

1 **The calamity of eruptions, or an eruption of benefits? Mt.**
2 **Bromo human-volcano system a case study of an open risk**
3 **perception**

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12
13 **Abstract**

14 In this paper we investigate the question not of *how*, but *why* people actively choose to live
15 with continued exposure to considerable hazard. A field survey of the human volcano
16 interaction at Bromo volcano was based on semi-structured interviews and focus group
17 discussions. The recorded interviews were transcribed and analysed according to recurrent
18 themes in the answers. Findings from field investigation were then confronted with previous
19 existing concepts of human exposure to natural hazards. The result shows that the interaction
20 between human and volcanic environment at Bromo volcano is multifaceted and complex.
21 The Tengger people choose – rather than being forced – to live with volcanic hazards. They
22 are not only exposed to its negative consequence, but also enjoy benefits and opportunities of
23 physical, spiritual and socio-cultural nature that arise within the human-volcanic system.
24 Following this perspective, the concept of risk itself must be revisited and expanded from a
25 one-sided focus on hazardous processes to a more holistic view of risk that includes the
26 various positive aspects that pertain to the entire system. The development of a generic
27 human-volcanic system model could provide the basis for the development of an open risk
28 concept.

1 **1 Introduction**

2 Volcanic activities almost invariably affect human lives. More than half a billion people live
3 in the direct vicinity of volcanoes and are thus exposed to hazard (Tilling, 2005). Often places
4 of serene beauty and abundant with diverse natural resources, are located adjacent to, or even
5 within, highly active volcanic zones. Indonesia, situated at the intersection of three active
6 tectonic plates (the Eurasian, Australian and Pacific plates), at the aptly named '*ring of fire*',
7 boasts 129 active volcanoes and 271 eruption points (Abidin et al., 2004, Pratomo, 2006;
8 Zaennudin, 2010) (Fig. 1). Approximately 3.3 million people in Indonesia live in areas
9 categorized as volcanic regions (CVGHM, 2010). In Java Island, where 120 million people
10 live in the shadow of 30 volcanoes more than 140,000 fatalities occurred due to volcanic
11 eruptions in the last 500 years (Surono, 2013).

12 With its periodical eruptions Mt. Bromo on Java Island is one of the most active volcanoes in
13 Indonesia. The communities living around the mountain have evolved a unique culture that is
14 inspired by the volcano as central symbol and deity. The people of Mt. Bromo - *the*
15 *Tenggerese* - are even named after the ancient Tengger Caldera, which today contains Mt.
16 Bromo. They count approximately 600,000 and are descendants of the Majapahit Princes of
17 Java. As observed elsewhere around the world and throughout history (Duncan et al., 1981;
18 Fisher et al., 1997; Heijmasn, 2001; Kelman and Mather, 2008) even severe eruptions like
19 those of Mt. Bromo in 2010 have not deterred people from returning and continuing to live
20 with a considerable risk of hazard. Despite suffering from devastating impacts of volcanic
21 eruptions people decide to live and adapt to the recurring phenomenon.

22 From a classical deterministic scientific perspective, with a clear focus on the volcano, its
23 hazards and related potential negative impacts on society these latter statements – people
24 choosing to live with objective danger – may cause surprise and disbelieve (Siswowidjoyo et
25 al., 1997; Itoh et al., 2000; Andreastuti, 2000; van Rotterdam-Los et al., 2008; De Bélizal et
26 al., 2012; Mei et al., 2013). Two aspects of the conventional approach have to be highlighted
27 here: i) from a theoretical point of view, volcano and human society are conventionally
28 understood as two dichotomous systems, a relationship where the volcano and its activities
29 determine societal behaviour distinctly; ii) the focus lies on investigating the negative,
30 threatening impacts of a volcano on society and how people live and cope with this situation.

31 We want to question the merit of this deterministic approach and if it produces any progress in
32 terms of a.) a deeper system understanding and b.) an application in disaster risk management.
33 In recent decades, on both the theoretical as the practical side a change in thinking has

1 occurred. The separation of humankind and nature, respective society and environment (see
2 e.g. Descartes, 1641; Popper, 1972; Latour, 1993; Zierhofer, 2002), gives way to the idea of
3 Earth being a human-environment system, where society and nature are inseparably
4 interlinked by manifold processes (see e.g. Fischer-Kowalski and Weisz, 1999; Wardenga and
5 Weichhart, 2006). Besides these theoretical reflections, it was indeed very application-driven
6 research that concluded that all grand global challenges (e.g. climate change, resource
7 scarcity, globalisation) can only be understood and targeted solution can only be tailored when
8 there is a holistic view on society and nature. The earth system must be understood as a
9 human-environment system where both sides are driving and driven at the same time.

10 In this paper we do not investigate the question of *how*, but *why* people live with continued
11 exposure to considerable hazard. Expanding upon the previously proposed explanations that
12 this behaviour is due to a lack of hazard knowledge (Gregg et al., 2004; Bird et al., 2010), a
13 lack of alternatives (Wisner et al., 2004; Lavigne et al., 2008), or that people may be forced
14 to do so based on their marginalized social status (Bryant, 1998), we propose that risk
15 perception and risk tolerance can only be fully understood when investigating within a
16 framework of a human-environment system in general, and a human-volcano system in
17 specific.

18 The human-volcano system that we present here allows for an analysis that goes beyond the
19 narrow focus of volcanic eruptions and lends itself for a more holistic appreciation of volcanic
20 risk as presenting both, hazards and opportunities. Based on a case study from Mt. Bromo, we
21 will highlight the need to revise common risk concepts and to include the assessment of
22 upside risks, or opportunities, that may off-set exposure to negative effects. We will conclude
23 that an open risk concept is not only necessary to understand decision making processes, but
24 will have deep implications for disaster risk reduction and risk management strategies in the
25 context of volcanism and for their general progression. In order to gain a comprehensive
26 understanding of the natural and societal ramification of living with volcanic hazard risk, we
27 will first lay out the physical characteristics of eruption activity of Mt. Bromo and then
28 contextualize this within a human-volcano system approach.

29

30 **2 Mt. Bromo characteristics and eruption activity**

31 Mt. Bromo (2392 m) is shared by four districts in East Java Indonesia, namely Probolinggo,
32 Malang, Pasuruan and Lumajang. Mt. Bromo is an active volcano located inside the much

1 larger and older Tengger caldera. The diameter of the caldera is 9 km stretching from North to
2 South and was formed by the ancient Tengger Volcano during the late Pleistocene and early
3 Holocene (Gerven and Pichlert, 1995; Solikhin et al., 2012). Widely distributed sand ([coarse
ash](#)) deposits
4 make for the famous Sand Sea caldera (Fig. 2). The frequent eruption activity of Mt. Bromo is
5 well recorded. Data shows that Bromo erupted at least 56 times since 1804, ranging from mild
6 to moderate eruptions with duration between 1 and 270 [sic] days (Fig. 3) (CVGHM, 2010).
7 According to CVGHM, Bromo volcano is an active volcano which erupted many times on a
8 scale of VEI (Volcanic Eruption Index) level 2.

9 The last eruption of Mt. Bromo in 2010 was unusual as it continued for nine months, the
10 longest period in its recorded history (Bachri et al., 2013a). The eruption type had changed
11 from previous Vulcanian ~~type~~ to Strombolian ~~type~~ activity. These were phreatomagmatic
12 eruptions, producing materials dominated by [fine to coarse ash and sand](#). The first eruption
13 occurred on 20
14 November 2010 with the volcanic plume reaching a height of 250 m. On 23 November a
15 second, larger eruption ~~followed~~ [began, producing a plume whose height](#) ~~During this period,
the height of the volcanic ash plume~~
16 ranged from 400 to 1000 m covering the entire Bromo area (Table 1). By December 2010
17 volcanic ash ~~precipitated~~ [covered](#) in tens of kilometers ~~throughout toward North, East and
South~~ [the northern, eastern and southern](#)
18 ~~direction from~~ of Mt. Bromo area. During the following nine months ~~volcanic material such~~ as
19 ash
20 and ~~rough sand~~ [lapilli reached](#) ~~could be found~~ 50 km from ~~Mt. Bromo~~ [the volcano](#). ~~Volcanic
ash~~ [Ash](#) also reached Surabaya
21 city, ~~located~~ 90 km ~~from the crater~~ [away](#). Furthermore, volcanic bombs with sizes of 1-3
22 cm
23 impacted up to a distance of 2.2 km from the crater.

24 The total economic loss due to the 2010 eruptions amounts to more than 154 Billion IDR
25 (~15.5 Million \$ US) reflecting the severe affects in agriculture, tourism activity and loss of
26 property (BPBD, 2011). Indirect and difficult to monetize impacts also caused a decline in the
27 water availability, disrupted electricity supply and transportation, diminished trading activity
28 and lead to health problems. A total of 70.000, mostly agriculture-dependent people
distributed over 33 villages were affected by Mt. Bromo's eruptions (BPS, 2011). The 2010
eruptions were the worst ever recorded eruptions of Mt. Bromo and among the most
[severe](#) [impacting](#)
volcanic eruptions in recent [time history](#)

| worldwide. 29

30 **3 Materials and methods**

31 In order to reach a comprehensive understanding of human-volcano interaction, this study
32 bridges between natural and social science. Thereby the scope of our research objective that

1 includes physical and social aspects is reflected in both, research methodology and style of
2 analysis, which lends from physical-geographical ~~discussion~~ issues as well as
3 ethnographic observations. In ~~researching~~ investigating the human-volcano interaction within the
4 Bromo region this study draws on (1) existing statistic data of the research area (2) semi-structured interview and
5 (3) focus group discussion. The first phase of the field work was held in February-March
6 2012 with the purpose to capture data related to Bromo volcano. The second phase of field
7 work (July-September 2012) involved semi-structured interviews with key persons at the
8 village level. During the third phase focus group discussion were held in February-March
9 2013. While the secondary data collection includes quantitative aspects, the main focus of the
10 field research was qualitative in nature.

11 (1) Existing statistic data of the research area

12 For an analysis of the environmental condition of Bromo volcano and in order to profile
13 adjacent communities we compiled various data sets. The eruption monitoring data and
14 volcanic hazard maps were obtained from the Center for Volcanology and Geological Hazard
15 Mitigation (CVGHM) in Bandung, Java. Data related to damage and loss assessments
16 (DALA) were collected to examine eruption impacts both on physical and societal
17 environment of each village with particular focus on the 2010 Bromo eruptions. This data was
18 kindly supplied by the regional disaster management agency *Badan Penanggulangan*
19 *Bencana Daerah (BPBD)* of Probolinggo city, East Java Province. During data collection also
20 key informants and communities at risk were profiled and identified through abstracting data
21 from demographic statistics at the village level.

22 (2) Semi-structured interviews with key informants

23 During July-September 2012 ~~we conducted~~ semi-structured interviews were
24 conducted with local communities and government officials in four districts (Pasuruan, Probolinggo, Malang and
25 Lumajang) surrounding Mt. Bromo in order to examine the human-volcano relationship
26 within the Bromo area ~~in general~~ and investigate local knowledge related to hazard, risk
27 perception and adaptation strategies ~~in specific~~ to volcanic risk. Only such villages were
28 considered ~~which~~ were exposed to the eruption impact, according to secondary data information. Interviews
29 took place in two villages in Pasuruan (Wonokitri and Tosari), four villages in Probolinggo (Ngadirejo,
30 Ngadisari, Wringinganom, Sumber), one village in Malang (Ngadas) and one village in
31 Lumajang districts (Ranupane) (Fig. 4). ~~Altogether~~ A Total of 13 interviews were conducted.

Informants

32 were village officers, farmers, teacher, dukuns (spiritual leaders) and three authorized staff
33 from BPBD Probolinggo city and CVGHM (Table 2). Interviews were loosely structured
34 starting from the physical impacts to social and cultural effects. However, the informants were

1 encouraged to answer freely as not to be limited to the guiding question (Table 3). The
2 objective was to initiate various members of the Bromo community (*The Tenggerese*) to share
3 their experience of Mt. Bromo in an unbiased way and deliberately without exclusive focus on
4 eruptions or negative impacts only. Discernible from Table 3, question that could directly lead
5 toward positive and negative answers were avoided. Questions pertained to hazard
6 understanding, familiarity with volcanic risks and dread factors as well as to the opportunities
7 provided by Mt. Bromo and the general attitude towards the volcano and its role in local
8 culture. All interviews were shaped to the local context and were conducted in Indonesian and
9 Javanese language. Interviews were recorded by video recorder.

10 (3) Focus Group Discussion (FGD)

11 Following the semi structured interviews of phase I and II of the field work we conducted a
12 Focus Group Discussion in phase III. With the FGD we hoped to gain deeper insights by
13 giving the participating group an opportunity to express themselves in their own words and
14 confront and compare their individual perceptions among other members of the community.
15 We chose the community of Ngadijero for the FGD, since our analysis of phase I and II
16 showed that this village was most negatively exposed to the recent eruptions. The discussion
17 was initiated with similar questions as were used to structure the interviews in phase II
18 (Table 3). There were eight participants to the FGD consisting of farmers, village officials,
19 village heads and youth representatives (Table 2).

20 Semi-structured Interviews and Focus Group Discussion were transcribed and analysed
21 according to recurrent themes in the answers. Results are presented in narrative form and
22 analysed in view of existing research of habitation in volcanic areas as well as prevailing
23 concepts of risk research.

25 **4 Towards a human volcanic system – the case of Mt. Bromo volcano**

26 In the following paragraphs we present the results of our findings on the interaction between
27 volcanic eruptions, local knowledge and risk in our study area in form of a human-volcano
28 system under Bromo's ~~VEI level 2~~ (Fig. 5). Therein the various interactions between
29 community and volcano are shown. Both, physical and social aspects are considered.

30 **4.1 Environmental condition (Bromo volcanic eruption in 2010)**

31 The four major hazards produced by Mt. Bromo in 2010 were tephra fall/ballistics, volcanic
32 mud flow, landslides and gas emission. Form and impact from these sources of hazards varied

1 from negligible to severe. Tephra fall comprised volcanic ash, angular blocks and rounded
2 bombs (see Fig. 5). Areas inside the Tengger caldera were exposed to angular blocks $>64\text{ mm}$
3 and rounded bombs $>64\text{ mm}$ (Zaennudin, 2011). In addition, volcanic ash with grain-sizes of
4 $2-64\text{ mm}$ was distributed throughout the surrounding area depending on wind direction,
5 morphology and weight of the material. For instance, regions with an elevation over 2000 msl
6 were relatively safer from volcanic ash due to the protection by the caldera wall (Bachri et al.,
7 2013b).

8 Areas covered by volcanic ash and fine rock material could not be planted with several crop
9 types including potatoes and vegetables until two years after the eruptions. In the focus group
10 discussion, participating farmers explain that land in flat areas exacerbates the effect since
11 volcanic material cannot be easily swept away by runoff. However, areas which were covered
12 by ashes without fine rock material were more fertile after one year from the time of eruption.
13 Local communities were well aware of both the negative and positive effects on agricultural
14 productivity. They referred to the increase of soil fertility as “*Berkah Bromo*” or Bromo’s
15 opportunity. Most people interviewed and farmers in particular stated that Bromo provides
16 benefits for their livelihood.

17 Additional to the direct hazards from eruptions Mt. Bromo also generated secondary hazards
18 such as volcanic mud flow (see Fig. 5), locally known as “*lahar hujan*” (lava rain).
19 Particularly areas located at the foothills of the volcano were affected by this source of hazard.
20 More than 20 houses collapsed due to “*lahar hujan*” (Bachri et al., 2013a). Heavy rainfall and
21 flooding of the river Badesh caused volcanic deposits from Mt. Bromo to be activated and
22 flow as “*lahar hujan*”. As a result agricultural land and a number of buildings on the riverbanks
23 were destroyed. Despite the negative effects of “*lahar hujan*” in the short term, an increased
24 agricultural productivity of the affected land can be observed and is appreciated as well as
25 capitalized on by local people. One of the village heads, informs us that:

26 “*Wilayah yang terkena banjir lahar material bromo akan menjadi lebih subur*
27 *setelah beberapa lama apabila kandungannya pasirnya tidak dominan*”

28 (transl.: “Areas which are affected by lahar hujan from Bromo will be more fertile
29 after some period if they are not dominated by sand materials”.)

30 Landslides were a further hazard that frequently occurred in Mt. Bromo's surrounding areas.
31 The type of deposited material, slope steepness and heavy rainfall were the decisive factors
32 for the occurrence of landslides. In some places, landslides had a severe impact particularly
33 with regards to road accessibility. However in other areas landslides contributed to the soil

1 quality by transferring fertile materials.

2 The Bromo eruptions in 2010 were also characterized by Sulphur dioxide (SO₂) emissions.

3 People ~~who live~~living within an area of 5-7 km radius from the crater ~~cloud~~ ~~breath~~ ~~smell~~-this
gas emission.

4 ~~Their~~The effect on plantations was described by the head of one of the affected villages:

5 *“Di erupsi Bromo tahun 2010 desa saya hanya terkena dampak belerang, walau hanya satu*
6 *hari saja kami merasakan bau itu, banyak tanaman mati khususnya kentang”*

7 (transl.: “At the Bromo’s eruption in 2010 my village was only affected by
8 sulphur dioxide emissions and although we could feel them only for one day,
9 many plantations died, particularly potatoes”).

10 The four major hazards (gas emission, tephra falls/ballistic, landslides and volcanic mud flow)
11 produced by Mt. Bromo's eruptions had affected the human system in general and human
12 settlement, human health, daily life activities, tourism activity, trading and transportation
13 system as well as agricultural properties in particular. The volcanic eruptions mostly had
14 negative effects for a short period during and after the eruption. However, after the eruption
15 period had come to an end, the community perceived and evaluated predominantly those
16 effects that represented an opportunity. Agriculture, as the main livelihood of Tengger
17 communities offered favorable conditions as soon as 1-3 years after the end of the eruptions.
18 While during the eruption period, farmers became unemployed and lost their land and crops
19 they were able to capitalize on increased soil fertility after 1-3 years after the eruptions and
20 thus were able to recover their losses.

21 **4.2 Social context**

22 The findings discussed above relate to the environmental impact of eruptions and the socio-
23 economic knock-on effects. However, interviews with a number of informants and discussion
24 in the FGD session show that the communities within the area interpret Mt. Bromo's eruptions
25 not so much in specific terms of its environmental or socio-economic impacts but rather in its
26 overarching cosmological meaning. Most people believe that Mt. Bromo always provides
27 them with benefits for the continuity of their livelihoods and spiritual wellbeing. The
28 “Tengger community” whose earliest settlements can be traced back to the 16th century
29 (Hefner, 1990) has strong faith in the benevolence of the volcano and interprets its eruptions
30 as a gift from God (see Fig. 6).

31 Hefner (1990) describes Mt. Bromo as the center of the Tengger cosmology. This notion can

1 | be confirmed based on the interviews we conducted. For example a village official from
2 | Ngadirejo said:

3 | *“Saya tidak pernah takut akan letusan bromo karena saya selalu percaya bahwa*
4 | *ini adalah letusan yang bersifat sementara, dimana letusan itu selalu memberi*
5 | *berkah terhadap kami semua. Kami percaya bahwa Bromo selalu memberikan*
6 | *apa yang kami perlukan disini”*

7 | (transl.: “I am never scared of Bromo's eruption because I always believe that this
8 | is just temporary. Bromo's eruptions always benefit us. We believe that Bromo
9 | always gives us what we need to live here”.)

10 | The fact that 'only [sic] two careless foreigners' died in 2004 was seen as a confirmation of
11 | *Bapak Rudi's* views. There is a firm believe-belief in Mt. Bromo's benevolence and that in
return

12 | there must be a positive attitude towards the mountain.

13 | Local people do believe that Mt. Bromo will reflect the very attitude people have towards it:

14 | *“Jika kamu berbuat buruk terhadap Bromo ataupun hanya berpikiran negatif,*
15 | *maka Bromo akan memberikan situasi yang sama seperti yang kamu pikirkan”*

16 | (transl.: “If you do bad things or even just think negatively about Bromo, Bromo
17 | will provide a negative situation”),

18 | says a village official from Ngadas . Supporting this view, a dukun at in one of the affected
19 | villages adds that:

20 | *“Kita harus selalu berdoa yang terbaik untuk Bromo dan kehidupan*
21 | *disekitarnya”*

22 | (transl.:”We should always pray for the goodness of Bromo and its life
23 | surrounding”).

24 | An interview with a participant of the FGD session in Ngadirejo, provides a local view, and
25 | thereby an understanding of the deep spiritual human-volcano relationship, on why the impact
26 | of the eruptions in 2010 was unusually severe for some villages within the Bromo area. He
27 | laments that the Tengger people did not do what their ancestors had told them. Before the
28 | eruptions, there agricultural yields were abundant, but most people, particularly the younger
29 | population used their income to buy motorcycles and consumer gadgets even if not really
30 | needed. Traditional saving systems were ignored and abandoned. Consequentially a number
31 | of villages lacked the resources to sustain the period of eruptions. Incidentally these villages

1 | were particularly affected by the eruption. He explains that if ancestral laws are not observed
2 | Mt. Bromo will punish society accordingly. This perception was also confirmed by other
3 | participants of the focus group discussion. It is a personified, directed threat of negative
4 | impacts that motivates disaster preparedness. The perception of the volcano as an animated
5 | being that interacts with and reacts to human behavior underlies local adaptation strategies.

6 | Apart from belief-systems that link the local community with the volcano there is also
7 | intricate local knowledge of the physical environment that facilitates the interpretation of
8 | early warning signs of an imminent eruption. The interviews conducted revealed a number of
9 | such early warnings. For example an eruption may be imminent when excessive white smoke
10 | can be observed from the crater or when a stronger than usual odor of sulphur persists or
11 | when small volcanic tremors can be felt, particularly by people who live at or nearby the
12 | crater. Also, as one participant of a focus group discussion, a farmer and youth representative
13 | from Ngadirejo village states:

14 | *“Ketika tanaman jagung di tanah Mbako (lahan di lereng atas gunung tengger)*
15 | *mempunyai hasil yang bagus tetapi burung-burung menjauhi lereng tersebut,*
16 | *dapat dipastikan bromo akan meletus lagi”*

17 | (transl.: “when the corn crop in 'Mbako' land [sic: land at the upper slopes of the
18 | Tengger caldera] has good yields but birds stay away from the slopes, it can be
19 | sure that Bromo will erupt again.”

20 | The Tengger community feels to be a part of the mountain. Land, water and forest of the
21 | mountain are the very source of their life, and thus the behavior of Bromo is intricately linked
22 | to their life.

23 | This perceived and lived interdependence with Mt. Bromo is shared among all members of
24 | the Tengger community and leads to the establishment of social networks that go beyond the
25 | village. For example Ngadirejo, a village that was severely hit by the 2010 eruptions, received
26 | assistances from Ngadas village despite being also impacted itself. Ngadas villagers provided
27 | goods such as rice and vegetables from their own agricultural land. Assistance went as far as
28 | offering their agricultural land to people from Ngadirejo for cultivation. When asked about
29 | this, our informants answered that “*We, the Tengger people, are reminded by this eruption that*
30 | *we are one community. We must help each other. This eruption makes the bond between us*
31 | *become stronger”*. Thus the eruption strengthened the social fabric among Tengger people.
32 | While a spirit of community and altruism can often be observed in the aftermath of disasters it
33 | seems that here the disaster was a reminder of a pre-existing common spiritual link. The

1 volcano was underlying to the existing communal bonds that helped recovery.
2 The Tenggerese also exhibited a remarkable adaptive capacity to the circumstances during the
3 eruption period. A farmer from Ngadirejo village explained that the eruptions generated
4 considerable touristic interest toward the end of the eruption period and says:

5 *“Kami sementara waktu berpindah pekerjaan dari bertani ke sektor wisata,*
6 *karena pada saat itu tidak memungkinkan untuk kami mengolah tanah pertanian*
7 *kamu karena abu vulkanik. Pada akhirnya ini sangat bermanfaat bagi kami”*

8 (transl.:“we temporarily shifted our livelihoods from cultivating land to the
9 tourism sector, because at that time we could not cultivate our agricultural land
10 due to volcanic ash materials. At the end this was very favorable for us”.)

11

12 **5 Discussion**

13 The cited literature below tackles the question of how culture enables people to live with
14 volcanic hazard. Cultural adaptations have been identified and put forward as explanations in
15 many cases. We want to go beyond the question of *how* and ask *why* do people choose to live
16 exposed to largely unpredictable hazard? Is this due to lack of alternatives or could it be
17 indeed a free and deliberate choice? In the remainder of this chapter we argue that what has
18 been identified as cultural adaptations to hazard can a) enable a society to reap benefits
19 produced by the hazardous processes and b) be viewed as a good in itself that in order to
20 obtain the exposure to hazard is vital.

21 **5.1 Socio-cultural benefits of volcanic risk**

22 Wherever they are in the vicinity of volcanoes societies have fundamentally been shaped by
23 recurrent eruptions. Belief-systems and agricultural practice, mythology and social structure,
24 traditions and politics are shaped, and more often than not, centered on the existence of
25 potential volcanic hazard. A diverse body of literature shows that this holds true for societies
26 that no longer exist (Cashman and Giordano, 2008; Plunket and Uruñuela, 2008) as well as it
27 can be observed today the world over (Cashman and Cronin, 2008; Paradise, 2005; Gregg et
28 al., 2008; Siswoidjyo et al., 1997). Various disciplines analyze the multi-layered
29 relationship that exists between human societies and volcanic risk. Such work on the locally
30 specific, societal element of disaster is particularly important in view of the dominant, overly
31 Eurocentric and “technocratic” discourse on natural hazards (Bankoff, 2001; 2003). On the

1 one hand it is important to study the interrelation between people and their environment on a
2 local level in order to shed light on how a combination of physical extremes and societal
3 conditions can lead to disaster (Bankoff 2003; Hewitt 1983; 1997). On the other hand a social
4 and cultural perspective helps to understand how people have adapted to hazards and have
5 learned to reap opportunities they are presented with. For example anthropological research
6 looks at the way that volcanism is embedded in mythology through the prism of
7 geomythology. Natural events and human life – meaning the state of society – are always seen
8 as being interconnected (Oliver-Smith, 1996; Schlehe, 2010; Schlehe, 1996). People
9 ‘domesticate’ the volcanic threat (Dove, 2008) and are surprisingly not scared (Lavigne et al.,
10 2008) to live in its vicinity. Dove (Dove, 2010) analyses the role of Mount Merapi in Java in
11 the context of public opinion, power relations and political decision-making in Java from a
12 philosophical and historical-political perspective. Other ~~scholars~~ authors, such as Donovan
(2010)
13 explore social volcanology, particularly culture at Merapi volcano. Results show that a
14 mixture of factors influence people to stay in the vicinity of Merapi volcano These include
15 cultural beliefs, such as safety provided by spiritual powers, the abundance of livestock and
16 positive past experiences. In addition, ~~religious~~ studies on religious believes observe how
the threat and fallout of
17 volcanic hazard is interpreted and aligned with the divine. Here the principle of understanding
18 natural hazard as punishment for sinful conduct is captured in the concept of theodicy
19 (Wisner, 2010; Chester, 2005; Chester et al., 2008). For example victims of volcanic hazards
20 are seen as martyrs following Islamic interpretations of natural hazards. Chester et al. (Chester
21 et al., 2013) point out that this interpretation must not to be seen as complacent fatalism but
22 rather as human humbleness. Recurrent eruptions fit well with cosmologies that understand
23 destructive physical processes as new beginnings and part of the cyclical nature of all things
24 (Heine-Geldern, 1956; Schweizer, 1991; Chester, 2005). The cycle of destruction and creation
25 that is so immediately manifest in recurrent volcanic eruptions resonates also in Christian
26 religious adages if one thinks of the phrase from the Anglican burial service “ashes to ashes
27 and dust to dust”.

28 Contrary to the view that habitation in volcanic hazard zones is mostly a result of
29 marginalization and economic pressure (Wisner et al., 2004; Lavigne et al., 2008), the results
30 of our field work confirm what the cited literature implicitly suggests, that people choose to
31 live with volcanic hazards because they are not only exposed to negative consequence but
32 also reap the benefits and opportunities that arise within a human-volcano system. Within a
33 human-volcanic system perspective the focus must be widened and include physical, social

34 and cultural aspects that pertain to the entire system and go beyond eruptions and their

1 immediate and detrimental consequences.
2 Volcanoes are a powerful force in shaping cultural identity. Rather than an environmental
3 hazard to avoid at all costs, people's cultural identity is centrally inspired by 'their volcano'.
4 The very essence of who the Tenggerese are revolves around the volcano and without it they
5 would culturally cease to exist. What Hewitt (1997) describes as the threat of "cultural
6 annihilation" is turned on its head. Mt. Bromo does not threaten to destroy the Tenggerese,
7 but the Tenggerese would be destroyed without Mt. Bromo. It is the potential for disaster
8 itself that lies at the very basis of their cultural existence. By inspiring cultural identity the
9 human-volcano system produces socio-cultural benefits in direct and indirect form. These
10 benefits emerge only to a lesser degree directly from eruptions. However, the pivotal and
11 multidimensional role of the volcano within the system produces a range of system
12 strengthening and capacity building outcomes. These revolve around social structure and
13 position therein, as well as grounding through heritage and ancestral lineage. People gain their
14 very place on earth through the existence of the volcano (Schlehe, 1996).

15 In this sense it was at no surprise that in our analysis of semi-structured interviews and focus
16 group discussion we found an overwhelmingly positive attitude toward the Mt. Bromo
17 eruptions. The positive aspects that are our focus here were developed during and after
18 conducting the interviews when it became apparent how positive people's attitude towards Mt.
19 Bromo really is. What follows is a list of cultural adaptations identified and amalgamated
20 from the cited literature and reframed as socio-cultural benefits that in order to obtain, people
21 may weigh against the cost of potential hazard. More than an adaptation to an adverse
22 condition these socio-cultural benefits are goods in themselves for which it becomes
23 worthwhile to be exposed to hazard. In no particular order we propose the following five
24 items as socio-cultural benefits stemming from cultural identity of living within a human-
25 volcano system.

26 1) *Resilience and capacity to recover*

27 Directly linked to cultural identity is the specific capacity of individuals and communities to
28 recover from disaster. In the aftermath of a natural disaster a community's capacity to recover
29 psychologically and spiritually is equally important as the ability to recoup in a physical and
30 economic sense (Chester, 2005). By occupying an important place in people's cosmology
31 rather than being perceived as a mere fluke of nature, a hazardous volcano itself is at the basis
32 for psychological and spiritual recovery. For example Schlehe (1996) observes a 'sense of
33 security through the spirit world' that is governed by the volcano, and further that supernatural
34 metaphors, story-telling and culturally accepted forms of explaining loss all contribute to

1 resilience. Thereby the volcano itself becomes the source of people's capacity to recover - it is
2 curse and blessing simultaneously.

3 *2) Attachment to place and hazard knowledge*

4 Due to their distinctness and the relatively small spatial extents of human-volcano systems the
5 volcano instils a local attachment to environment and place. This may lead to a heightened
6 sense of stewardship and sustainable environmental resource management. The particular
7 demands of the hazardous environment and resulting engagement with the volcanic system
8 lead in turn to local knowledge and hazard management strategies.

9 *3) Social and moral order*

10 The interpretation of loss, destruction and suffering from volcanic hazard as a punishment for
11 sinful conduct sent from the divine not only reconciles and justifies in the sense of theodicy
12 but helps to uphold social and moral order. For example the threat of disaster is used to
13 reinforce the prohibition of alcohol and prostitution (Chester et al., 2013; Schlehe, 1996).

14 *4) Means to frame and voice dissent*

15 The freedom to interpret natural events as a direct reflection of ills in society as for example
16 unjust power relations, land ownership and corruption enables people to frame and voice
17 dissent safely and embedded in a larger cosmological setting. Oliver-Smith (1996) notes that
18 "disasters create contexts in which power relations and arrangements can be more clearly
19 perceived and confronted, which transforms political consciousness, shapes individual
20 actions, and strengthens or dissolves institutional power arrangements."

21 *5) Catalyst for change processes*

22 Volcanic eruptions have been described as 'agents of change' (Dove, 2008; KV Cashman and
23 Giordano, 2008) in a physical as well as socio-political dimension. The perturbations of
24 volcanic eruptions have brought about changes ranging from the economic basis of local
25 livelihoods and settlement patterns to social organization and power relations. As noted by
26 Dove (2008) these changes have often been for the good and are integral part of the human-
27 volcano system.

28 We argue that these socio-cultural benefits are, even where in no direct physical relation
29 nevertheless a consequential outcome of living with volcanic risk. They ~~inform~~ convey an
30 active
31 choice to live exposed to volcanic hazards. Further, they can only be understood in a wider
32 human-volcano system perspective that goes beyond geophysical analysis and traditional risk
concepts in natural hazard research.

5.2 Risk and open risk concept

Traditionally scientific examinations of natural hazard processes were conducted from a pure natural scientific and engineering point of view not including societal aspects. The only way society was addressed if at all was in the role of a potential victim which had to be protected from losses. Although the principal idea of risk rooted in Medieval Mediterranean maritime trade is related to both potential profit and loss, this open understanding of risk does no longer feature in modern risk analysis (Banse, 1950; Fuchs et al., 2004). In lieu thereof, since the 1950, risk concepts were developed in different disciplines in various contexts (Dikau and Weichselgartner, 2005; Müller-Mahn, 2007; Renn et al., 2007). The principal ideas of geographical hazard research (see e.g. White 1964) may be seen as a forerunner to modern risk research. The introduction of aspects of human ecology (e.g. Burton et al., 1978), political ecology (e.g. Blaikie et al. 1994) and ideas of environmental justice (Cutter, 1996) to hazard research paved the way to a strengthening and further development to risk research. Focusing on flash floods, their perception and assessment, Kates (1962) and White (1964) had introduced risk aspects into natural hazard research. Based on this approach, which integrated aspects of physical geography, social sciences and economics, a first comprehensive natural hazard risk analysis may be attributed to Petak and Atkinson (1982). In recent years, process-oriented concepts have been replaced by a more sophisticated integrative risk management (e.g. Ammann, 2001; Stötter et al., 2002) or ideas towards a so called risk society (e.g. Beck, 1992; 2009), risk culture or risk governance framework (e.g. International Risk Governance Council, 2005). However, similar to other natural hazard risks, volcanic risks remain to be connoted with negative impacts on society. But as shown in this paper, all these (traditional) risk perspectives cannot explain the perception and decision making in the Bromo human-volcano-system. Gaillard (Gaillard, 2008) found in his research at Mt. Pinatubo that high perception of risk does not discourage people from living in hazard prone areas. In this sense, only an open risk concept (see e.g. Campbell and Vuolteenaho, 2004; Stötter and Coy, 2008) which allows including both potential positive and negative outcomes can help to explain the attitude of the local population in the Mt. Bromo region. On a theoretical level, in such open risk concept, all future developments intrinsically exhibit some degree of uncertainty bearing options for both good risk, i.e. an opportunity to be grasped, and bad risk in the classical sense of a negative outcome to be avoided. Weighing positive against negative effects in the open risk concept follows a similar rationale as the concept of cost-benefit analysis (e.g. Nas 1996), which became indeed an instrument in natural hazard management by the US Flood Control Act of 1939 (see Guess and Farnham 2000). It demanded that "the benefits to whomever they

1 accrue [be] in excess of the estimated costs”. But while in this approach human beings are
2 understood as *homo economicus* the decisions of whom are primarily driven by economic,
3 utilitarian reasoning, the open risk concept goes far beyond that by considering also social,
4 cultural, political and ecological aspects that cannot be monetized. A spiritual benefit can
5 therefore outweigh a negative effect on for example physical infrastructure.

6 In a human-environment system, the linkage between impact and the exposed systems is
7 generally determined by the sensitivity of the reacting system to the external impulse. That
8 means that vulnerability and capacity, respective resilience are the interacting factors that
9 govern the dimension of risk. In the Bromo human-volcano-system, the local population
10 perceives volcanic activity as source of both, the threatening destructive forces as well as the
11 agricultural basis of existence and spiritual home of their community. Based on their cultural
12 system of values, in their interpretation, the good risks provided by Bromo volcano do more
13 than compensate the bad risks and thus they accept their living conditions in the Mt. Bromo
14 area. The risk perception of the Tenggerese and their consequential behavior is very similar to
15 the understanding of Medieval merchants who first created the term “*risco*”: the Tenggerese
16 understand the gain of accepting risk with all its consequences. We suggest that a holistic
17 understanding of risk, be it a forgotten medieval concept or alive in local knowledge, must
18 inspire the way in which we address an open and uncertain future. Modern, engineering-
19 based risk research has provided us with practical tools of risk assessment, evaluation and
20 monitoring. More recently societal aspects of natural hazard have widened our
21 conceptualisation of risk. Now it is time to reintroduce what is on the upside of the coin – the
22 opportunities that humans sought whenever they chose to expose themselves to risks.

24 **6 Conclusion**

25 The empirical research discussed in this paper shows that the interaction between human and
26 volcanic environment at Bromo volcano is multifaceted and complex. The Tengger people of
27 the Bromo area choose deliberately to live with volcanic hazards. They do so because they do
28 not feel only exposed to negative consequences of volcanic hazards, but also enjoy benefits
29 and opportunities of physical, socio-economic and spiritual nature that arise within the
30 human-volcano system. We confirm five cultural adaptations as actual benefits originating
31 from cultural life within Bromo human-volcano system. These are heightened resilience and
32 capacity to recover; attachment to place and hazard knowledge; source of social and moral
33 order; means to frame and voice dissent; catalyst for change processes.

1 Following this perception, the concept of risk itself must be revisited. First, it must go beyond
2 including socio-economic aspects of risk. Second, it must be expanded from a one-sided
3 focus on hazardous processes to a more holistic view of risk that includes the various positive
4 aspects that pertain to the entire system, which cannot be fully understood within a simple
5 exposure/vulnerability risk concept. The development of a generic human-volcanic system
6 model could provide the basis for the development of an open risk conceptual model.

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31

1 Table 1. Chronology of Bromo eruption in 2010 (CVGHM, 2010)

| Date | Time (UTC +7) | Activities | Alert level |
|-----------|------------------|--|--------------|
| 8 Nov | 14.00 | The volcanic ash color significantly changes from white to grey. After one hour, the number of volcanic tremors had increases. | II (WASPADA) |
| 20 Nov | 05.00 | Explosive eruption begins with the source from Bromo crater. The height of volcanic plume reaches 200-250 m. The duration of the explosion lasts 30 minutes. | III (SIAGA) |
| 23 Nov | 05.00 | Recurrent explosions, with the height of the volcanic plume reaching 400 m. | IV (AWAS) |
| | 14.00- 16.30 | At 2 pm, the explosion becomes more violent and the volcanic plume reaches 400- 800 m. The Bromo volcanic status changes from SIAGA/level III/Be Ready to AWAS/level IV/Danger. The maximum amplitude increase significantly from 5 mm into 30 mm in 7.5 hours. | |
| 25-29 Nov | | Bromo still erupts with the volcanic plume reaching 400-800 and exuding brown volcanic ash. | |
| 6 Dec | 12.45 | The volcanic status changes to the lower status from 'Danger' to 'Be ready' as the volcanic activity slows down. | III (SIAGA) |
| 13 Dec | | Continuous eruptions occur with tremors of 5 mm – 15 mm. | |
| 19 Dec | | The explosion increases with the record time at 10.17 and 11.27. This condition continues for a sustained period of nine month of eruptions. | III (SIAGA) |
| Jul 2011 | | Mt. Bromo's eruptions come to a halt* | |

2 *Note: WASPADA='Be careful', SIAGA='Be ready', AWAS='Danger', * Data from field survey*

3

1 Table 2. Survey method at village around Bromo volcano

| | Probolinggo District | Malang District | Pasuruan District | Lumajang District |
|--|--|---|--|---|
| Village | Ngadisari, Sumber, Wringanom, *Ngadirejo | Ngadas | Wonokitri, Tosari | Ranupane |
| Justification of surveyed village | <ul style="list-style-type: none"> - Closed to the crater (CVGHM, 2010) - Habitant by Tengger community (Indigenous Bromo community) (BPS, 2011) - Categorized as effected village by Bromo eruption (BPBD, 2011) | | | |
| Technical survey approach | Secondary data collection, semi-structured interview. * Focus Group Discussion | Secondary data collection, semi-structured interview | Secondary data collection, semi-structured interview | Secondary data collection, semi-structured interview |
| Date of survey (month/year) | Feb-March 2012 July-September 2012 *February- March 2013 | Feb-March 2012 July-September 2012 | Feb-March 2012 July-September 2012 | Feb-March 2012 July-September 2012 |
| Interviewed people and their function (Note : The names of informants are changed to protect their identity) | <ul style="list-style-type: none"> - Ngadisari: <i>Bapak</i> Ari (Dukun) - Ngadirejo: <i>Bapak</i> Yudi (Head of village), <i>Bapak</i> Wahyu (Head of KSB), <i>Bapak</i> Rudi (Farmer and village official). <i>Ibu</i> Rita (Farmer) - Sumber: <i>Bapak</i> Budi (Farmer) - Wringanom: | <ul style="list-style-type: none"> Ngadas: <i>Bapak</i> Jarwo (Head of village), <i>Bapak</i> Gunawan (famer and village official) | <ul style="list-style-type: none"> - Wonokitri: <i>Ibu</i> Ambar (Head of village), <i>Bapak</i> Sutrisno (Dukun), <i>Ibu</i> Yanti (Teacher), - Tosari: <i>Ibu</i> Erna (Official government of agriculture department) | <ul style="list-style-type: none"> Ranupane: <i>Bapak</i> Supardi (Farmer) |

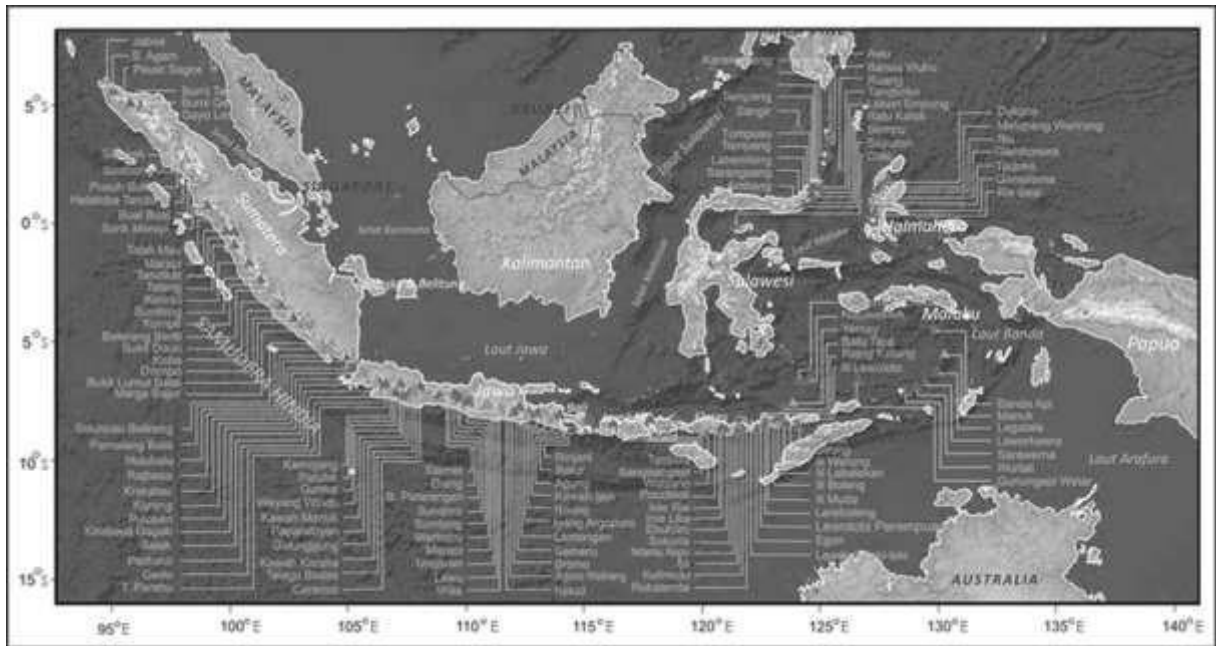
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|--|--|--|--|--|
| | <i>Bapak Kirno</i> (Head of village), | | | |
| Focus Group Discussion participants (Note : The names of informants are changed to protect their identity) | - <i>Bapak Wahyu</i> (Head of KSB); <i>Bapak Yudi</i> (Head of village,); <i>Mas Joni</i> (Youth representative, farmer); <i>Bapak Harjono</i> (Head of hamlet 1, farmer); <i>Bapak Tukiman</i> (Head of hamlet 2, farmer); <i>Bapak Sukur</i> (Head of hamlet 3, farmer); <i>Bapak Imam</i> (Farmer); <i>Bapak Sunarko</i> (Farmer) | | | |

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1 Table 3. Questions asked during semi-structured interviews and focus group discussion

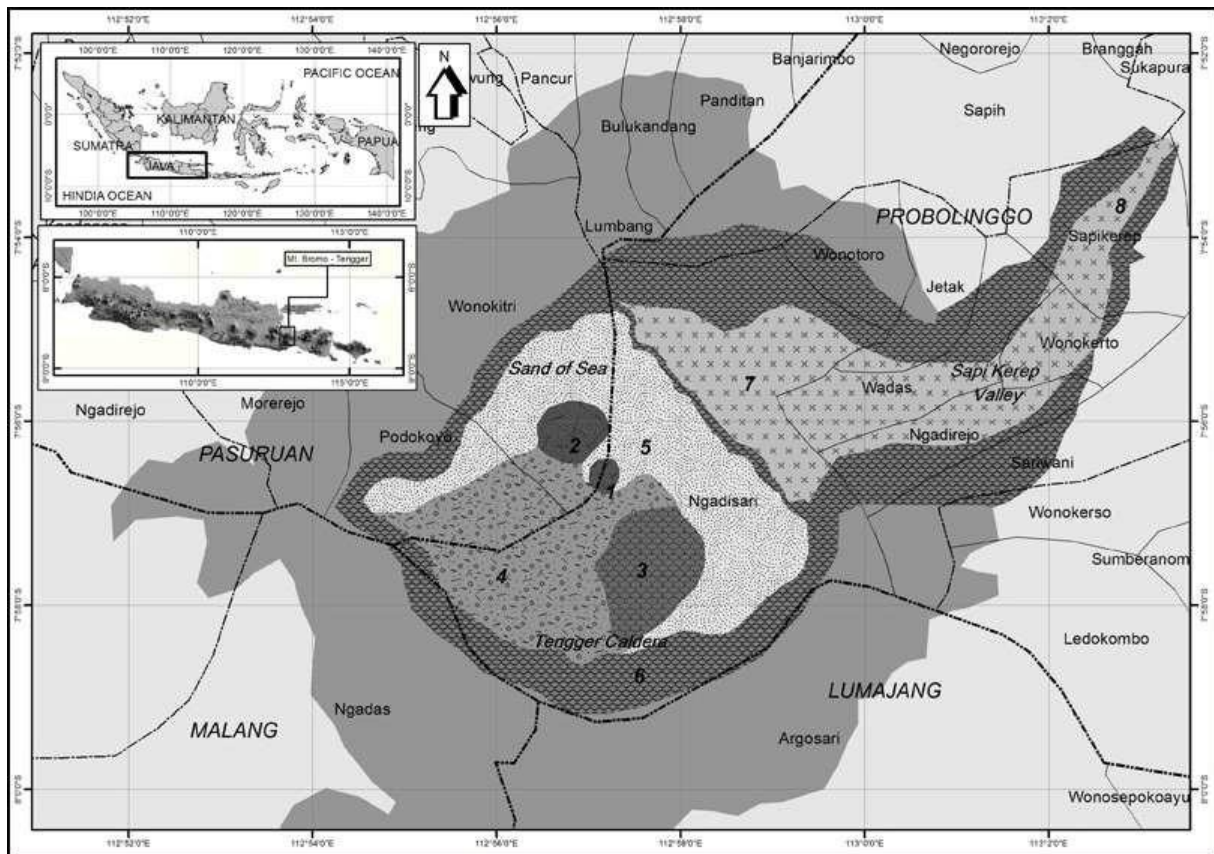
| Question | Rationale |
|---|--|
| Question about: Personal details (name, age, education background, gender, religion, occupation, members of family) | Socio-Demographic |
| Have you always lived in this village? If yes could you tell me about Bromo and the surrounding area? | Local environmental knowledge |
| What is the meaning of Bromo in your life? | Life value, risk and benefits |
| What is your ancestral story about Bromo? | Culture and folklore |
| What is your experience of Bromo eruptions? | Memory, hazard knowledge |
| What kind of hazards are you aware of? | Hazard knowledge |
| And how is your area affected by them? | |
| Please tell me about Bromo's hazard zones? | Hazard and local environmental knowledge |
| Is your village categorized as hazard prone area? And how about other villages? | |
| Think about the last eruption, how dangerous was this eruption for you? Do you dread Bromo? Please share your feelings. | Risk perception |
| In your opinion, do you think that Bromo will erupt again in the near future? Why? Could you tell me signs for imminent eruption? | Risk perception, Hazard knowledge |

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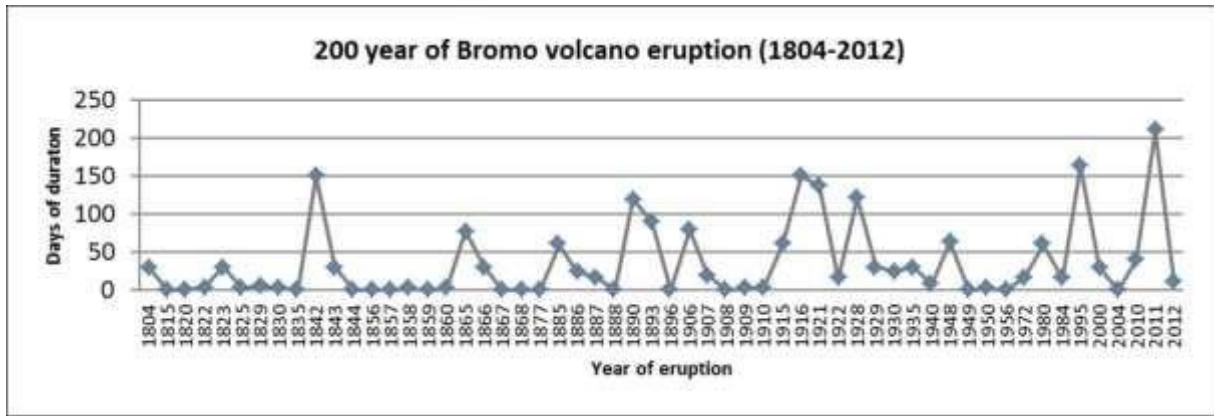
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Figure 1. Distribution of active volcanoes in Indonesia (Suroño, 2013)



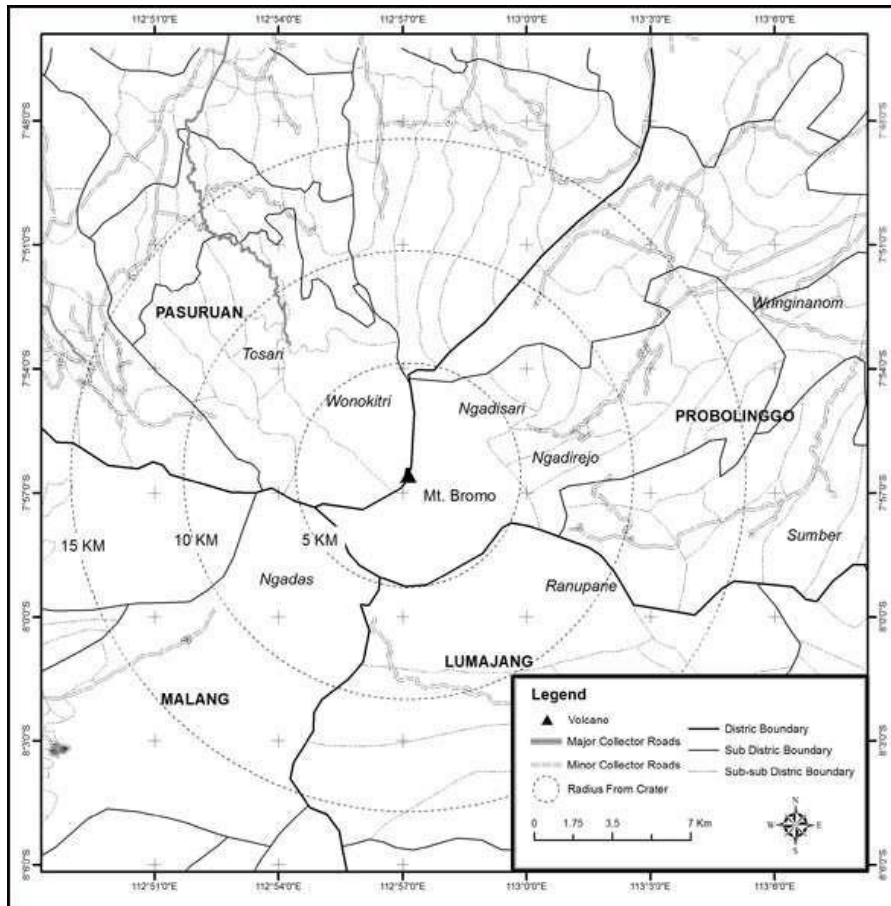
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Figure 2. Bromo volcano and its landforms 1) Gunung Bromo and its crater; 2) a strombolian cone, Gunung Batok; 3) complex of rest volcanic cone (G. Widodaren, G. Kursi); 4) Sand of Sea (Segarawedi Kidul and Segorowedi Lor) ; 5) Tengger caldera formation (upper and middle slope); 6) Foot slope of Tengger caldera (Sukapura Baranco); 7) Sapi kerep outlet valley. (Interpretation from SRTM Image and field survey)

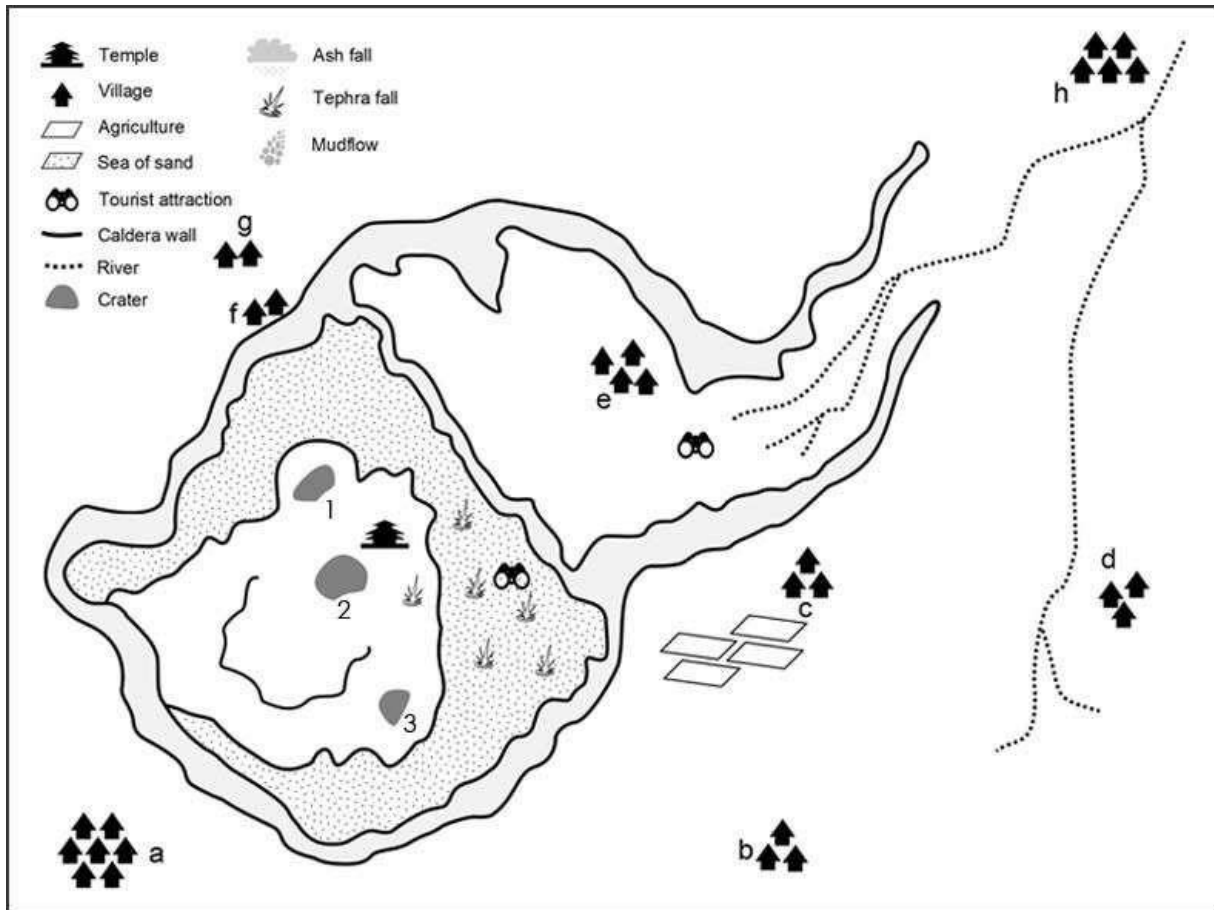


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Figure 3. Year and duration (days) of Mt. Bromo eruption in 200 years period (For 1804-2010, CVGHM 2010 and for 2011-2012, Field survey, 2012)



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 2 Figure 4. Map of surveyed villages in Bromo volcano area
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Figure 5. Human-volcano system in Bromo volcano. 1) Mt. Batok, 2) Bromo volcano, 3) Mt. Kursi, a) Ngadas Village, b) Ranupane Village, c) Ngadirejo Village, d) Sumber Village, e) Ngadisari Village, f) Wonokitri Village, g) Tosari Village, h) Wringinanom Village



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Figure 6. Religious ceremony at Puten temple inside the Tengger Caldera during the eruptions. (Triono, 2010)