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# **Brief Communication: Contrast stretching** and histogram smoothness based flood detection

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Received: 22 September 2013 - Accepted: 11 October 2013 - Published: 6 August 2014

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Published by Copernicus Publications on behalf of the European Geosciences Union.

Discussion Paper

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Discussion Paper

Discussion

Paper

Printer-friendly Version

# NHESSD

2, 5037-5045, 2014

**Contrast stretching** and histogram smoothness based flood detection

F. Nazir et al.

Title Page

Introduction Abstract

Conclusions

References

**Tables** 

**Figures** 

14



Back

Full Screen / Esc

Close



Synthetic aperture radar images used for flood detection often have degraded contrast, which consequently leads to inaccurate flood maps. A technique for flood detection based on contrast stretching and histogram smoothing is proposed. The proposed technique applies different processing steps (based on contrast stretching and histogram smoothness) on pre, post and difference images to improve visualization by maintaining the natural smoothness.

#### 1 Introduction

Flood detection/mapping is desirable in variety of applications like disaster management, risk/damage assessment and rehabilitation process. Flood mapping/monitoring techniques use pre and post Synthetic Aperture Radar (SAR) images to classify undated (non flooded) and inundated (flooded) areas (Kussel et al., 2011; Nazir et al., 2013). Visual interpretation (Chambenoit et al., 2003) requires user's involvement for the identification of flooded areas (which is not always feasible). Semi automatic segmentation based flood detection technique requires empirical seed point selection (Dellepiane et al., 2010).

Thresholding based unsupervised flood monitoring (Moser and Serpico, 2006) does not work under complex environmental conditions (in that case users involvement is required for reliable results) (Pulvirenti et al., 2011). Texture matching based scheme (Zhao et al., 2011) suffers from high computational time and overlapping features. Schumann et al. (2009) have applied different processing steps to generate inundation map, however it suffers from reliable calibration and verification. Complex coherence maps are used for the analysis of SAR data for flood monitoring, however, optical images are required for result verification (Chini et al., 2012). Chain of processing based method (Dellepiane and Angiati, 2012) sometimes highlight unnecessary details in flood map image.

Paper

Discussion Paper

## **NHESSD**

2, 5037-5045, 2014

Contrast stretching and histogram smoothness based flood detection

F. Nazir et al.

Title Page

**Abstract** 

Conclusions

**Tables** 

Introduction

**Figures** 

**▶** 

Discussion Paper

Discussion Paper

I◀

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



5038

A contrast enhancement based three steps approach (identical to Dellepiane and Angiati, 2012) is proposed to improve the visibility of SAR images. The technique is composed of three chains (Adaptive Histogram Clipping (AHC), Histogram Remapping (HR) and Histogram Smoothness (HS)). The chains are applied on the pre and post images for the generation of difference image. Fast ready flood map is then generated using equalized pre, post and difference images. Results are evaluated using different data sets which shows the significance of proposed technique.

# 2 Proposed methodology

Let  $I_{X(I,m)}$  be pre,  $I_{Y(I,m)}$  be post and  $I_{Z(I,m)}$  be the difference image, where  $I \in [0, ..., L-1]$  and  $M \in [0, ..., M-1]$ . Figure 1 shows the block diagram of proposed technique.

The histogram of pre image  $I_X$  is clipped (identical to (Dellepiane and Angiati, 2012), but with a low percentile value i.e. q = 0.40) to obtain  $I_{X1}$ . Note that, at low percentile values (q < 0.40), required details are removed and at higher percentile values (q > 0.40), unwanted details get more prominent thus degrading the quality. Therefore, we have used q = 0.40 because it preserves the required intensity values which contribute to flooding. After AHC, the image  $I_{X1}$  is then remapped to original intensity range [0–255] using simple linear scaling (Dellepiane and Angiati, 2012) to obtain  $I_{X2}$ .

HS is then applied to improve the visualization by preserving the details. In contrast to Dellepiane and Angiati (2012), which uses simple Histogram Equalization (HE), we have used HS to maintain the natural look, suppressing unwanted artifacts and enhancing the desired details.

In HS, a smoothness constraint is added to remove abrupt changes using backward difference K (Arici and Dikbas, 2009). The principle is to minimize the difference between modified  $h_{X2_m}$  and current histogram  $h_2$  of image  $I_{X2}$  such that the modified histogram is also closer to the uniform histogram  $h_{X2_m}$  along with the additional penalty

**NHESSD** 

2, 5037-5045, 2014

Contrast stretching and histogram smoothness based flood detection

F. Nazir et al.

Title Page

Abstract Introductio

Conclusions References

Tables Figures

Close

Full Screen / Esc

Back

Printer-friendly Version



$$\min \|h_{X2_m} - h_2\|_2^2 + \alpha \|h_{X2_m} - h_{X2_u}\|_2^2 + \beta \|Kh_2\|_2^2. \tag{1}$$

The solution to above constraint problem is (Arici and Dikbas, 2009),

$$h_{X2_m} = ((1+\alpha)\mathbf{I} + \beta(K)^T K)^{-1} \times (h_2 + \alpha h_{X2_u}),$$
 (2)

where, I is identity matrix,  $\alpha$ ,  $\beta$  are the contrast enhancement and smoothness parameters (chosen empirically as 0.5 and 1000 respectively). The histogram  $h_{X2_m}$  is then used as a mapping function for HE to generate image  $I_{X3}$ . All three steps (AHC, HR and HS) are applied on  $I_Y$  (post flooded image) to generate  $I_{Y3}$ .

The difference image  $I_Z$  is generated as,

$$I_{Z}(I,m) = 128 + \frac{I_{X3}(I,m) - I_{Y3}(I,m)}{2}.$$
 (3)

The images  $I_X$  and  $I_Y$  are passed through only CE step to produce  $\hat{I}_X$  and  $\hat{I}_Y$  respectively. The reason for skipping the first two steps is to preserve intensity values of pre and post images. The purpose of using processed pre and post flooded images for difference image generation is to remove the intensities which contribute very low in flooded areas.

Finally  $I_Z$ ,  $\hat{I}_X$  and  $\hat{I}_Y$  are combined (by assigning red, blue and green bands) to generate fast ready map. The level of red color is high for pixels whose pre value dominates and vice versa. In RGB image, medium to dark red color represents permanent water like rivers and dark blue color represents the flooded areas.

#### 3 Simulation and results

Existing and proposed techniques are evaluated on different SAR images. Figure 2a and b shows pre and post flood images of ChoeleChoele City, Argentina observed by 5040

NHESSD

2, 5037-5045, 2014

Contrast stretching and histogram smoothness based flood detection

F. Nazir et al.

Title Page

Abstract Introduction

Conclusions Reference

Tables Figures

▶I

I∢

Back Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



iscussion Pape

scussion Pap

Discussion Paper

Discussion Prin

**NHESSD** 2, 5037-5045, 2014

**Contrast stretching** and histogram smoothness based flood detection

F. Nazir et al.

Title Page **Abstract** Introduction Conclusions **Tables Figures** 14 **▶** Close Back Full Screen / Esc Printer-friendly Version Interactive Discussion

"Daichi" (ALOS) on 29 April and 30 July 2006 respectively. Figure 2c and d show the difference images obtained using Dellepiane and Angiati (2012) and proposed technique respectively. In Fig. 2c, the ground details are more prominent while Fig. 2d only highlights the major required details comparatively. The differences in detail contribute significantly in their respective RGB image (shown in Fig. 2e and f). In Fig. 2e, a very high contribution of irrelevant details (of difference image) is visible (for instance, the blue color at the center and at the top right corner). Figure 2f provides better visibility of flooded areas around river (at the top center) and low flooded areas (at the center (below river) and top right corner) of image.

Figure 3a and b show the images of Tomakomai, Japan, acquired by Phased Array Type L-band SAR (PALSAR) using H/V and V/V polarization on 19 August 2006 respectively. Figure 3c is the RGB flood map generated using Dellepiane and Angiati (2012) technique. The flood map (in Fig. 3c) highlights some irrelevant details which contribute to flooding (blue colored areas at the right center of image). Figure 3d shows the flood map generated using proposed technique. Figure 3d preserves the natural effect of image as compared to Fig. 3c.

#### **Conclusions**

A technique for flood detection based on contrast stretching and histogram smoothing is presented. Different processing steps based on contrast stretching and histogram smoothness are applied on pre, post and difference images to generate flood maps. Simulation results show improved visualization by maintaining the natural smoothness.

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NHESSD

2, 5037-5045, 2014

Contrast stretching and histogram smoothness based flood detection

F. Nazir et al.

Title Page

Abstract Introduction

Conclusions Reference

Tables Figures

I◀ ►I

■ ► Back Close

Full Screen / Esc

Printer-friendly Version





**Contrast stretching** and histogram

**NHESSD** 

2, 5037-5045, 2014

# smoothness based flood detection

F. Nazir et al.



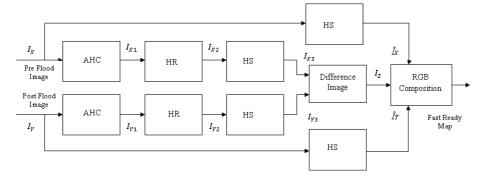
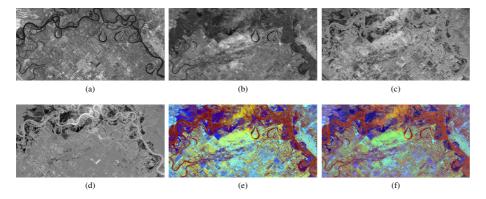


Figure 1. Flow chart of proposed algorithm.



**Figure 2.** Original images of ChoeleChoele City, Argentina observed by "Daichi" (ALOS): **(a)** pre flooded image acquired on 29 April 2006. **(b)** Post flooded image acquired on 30 July 2006. **(c)** Difference image obtained using Dellepiane and Angiati (2012) technique. **(d)** Difference image obtained using proposed technique. **(e)** Fast ready map generated using Dellepiane and Angiati (2012) technique. **(f)** Fast ready map generated using proposed technique.

### **NHESSD**

2, 5037-5045, 2014

Contrast stretching and histogram smoothness based flood detection

F. Nazir et al.

Title Page

Abstract

Conclusions References

Tables

Figures

Introduction

I∢

►I

Back

Close

Full Screen / Esc

Printer-friendly Version



Discussion Paper

Discussion Paper

Discussion Paper

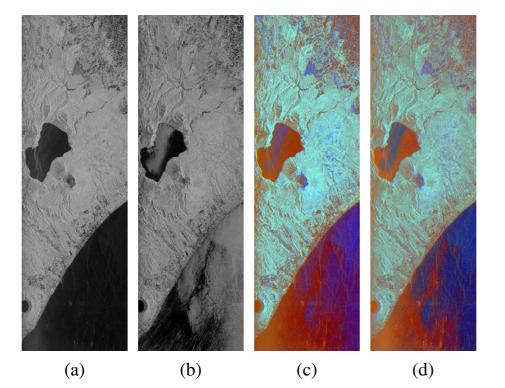


Figure 3. Evaluation of results using images of Tomakomai, Japan. (a) Pre image acquired on 19 August 2006. (b) Post image acquired on 19 August 2006. (c) Fast ready map generated using Dellepiane and Angiati (2012) technique. (d) Fast ready map generated using proposed technique.

## **NHESSD**

2, 5037-5045, 2014

**Contrast stretching** and histogram smoothness based flood detection

F. Nazir et al.

Title Page

Introduction

Conclusions References

Abstract

**Tables Figures** 

14 **▶**[ •

Close Back

Full Screen / Esc

Printer-friendly Version

