

Abstract

Since 2004 Halji Village, home of the oldest Buddhist Monastery in north-west Nepal has suffered from recurrent Glacial Lake Outburst Floods (GLOFs). Studies of recent satellite images identified a supra-glacial lake, located at a distance of 6.5 km from the village, as a possible source of the flood. During a field survey in 2013, the finding was confirmed and several entrances to en-glacial conduits which are draining the lake were found. The topography of the lake basin was then mapped by combining Differential Global Positioning System (DGPS) measurements with a Structure From Motion (SFM) approach from terrestrial photographs. From this model the maximum filling capacity of the lake has been estimated as $1.06 \times 10^6 \text{ m}^3$ with a maximum discharge of $77.8 \text{ m}^3 \text{ s}^{-1}$ calculated using an empirical relation. The flooded area in the valley has been estimated by employing a raster-based hydraulic model considering six scenarios of discharge volume and surface roughness. To understand the changes in glacier geometry in the last decade the thinning and retreat of Halji Glacier have been analysed by geodetic mass balance measurements and a time series of satellite images respectively. The GLOF occurrences have further been correlated with cumulative temperature and cumulative liquid precipitation calculated from the High Asia Reanalysis (HAR) dataset. Finally, effective mitigation measures and adaptation strategies for Halji village have been discussed.

1 Introduction

Glacier thinning and retreat in the Himalayas have resulted in the formation of a number of inherently instable glacial lakes in the region (ICIMOD, 2011; Nie et al., 2013). The sudden catastrophic release of water from such lakes, commonly denoted as a Glacial Lake Outburst Flood (GLOF), leads to extensive damage and often to casualties in the valley downstream (e.g. Haeberli, 1983; Björnsson, 1992; Huggel et al., 2002). Ice-dammed lakes are usually drained through a tunnel incised into the basal ice, by

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ice-marginal drainage or by mechanical failure of a part of the dam (Walder and Costa, 1996). Although it is known that GLOFs occur mainly after the onset of the snow melting season (Haeberli, 1983), reliable prediction of the timing is difficult (Mergili et al., 2011). Further, the mechanisms and circumstances of glacial flood release are still largely unknown (Fountain, 2011). For an estimation of the peak discharge of a tunnel-like drainage an empirical power-law relation was proposed by Clague and Mathews (1973), but its application to other types of floods can lead to significant underestimation (Walder and Costa, 1996). In some cases, the total discharge volume can reach several km^3 (Walder and Costa, 1996), but even discharges as small as $10 \text{ m}^3 \text{ s}^{-1}$ can be destructive if they trigger a debris flow (Haeberli, 1983; Driedger and Fountain, 1989).

Since 2004 Halji village in north-west Nepal has been affected by periodic flooding occurring at the beginning of summer. The village is located in the Limi Valley at the southern slopes of the Gurla Mandhata Massif at an altitude of 3740 m a.s.l. (a.s.l.) (1). So far the floods have washed away a substantial part of arable land and damaged several houses on the western margin of the settlement. Rinchenling Monastery, which is located only about 30 m from the damaged zone, plays a central role in the local community and has significant value as cultural heritage (Bidari, 2004; Hovden, 2013). Recent research shows that the monastery dates back to the beginning of the eleventh century (Hovden, 2013) and it is thus one of the oldest Tibetan Buddhist monasteries in Nepal. The whole village is built on a flat alluvial fan of the Halji River (Halji Khola) formed by unconsolidated alluvial sediments. Future flooding thus represents a serious threat to both the village and the monastery.

Limi Valley is located at the southern margin of the Tibetan Plateau. The climate of the region, which can be classified as Dwc after Köppen (Peel et al., 2007), is characterized by cool summers and dry winters. The main climate parameters measured at the closest meteorological station in Burang (3901 m a.s.l.) are shown in Fig. 2. A fast retreat of glaciers in the north-western part of Gurla Mandhata in the last decades was reported by Yao et al. (2007) and Holzer et al. (2014).

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The objective of this study is to investigate the supra-glacial lake basin as the source of the flooding and to determine its drainage mechanism. To understand the timing and impact of GLOF events in Halji the maximum discharge is estimated for various filling levels including the maximum level considering the present shape of the basin. Potentially flooded areas are delimited based on hydraulic modelling. As GLOF events are related to glacier dynamics, a further objective is to investigate the changes in glacier area and volume in the last decade. Glacier thinning and mass balance are estimated by subtraction of two Digital Elevation Models (DEMs), and the glacier retreat is mapped using Landsat images. The GLOF occurrences are compared to major climate parameters derived from the High Asia Reanalysis dataset (HAR), (Mausson et al., 2013). Finally, with regard to possible GLOF events in the future, suitable mitigation measures are briefly discussed.

2 History of the GLOFs in Halji

According to interviews with the local villagers, floods were recorded in the years 2004, 2006, 2007, 2008, 2009 and 2011, while in 2005, 2010, 2012, 2013 and 2014 no flooding occurred (Diemberger et al., 2015). All GLOFs in Halji have taken place at the end of June or beginning of July. Whereas the exact dates of the early floods are not known, the timing of the most recent floods is very regular with a time span of 7 days. The reports about the flood intensity in the particular years given by the local inhabitants are somewhat divergent, but the magnitude of the flood seems to have increased over time. The flood on 30 June 2011, which was the largest so far, is well documented by photos and videos taken by one of the authors, who was an eye-witness to the event. The stream level in the village rose early in the afternoon and stayed high for several hours. The glacier flood triggered a debris flow which covered the bottom of the valley with a layer of sediment reaching several metres of thickness at some places (Fig. 1).

After the first floods some technical measures were considered in order to prevent future damage of the settlement. In 2010, a gabion wall was constructed along the east

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bank of the stream above and in the village. Its damaged parts were replaced after the flood in 2011 and further extensions of the wall were made in 2014.

After the flood in 2009, a team of local villagers attempted a climb of the glacier in order to search for the source of the flood. They reported that they discovered a small lake partly covered by ice (Diemberger et al., 2015). High resolution satellite imagery acquired in November 2011 available through Bing Maps (<http://www.bing.com/maps/>), revealed a lake basin like structure on the northern side of the glacier tongue. Examination of this imagery suggested that the basin could be filled by melt water forming a supra-glacial lake with sufficient volume to cause the flood subsequent to a sudden drainage.

3 Materials and methods

3.1 Identification of the lake and mapping of the glacier extent from satellite data

In order to identify the source of the flooding we checked a number of satellite image archives for the period from April to September. The number of useful images for this period turned out to be drastically limited due to persistent cloud cover related to the Monsoon. The lake could be detected only on a handful of Landsat images.

To understand the circumstances of the GLOF events, changes in the extent of the Halji Glacier in the last decade were mapped using two cloud-free satellite images from Landsat-7 with a ground resolution of 30 m. The scenes were acquired by Enhanced Thematic Mapper Plus (ETM+) on 13 October 2001 and 26 November 2011. The glacier body was delimited using a band ratio of bands 5 and 3 (i.e. RED/SWIR) and subsequent threshold application following Paul and Kääb (2005), which is an effective approach also in shadowed areas. As the scene from 2011 shows some “SLC-off” artefacts (NASA, 2004) manual editing was needed to eliminate narrow data gaps crossing the glacier.

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3.2 Mapping of the lake basin topography

In order to provide a robust base for a detailed lake basin morphometry, the empty lake was surveyed by means of 130 Differential Global Positioning System (DGPS) measurements. The measurements were conducted in and around the basin in stop-and-go mode with a reference station on solid rock. Additionally, a dense point cloud was generated from a Structure From Motion (SFM) approach (Snavely et al., 2008; Westoby et al., 2012).

For this we employed the freely available VisualSFM software (e.g. Wu, 2011). As an input we used 161 images taken during the 2013 field campaign with an off-shelf *Pentax K100D Super* camera. The great advantage of the SFM technique compared to conventional photogrammetry is that scene geometry, camera positions and orientation are solved automatically by a redundant approach based on automatically detected features in the overlapping images (Snavely et al., 2008; Westoby et al., 2012). In a first processing step VisualSFM creates a sparse point-cloud and shows the approximated camera positions in a graphical interface (Fig. 3a). In a second processing step a dense point-cloud is generated. As no Ground Control Points (GCPs) were used so far the dense point-cloud is referenced to a relative coordinate system. In order to translate the dense point-cloud to a metric coordinate system we employed seven GCPs acquired by DGPS at the same time the images were taken. Here we used the freely available SFMgeoref Matlab package provided by James and Robson (2012). As the dense point-cloud consists of millions of points we only used the mean value of points on a 1 m × 1 m grid for interpolating the Lake Basin DEM (LB DEM). Next to the SFM points we included 124 DGPS measurements in the final interpolation (Fig. 3b) leaving 6 DGPS measurements unemployed for an accuracy assessment of the final LB DEM. Compared to the well distributed reference DGPS measurements the LB DEM shows a mean and standard deviation of 0.22 ± 0.54 m.

The DEM of the lake basin allowed us to calculate the maximum filling capacity of the basin by summation of differences of the lake bottom elevations to the theoretical

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maximum lake elevation level and its multiplication by the pixel size of the LB DEM. The lowest point of the ice barrier was identified as maximum lake level elevation. To characterize the filling process, a hypsographic curve was generated. Peak discharge was calculated for various filling levels applying the power-law formula for tunnel-like discharge through an ice barrier proposed by Clague and Mathews (1973) as:

$$Q_{\max} = 75V_{\max}^{0.67} \quad (1)$$

where V_{\max} is the discharged volume.

3.3 Hydrodynamic modelling of GLOF scenarios

To study the dynamics of the outburst flood events and to assess the flood risk for Halji village and the monastery, the hydrodynamic two-dimensional model FloodArea^{HPC} (Assmann et al., 2007; Geomer, 2012) was used to model different GLOF scenarios (Table 1). The influence of input parameters was estimated by a sensitivity analysis and for validation purposes the model outputs were compared to the observations of the 2011 GLOF event. FloodArea^{HPC} is a raster-based hydrodynamic model that was developed as an ArcGIS extension to model inundation areas for fluvial flooding, pluvial flooding, flash flooding and dam breaks. It is based on the Manning–Strickler formula and calculates flood depths in a cell by cell approach (Assmann et al., 2007). Due to the fact that nobody ever witnessed the drainage of the lake during a flood event, there is no data available to simulate a specific event, like the 2011 flooding. Although numerical approaches can be used to model an outburst hydrograph for glacier dammed lakes (Vincent et al., 2010; Westoby et al., 2014), such models could not be applied in our case because most of the necessary parameters like drainage tunnel size, temperature or filling level of the lake are unknown. Therefore, we decided to deal with this parameter uncertainty by modelling different scenarios using a set of parameters (Table 1). This approach enables us to assess the flood hazard for Halji village by delineating potentially flooded areas for different scenarios and estimating parameter

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Table 4. Glacier mean elevation and total ice volume changes as well as annual glacier mass balances measured from DEM differencing of SRTM-3 (1999) to Pléiades (2013).

Glacier/GLIMS ID	Mean elevation change (m)	Volume change ($\text{Gt} \times 10^{-3}$)	Annual mass balance (m w.e. a^{-1})
Halji Glacier	-6.6 ± 4.9	-15.8 ± 11.6	-0.40 ± 0.30
G081437E30281N	-13.9 ± 4.9	-92.0 ± 32.9	-0.84 ± 0.30
G081393E30265N	-3.2 ± 4.9	-18.8 ± 28.7	-0.19 ± 0.30

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Table 5. Liquid precipitation amount V received in the area draining to the supra-glacial lake each year until the end of Jun which was calculated using hourly precipitation and temperature data from the HAR.

year	V (10^6 m^3)
2001	0.51
2002	0.93
2003	0.71
2004	0.67
2005	0.90
2006	0.70
2007	0.60
2008	0.84
2009	0.46
2010	0.39
2011	0.94

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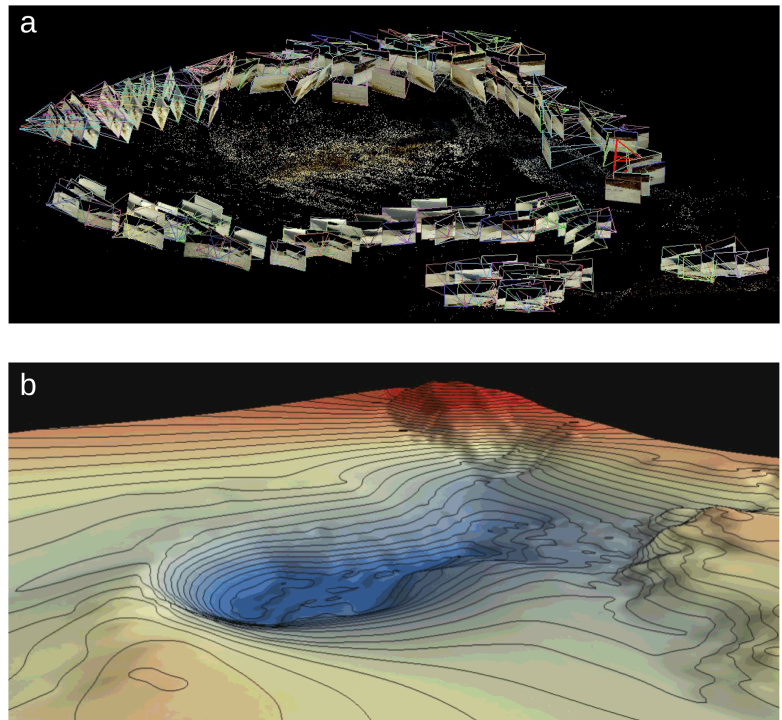


Figure 3. Terrain reconstruction of the lake basin using Structure From Motion (SFM) and Differential Global Positioning System (DGPS) measurements. **(a)** Perspective view of the sparse point-cloud and camera positions as seen from the North. **(b)** Interpolated Lake Basin DEM (LB DEM) and contour lines as seen from the north-east.

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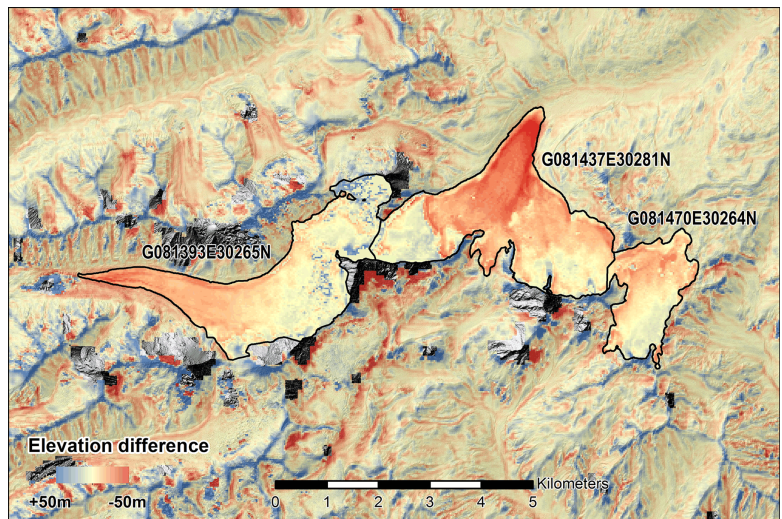


Figure 4. Difference image of Pléiades DEM (2013) minus SRTM DEM (1999) for mass balance calculation of glaciers G081470E30264N (Halji Glacier), G081437E30281N and G081393E30265N (Glacier IDs are from Randolph Glacier Inventory).

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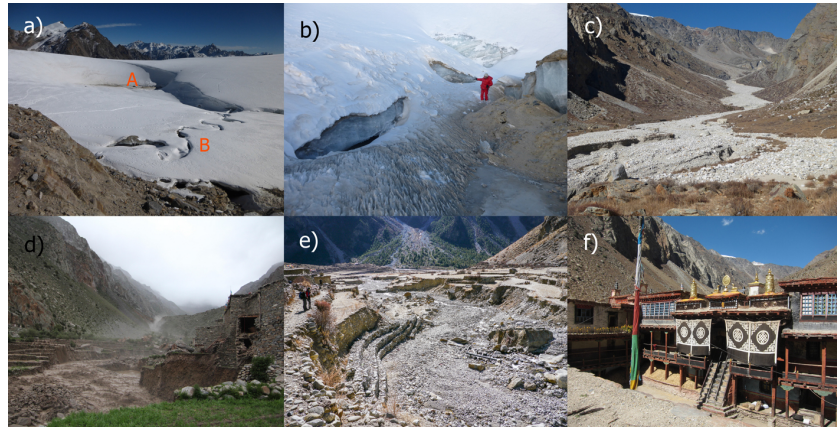


Figure 5. View of the empty lake basin from the north **(a)**. The entrances to the en-glacial conduits draining the lake located at the lower edge of the toe of the ice barrier are marked by A. The supra-glacial streams which lead melt water to the basin are marked by B. A detailed view of the entrances to the en-glacial conduits is shown in **(b)**. A layer of the lacustrine sediment is visible in the lower right corner. Debris deposited by the floods in the middle part of the valley is presented in **(c)**. Collapsed houses in Halji village after the flood on 30 June 2011 are captured in **(d)**. Eroded banks of Halji Khola at Halji village was reinforced by a gabion wall (photo taken in November 2013) **(e)**. The Halji glacier can be seen on the horizon above Rinchenling monastery in **(f)**.

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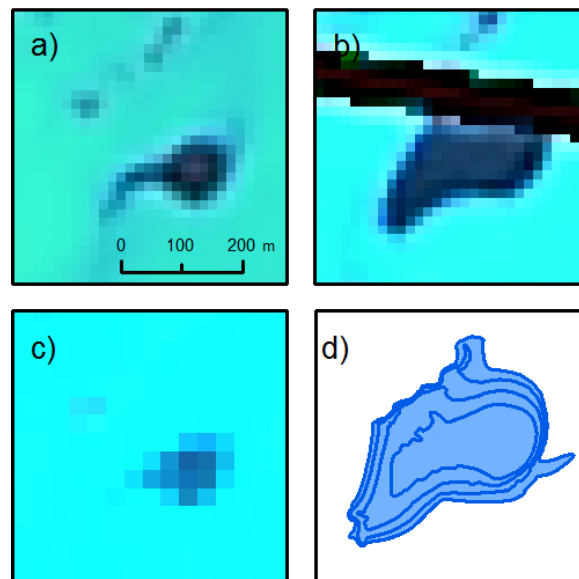


Figure 6. The supra-glacial lake on Landsat images (RGB combination of bands 5, 4 and 3) acquired on 8 June 2007 **(a)**, 24 June 2007 **(b)** and 5 June 2009 **(c)**. The image from 24 June 2007 is affected by a data gap due to “SLC-off” artefacts. Lake extents corresponding to 25, 50 and 100 % of the maximum filling capacity are shown in **(d)**.

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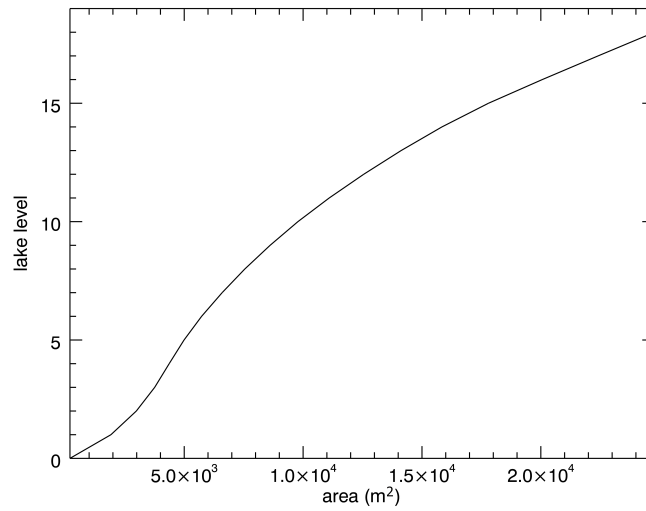


Figure 7. Hypsographic curve of the lake basin showing the relation of the lake depth and the lake area.

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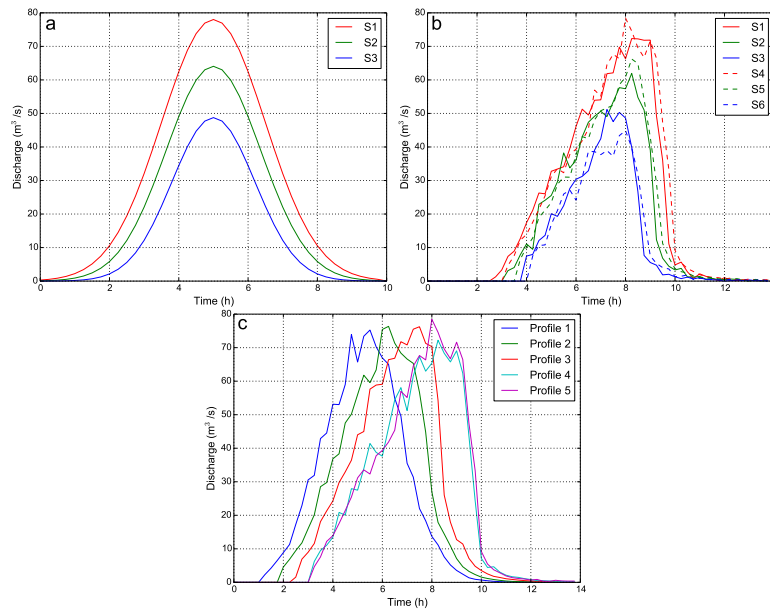


Figure 8. (a) Lake outflow hydrographs for three different filling levels (100, 75 and 50 % of lake volume) which were used as input for the hydrodynamic modelling. (b) Modelled discharge curves for Profile 5 next to the village for different lake volumes and different roughness parameters according to Table 1 (for the location of Profile 5 see Figs. 1 and 9); (c) modelled discharge curves of S1 scenario for profiles 1–5 show the downstream propagation of the flood wave.

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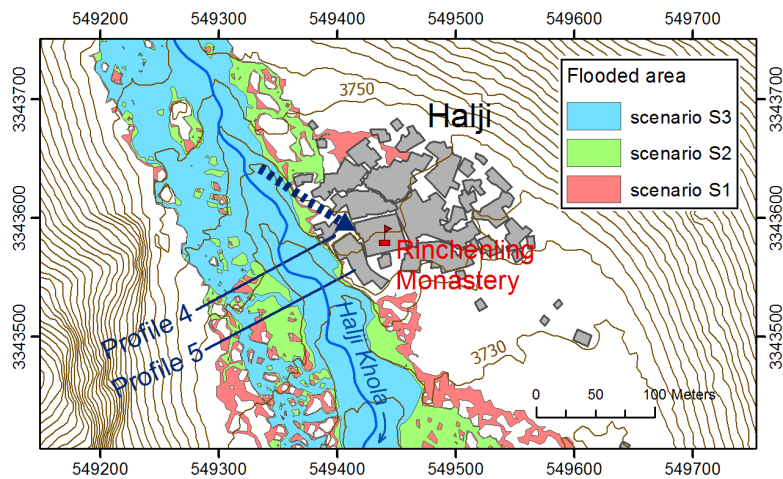


Figure 9. Flooded area in the vicinity of Halji Village assuming three different peak discharge values and roughness value of 20. The passage between houses leading to the monastery is shown as a dashed blue arrow.

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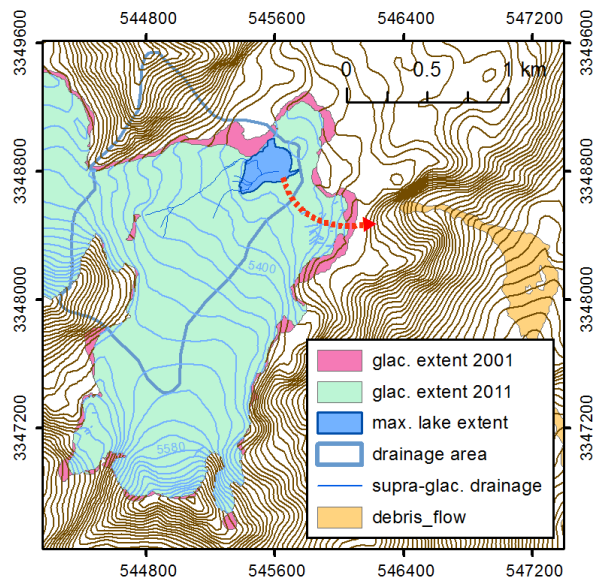


Figure 10. Changes in the extent of the Halji Glacier in the period from 2001 to 2011 as detected from Landsat images. Drainage of the supra-glacial lake during the GLOF event is shown as a dashed red arrow.

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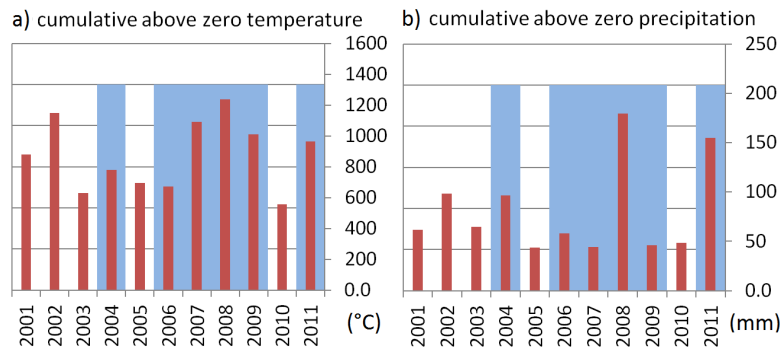


Figure 11. Cumulative above zero temperature T_{cum} (a) and precipitation P_{cum} (b) calculated for the period January to June derived from hourly HAR data. The precipitation was cumulated only for above zero temperatures thus it represents the liquid part of precipitation. The years with a GLOF event are marked by a blue column in the background.