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Mapping wave set-up near a complex geometric urban coastline

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T. Soomere^{1,2}, K. Pindsoo¹, S.R. Bishop³, A. Käär⁴ and A.Valdmann⁴

[1]{Institute of Cybernetics at Tallinn University of Technology, Tallinn, Estonia}

[2]{Estonian Academy of Sciences, Tallinn, Estonia}

[3]{Mathematics Department, University College London, London, U.K.}

[4]{Municipal Engineering Services Department, Tallinn, Estonia}

Correspondence to: T. Soomere (soomere@cs.ioc.ee)

Abstract

Wave set-up is a process that leads to increased water levels in coastal regions. When coupled with storm conditions, set-up can significantly increase the risk of flooding or structural damage and therefore is of particular importance when considering coastal management or planning issues related to near-shore infrastructures. Here, we investigate the effects of wave set-up in the coastal region of the Gulf of Finland in the Baltic Sea, close to Tallinn, Estonia, although the results will have wider relevance for other areas. Due to a lack of continuous wave data we employ modelling to provide input data using a simplified calculation scheme based on a high-resolution (470 m) spectral wave model WAM to replicate spatial patterns of wave properties developed from high-quality, instrument measured wind data from the neighbourhood of the study site. The results indicate that for the specific geometry of coastline under consideration, there is a variation in set-up which is strongly affected by wind direction. The maximum set-up values are up to 70–80 cm in selected locations. This is more than 50 % of the all-time maximum water level and thus may serve as a substantial source of marine hazard for several low-lying regions around the city. Wind directions during storms have changed in recent years and, with climate variability potentially increasing, these results will allow for further tests which may be used in a policy setting regarding defences or other structures in and around coastlines. In particular, with urban development now taking place in many coastal regions (including the one within this study), these results have implications for local planners as well as for the introduction of storm warning systems.

1

2 **1 Introduction**

3 Worldwide, cities are faced with the challenge of adapting to climate change. The interaction
4 of the synergies and conflicts in the objectives of mitigation and adaptation are most vivid in
5 urban areas, where they play out through land use, infrastructure systems, and the built
6 environment (e.g. Hall et al., 2010). This interaction becomes even sharper for coastal cities
7 for which the collection of marine hazards and especially the risk of coastal flooding, may be
8 radically amplified (e.g. O’Grady and McInnes, 2010; Torresan et al., 2012; Cheng et al.,
9 2013, among many others).

10 Dangerous water levels are normally produced by an unfortunate combination of high tide,
11 low atmospheric pressure, strong wind-driven surge of seas **as well as** wave-induced set-up.
12 While usually the wind surge and inverted barometric effect (customarily called storm surge
13 together) form the majority of the elevated water levels, wave set-up can contribute
14 substantially under certain conditions.

15 It is well known that **even almost linear** ocean waves produce a mass transport that is
16 proportional to the squared wave height (Starr, 1947), which is an example of, so-called,
17 second-order effects. **The propagation of such waves** results in a decrease in the average water
18 level (set-down) in areas of **finite** depth (Dean and Dalrymple, 1991). For waves approaching
19 a coast the water level minimum occurs at the seaward border of the surf zone.

20 As opposed to wave set-down, wave-driven set-up is a strongly nonlinear phenomenon within
21 the surf zone. It results in a rise in the mean water level in the near-shore owing to the release
22 of momentum in the process of waves breaking. Theoretically, **wave set-up** can be quantified
23 in terms of changes to the onshore component of the radiation stress (Longuet-Higgins and
24 Stewart, 1964).

25 The prediction of wave set-up and/or the quantification of its magnitude, are crucial during
26 extreme events because it adds to other factors producing a high water level. **To protect life**
27 **and property**, advance **warning** and detailed knowledge of wave set-up, is vital in the design
28 of coastal and near-shore structures **vulnerable to** high waves and water levels.

29 **As yet**, there is no consensus about the exact relationship between the offshore wave
30 properties and the parameters of wave set-up (Hsu et al., 2006; Shi and Kirby, 2008, Nayak et
31 al., 2012). Relevant estimates diverge radically (Stockdon et al., 2006), probably because the
32 conversion of wave-driven momentum is very sensitive with respect to a multitude of factors.

1 On the one hand, the properties of set-up substantially depend on the nature of the bottom
2 (Apotsos et al., 2007). There is theoretical evidence that the set-up height may be even
3 negative in the presence of vegetation and/or very rough bottom on the seabed (Dean and
4 Bender, 2006). This is perhaps why users of the SWAN model broadly believe that the set-up
5 height is in the range of 10–15 % of the offshore wave height (Filipot and Cheung, 2012;
6 Nayak et al., 2012). On the other hand, in particular conditions the set-up may reach about 1/3
7 of the offshore wave height (Vetter et al., 2010) and extreme values of set-up of up to 2 m
8 above the offshore water level have been observed influenced by large storm waves
9 (Heidarzadeh et al., 2009). A subtle, but important impact of wave set-up under very rough
10 seas is an increase in the water level at the entrance of wave-dominated inlets or lagoons
11 (Bertin et al., 2009; Irish and Canizares, 2009; Torres-Freyermuth et al., 2012), e.g., in the
12 “aqua alta” in Venice (Luigi Cavaleri, pers. comm., 2010).

13 Wave set-up is thus one of the core marine-induced hazards along many of our coasts. Its
14 importance is relatively large at coasts with limited tidal range, where people are used to a
15 more moderate range of variation in the water level. For example, in Florida wave set-up can
16 be 30 % to 60 % of the total 100-year storm surge (Dean and Bender, 2006). In areas with
17 relatively narrow continental shelves (more generally, in regions where the wind surge
18 remains moderate) wave set-up can be an even larger proportionate contributor to extreme
19 water levels during major storms (Dean and Bender, 2006). A natural reflection of this
20 situation is the trend to include the analysis of wave set-up into various methods of the
21 mapping flood hazards for low-lying coastal regions (Cariolet and Suanez, 2009; Harper et
22 al., 2009; Jain et al., 2010a, 2010b, among many others), especially in the context of potential
23 changes in climate (McInnes et al., 2009) and for the purposes of estimates of the erosion of
24 higher parts of the beach (Trenhaile, 2009).

25 While the properties of set-up are apparently more or less homogeneous and relatively easy to
26 predict on long, basically straight, coastal sections, for coasts with complicated geometry this
27 process has the potential to create unexpectedly high water levels in specific locations where
28 storm waves directly approach the coast. As each storm may have a somewhat different wind
29 direction, and the transformation of wave direction in the nearshore also depends on the wave
30 periods, the most dangerous locations may vary considerably from one storm to another (note
31 that, when describing wind properties, the wind direction is given by the direction from which
32 the wind originates, but wave direction is usually given as the direction in which the waves
33 propagate; thus an Easterly wind produces a Westerly wave). This complicates the estimation

1 of the maximum water level along coastal stretches with complicated geometry (Valdmann et
2 al., 2008) and thus has implication for city planners. [Thus we require the long-time history of](#)
3 wave properties to properly resolve effects caused by the directional distribution of the wave
4 approach direction for different storms.

5 This problem is very acute in micro-tidal, semi-enclosed seas and shelf seas that are
6 vulnerable not only to the potential increase in the overall water level but also to changes in
7 the wave approach directions that have been recently identified for several regions (Räämet et
8 al., 2010; Charles et al., 2012b). The problem is furthermore complicated in urban areas
9 where flooding represents a particular challenge to modellers and flood risk managers because
10 of the complex interactions of surface and sewer flows and because, in practice, urban
11 flooding systems involve tens of thousands of variables (Dawson et al., 2008).

12 The study area of the current paper is Tallinn Bay (Fig. 1), in Estonia. This area, similarly to
13 the entire Baltic Sea, is micro-tidal (tidal range less than 5 cm) and water level fluctuations
14 are mostly governed by atmospheric forcing. As the predominant wind direction is from the
15 south-west, and the city is located at the southern coast of the Gulf of Finland, the coasts of
16 the urban area are implicitly sheltered from the most furious storms in this area. This feature
17 is reflected in the relatively modest all-time maximum water level (1.52 m) since the end of
18 the 19th century whereas, Saint Petersburg, for example, has experienced flooding heights up
19 to 4.21 m and Pärnu 2.75 m (Suursaar et al., 2006). In Tallinn, some parts of the city are not
20 protected even against a moderate water level rise. For example, when the water level rose to
21 1.52 m on 8–9 January 2005, several low-lying areas (such as the 1980 Olympic sailing
22 centre) were flooded. Typically water levels in this area are about 0.7–0.9 m above the long-
23 term mean during several weeks in the autumn stormy season. As the critical water level of
24 several infrastructure facilities of the City of Tallinn is about 1 m, even a moderate wave set-
25 up ~0.5 m may lead to serious consequences.

26 The situation along the coastline of the entire urban area of Tallinn is even more complicated
27 because of the particular geometry of Tallinn Bay and its neighbouring small bays. The three
28 largest bays, Tallinn Bay itself, Kopli Bay and Kakumäe Bay to the west, are open to the
29 north-west or north-north-west (NNW). Winds from these directions are somewhat less
30 frequent than south-western winds but contain the strongest winds in the north-eastern part of
31 the Baltic (Soomere and Keevallik, 2001). While the coasts of the interior of Tallinn Bay are
32 relatively well protected, beaches at the bayheads of the two other bays and along the Viimsi

1 Peninsula **have an open** shape and many stretches possess the features that are favourable for
2 producing high set-up adjacent to low-lying existing and planned residential areas.

3 The study area chosen here is an example of a wave-dominated micro-tidal coastline which is
4 locally, almost straight (for scales up to few 100s of metres or, at some locations, up to a
5 kilometre or two) but on larger scales (from a few kilometres) the coast contains large
6 peninsulae and bays deeply cut into the mainland. In essence, this is a relatively young coast
7 which is actively in the process of straightening (Raukas and Hyvärinen, 1992). The process
8 of wave set-up crucially depends on the wave height *and* propagation direction (the attack
9 angle) and, since the bays are open in different directions, the magnitude of wave set-up not
10 only exhibits extensive variability along the coast but reaches the largest values in different
11 bayheads during different storms.

12 The objective of this paper is to evaluate the “climatology” of the set-up heights along this
13 example of urban coast formed by a complicated geometry and hosting several vulnerable
14 sections. First we reconstruct the statistics of wave conditions in the nearshore with a spatial
15 resolution of about 0.25 nautical miles (~470 m) for the years 1980–2012. This data set will
16 then be used to identify the coastal sections prone to the highest set-up and, more importantly,
17 to highlight the **link between particular storms and stretches which have suffered** from
18 unexpectedly high water levels. The analysis reveals that the direction of storms has
19 undergone some interesting decadal-scale variations. Perhaps the most unexpected feature is
20 that almost every single coastal section had its “own” (perfect) storm in the last three decades
21 that produced the 30-year highest set-up in this section.

22

23 **2 Data and methods**

24 **2.1 Reconstruction of wave properties**

25 Although weather and wave observations covering Tallinn harbour extend back to 1805
26 (Soomere, 2005), the older part of the data contains only visual estimates of wave properties.
27 This data adequately represents wave fields in the proximity of the harbour but fails to
28 describe the wave regime in other parts of the bay and **importantly** it fails to identify the
29 swell-dominated conditions (which actually form about a half of all wave conditions), and
30 thus consequently, **also fails to find** the predominant wave direction (Orlenko et al., 1984).
31 Therefore, it is natural to use a contemporary wave modelling system to reconstruct the time
32 series of wave properties in the nearshore.

1 Wave properties were calculated using a triple-nested version of the third-generation spectral
2 wave model WAM (Komen et al., 1994). A coarse model was run for the whole Baltic Sea on
3 a regular grid with a discretisation of about 3 nautical miles (5.5 km) (see Fig. 1). At each sea
4 point, 600 spectrum components (24 evenly spaced directions and 25 frequencies ranging
5 from 0.042 to 0.41 Hz with an increment of 1.1) were calculated. A medium-resolution model
6 was run for the Gulf of Finland with a grid step of about 1.8 km. The bathymetry of the model
7 is based on data from (Seifert et al., 1995) with a resolution of 1' along latitudes and 2' along
8 longitudes. A high-resolution model with a grid step of about 470 m (1/4' along latitudes and
9 1/2' along longitudes) resolving the major local topographic and bathymetric features, was
10 run for the Tallinn Bay area. The frequency range was extended to 2.08 Hz (42 evenly spaced
11 frequencies) for wind speeds $\leq 10 \text{ m s}^{-1}$ to better represent the wave growth in low wind and
12 short fetch conditions.

13 The WAM model, although constructed for open ocean conditions and for relatively deep
14 water (Komen et al., 1994), gives good results in the Baltic Sea basin provided the model
15 resolution is appropriate and the wind information is correct. [Extensive information about the
16 model performance and validation for the Baltic can be found in](#) (Soomere et al., 2008a;
17 [Räämet et al., 2009](#); Tuomi et al., 2011, 2012). As waves are relatively short in the Baltic Sea,
18 and usually even shorter in its semi-enclosed sub-basins (Broman et al., 2006; Soomere et al.,
19 2011), the wave model using the innermost, fine grid allows a satisfactory description of wave
20 properties in the coastal zone, down to depths of about 5 m and as close to the coast as about
21 200–300 m. [The output of the particular triple-nested implementation has been compared with
22 in situ measurements in Tallinn Bay in](#) (Soomere, 2005).

23 [Successful](#) numerical wave modelling [requires](#) reliable marine wind information. The quality
24 of wind data is a major issue in wave modelling in the Baltic Sea region [which has a large and
25 complex-shaped water body that](#) greatly influences surface-level winds and results in a high
26 variability of the local climate in its vicinity. The existing global wind data sets have
27 relatively low resolution and have to be downscaled for the use in the Baltic Sea conditions
28 (Schmager et al., 2008; Samuelsson et al., 2011) but also artificially adjusted (e.g. using
29 simulated gustiness) in order to properly replicate the air-sea interaction (Höglund et al.,
30 2008). The local (national) wind data sets are only reliable in the vicinity of each country
31 (Räämet et al., 2009) and high-resolution modelled winds, optionally coupled with windsea
32 properties, suffer from being substantially inhomogeneous over time (Tuomi et al., 2012).
33 Furthermore, the Gulf of Finland has a specific wind and wave regime (Soomere et al., 2008b;

1 Pettersson et al., 2010) mainly because the strongest winds blow obliquely across this water
2 body with respect to the topography. The biggest problem in the reconstruction of wave set-
3 up is the mismatch in the direction of even the best modelled wind fields with high-quality
4 measured data (Soomere and Keevallik, 2010).

5 A favourable feature is that the dimensions of the Gulf of Finland are smaller than the typical
6 spatial extension of high and persistent wind events in the area. Thus the wind field that
7 produces the highest waves in this water body are approximately uniform over the entire gulf.

8 For the reasons listed we force the wave model with a spatially homogeneous wind field that
9 matches the wind measured in fully marine conditions, at a location that is not affected by the
10 presence of mainland. Such a wind measurement site in the gulf, that is not affected by the
11 shore, is Kalbådagrund, a caisson lighthouse in the central part of the Gulf of Finland (Fig. 1,
12 59°59' N, 25°36' E). The wind measurements are performed at the height of 32 m above the
13 mean sea level. Height correction factors, to reduce the recorded wind speed to the reference
14 height of 10 m, are 0.91 for neutral, 0.94 for unstable and 0.71 for stable stratifications
15 (Launiainen and Laurila, 1984). To the first approximation, the factor 0.85 was used in the
16 computations that follow.

17 The wave time series in the nearshore of the entire study area were estimated using a
18 simplified scheme for long-term wave hindcasting. The basic idea of speeding up the wave
19 computations consists of reducing long-term calculations of the sea state to an analysis of a
20 cluster of wave field maps pre-computed with the use of single-point wind data. A favourable
21 feature of the study area is that wave fields rapidly become saturated here and have relatively
22 short memory (normally no longer than 12 h) of wind history (Soomere, 2005). This feature
23 makes it possible to split the wave calculations into a number of short independent sections of
24 3–12 hours. To the first approximation, it was assumed that an instant wave field in Tallinn
25 Bay is a function of a short section of the wind dynamics. Moreover, it was implicitly
26 assumed that remote wind conditions in the open Baltic Sea did not significantly contribute to
27 the local wave field in Tallinn Bay. [A comparison of the results of modelling using the triple-
28 nested wave model and the described method for reconstruction of wave fields is presented in
29 \(Soomere, 2005\). It turns out that the listed assumptions are valid in Tallinn Bay for about
30 99.5 % cases and that the reconstructed wave properties acceptably match the measured ones.](#)

31 The nearshore of the study area was divided into 105 sections with a typical length of 0.5 km.
32 For each section the average orientation of the coast and the limits of its variation were

1 defined. The sections roughly correspond to the nearshore computational cells of the
2 innermost wave model (Fig. 2).

3 The choice of cells used to evaluate the set-up height, was based on estimates of the extreme
4 wave heights in the Tallinn Bay area. For adequate estimates of the wave set-up, the cells
5 should be as close to the coast as possible, but the model (mean) water depth in these cells
6 should be larger than the breaking depth for the largest waves. In many nearshore locations
7 few storms produce significant wave heights of ~4 m. For example, between 15–16
8 November 2001, when the all-time high of the significant wave height of the Gulf of Finland
9 (5.2 m) was recorded during a NNW storm (wind direction 330°, 23 m/s), the significant
10 wave height in the interior of Tallinn Bay, and at the entrance to the two smaller bays,
11 reached 4 m (Soomere, 2005). The significant wave height in an exceptional storm on 8–9
12 January 2005 was 4.5 m to the west of Naissaar (Soomere et al., 2008a). Therefore, waves in
13 cells with a depth <4 m may already be intensively breaking and the use of wave data from
14 these would severely underestimate the set-up height. Based on the listed reasons, the wave
15 data was mostly used from nearshore cells that had a model water depth in the range of 4–
16 8 m. With this selection, the highest waves (producing also the highest set-up) were already
17 close to the breaking stage in some computational cells. In a few cells that were associated
18 with headlands or points which are not vulnerable to high set-up, the water depth in the
19 selected cells is, in some cases, up to 20–27 m. A detailed analysis of further shoaling and
20 refraction was performed to evaluate the breaking height and the approach angle at the
21 seaward border of the surf zone based on the average orientation of the sections of the coast
22 corresponding to the selected grid cells.

23 From the output of the WAM model, time series of the significant wave height, peak period
24 and mean wave direction were evaluated once every 3 hours from 01.01.1981–31.10.2012 for
25 each selected coastal section. The wind data-set contained 93 016 measurements. In 8554
26 cases either wind speed or wind direction was missing. These data were left out of the
27 analysis which was then based on 84 462 measurements. The presence of ice was ignored.
28 Doing so leads to a certain bias of the results, because the mean number of ice days varies
29 from 70 to 80 annually (Climatological Ice Atlas, 1982; Sooäär and Jaagus, 2007).
30 Statistically, the ice cover damps wind waves either partially or totally during the most windy
31 winter season (Mietus, 1998). Therefore, the computed annual mean parameters of wind
32 waves (as well as the corresponding extreme set-up) are somewhat overestimated and
33 represent average wave properties during the years with no extensive ice cover.

1

2 **2.2 Evaluation of wave set-up**

3 As mentioned above, there is no consensus today about the exact relationship between the
4 offshore wave properties and the parameters of wave set-up. The situation is actually even
5 more complicated as the conversion of wave-driven momentum is very sensitive with respect
6 to details of the nearshore (Dean and Bender, 2006) and the results of its modelling (e.g.,
7 using SWAN) show extensive dependence of the results on the model resolution and the slope
8 of the beach (Nayak et al., 2012). The resolution used here gives a fair estimate (about 90 %
9 of the actual values) of wave set-up for gentle slopes (about 1:80) whereas it may fail to
10 characterise this process for steeper slopes (about 1:20) (Nayak et al., 2012). The concave
11 coastal stretches that host large values of maximum wave set-up are located in bayheads
12 where the sediment is comparatively fine and the beach profiles have a relatively gentle slope
13 in the surf zone (Soomere et al., 2007).

14 Given several uncertainties in the data set, limited knowledge about the nature of the
15 particular nearshore areas, possible shortages in the evaluation of the wave parameters in
16 single extreme storms and unresolved questions of the estimates of the set-up height, we
17 specifically focus on the parameters of the climatology of wave set-up that are less sensitive
18 with respect to the listed uncertainties but have a crucial role in the future management
19 (including more detailed modelling) of the related issues. These are: (i) the potential locations
20 of the high set-up, (ii) a comparative climatology of set-up events and (iii) the properties and
21 timing of typical storms that may produce high set-up in specific sections.

22 Solving the listed tasks, to a first approximation, is feasible using relatively simple
23 parameterisations of the set-up height based on the primary wave properties. A
24 straightforward estimate can be derived using the simplest concept of gradual wave breaking
25 in the nearshore, namely, that the ratio of the breaking wave height h_b to the associated depth
26 d_b – the so-called breaking index $\gamma_b = h_b/d_b$ – remains constant in the entire surf zone (Lentz
27 and Raubenheimer, 1999). In ideal conditions the maximum set-up height (Dean and
28 Dalrymple, 1991) would be:

$$29 \quad \bar{\eta}_{\max} = \frac{5}{16} \gamma_b h_b. \quad (1)$$

1 The assumption of the constant value of γ_b across the surf zone has been questioned by
2 several authors (Raubenheimer et al., 1996; Power et al., 2010). **On the one hand**, there is
3 some evidence that it probably increases shoreward (Raubenheimer et al., 2001; Yemm,
4 2004). **On the other hand, several observations in the surf zone have indicated that γ_b may be**
5 **much smaller there (Lentz and Raubenheimer, 1999), and often even in the range of**
6 **$0.2 \leq \gamma_b \leq 0.5$ (Sallenger and Holman, 1985; Raubenheimer et al., 1996)** This change may, to
7 some extent, affect the numerical values of the wave set-up at single, specific locations but
8 evidently does not change the location of areas of high and low values of the set-up.

9 A commonly used assumption in coastal engineering is that a wave approaching a natural
10 beach breaks when its height is 78 % of the water depth at this location, equivalently; the
11 breaking index is $\gamma_b \approx 0.78$ (Dean and Dalrymple, 1991, 2002). For strongly reflecting and/or
12 steep beaches the breaking index may reach values ~ 1.5 while for domains with almost
13 horizontal bed it is in the range of 0.55–0.6 (Nelson, 1994; Massel, 1996). On sandy beaches
14 $\bar{\eta}_{\max} \approx 0.17h_{s10}$, where h_{s10} is the significant wave height at a depth of 10 m (Guza and
15 Thornton, 1981; Coastal Engineering Manual, 2002). These variations in the parameterisation
16 of the maximum set-up, evidently have a larger impact on the identification of the potential
17 areas of high set-up. As the coasts in the study area **considered here** are mostly sedimentary,
18 with gently sloping profiles resembling the Dean’s Equilibrium Profile, the use of $\gamma_b = 0.8$
19 (Dean and Dalrymple, 1991) and, consequently, $\bar{\eta}_{\max} \approx 0.25h_b$ is justified for our purposes.

20 The time series of wave properties are calculated for a selection of grid cells located offshore
21 the surf zone at different depths (Fig. 2). At many locations the water depth is much larger
22 than the breaking depth for the waves generating the highest set-up, therefore it is necessary
23 to account for the transformation of waves from the grid cells to the breaker line. The
24 processes of shoaling and refraction during the wave propagation from the grid cells to the
25 breaking line are evaluated using the common assumptions that (1) the numerically evaluated
26 wave field is monochromatic, with (2) the wave height equal to the modelled significant wave
27 height, (3) the period equal to the peak period, and (4) wave directions match the evaluated
28 mean direction. **The latter assumption implicitly means that the directional spreading of**
29 **natural wave fields is ignored in the analysis; consequently, the onshore component of the**
30 **radiation stress is overestimated by about 10–12 % (Feddersen, 2004).** Moreover, we assume
31 that within a particular coastal section the depth isolines seaward of the breaker line, are

1 straight and parallel to the average orientation of the coastline. This set of assumptions allows
 2 the use of linear wave theory for estimates of the breaking wave height.

3 Let the wave height, group speed and celerity at a calculation point be h_0 , c_{g0} and c_{f0} ,
 4 respectively. The height h_b at the breaking line is

$$5 \quad h_b = h_0 \left(\frac{c_{g0} \cos \theta_0}{c_{gb} \cos \theta_b} \right)^{1/2}, \quad (2)$$

6 where θ_0 is the attack angle at the calculation point and θ_b is the attack angle at the breaking
 7 line. Breaking waves are normally long waves and thus their group speed is
 8 $c_{gb} = \sqrt{gd_b} = \sqrt{gh_b/\gamma_b}$. The impact of refraction can be estimated from Snell's law
 9 $\sin \theta/c_f = \text{const}$ along the wave rays. For the breaking waves the phase speed $c_{fb} = c_{gb}$ and
 10 thus

$$11 \quad \sin \theta_b = \sin \theta_0 \frac{c_{fb}}{c_{f0}} = \sin \theta_0 \frac{\sqrt{gh_b/\gamma_b}}{c_{f0}}, \quad (3)$$

12 from which we reach the following equation with respect to the breaking height:

$$13 \quad h_b^4 c_{gb}^2 \left(1 - \frac{c_{fb}^2}{c_{f0}^2} \sin^2 \theta_0 \right) = h_b^4 \frac{gh_b}{\gamma_b} \left(1 - \frac{gh_b}{\gamma_b} \frac{\sin^2 \theta_0}{c_{f0}^2} \right) = h_0^4 c_{g0}^2 (1 - \sin^2 \theta_0). \quad (4)$$

14 Equation (4) is an algebraic equation of 6th order with respect to h_b with three non-zero
 15 coefficients. The leading term and the constant term have the same sign and the coefficient of
 16 h_b^5 has the opposite sign. The relevant polynomial with respect to h_b has exactly one
 17 minimum at $\tilde{h}_b = 5\gamma_b c_{f0}^2 / (6g \sin^2 \theta_0)$ and tends to positive infinity if $h_b \rightarrow \pm\infty$. Therefore,
 18 Eq. (4) has exactly two real positive solutions provided that

$$19 \quad 6^6 g^4 h_0^4 c_{g0}^2 \sin^{10} \theta_0 (1 - \sin^2 \theta_0) \leq 5^5 \gamma_b^4 c_{f0}^{10}. \quad (5)$$

20 It has a double, real solution if the expressions at the right and left-hand side of Eq. (5) are
 21 equal, and has no real solutions for other combinations of the wave parameters and water
 22 depth. An estimate of the breaking wave height is given by the smaller real solution. For
 23 almost incident waves (for which the breaking angle θ_b may be assumed zero and $\cos \theta_b = 1$)
 24 Eq. (4) can be reduced to an explicit formula for h_b . The resulting expression underpredicts

1 the breaking wave height by approximately 12 % (Dalrymple et al., 1977; Dean and
 2 Dalrymple, 1991). This underprediction to some extent balances the overprediction of the
 3 onshore radiation stress stemming from the assumption of unidirectional waves.

4 Physical arguments suggest that Eq. (4) should always have real solutions if the modelled
 5 wave height is $h_0 < d_b/\gamma_b$, that is, the waves are not yet breaking. The domain of existence of
 6 real solutions to Eq. (4) is actually somewhat more limited by the inequality Eq. (5). This
 7 feature can be, to some extent speculative as no rigorous proof seems to be easily available,
 8 attributed to the impact of the wave set-down in relatively shallow waters. This phenomenon
 9 to some extent decreases the effective water depth under large waves. The magnitude of this
 10 effect is (Longuet-Higgins and Stewart, 1964):

$$11 \quad \Delta d = -\frac{h_0^2 k}{8 \sinh 2kd}, \quad (6)$$

12 where k is the wave number and d is the undisturbed water depth in the absence of waves. In
 13 our calculations, real valued solutions always exist if the modelled wave height is such that
 14 $h_0 < (d + \Delta d)/\gamma_b$.

15 The **leading** term of Eq. (4) vanishes for incident waves. In this case there is no refraction, and
 16 condition (2) reduces to $h_b^2 = h_0^2 c_{g0}/c_{gb} = h_0^2 c_{g0} \sqrt{\gamma_b/(gh_b)}$, from which the breaking depth can
 17 be explicitly expressed as $h_b = (h_0^4 c_{g0}^2 \gamma_b/g)^{1/5}$ (Dean and Dalrymple, 1991). In calculations,
 18 the linear dispersion relation $\omega = 2\pi/T = \sqrt{gk \tanh kd}$, where ω is the angular frequency and
 19 T is the wave period, is solved exactly (that is, with about 7 correct decimal digits, which is
 20 the precision of replication of decimal numbers in a 32-bit computer) for wave number k and
 21 water depth d at the cell of the WAM model. These values were used to calculate the phase
 22 and group speed of the numerically modelled waves. In order to optimally replicate the
 23 behaviour of the largest waves, the peak period calculated by the WAM model was used as
 24 the wave period.

25 There were a few cases when the incoming wave height was very small (well below 10 cm)
 26 and the ratio of the constant term to the coefficient of the leading term, was also small, of the
 27 order of 10^{-7} . In these cases the root-finding subroutine of the 32-bit computer failed to
 28 produce a solution and an approximate value corresponding to the exact solution of Eq. (5)
 29 with a zero constant term was applied. These cases were, in any case, irrelevant for our
 30 purposes, as low wave heights do not lead to any real danger.

1

2 **3 Results**

3 **3.1 The highest waves**

4 The overall maximum wave height h_{\max} in the study area was 5.4 m (Fig. 3). This value was
5 reached only once at the westernmost section during a furious storm on 18–19 October 1998
6 when a westerly wind reached 25 m s^{-1} during two subsequent measurement points in time,
7 that is, **over** at least three hours. This coastal section (with a depth of 13 m in the model grid)
8 is completely open to the west, north-west and north, that is, to the directions of the largest
9 waves. The largest waves, not unexpectedly, occurred at the three headlands. The possibility
10 of occurrence of quite high waves in the interior of Tallinn Bay (at Pirita Beach) and along
11 the eastern coast of the Viimsi Peninsula reflects the predominance of western and NNW
12 storms among those which produce large waves in the study area.

13 The wave propagation direction in the storms that have produced the highest waves in
14 individual coastal sections varies considerably, from east to south-southwest. The results of
15 Fig. 4 suggest that each section has its “own” perfect storm in which the largest waves occur.
16 Such an extensive variation of the approach direction of the highest waves obviously reflects
17 the complexity of the geometry of the study area and simply mirrors the fact that different
18 coastal sections are open to waves from different directions.

19 All the highest ever waves in the study occurred in four storms (Fig. 5): western storm on 18–
20 19 October 1998 (maximum wind speed 25 m s^{-1} , direction 260° – 280°), WSW to WNW
21 storm on 29th November 1999 (25 m s^{-1} , 220° – 290°), NNW storm on 15–16 November 2001
22 (23 m s^{-1} , 320° – 340°), and NW storm of 27–28 October 2006 (23 m s^{-1} , 300° – 320°). Among
23 these, the storm of 15–16th November 2001 set the previous maximum water level (1.35 m)
24 in Tallinn Bay (Suursaar et al., 2006). The peak periods (not shown) were all in the range of
25 7–9 s in these events. Three of the listed storms created the highest waves in most of the study
26 area whereas the storm of 29th November 1999 produced the highest waves only in three
27 coastal sections.

28 Interestingly, the “one hundred year storm” on 8–9 January 2005 that produced the all-time
29 peak water level for many sites in the eastern Baltic Sea (Suursaar et al., 2006), and also very
30 high waves in the Gulf of Finland (Soomere et al., 2008a), did not produce very high waves in
31 any section of the study area. Another interesting feature of Fig. 5 is that all of the highest

1 waves have occurred during the last decade. This may be indicative of an increase in the
2 overall wind speed. However, another explanation – that the predominant wind direction in
3 the strongest storms has changed over the decades – seems to be a more adequate explanation
4 as will be discussed below.

5 The ratio of the maximum wave height and the 99.9 %-ile (not shown) varies by about 30 %
6 in the study area, from 1.42 to 1.78. This level of variation signals that in this region the
7 distributions of different wave heights may have quite different properties for different
8 sections. Although not unexpected, this feature also indicates that the straightforward use of
9 the classical estimates for properties in the nearshore (such as the closure depth or the width
10 of the equilibrium beach profile), developed for open ocean coasts, may lead to considerable
11 errors in the Baltic Sea conditions. For example, the simplified estimate (Houston, 1996) for
12 the closure depth based on the annual average significant wave height implicitly assumes that
13 the ratio of the 99.863 %-ile ($h_{0.137\%}$ or threshold for the wave height that is exceeded 12
14 hours a year) and the annual average wave height h_{mean} is 4.5. This ratio varies from about 3.7
15 to 6.1 whereas its average over the study area is about 5.

16 **3.2 Almost incident waves**

17 The analysis performed here was for waves approaching from any direction. The approach
18 angle of such waves varies from almost zero up to 90° for several sections located at
19 headlands and even up to 135° for one section (Fig. 6). Waves that approach the coast
20 obliquely mostly produce longshore current rather than high set-up because the cross-shore
21 component of the radiation stress is mostly responsible for set-up (Apostos et al., 2008). The
22 highest set-up occurs when waves approach the coast almost perpendicularly (normal to the
23 coast line). The crucial parameter for extreme set-up height is the maximum height of waves
24 that approach a coastal section from a narrow range of direction. If the height of such almost
25 incident waves is much lower than the all-term highest waves, the onshore component of the
26 radiation stress in such waves is relatively limited and the problem with high set-up may not
27 occur at all.

28 Not surprisingly, both extreme and average wave heights from a narrow direction range, with
29 respect to the normal of the coast (Fig. 7), are much lower than those pictured in Fig. 3. The
30 largest decrease occurs in semi-sheltered sections of the coast whereas such wave heights for
31 a few headlands remain almost unchanged. Several such sections are implicitly protected by
32 the geometrical shape of the bays (Caliskan and Valle-Levinson, 2008), or by intense

1 refraction of wave fields that redirects part of the wave energy towards the coastal stretches
2 that are located relatively close to the entrance of the bay and in this way this reduces the
3 wave height in the bay interior.

4 Given the highly variable orientation of the coastline, it is natural to expect that for certain
5 coastal sections the highest waves that approach the coast directly are generated by storms
6 that are not among the strongest ones. Somewhat surprisingly, the collection of storms that
7 produce the highest waves changes radically if waves, the propagation direction of which
8 makes relatively small angle to the coast normal, are considered. While only four storms were
9 responsible for the all-time highest waves in the study area, 18 different storms produce the
10 all-time highest waves approaching the coast at an angle less than $\pm 45^\circ$ with respect to the
11 normal to the coast. The number of different storms increases to 32 if only waves approaching
12 the coast at an angle less than $\pm 30^\circ$ are considered and increases to 41 for almost incident
13 waves ($\pm 10^\circ$). Apart from the increase in the number of such storms, their distribution over
14 the time period in question changes radically. For example, for the highest waves approaching
15 from the direction of $\pm 15^\circ$ (Fig. 8), the four storms depicted in Fig. 5 are only responsible for
16 high set-up in about 1/3 of the coastal sections. The above-mentioned storm in January 2005
17 does not feature in this measure at all.

18 A large number of all-time highest, almost incident waves (and thus of the all-time highest
19 wave set-up in the relevant section) occurred in the 1980s. As many coastal sections around
20 Tallinn (which was much smaller then) were not open to public, these events evidently
21 remained unnoticed and therefore are not accounted for in contemporary statistics (which
22 started after Estonia obtained independence in the beginning of the 1990s). A particularly
23 deceptive feature of the short-term statistics for decision-makers is the period of relatively
24 low wave activity in the 1990s from the directions to which the coasts of the City of Tallinn
25 are open. It is thus not surprising that the statistics of storms and high wave-induced set-up
26 can be, misleading, interpreted as showing a rapid increase in a certain type of wave activity
27 at the turn of millennium. A much more appropriate explanation is that the directional
28 structure of strong storms exhibits decadal-scale variations in the region of the Gulf of
29 Finland.

30 **3.3 The endangered areas**

31 The areas endangered by high wave set-up are coastal sections with a convex shape that are
32 often affected by high almost incident waves. Areas satisfying the latter condition can be

1 easily identified by gradually narrowing the range of directions of high waves (Fig. 9). For a
2 decision about whether dangerous values of wave set-up may actually occur also requires the
3 geographical map of the area (Fig. 10) and data about the nature of particular sections of the
4 coastline. It turns out that substantial levels of wave set-up are likely in the residential area of
5 Tiskre and specifically along the western coast of the Viimsi Peninsula. The danger is
6 relatively low at the mouth of Mustjõe Creek – an area that, technically, is open to high waves
7 but that apparently is implicitly protected by a favourable combination of the geometry and
8 bathymetry of Kopli Bay.

9 It is not clear whether or not the danger of high wave set-up actually occurs along the north-
10 eastern coast of the Kakumäe Peninsula. The related hazards are apparently minor along the
11 coastal section from the Old Harbour to Pirita where the coastline is protected by a seawall
12 that reflects the wave energy and prevents set-up.

13 The above results have been presented and discussed in terms of maximum wave set-up
14 heights occurring once in a 30-year period. A somewhat better indication, about the realistic
15 level of danger for the coastal stretches that may be affected by high wave set-up, provides an
16 estimate of the highest quantiles for the set-up (Fig. 11). While it is expected that the all-time
17 highest values of set-up are [an isolated](#) phenomenon, in several areas the 99.9 %-ile of the set-
18 up height is quite high, close to 40 cm. For the particular conditions of Tallinn Bay it means
19 that an addition of the magnitude of 25 % of the all-time highest open sea water level occurs
20 in these locations, on average, three times a year. Although these events are not necessarily
21 associated with the overall high water level, such a high occurrence suggests that
22 simultaneous attack of high open sea water level and wave set-up is very likely in these
23 locations.

24

25 **4 Conclusions and discussion**

26 The analysis presented here confirms the well-known conjecture that wave set-up serves as an
27 important constituent of marine-induced coastal hazard. [Although several assumptions made](#)
28 [in the analysis may to some extent oversimplify the situation and the individual estimates may](#)
29 [have quite large uncertainty, the key conclusion is that](#) the contribution of wave set-up may be
30 up to 50 % to the maximum water levels caused by other factors in areas that are open to
31 predominant wind and wave directions. In other words, wave set-up may frequently form
32 about 1/3 of the total water level increase during specific storms. This results in a
33 considerable increase in the risk of coastal flooding in regions that normally experience a

1 relatively small range of the fluctuations of the local water level such as the Baltic Sea, Black
2 Sea or the Mediterranean Sea.

3 The extensive variation given here of the climatological properties of set-up heights along the
4 study area highlights a particularly insidious feature of this phenomenon – its substantial
5 dependence on the match of the wave propagation direction and the geometry of the coastline.
6 This feature is probably not decisive along open ocean coasts, where high waves usually
7 approach the coast under small incidence angles and produce high set-up in long coastal
8 stretches. It is, however, accentuated in semi-sheltered domains with complex geometry of the
9 coastline where the location of high set-up may substantially vary, depending not only on the
10 storm wind direction but also on the wave period (which affects the intensity of refraction and
11 thus also the wave approach direction). The resulting, dangerously high, set-up in selected
12 coastal sections may be easily overlooked or, especially in urban areas, associated with other
13 phenomena (e.g., heavy rainfall, snow melt or flash flooding of a river).

14 The analysis of the climatology of high set-up events in such areas with complex geometry is
15 thus additionally complicated because the return period of unfavourable combinations of wind
16 and wave properties is substantially larger than that of just high waves or water levels alone.
17 On the one hand, this peculiarity requires us to obtain much longer time series of wave set-up
18 in order to reach adequate statistics of this phenomenon in differently oriented coastal
19 sections, similarly to the proper evaluation of statistics of winds from particular directions. On
20 the other hand, this phenomenon, if it occurs, contains particularly large hazards in low-lying
21 urban environments, with possibly significant implications on the functioning of
22 infrastructure in neighbouring areas and on the availability of evacuation roads.

23 There are several simple ways to avoid high wave set-up. Firstly we note that this
24 phenomenon does not normally occur if the coast is protected by a seawall. Another, option is
25 to use “soft” measures, e.g. the ability of natural roughness of the coastal zone (reed beds,
26 bushes, and stones) to substantially damp out this phenomenon. As high set-up is dangerous
27 in combination with high water levels, this means that it is sensible to keep naturally
28 occurring bushes at the level of the maximum expected storm surge, an option that is not
29 always easy to explain to the decision-makers, the public and especially to the developers.
30 This is thus is a challenge for smart and sustainable planning and management of urban
31 coastal areas but it is a natural, low-cost measure to mitigate this type of marine coastal
32 hazard.

1 The numerical values of the set-up climatology presented here have been evaluated in ideal
2 conditions additionally using a number of approximations, and thus they should be interpreted
3 as indicative values. The correspondence between the results and estimates derived from *in*
4 *situ* observations suggests that the estimates are still realistic in cases when the set-up process
5 is not unduly affected by wave reflection or damping. The danger here is that the estimates are
6 invariant with respect to the background water level. In other words, even if the nearshore is
7 stony, as it is in many locations of the coastline of Tallinn especially along the Viimsi
8 Peninsula, then in case of a considerable storm surge (say, about 1 m) the waves will break in
9 a completely different location. Therefore, developed areas (e.g., lawned gardens, parking
10 areas) theoretically within reach of high water, may become sources of increased risk, in
11 terms of extensive wave set-up. The analysis above shows that potentially affected areas form
12 in total about 50 % of the entire coastline. This estimate, although very rough, simply
13 expresses the balance between the convex- and concave-shaped sections of the coastline.

14 The intermittent character of the location of coastal stretches which experience high set-up,
15 and the strong dependence of the areas with highest set-up on the properties of a particular
16 storm, is a major challenge for any crisis management team. Although the parameters of
17 approaching waves can be predicted with quite good quality nowadays, the prediction of high
18 set-up requires a proper replication of wave periods (which is a challenge anyway even for the
19 very best contemporary wave models) and wave propagation directions. In essence, this
20 problem is equivalent to the exact forecast of a hurricane landing point where there is still
21 some room for improvement.

22 Apart from the analysis of the properties of this intricate coastal hazard, the results included
23 here give an interesting insight into some potentially deceptive features of wave statistics. If
24 one concentrates on the properties of the highest waves, Fig. 5 produces an impression that
25 the 1980s and 1990s were relatively mild and that the wave climate has become considerably
26 more severe since the end of the 1990s. Figure 8 clarifies the picture by demonstrating that,
27 for many directions, the strongest wave storms occurred at the beginning of the 1980s.
28 Moreover, it suggests that the wave climate (in terms of the number of coastal sections in a
29 particular year where the all-time highest wave set-up has been reached) has become clearly
30 milder now than it was in the 1980s. In essence, this controversy basically reflects the core
31 property of climate changes in the northern Baltic Sea and probably in many other areas in the
32 world: changes become more evident in the wind direction rather than in the wind strength.
33 This aspect of climate change is underrated today, although the related changes in the wave

1 propagation direction eventually have major consequences on the coastal processes (Räämet
2 et al., 2010; Charles et al., 2012a, 2012b). A more detailed analysis of various wave
3 phenomena may thus give some extremely interesting insight into this, still unidentified
4 feature of climate change.

5

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19

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1

2 **Figure captions**

3 Figure 1. Computational areas of the triple-nested wave model applied to the Tallinn Bay
4 area.

5 Figure 2. Selected nearshore grid cells of the wave model. The cells are numbered
6 sequentially starting from the westernmost point.

7 Figure 3. Maximum wave heights, higher quantiles and median wave height in the nearshore
8 of the study area in 1981–2012. Thin lines indicate the modelled wave heights and bold lines
9 show values for the breaking wave heights calculated from Eq. (4). Geographical locations
10 and the position of the coastal sections are indicated in Fig. 2.

11 Figure 4. Wave propagation directions corresponding to the highest waves that occurred in the
12 study area in 1981–2012. Differing from meteorology, wave modellers indicate the direction
13 in which waves propagate.

14 Figure 5. Four storms (marked with different colours) that caused the highest waves in the
15 study area in 1981–2012.

16 Figure 6. The angle between the normal to the coast and wave approach direction for the
17 highest waves in the study area in 1981–2012.

18 Fig. 7. Maximum wave heights, higher quantiles and median wave height for waves
19 approaching from the direction of maximally $\pm 45^\circ$ with respect to the normal to the coast.
20 Thin lines indicate the modelled wave heights and bold lines show values for the breaking
21 wave heights calculated from Eq. (4).

22 Fig. 8. Pattern of storms generating the highest waves approaching from the direction of $\pm 15^\circ$
23 with respect to the normal to the coast. The horizontal lines indicate storms that produced the
24 highest almost-normal waves at least in one coastal section. Each storm is marked with a
25 single colour. The colours vary cyclically.

26 Figure 9. Highest breaking waves (coloured lines) approaching from different ranges of
27 directions with respect to the coast normal in the study area. The bold blue line shows the all-
28 time highest waves approaching from any direction and the bold red line shows the all-time
29 highest almost incident waves ($\pm 10^\circ$ with respect to the coast normal). The light red bars

1 indicate the regions with gently sloping coast in which the maximum set-up likely exceeds
2 40 cm.

3 Fig. 10. Areas around Tallinn potentially affected by high wave set-up (red squares indicate
4 the maximum set-up) with the respective directions of wave propagation (arrows). Yellow
5 squares indicate coastal stretches where the maximum wave set-up is less than 20 cm, green
6 squares indicate areas where high set-up is evidently not possible because of the convex shape
7 of the coastline, grey squares indicate areas naturally protected by a cliff and blue squares
8 represent areas containing various engineering structures.

9 Fig. 11. Maximum wave set-up values and higher quantiles of set-up heights for the coastal
10 sections where high set-up is an issue.