common problem for an EMS is to be able
to understand external information. Note that both solutions are non-intrusive for the existing
applications.

Fig. 5 summarizes the set of WS specifications that DISASTER will adopt in its
implementation. This set of standards, called Disaster Technical stack, is not a random walk
through a space of WS specifications but rather an organized, structured architecture with welldefined designs to fulfil the technical requirements (Casado et al. 2012).

8 The Disaster Technical stack is divided in six levels according to the nature of the included WS 9 standards. The bottom level is Transport that refers to the message format and protocols used 10 to exchange the information. Description level includes the standards to describe both functional and non-functional characteristics of the services. Discovery level refers to the 11 12 standards used to publish and organize the services included in the DISASTER solution. Messaging level refers to the mechanism provided to ensure that messages are correctly 13 14 delivered to the appropriate destination. Quality of Service (QoS) level focuses on the reliability 15 and security of the interactions. Finally, Cooperation level deals with the composition and 16 coordination between multiple service operations when required (Casado, Tuya, and Younas 17 2012).

18 As briefly introduced in Section 2, the DISASTER solution is a network of components 19 (mediators) and a central component (Core). The Core provides a set of functionality to the 20 mediators making their implementation easier and uniform. That functionality includes data 21 adaptation, data mediation and resource management. In terms of implementation, the Core 22 exposes a WS interface where the functionality is split into concrete WS operations. Each 23 mediator is a gateway between a concrete EMS and the rest of existing resources. It allows 24 consuming information from external sources but presenting such data adapted to the concrete 25 EMS characteristics. The *mediator* relies on the services provided by the *Core* to perform the 26 majority of its activities. In terms of implementation, the mediator is a WS client that interacts 27 with the *Core*, but also it is a WS itself providing an interface to the EMS to use the whole 28 DISASTER solution. As depicted in Fig. 1, each EMS has to be related with mediators. There 29 are two types of mediators according to its behaviour:

Output mediator: it is the simplest kind of mediator and basically plays the roles of
 listener and server. In other words, an output mediator detects when a new resource has
 been created and/or updated in an EMS and makes it available for the rest of DISASTER

components. The actions carried out by the mediator depend on the specific source EMS
 but usually include: format adaptation, temporally hosting and resource serving.

Input mediator: it allows consuming information from external sources but presenting
 such data adapted to the concrete EMS characteristics. These characteristics refer not
 only to technical issues (e.g. formats and protocols) but also to cultural and linguistic
 preferences and tactical values of resources. The *Mediator* relies on the services
 provided by the DISASTER *Core* to perform the majority of its activities such as data
 format adaptation or the resources management and in the EMERGEL solution to solve
 the semantic interoperability.

10 The mediator components allow the EMSs to use external information transformed to their own 11 protocols, formats and cultural and linguistic characteristics. The main task of mediators is to 12 handle the EMS requests. The mediator components in charge of deal with EMS requests are 13 called handlers. DISASTER solution implements handlers for the most common data type and 14 protocols such as Web Feature Service (WFS) (WFS 2010) and Web Map Service (WMS) 15 (WMS 2006) requests. New handlers can be implemented whenever necessary, due to the loosely-coupled nature of the solution. The handler receives the EMS request, gets the requested 16 17 information as GML (GML 2007) from DISASTER Resources component and by using the 18 properly EMS mediator translates the concepts. If a format or protocol adaptation is required, 19 the handler does the transformation using DISASTER Core services and responds with the 20 mediated information. A key element in the semantic mediation is the use of the EMERGEL 21 component that is totally transparent for the involved EMSs.

22 Adapters are responsible for transforming the data format and protocol. When two • 23 systems exchange information, it may be in different formats. Adapters transform the 24 information provided by concrete EMSs into Shapefile (SHP) (Environmental Systems Research Institute 1998) format so DISASTER Core services can manage it. The 25 information provided by DISASTER Core services in GML format has to be 26 27 transformed in a format that the EMS can understand using the adapters. Implemented adapters include GML (GML 2007), XML (XML 2000), PNG ("ISO/IEC 15948:2004 28 29 - Information Technology -- Computer Graphics and Image Processing -- Portable 30 Network Graphics (PNG): Functional Specification" 2014), WMS (WMS 2006), WFS (WFS 2010), JSON (JSON 2002), GeoJSON (GeoJSON 2008) and SHP 31 (Environmental Systems Research Institute 1998)(Environmental Systems Research 32

Institute 1998) format so DISASTER Core services can manage it. The information 1 2 provided by DISASTER Core services in GML format has to be transformed in a format 3 that the EMS can understand using the adapters. Implemented adapters include GML (GML 2007), XML (XML 2000), PNG ("ISO/IEC 15948:2004 - Information 4 Technology -- Computer Graphics and Image Processing -- Portable Network Graphics 5 (PNG): Functional Specification" 2014), WMS (WMS 2006), WFS (WFS 2010), JSON 6 7 (JSON 2002), GeoJSON (GeoJSON 2008) and SHP (Environmental Systems Research 8 Institute 1998).

Semantic-based mediators are in charge of executing the mapping between different
data schemas. In order to execute this mapping, the EMERGEL REST API is consumed.
Further details about EMERGEL were presented in Section 3.

Resources component allows EMSs to publish their operational picture maps. Non-geospatial
information such as mediation issues, roles and permissions are also managed by DISASTER
Resources component.

#### 15 **5** Validation

16 A scenario-based design is followed by the authors to validate their contributions. The test 17 scenario is a key element in this approach whose purpose is to verify that the DISASTER 18 architecture plus the EMERGEL ontology has the potential for real-world application. Real 19 EMSs such as LCMS (LCMS 2010), for the Dutch side, and DISMA ("DISMA - Disaster 20 Management" 2013), for the German one, are used in the evaluation. These EMS are briefly 21 introduced in Section 5.3. We selected these two concrete EMSs for practical purposes: 22 members of the consortium in charge of executing this research had access and knowledege of 23 these two software applications. In addition, both EMSs are really been used in the Netherlands 24 and Germany respectively. The Netherlands-Germany Border Fire use case was designed and 25 executed to provide a realistic test situation, and is based on a proven history of needs for 26 interoperability of EMSs. The planned scenario aims to bring together the key stakeholders, the 27 technologies on which they depend, and the middleware solutions from DISASTER + 28 EMERGEL to demonstrate the potential for improved interoperability.

#### 1 5.1 Scenario history: Border Fire

The information for this scenario was provided mainly by the Fire Department of the City of
Bocholt (Germany) and collected during interviews. In addition, the operational report of the
Dutch operation was reviewed to create the scenario. This report is not publicly available.

5 In June 2011, a peat fire in the cross-border region between Enschede (NL) and Ahaus / Gronau 6 (DE) involved 130 hectares of protected bog and heathland. Around 350 fire officers from 2 7 countries were manually cutting into burning ground to access the deep fire layer for water 8 treatment. These officers had to move across an area where the heat could suddenly 9 approximate a furnace. Ministry level collaboration provided thermal imaging from helicopters 10 to show high-risk areas, but systems on each side of the border were not interoperable, and so 11 these images could only be accessed by some of the operatives on the ground. Commanders 12 from Veiligheidsregio Twente (NL) and from the Nordrhein-Westfalen (DE) were challenged 13 in specifying exactly where men were positioned, and found it difficult to share information 14 about progress, or to ask for assistance. Text and radio message exchange was not sufficient 15 due to missing interoperability. Even files could not be exchanged easily since the security 16 settings of PCs did not allow an exchange of files via flash drives. Subsequent analysis suggests 17 a need for shared map information, with added (tagged) layers showing first responder 18 placements of personnel and vehicles, supported by translation of terminology (common 19 ontology).

The meaningful (semantic) cross-border exchange and presentation of information required to ensure safety includes geographic information (GI), metadata, and attribute data supported by a reliable middleware translator / transformer.

#### 23 5.2 Test objectives

In response to the observed features of the above-referenced historical scenario, the authors conducted realistic proof-of-concept testing whereby a cross-border Common Operational Picture (COP) can be generated as shown in Fig. 6. The figure shows that in The Netherlands the COP is map based, with icons showing personnel and vehicle deployment, and it stops at the Dutch border. The same is true for the German COP. The elements can be combined using DISASTER + EMERGEL solution as shown in final image.

30 The proposed use case scenario and test event involved assembling a set of first responders, 31 vehicles, ancillary equipment, communications, etc., in a suitable location so as to allow 1 commanders and staff to use the interconnectivity of our innovative solution to enrich their 2 COP. The intention is that they will command and observe movement of personnel and vehicles 3 at different parts of the exercise field, and will make continuous adjustments to the situation (as 4 per their normal exercise activities), and as a consequence will see the changes from both sides 5 of the "virtual border" propagated across to ensure a cross-border COP as illustrated previously 6 (Erden and Coşkun 2010).

#### 7 5.3 Test setup

8 The planned test event was conducted in December 2012 and located at the TWENTE Airport 9 near Enschede, The Netherlands. The test was planned as part of the annual national security 10 exercises which use this military site, and this allowed all of the mentioned stakeholders to be 11 present. Vehicles with transponders fitted will appear on maps automatically since they are 12 already tracked that way, and personnel will be placed on maps by having local team 13 commanders report position information in the normal way via the active EMS.

14 Commanders were allowed to continually make adjustments to personnel and vehicle position 15 data in the EMS within the parameters of their normal exercise activities, and both they and the 16 authors were able to continually observe activities via an enriched display, as observed from all 17 stakeholders.

The airfield is surrounded by some woodland in that area and so is shrouded by trees. By positioning vehicles in such a way that they could not see each other, the scenario was able to show commanders in different groups/vehicles exchanging crisis management information they cannot acquire without collaboration.

The main technical objective of our solution is the interoperability of different software
systems. To validate this requirements, in the test scenario two actual EMSs were used: LCMS
(LCMS 2010)(The Netherlands) and DISMA ("DISMA – Disaster Management" 2013)
(Germany).

LCMS is an EMS used by 20 of the 25 public safety and security regional authorities in Netherlands. It can be regarded as the National EMS for The Netherlands. At operational level there is a single national communication network for police, fire brigades and the first responder teams. LCMS Viewer provides a specific interface for each emergency role and makes a link with the central database of Emergency Response Room (ERR) systems. It also provides a reporting tool where all activities during an incident are logged. DISMA (DISaster MAnagement) is a software application developed for executive staff in emergency management. It is used by silver level in large scale incident and provides functionalities such as plotting the incident locations, placing icons over a map, working with more than just one map at the same time, etc. Unlike The Netherlands, Germany does not use homogeneous software for emergency management. DISMA is just one of the EMSs used in Germany.

In order to standardize the geospatial information generated in the DISMA XML-based own format, a *MediatorOutput* has been developed whose main goal is to convert the exported information into ESRI Shapefile (SHP) format and then send the file to DISASTER *Core* services so that this mediation can be shown through EMERGEL.

11 The necessity for the format transformation (XML to SHP) is due to DISASTER using 12 Geoserver as GIS (Geographical Information System), which stores the whole geospatial 13 information provided by the different mediators. All mediators use DISASTER *Core* 14 components to transform the exported information provided by the different EMSs into SHP.

#### 15 **5.4 Test results: discussion**

From a functional point of view the results showed that an improvement for decision making
in an emergency situation with the support of the DISASTER solution is possible. Two aspects
form the improvement from a functional perspective:

19 1. The fact that an interoperability solution for EMS enables a fast exchange of information 20 enables a quicker decision-making process. Information can be transferred directly without 21 using extra technology. In the specific case of the test the decision makers could use their own 22 situational maps and did not have to meet and discuss since the information was available 23 immediately.

24 2. Presenting the exchanged information in the EMS in a way the viewer or user is used to see 25 (like the national EMS symbol standard) quickens the process of understanding of the situation 26 and thus also leads to a faster decision-making. Not every piece of information needs to be 27 explained in meetings anymore. In the specific case of the test the number of meetings was 28 significantly reduced compared to other tests the authors had witnessed in the past. Decision 29 making went smooth and effective and the situation was solved quite fast. This is often not the 30 case in such a situation. In many cases in the past the decision makers were caught in a 31 discussion circle, sometimes about a rather irrelevant aspect of the situation.

Since time is the number one quality parameter in emergency situations (Swersey 1994) both aspects have direct impact on the quality of the emergency response. Given the modular approach, the DISASTER *Core* and *mediator* components, ensures that new EMS can be added to the system easily and thus will enable a quick adaption of the solution.

5 However, the technical nature of the solution leads to several challenges related to information 6 exchange that could also be observed during the test. Since the information is presented in the 7 particular viewer's context of understanding it is necessary that accuracy in the translation and 8 mediation process are made known to the viewer. If the information cannot be translated 9 directly this leads to either a lack or an increase of information. For example, the term "fire engine" implies a basic understanding of the use of such vehicle, but also very specific 10 11 differences in understanding for decision makers from different countries. Next to the technical 12 solution of making changes to the information obvious by adding a warning symbol and an 13 overview of the changes it is also important that each user of the system is able to train the use 14 on a regular basis. These guidelines are being developed to allow future users to understand the 15 use of the DISASTER solution and to design effective training scenarios. Finally, with DISASTER being a technical solution, there needs to be an implementation phase before the 16 17 system can be used. The test showed that this implementation phase needs to involve serious 18 testing and also training of the users so that information is readily available when needed.

From a technical point of view, the results showed the viability of the proposed architecture to deal with the mediation requirements. Besides the linguistic, tactical and operational differences, some technical issues also have to be addressed. German brigade publishes the map in a WFS server. On the other hand, the Dutch emergency system only accepts the WMS servers. As commented in Section 2.4, it implies different levels of mediation:

• Cultural mediation: To use different icons to represent the same concept

- Protocol mediation: To allow using WMS protocol when no export mechanism is
   provided.
- 27

#### Format mediation: To translate XML data into valid PNG images

Fig. 7 depicts the sequence diagram for the Border Fire Scenario according to the DISASTER + EMERGEL architecture. Firstly, the German fireman creates the map using its own EMS system. This map is published in its WFS server. At this time, the Dutch fireman wants to get the updated information from the German side. The Dutch fireman uses its own EMS (called

LCMS as the real system) to connect to the German WFS server. But the LCMS does not work 1 2 with WFS server so the DISASTER solution is needed. Instead of connecting directly to the German Server, the LCMS connects to its mediator (called MediatorDutch) which is 3 4 implemented as a WS. MediatorDutch consults with the Disaster Core the list of available 5 resources. The German WFS server is included in the available resources, which means that the 6 information from such server can be mediated. MediatorDutch, using the information provided 7 by the DISASTER Core, contacts to the MediatorGerman, which is in charge of the linguistic 8 mediation from German context to the DISASTER ontology concepts. The mediation is 9 completed following the next steps:

- 10 1. *MediatorDutch* requests the WFS map (GML format).
- MediatorGerman gets the local DISMA XML data, transform it to GML and publish
   the final information to the DISASTER resources component.
- By using the Disaster Core GML2RDF adapter, *MediatorGerman* transforms the GML
   in RDF according to the DISASTER ontology.
- 15 4. *MediatorGerman* responds to the *MediatorDutch* with the RDF.
- *MediatorDutch*, by using the *DisasterCore* RDF2PNG adapter, generates a valid PNG
   according to the set of icons used by the Dutch response teams.
- 18 6. *MediatorDutch* generates a valid WMS response message and returns it to the LCMS.
- 19 7. After the mediation process explained above, the Dutch fireman can see German map
  20 in its own EMS system and according to its local context.
- 21

#### 22 6 Conclusions

EMS are able to provide support in terms of easy access to new and existing information and quick communication with personnel on scene and remote. However, it is necessary to provide the information in a way that respects the situation a decision maker is in. First of all this means to provide the information in a way that is compliant to the decision makers way of sense making and understanding of the situation, for example by using his national EMS symbol set. The DISASTER solution is able to provide such support and thus contributes to the solution for the mentioned challenges. Improving the decision making process and thus quickening the time effective response actions are carried out will lead to a better operation outcome and a higher
 quality of rescue services.

The proposed solution based on DISASTER software architecture and EMERGEL ontology aims to provide a mechanism so that different EMS can interoperate during the management of crisis scenarios. The solution is based on two main concepts: (i) the use of semantic technologies supporting the goal of shared and semantically unambiguous information basis across organizations, and (ii), the SOA paradigm to allow the collaboration between systems of different nature. The scope of EMERGEL include 25 EU countries as well as a further vertical modules as can be consulted in (Fundacion CTIC and AntwortING Ingenieurbüro PartG 2013).

10 A set of WS standards are tailored to implement the DISASTER service-oriented architecture. This stack will ensure the achievement of functional (e.g. specific data formats or 11 12 communication protocols) and non-functional (e.g. security and policies) requirements. The 13 network of mediators and the central component are the mean to allow DISASTER to be an 14 extensible and scalable project. By using standards specifications, both in architecture 15 implementation and data management side, the implementation will provide the desired 16 interoperability. For example the definition of a common format as RDF simplifies the 17 transformations, translations and enrichment of the data regardless of the initial or final format. 18 Regarding the architecture, the use of WS standards as communication platform will facilitate 19 the integration of new users, who will take advantage of every module implemented before.

The devised software solution has been validated through the development of a proof of concept and tested by experts showing the viability of the proposed innovation. Although the scenario implemented for validating purposes only required unidirectional communication, the DISASTER software architecture can deal with bidirectional communication so that the stakeholders can take actions in real time.

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 collaboration with EU stakeholders, include the adaptation of these improvements in more
 scenarios.

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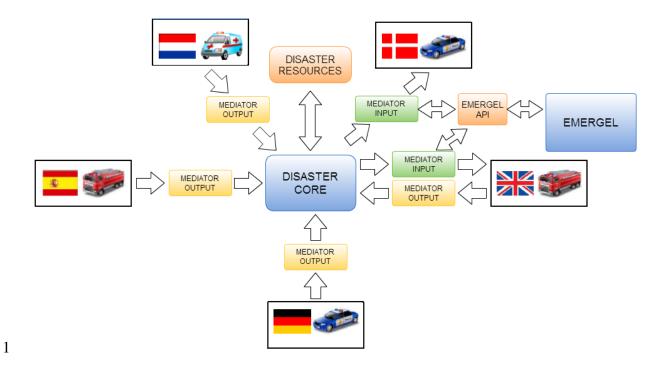
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2 Fig. 1. Software Solution as a whole

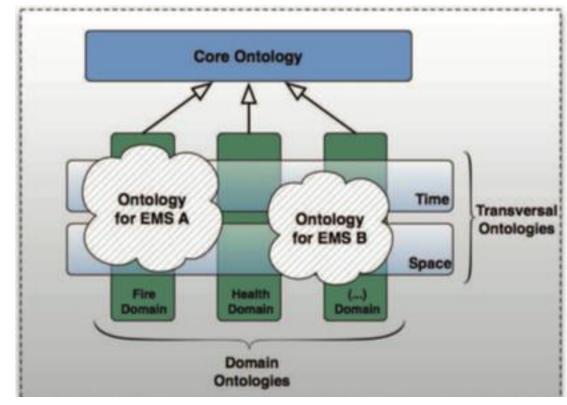
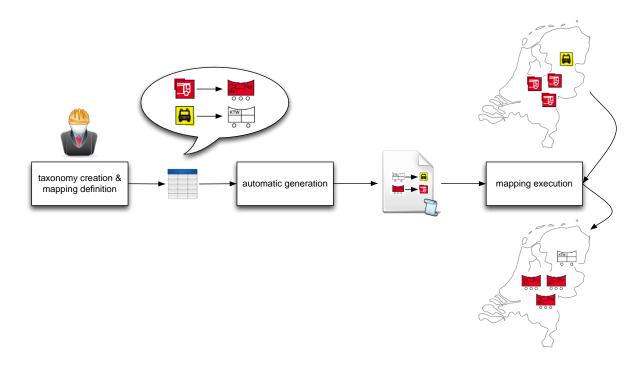
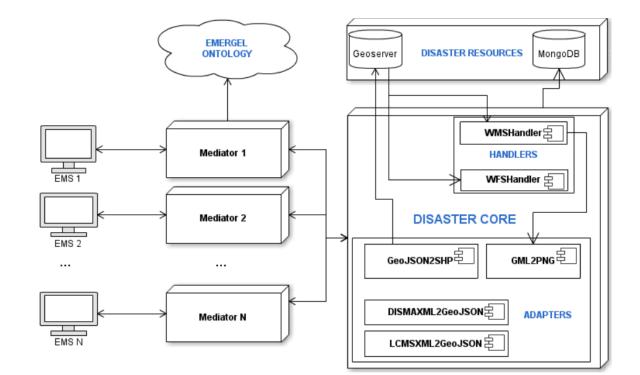


Fig. 2. EMERGEL design



2 Fig. 3. Processes involved in symbology translation applied to situational information maps for emergency

- 3 responders
- 4



3 Fig. 4. DISASTER software technical architecture

| <b>dirarter</b> Technical Mack |                  |                       |
|--------------------------------|------------------|-----------------------|
| Cooperation                    | WS-Transfer      | WS-BPEL               |
| Messaging                      | WS-Eventing MTOM | WS-Security           |
| and                            | WS-Messaging     | WS-Policy             |
| QoS                            | WS-Addressing    | WS-Reliable Messaging |
| Discovery                      | WS-Discovery     | UDDI                  |
| Description                    | WSDL             |                       |
| Transport                      | SOAP             | IL HTTP               |

- 2 Fig. 5. DISASTER technical stack

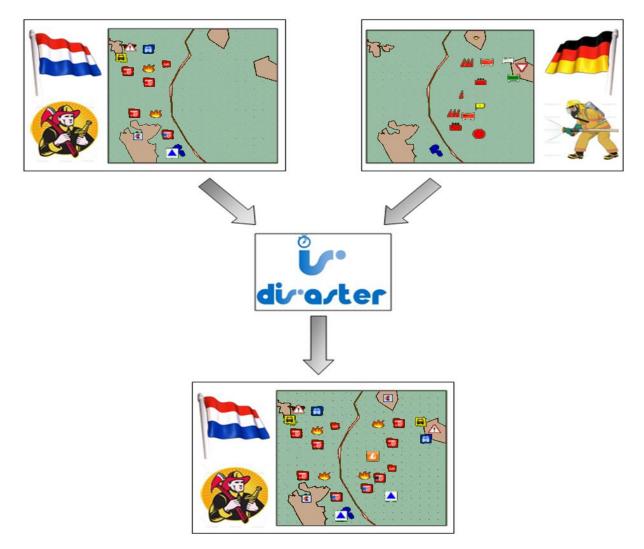
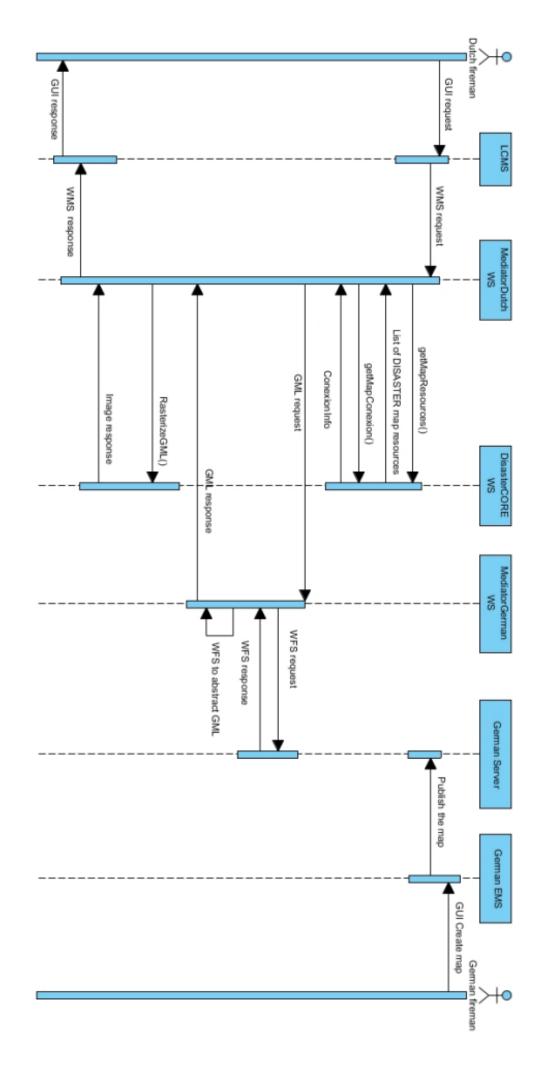


Fig. 6. Combining German and Dutch Common Operational Pictures (cross-border COP)



## 1 Fig. 7. Sequence diagram for Border Fire Scenario