

1 **Sediment transport on the inner shelf off Khao Lak**
2 **(Andaman Sea, Thailand) during the 2004 Indian Ocean**
3 **tsunami and former storm events: Evidence from**
4 **foraminiferal transfer functions**

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12
13 **Abstract**

14
15 We have investigated the benthic foraminiferal fauna from sediment event layers associated
16 with the 2004 Indian Ocean tsunami and former storms, that have been retrieved in short
17 sediment cores from offshore environments of the Andaman Sea, off Khao Lak, western
18 Thailand. Species composition and test preservation of the benthic foraminiferal faunas exhibit
19 pronounced changes across the studied sections and provide information on the depositional
20 history of the tsunami layer, particularly on the source water depth of the displaced
21 foraminiferal tests. In order to obtain accurate bathymetric information on sediment
22 provenance, we have mapped the distribution of modern faunas in non-tsunamigenic surface
23 sediments and created a calibration data set for the development of a transfer function. Our
24 quantitative reconstructions revealed that the re-suspension of sediment particles by the
25 tsunami wave was restricted to a maximum water depth of approximately 20 m. Similar values
26 were obtained for former storm events, thus impeding an easy distinction of different high-
27 energy events.

1

2 **1 Introduction**

3

4 The devastating tsunami of December 26th 2004 that originated from a 9.3 magnitude
5 submarine earthquake off the northwest coast of the Indonesian Island Sumatra (Stein and
6 Okal, 2005) (Fig. 1) had severe impacts on the coastlines of Southeast Asia (Bell et al., 2005;
7 Tsuji et al., 2006). The highly energetic tsunami wave resulted in major coastal changes and
8 is documented in on- and offshore erosion phenomena and deposits. Detailed topographic,
9 sedimentological and geochemical investigations documented the complex nature of erosion
10 and deposition processes of the tsunami wave and its backflow along the western coast of
11 Thailand (Choowong et al., 2007; Fagherazzi and Xizhen, 2007; Hawkes et al., 2007; Jankaew
12 et al., 2008; Mard Karlsson et al., 2009; Feldens et al., 2009; Sakuna et al., 2012). However,
13 little is known on the exact provenance and transport dynamics of sediment particles in
14 tsunamigenic offshore deposits.

15

16 Among other microfossils, benthic foraminifers are frequently found in tsunami deposits,
17 providing information on the provenance of the sediment components (review by Mamo et al.
18 (2009). Size distribution, shape and preservation of foraminiferal tests document the
19 hydrodynamics of the tsunami wave but are also influenced by rapid post-depositional
20 taphonomic processes (Yawsangratt et al., 2011). The occurrence of certain marine taxa in
21 onshore tsunamites allowed for an assessment of the water depth range from which sediment
22 particles have been re-suspended and incorporated in the tsunami wave (e.g., Nanayama and
23 Shigeno, 2006; Uchida et al., 2010). Typically, the tsunamite assemblages contain open shelf
24 taxa, contrasting with lower diverse brackish faunas in under- and overlaying marsh or other
25 terrestrial sediments (Uchida et al., 2010). However, the published water depth estimates vary
26 significantly, ranging from 30 m or shallower for a historical tsunami of Southeast India
27 (Satyanarayana et al., 2007), up to 90 m for the 1992 tsunami of Hokkaido (Nanayama and
28 Shigeno, 2006), and between 50 to 300 m for various tsunamites in Japan and Southeast Asia

1 (Uchida et al., 2010). This compilation demonstrates, that even tests from outer shelf to upper
2 bathyal taxa have been documented in tsunamites (Dominey-Howes et al., 1998; Uchida et
3 al., 2010). Sugawara et al. (2009) studied the foraminiferal content of offshore deposits of the
4 Southwest coast of Thailand related to the 2004 Indian Ocean tsunami on 4 stations from 4.5
5 m – 20.5 m water depth. The studied faunas reveal a significant backwash component but lack
6 evidence for transport of particles from deeper to shallower water depth which might result
7 from their sampling strategy. To date, all of these depth estimates were based on qualitative
8 information of bathymetric species ranges or hydrodynamic estimation of the tsunami wave
9 and therefore remain relatively inaccurate.

10

11 In tropical and subtropical regions, the distribution of benthic shelf foraminifers depends on
12 various factors, such as food availability and quality, substrate-type, bottom current velocity,
13 temperature and salinity, vegetation and light penetration (e.g, Szarek et al., 2006; Murray,
14 2006; Parker and Gischler, 2011). The specific environmental setting is commonly reflected in
15 a distinct depth zonation of shelf species. Of particular relevance are symbiont-bearing larger
16 foraminifers that are adapted to limited depth intervals within the photic zone, depending on
17 the specific light requirements of the algal symbiont and other habitat variables such as
18 microscale environmental gradients within the substrate (Hohenegger et al., 1999; Beavington-
19 Penney and Racey, 2004; Renema, 2006a, b). Different quantitative methods have been
20 developed for water depth estimates, e.g. based on the ratio between benthic and planktonic
21 foraminifers (Van der Zwaan et al., 1990), and the distribution patterns of certain faunas and
22 benthic indicator taxa (Horton et al., 1999; Hohenegger, 2005; Milker et al., 2009). These
23 methods have been successfully applied to paleobathymetric reconstructions in various
24 sedimentary environments (e.g., Nelson et al., 2008; Rossi and Horton, 2009; Hawkes et al.,
25 2010; Milker et al., 2011) and also bear a high potential for quantitative provenance studies of
26 tsunami deposits.

27

1 The overall target of the present study is to generate quantitative data on the provenance of
2 foraminiferal tests in high-energy event deposits from the inner shelf off Khao Lak, comprising
3 layers of the 2004 tsunami and former storm events. For this, benthic foraminifers have been
4 quantitatively analyzed from surface sediment samples and from two sediments cores
5 retrieved from selected depositional systems of the inner shelf of the study area. The recent
6 data set was used to establish a transfer function for water depth that was then applied to the
7 fossil faunas. Our data will be particularly useful for sedimentological and modeling studies on
8 hydrodynamics, wavelength and amplitude of tsunami waves. They will also contribute to the
9 toolbox for distinguishing between storm and tsunami deposits.

10

11 **2 Study area**

12

13 The study area is situated 25 km off the Khao Lak region (Thailand) near Pakarang Cape
14 (Andaman Sea) and covers an area of ~1000 km² (Table 1, Fig. 1). The Andaman Sea is a
15 marginal sea, separated from the Indian Ocean by the Nicobar and Andaman Islands. It is
16 characterized by a relatively broad shelf region up to 200 km wide in the north, and a narrower
17 shelf region in the south (Saidova, 2008). The absence of major riverine influence results in
18 sedimentation of mixed siliciclastic-carbonate sediments, in many places dominated by coral-
19 algal sand (Saidova, 2008; Feldens et al., 2009). The region is influenced by the monsoonal
20 system with north-easterly winds during winter south-westerly winds during summer. The
21 monsoon system also accounts for the hydrologic properties of surface water masses. The
22 summer monsoon results in stronger waves, influencing the coastal dynamics (Scheffers et
23 al., 2012). In the southern Andaman Sea, the yearly range of surface water temperatures is
24 26-29 °C, surface salinities range between 31.5 and 33 psu (Levitus and Boyer, 1994; Varkey
25 et al., 1996). The Andaman Sea is influenced by micro- to mesotidal semidiurnal tides with a
26 tidal range from 1.1 to 3.6 m (Thampanya et al., 2006). This coastal region of the Andaman
27 Sea is relatively unaffected by strong tropical cyclones. Typhoons can occur in the study area
28 but their frequency is low (Sathish Kumar et al., 2008; Phantuwongraj and Choowong, 2012).

1 The absence of larger riverine discharge (Feldens et al., 2009) as well as the absence of large
2 storm events between the deposition of the 2004 tsunami deposits and the sampling
3 campaigns from 2007 - 2010 (Regional Specialized Meteorological Centre (RMSC), New
4 Delhi), allows for the investigation of depositional processes prior, during and after the tsunami
5 event in 2004.

6

7 **3 Material and methods**

8 **3.1 Surface sediment samples and sediment cores**

9

10 For this study, a total of 27 surface samples and two sediment cores, taken from the
11 investigation area during three research cruises (Nov.-Dec. 2007, Nov.-Dec. 2008, Feb.-March
12 2010) were investigated (Fig. 1, Table 1). A total of 25 surface samples were collected with a
13 grab sampler from water depth ranging from 10.3 to 63.4 m. The sites were selected based on
14 detailed sea-floor mapping (Feldens et al., 2009, 2012) with high resolution hydroacoustic
15 systems (side-scan sonar, shallow seismic systems, multibeam echosounder) and an
16 underwater video camera in order to cover a maximum variety of substrates and to get a clear
17 picture of sediment distribution patterns and morphological features. The two sediment cores
18 were recovered with a Rumohr gravity corer at 9.5 m water depth (core 030310-C3, length 97
19 cm) and 15.5 m water depth (core 050310-C4, length 56 cm) (Fig. 1; Table 1).

20 According to different lithological units of the cores, nine samples of 1 cm thickness were
21 investigated from core 030310-C3 and eight samples from core 050310-C4 (for sampling
22 position see Fig. 2). Six samples of core 030310-C3 and five samples of core 050310-C4 were
23 taken from layers characterized by coarser-grained units. Three samples from core 030310-
24 C3 and four samples from core 050310-C4 were taken from finer-grained layers deposited
25 under normal background sedimentation. Two surface samples were taken onshore to
26 investigate specimens re-deposited during the tsunami 2004.

27

1 3.2 Lithology and structure of sediments and sediment cores

2

3 The surface sediments are composed of a mixture of siliciclastic and carbonate particles of
4 varying proportions and grain sizes. The biogenic components predominantly comprise coral
5 and algal fragments, mollusc shells, echinoid fragments and benthic foraminifers. Differences
6 are also characterized by the sand content ($>63\ \mu\text{m}$) ranging from approximately 6 and to
7 almost 100 %. Most samples exhibit high sand contents exceeding 90 %. Local exceptions are
8 restricted to several shallow mud-dominated sites (6-32 % sand) and the deepest sites (67-68
9 % sand).

10

11 The sediment cores were subdivided into different lithological units based on grain-size
12 distributions and sedimentary structures, identified by visual inspection and/ or by X-
13 radiography images of the cores (Fig. 2).

14 Core 030310-C3 has been subdivided into six units (Fig. 2). Unit 6 consists of medium to
15 coarse silt, with well-sorted medium silt in its lower part and finely laminated medium to coarse
16 silt in its upper part. The contact to unit 5 is sharp and erosional. Unit 5 is mainly composed of
17 very fine sand and silt. At its base a sandy clast, two cm in diameter, was found. The lower
18 part of unit 5 consists of coarse silt followed by a subunit with medium silt showing some
19 laminations, and a subunit of coarse silt. The upper part of unit 5 consists of laminated silt,
20 showing a fining upward and contains some mm-thick layers of coarse silt to very fine sand.

21 Unit 4 is composed of a poorly sorted muddy sand layer and unit 3 consists of finely laminated
22 mud. Unit 2 is composed of laminated clayey silt and contains two sand layers with laterites
23 and shell fragments. The lower part of unit 2 consists of laminated silt to fine sand and the
24 upper part is composed of slightly inclined laminated silt. The uppermost unit 1 consists of
25 poorly sorted silt with a finely laminated silt subunit in the lower part and a medium silt subunit
26 in the upper part.

27 Sediment core 050310-C4 has been subdivided into five units (Fig. 2). Unit 5 is composed of
28 very poorly sorted coarse silt to fine sand and contains some shells. Unit 4 consists of a layer

1 of coarse sand containing shell fragments. Unit 3 is composed of poorly sorted silt with
2 medium-coarse silt in the lower part and medium silt in the upper part. The lower part of unit 2
3 is composed of muddy sand, while the upper part is an admixture of poorly to very poorly
4 sorted silt, sand, gravels and shell fragments, clasts of sapolite, quartz and laterites. Unit 1, in
5 the uppermost part of the core, consists of a laminated medium silt layer in the lowermost part
6 followed by a subunit consisting of an admixture of poorly to very poorly sorted silt, sand, and
7 shell fragments.

8 **3.3 Foraminiferal and environmental data**

9

10 Both, the surface and core samples were wet-sieved with a 63 μm -sieve. The fraction $>63 \mu\text{m}$
11 was dried at 40°C for later foraminiferal analysis. The modern and fossil faunas were
12 investigated from the $>125 \mu\text{m}$ fraction using representative splits containing approximately
13 300 benthic foraminiferal specimens. The identification of the foraminifera on the species level
14 was mainly based on the studies of Hottinger et al. (1993), Jones (1994) and Hohenegger et
15 al. (1999). Rare species or specimens that could not be identified on the species level were
16 grouped into their genus or family. Potential re-located benthic foraminifera (broken tests, tests
17 fragments, and tests with yellowish-brown coloration) were counted separately.

18

19 In order to extract the dominant modern and fossil benthic foraminiferal assemblages, a
20 Principal Component Analysis (PCA) in Q-Mode and with Varimax rotation was carried out on
21 the surface samples. A total of 53 modern foraminiferal taxa having relative minimum
22 abundances of 0.5% on the total fauna and being present in at least 3 samples were included
23 into analysis. All potentially re-located specimens were excluded. The number of principal
24 components (PCs) was selected based on eigenvalues >1 . PC loadings ≥ 0.4 for each axis
25 were defined as significant (Malmgren and Haq, 1982; Backhaus et al., 2006).

26

1 In order to examine the relationship between the modern species in the data set of the surface
2 samples and environmental parameters, a Redundancy Analysis (RDA) was carried out using
3 Canoco (version 4.5) (Ter Braak and Smilauer, 2002; Leps and Smilauer, 2003). We selected
4 the water depth and the percentages of silt and clay (<63 μm), fine sand (63-125 μm) and
5 coarser-grained sediment (>125 μm) as environmental parameters. We used a reduced
6 surface data set with 23 species having percentages exceeding 5% in the total assemblage.
7 Species counts were square-root transformed and environmental parameters were
8 standardized before analysis. Partial RDAs were calculated to evaluate the individual influence
9 of the environmental parameters on the foraminiferal assemblages.

10 **3.4 Development of foraminiferal-based transfer functions**

11

12 We applied the Detrended Canonical Correspondence Analysis (DCCA) on the same reduced
13 modern data set used for RDA (containing 23 species with percentages higher than 5%) on
14 the total fauna to analyze whether the species show a linear or unimodal distribution in relation
15 to water depth (Birks, 1998, 1995). Birks (1995) suggests the use of linear regression methods
16 for DCCA gradient lengths below 2 SD units, and unimodal methods for gradient lengths larger
17 than 2 SD units. For this approach, we used the CANOCO software package (version 4.5)
18 (Leps and Smilauer, 2003; Ter Braak and Smilauer, 2002).

19

20 For the development of the transfer function, the modern (training) data set was reduced to
21 benthic foraminifera, identified on the species level, and with relative abundances of >0.5% on
22 the total dead assemblages. The fossil data sets were reduced to benthic species present in
23 the surface data set. Potential re-located specimens were removed from the training data set.
24 The final modern data set consisted of 25 surface samples with a total of 49 dead species,
25 ranging from 10.3 – 63.4 m water depth. In the fossil data sets, a total of 37 species from core
26 030310-C3 and 38 species from core 050310-C4 were used for reconstruction.

27

1 The Modern Analog Technique (MAT) was applied to test whether the fossil samples provide
2 good analogues for the modern samples by calculating dissimilarity coefficients (MinDC
3 (minimum distance to closest analogue) dissimilarity coefficients) (Birks, 1995). We selected
4 the square chord distance as the dissimilarity coefficient (Overpeck et al., 1985) and the seven
5 most similar modern samples. Coefficients lower than the 10th percentile have been defined
6 as good analogs, coefficient between the 10th and 20th percentile have been considered as fair
7 analogs and coefficients larger than the 20th percentile as poor analogs (Horton and Edwards,
8 2006; Birks, 1995; Kemp et al., 2009). For all calculations we used the C² software package
9 (version 1.7.2) (Juggins, 2003).

10

11 We tested three methods for the paleo-water depth estimates in the sediment cores: the Partial
12 Least Squares (PLS) method that is based on a linear species-environment relationship, the
13 Weighted Averaging (WA) that is based on a unimodal species-environment relationship and
14 finally the combination of both methods, the WA-PLS method. The latter is presented here.
15 The WA-PLS method creates new components from a data set by maximizing the covariance
16 between the scores of the independent variable (water depth) and the dependent variables
17 (species abundances) (Birks, 1998; Ter Braak and Juggins, 1993).

18 In order to obtain a normal distribution, species counts were square-root transformed. In order
19 to evaluate the performance of the transfer functions, we used the apparent coefficient of
20 determination (R^2), allowing for an evaluation of the strength of the linear relationship between
21 the observed and estimated water depths in the surface data set. In order to calculate the
22 coefficient of determination of prediction (R^2_{jack}) and the root mean squared error of prediction
23 (RMSEP) in the surface data-set, we used the “Jack knifing” (leave one out) cross-validation
24 technique (Horton and Edwards, 2006; Ter Braak and Juggins, 1993). Bootstrapping cross
25 validation (1000 cycles) was selected to evaluate the sample-specific errors of prediction in
26 the fossil data sets (Birks et al., 1990; Horton and Edwards, 2006). The number of components
27 for WA-PLS was selected according to the lowest RMSEP values if the reduction in prediction
28 error exceeds 5% for this component compared to the next lower component (Ter Braak and

1 Juggins, 1993). All calculations were performed with the C²-Software, version 1.7.2 (Juggins,
2 2003).

3

4 **4 Results**

5 **4.1 Distribution of foraminifera in the surface sediments**

6

7 In the surface sediments, we identified a total of 59 different species. The shallow sites contain
8 a significant amount of larger foraminifera with higher percentages of *Amphistegina radiata*,
9 *Pararotalia stellata*, *Dentritina ambigua*, *Operculina ammonoides*, *Operculina complanata*,
10 *Amphistegina lessonii*, *Amphistegina* sp.1 and *Neorotalia calcar* (Fig. 3, Appendix A). Further
11 dominant taxa include *Siphonaperta* sp.2 (with a maximum of 32.1%), *Quinqueloculina* sp.1
12 (14.7%), *Discorbinella bertheloti* (19.3%), *Neoeponides praectincus* (16.8%), *Saidovina*
13 *subangularis* (14.1%), *Cibicidoides pseudoungerianus* (17.2%) and *Quinqueloculina seminula*
14 (9.1%). Most of these taxa show a distinct bathymetric zonation with species occurring with
15 higher numbers at the shallower stations such as *P. stellata*, *Peneroplis pertusus*, *A. lessonii*,
16 *S. elliptica*, *D. ambigua* and *N. calcar*, at stations with intermediate water depths such as *A.*
17 *radiata*, *O. ammonoides* and *N. praecinctus* and at stations with higher water depths such as
18 *S. subangularis* and *C. pseudoungerianus* (Fig. 3).

19 We observed relatively high amounts of potentially re-deposited specimens with maximum
20 percentages of 24% (mean of 10.5%) on the total assemblages at the shallower sites from
21 approximately 10 to 30 m water depth, while the deeper sites contained lower amounts (mean
22 of 3 %).

23

24 The re-located specimens in the surface samples taken onshore contain high percentages of
25 taxa commonly occurring at shallow water depths in the study area, including *N. calcar*
26 (24.6%), *P. stellata* (23.3), *A. radiata* (16.4%), *Siphonaperta* sp.2 (9.6%), *A. lessonii* (8.6%),
27 *B. schlumbergeri* (8.2%) and *O. ammonoides* (5.0%). We also found elevated percentages of

1 *N. praectinctus* (17.8%), *Ammonia tepida* (6.8%) and *Elphidium craticulatum* (5.0%) in these
2 samples.

3
4 The PCA explains 89.1 % of the total variance in the surface data set for the first six principle
5 components (PCs) (Table 2). The stations deeper than ~45 m water depth are dominated by
6 a *Neoeponides praectinctus* - *Operculina complanata* assemblage (PC2) with *S. subangularis*,
7 *C. pseudoungerianus* and *D. bertheloti* as associated species (Fig. 4, Table 2). This
8 assemblage explains 10% of the total variance. The stations at intermediate water depths
9 (between 16-34 m) are characterized by the *Amphistegina radiata* assemblage, containing *O.*
10 *ammonoides* and *N. praecinctus* as associated taxa (PC1) (Fig. 4, Table 2). This PC explains
11 14.3 % of the total variance. The shallower stations, between 13-26 m water depth in the
12 northern and middle part of the study area, are dominated by the *Siphonaperta* sp.2
13 assemblage (PC3), with *A. radiata*, *Quinqueloculina* sp.1 and *O. ammonoides* as associated
14 species (Fig. 4, Table 2). This PC explains 38.5% of the total variance. The shallowest stations
15 in the study area, with water depths between 10 and 15 m, are characterized by three
16 assemblages, each of them explaining almost 9% of the total variance in the data set (Fig. 4,
17 Table 2). The *Discorbinella bertheloti* assemblage (PC4) appears in the southern part of the
18 study area including *Rosalina* spp., *Q. seminula*, *T. oblonga* and *A. tepida* as associated taxa.
19 The *Operculina ammonoides* - *Dentritina ambigua* assemblage (PC5) occurs in the northern
20 part of the study area and contains *A. lessonii* as most important associated species. Finally,
21 the *Pararotalia stellata* assemblage (PC6) occurs in the southernmost part of the study area
22 (Fig. 4). Associated species of this assemblage are *A. radiata* and *B. schlumbergeri*.

23
24 The results of the Redundancy analysis (RDA) applied on the surface samples show that the
25 water depth, explaining a total of 22% of the variance ($p > 0.001$) in the data set, is the most
26 important environmental parameter, followed by the proportion of silt and clay (pelite) (20.5%,
27 $p < 0.002$), fine sand (19.5%, $p < 0.002$) and the coarser fraction (17.0%, $p < 0.001$) (Table 3).
28 Water depth, pelite and fine sand, are positively correlated to the first RDA axis while the

1 content of coarser-grained sediment is negatively correlated to the first RDA axis (Fig. 5, Table
2 3). This axis explains a total of 29.6% of the total variance in the data set (Table 3). Species
3 of the PC2-assemblage, such as *S. subangularis*, *C. pseudoungerianus*, *Anomalinoides*
4 *colligerus*, *N. preactintus*, and *O. complanata*, show a clear correlation with increasing water
5 depths while other species, such as *B.schlumbergeri* and *N. calcar*, exhibit an association with
6 lower water depths (Figs. 4, 5). The species of the PC4-assemblage, such as *D. bertheloti*, *T.*
7 *oblonga*, *Q. seminula* and *A. tepida*, show a correlation to finer-grained substrate. In contrast,
8 *O. ammonides*, *Siphonaperta* sp.1 and *Siphonaperta* sp.2 show a close relation to coarser-
9 grained material. The species of the PC5-assemblage (*A. lessonii*, *D. ambigua* and
10 *Siphonaperta* sp.3) together with *A. radiata* and *Quinqueloculina* sp.1 exhibit a relation both to
11 shallow water and to coarser-grained material (Figs. 4, 5).

12 **4.2 Distribution of fossil foraminifera in the sediment cores**

13
14 Sediment core 030310-C3 contains a total of 48 fossil species, each with percentages larger
15 than 1% on the total assemblages. The most abundant species in this core are *Amphistegina*
16 *radiata* (with a maximum relative abundance of 21.4%), *Siphonaperta* sp.2 (19.4%),
17 *Discorbinella bertheloti* (17.8%) and *Parrellina hispidula* (11.9%) (Fig. 6A, Appendix A). In
18 addition, species with percentages between 5 and 10% include (in descending order)
19 *Quinqueloculina* sp.1, *Elphidium craticulatum*, *Quinqueloculina seminula* and *Borelis*
20 *schlumbergeri* (Fig. 6A). Core 030310-C3 contains a very high content of re-deposited tests
21 with a mean value of 76%. A maximum with 95% re-deposited specimens was found in the
22 lowermost part of the core at 86.5 cm and minimum values with 43-48% re-deposited
23 specimens were observed in the upper part of the core at 5.5 and 33.5 cm (Fig. 8).

24
25 Sediment core 050310-C4 contains a total of 33 fossil species, each with percentages larger
26 than 1% on the total assemblages. The most dominant species in this core are *D. bertheloti*
27 (with a maximum relative abundance of 21.2%) and *Siphonaperta* sp.2 (13.2%) (Fig. 6B,

1 Appendix A). Further species with relative abundances between 5 and 10 % are (in descending
2 order) *Amphistegina* sp.1, *A. radiata*, *Quinqueloculina* sp.1, *Quinqueloculina seminula*,
3 *Spiroloculina communis* and *Elphidium craticulatum* (Fig. 6B). The content of potentially re-
4 located specimens in core 050310-C4 is lower than that observed in core 030310-C3, with a
5 mean value of 48% (Fig. 8).

6 **4.3 Quantitative paleo-water depth reconstructions**

7
8 The short gradient length of 1.74 standard deviations (SD) for the first axis of the DCCA implies
9 a more linear distribution of the species in relation to water depth (Table 4). This can be
10 explained by the limited water depth range (approximately 10 to 63 m) included in this study,
11 masking the common unimodal bathymetric species distributions. Although the Weighted
12 Averaging-Partial Least Square (WA-PLS) method theoretically works better for gradient
13 lengths of 2 and higher (Birks, 1998; Ter Braak et al., 1993), this method provided the best
14 prediction potential from all methods applied. Moreover, this method can detect the influence
15 of additional parameters such as substrate (Birks, 1998; Horton and Edwards, 2006).

16
17 The transfer function created by the WA-PLS method reveals a significant linear correlation
18 ($R^2=0.97$ and cross-validated $R^2_{jack}=0.92$) between the observed and the estimated water
19 depths in the surface data set for the selected second component (Table 5, Fig. 7). The
20 residuals in the surface data set range between -5.06 m and 2.71 m with a mean of 2.25 m
21 (Fig. 8). The apparent and jack-knifed error of prediction for this component is +/-2.45 m and
22 +/-4.09 m, respectively, showing a relatively good predictive potential of the transfer function
23 (Table 5).

24
25 To test the robustness of the transfer function, the application of the MAT method showed, that
26 in core 030310-C3, one good analogue, four fair analogues, but also four poor analogues with
27 MinDC values above the 20th percentile were found (Fig. 8). In core 050310-C4, two samples

1 have good analogues with MinDC values below the 10th percentile and the remaining six core
2 samples have fair analogues with values between the 10th and 20th percentile (Fig. 8).

3

4 The paleowater depths estimated with the transfer function range from 10.36 (+/-2.54) m to
5 18.27 (+/-1.51) m for core 030310-C3 and from 14.40 (+/- 1.91) m to 17.80 (+/- 2.00) m for
6 core 050310-C4 (Fig. 8). The mean sample specific error, calculated by bootstrapping, is 1.88
7 m for core 030310-C3 and 1.59 m for core 050310-C4.

8

9 **5 Discussion**

10 **5.1 Ecology of benthic foraminifera in the study area**

11

12 Our results imply that both water depth and substrate act as relevant factors on the distribution
13 of benthic foraminifers in the study area, explaining a large part of the observed faunal
14 variability (Fig. 5). Similar relations have been reported from comparable environments of the
15 lower photic zone on a mixed siliciclastic-carbonate inner shelf (e.g, Renema, 2006a). Many
16 species and the majority of the identified faunas exhibit a distinct bathymetric zonation (Figs.
17 3, 4). All faunas except of PC 4 (*D. bertheloti* fauna) contain symbiont-bearing larger benthic
18 foraminifers as dominant or associated components. The relative bathymetric zonation and
19 habitats of larger foraminifers off Khao Lak are comparable to other areas of the Indo-Pacific
20 realm and, thus, are likely controlled by various physical and biological factors, most of which
21 are related to the requirements of the species-specific symbiotic algae (Hallock, 1981;
22 Hohenegger et al., 1999; Beavington-Penney and Racey, 2004; Renema, 2006b). The main
23 factors include water temperature, light penetration, nutrient concentration, food availability
24 and transport, energy at the benthic boundary layer and substrate type and grain size.

25

26 In the shallowest environments of the study area, between approximately 10 and 15 m water
27 depth, three distinctive faunas are observed: The larger foraminifers *O. ammonoides*, *D.*

1 *ambigua* and *A. lessonii*, that dominate PC5 in the shallow northern parts of the study area
2 (Fig. 4), are typically associated with strong to medium light intensity, moderate to low water
3 energy, and sands or rubble with sand on the reef flat (Hohenegger et al., 1999; Renema,
4 2006b). The distribution maximum of *A. lessonii* commonly occurs between 10 and 20 m water
5 depth (Renema, 2006b), which is consistent with its occurrence in our study area (Fig. 3). In
6 contrast, *O. ammonoides* has been reported from a wider depth interval, including distribution
7 maxima between 10 and 30 m (Renema, 2006a), in other areas between 40 and 60 m
8 (Hohenegger, 2004). Further to the south, this fauna is replaced by PC4, dominated by the
9 non-symbiont-bearing *D. bertheloti* and *Rosalina* spp. (Figs. 3, 4). The cosmopolitan species
10 *D. bertheloti* inhabits various shelf and deep-sea environments and has a clear preference for
11 fine-grained substrates (Milker et al., 2009) where it likely profits from specific biogeochemical
12 conditions and the availability of sufficient food particles on and below the sediment surface.
13 In the study area, this fauna is confined to depressions in the reef flat that operate as sediment
14 traps for muddy sediments (Feldens et al., 2012). To the south, this fauna is replaced by the
15 *Pararotalia stellata* fauna. Little is known on the ecology of this species but it seems to be
16 associated with sandy substrates and has been reported as typical inner shelf taxon in the
17 study area (Hawkes et al., 2007; Yawsangratt et al., 2011).

18 The environments at intermediate water depths are dominated by the *Siphonaperta* sp.2 fauna
19 between 15 and 25 m, and the *Amphistegina radiata* fauna between 20 and 30 m water depth
20 (Fig. 3, 4). The larger foraminifer *A. radiata* is a characteristic taxon in both faunas and prefers
21 firm substrates with maximum abundances between 20 and 40 m water depth, where it is
22 adapted to variable light intensities and moderate to low water energy (Hohenegger et al.,
23 1999; Hohenegger, 2004). This species commonly avoids the reef flat but is typical for rubble
24 and macroalgal environments at the reef slope (Renema, 2006a). A similar adaptation can be
25 also inferred for the miliolid *Siphonaperta* sp.2.

26 The deepest environments of the study area, below 30 m water depth are characterized by *N.*
27 *praecinctus* and *O. complanata*. The latter species typically replaces *O. ammonoides* at deeper
28 sites and has been reported from sandy substrates between 30 and 90 m (in some areas down

1 to 150 m) water depth, where it is likely adapted to low light intensities and low water energy
2 (Hohenegger, 2004; Renema, 2006b).

3

4 **5.2 Quantitative reconstruction of re-deposition processes during the 2004** 5 **Indian Ocean tsunami**

6

7 Our results demonstrate significant re-deposition of sediment particles in the offshore
8 sediments during the 2004 Indian Ocean Tsunami, including site-specific uprush and back-
9 wash processes (Fig. 8). Our results are in general agreement with previous observations
10 documenting the severe impacts of the tsunami event on coastal and shallow-water
11 environments from the study area and adjacent regions (Bell et al., 2005; Tsuji et al., 2006;
12 Hawkes et al., 2007). The tsunami-induced run-up at Pakarang Cape reached more than 15
13 m in height and resulted in the deposition of a few cm thick ~~and~~ sand-rich tsunamite layer
14 (Szcucinski et al., 2005; Choowong et al., 2007; Hori et al., 2007, Jankaew et al., 2008, Brill
15 et al., 2012). Goto et al. (2007) estimated that about 12,500 m² of Pakarang Cape were eroded
16 by the 2004 Indian tsunami and a high amount of boulders were transported from offshore into
17 the intertidal zone during the uprush. Hawkes et al. (2007) have shown that the shoreline of
18 Thailand and Malaysia was influenced by up to three waves and a run-up of up to 2 km to the
19 inland. Lay et al. (2005) reported inundation heights of up to 13 m for Sumatra, Thailand and
20 Sri Lanka. Sakuna et al. (2012) identified event layers of 20-25 cm in thickness in offshore
21 sediments of the study area.

22 Based on the sedimentological observations and preliminary age dating, the 2004 tsunami
23 deposits are 18 cm thick in core 030310-C3 (lithological unit 2) and 13 cm thick in core 050310-
24 C4 (lithological unit 2) (Figs. 2, 8). We further detected event layers, interpreted as storm
25 layers, in the lower parts of both cores pre-dating the tsunami event. Core 030310-C3 contains
26 three event layers with one thicker layer in lithological unit 4 and two thinner layers in

1 lithological unit 5. Core 050310-C4 contains one relatively thin event layer (lithological unit 4)
2 (Figs. 2, 8).

3 The paleo-water depth estimates for core 030310-C3 indicate a sediment transport from
4 deeper waters to the core location, similar for both the storm layers and the tsunamite (Fig. 8).

5 The paleo-water depths estimated in the storm layers are 15.32 +/- 1.54 m for the storm layer
6 in lithological unit 4, and 17.94 +/- 1.42 m and 18.27 +/-1.50 m for the lower and upper layer in
7 lithological unit 5, respectively. In the tsunami layer, we estimated paleowater depths between
8 13.16 +/- 1.90 m and 18.23 +/-1.68 m. Based on the modern water depth of 9.5 m at this site
9 our results demonstrate a net transport from deeper to shallower environments but also limit
10 the reworking and re-suspension of particles to a maximum water depth of approximately 20
11 m. Based on the regional seafloor topography (Fig. 1), particles have been transported over
12 approximately 5 km distance. The reconstructed maximum water depth of 20 m is lower when
13 compared to most previous reconstructions based on foraminifers that inferred re-suspension
14 depths of 45-300 m (Dominey-Howes et al., 1998; Nanayama and Sigeno, 2006; Uchida et al.,
15 2010). Obviously, the energies and depth impacts of tsunami waves can vary significantly,
16 based on the distance to the source area and the specific coastal morphology (Rabinovich et
17 al., 2011). On the other hand, the majority of existing reconstructions are simply based on
18 general assumptions and observations of benthic foraminiferal distribution ranges, lacking
19 regional reference data sets and a robust statistical assessment. As a consequence, at least
20 some of the reported maximum water depths could be overestimated since many species from
21 middle and deeper shelf environments can also inhabit inner shelf ecosystems, depending on
22 the local presence of suitable (fine-grained) substrates and related microhabitats (Milker et al.,
23 2009; Mojtahid et al., 2010; Goineau et al., 2011).

24 Within the tsunami layer of core 030310-C3, changes to deeper paleowater depths can be
25 observed, likely representing changes in water energy and/ or an admixture of uprush and
26 backwash events during successive tsunami waves (Fig. 8). The estimated deeper water
27 depths in co-occurrence with a sand layer in the upper part of the tsunamite (around 30 cm)
28 may represent a subsequent uprush event, e.i. during a next tsunami wave reached the coastal

1 area around Cape Pakarang. Our interpretation is illustrated by elevated percentages of *A.*
2 *radiata*, especially during this uprush event. At present, this species preferentially occurs
3 between 10 and 45 m water depth, and reaches its optimum of 35% at around 25 m water
4 depth (Figs. 3, 8). On the other side, the re-deposited larger foraminifer *Peneroplis pertusus* is
5 absent in this part of the core, while it occurs in the lower part of lithological unit 2. In the study
6 area, *P. pertusus* is very rare but characteristic of the shallow sites, which is in accordance
7 with reported occurrences on the reef flat, peaking at 10 m water depth and lacking a clear
8 substrate preference (Hohenegger et al. 1999; Hohenegger, 2004). Similarly, Hawkes et al.
9 (2007) concluded from microfossil distributions in the Pulau Penang region of northern
10 Malaysia that uprush events related to the 2004 tsunami are indicated by specimens derived
11 from the inner shelf while backwash layers contain more specimens recently found in
12 mangrove sediments. Furthermore, Nanayama and Shigeno (2006) observed higher
13 percentages of re-deposited benthic foraminifera from water depths shallower than 45 m in
14 uprush deposits of the 1993 Hokkaido tsunami.

15 Our paleo-water depth estimates in core 030310-C3, retrieved from 15.3 m water depth, reveal
16 a provenance of particles in the tsunami layer from approximately 13 to 18 m water depth (Fig.
17 8). This result is in accordance with existing studies demonstrating that within 8 km distance
18 to the shoreline, the occurrence of the 2004 tsunami layer was restricted to a maximum water
19 depth of 9 to 18 m (Feldens et al., 2012; Sakuna et al., 2012). These studies also attributed
20 different lithologies and structures within the tsunamite to various phases of the tsunami event,
21 e.i. marine sand layers were related to uprush and intercalated muddy intervals to backwash
22 phases.

23

24 Our paleo-water depth reconstructions of core 050310-C4 show that the particles within the
25 tsunamite were derived from slightly deeper water depths of 16.94 +/- 1.56 m (Fig. 8).
26 However, our reconstructions show a higher variability for this core, hampering a
27 straightforward reconstruction of re-deposition processes from the paleo-water depth
28 estimates alone. The sediments found in lithological unit 2, interpreted as the 2004 tsunami

1 layer, contain terrestrial particles such as laterites, which indicates that this layer contains a
2 mixture of particles derived from both uprush and backwash processes, inhibiting a clear
3 distinction of the different phases of the tsunami event. The relatively high content of re-
4 deposited *A. radiata* in the middle part of the tsunami layer indicates sediment re-deposition
5 from water depths similar to that in core 030310-C3 (Fig. 8). The different percentages of re-
6 deposited specimens of *A. radiata* in the two cores can be attributed to the different water
7 depth of the core sites, representing different distances between source and deposition areas
8 of the transported particles. This interpretation, however, is biased by the background re-
9 deposition processes, that influence sedimentation of inner shelf environments. The presence
10 of bottom currents and wave action accounts for the overall significant percentages of
11 relocated benthic foraminiferal tests in the recovered sediments of the study area (Fig. 8).

12 Our data demonstrate that is not possible to distinguish tsunami deposits from background
13 sedimentation based on the relative proportion of re-worked particles. Instead, additional
14 information on species composition is required for proper identification of high-energy events.
15 *Amphistegina lessonii*, a species found at shallower water depths in the surface samples (Figs.
16 3, 4), exhibits relative high percentages in the uppermost part of the event layer and probably
17 reflects the backwash situation after the last tsunami wave as also inferred from the paleo-
18 water depth reconstructions with 14.72 +/- 1.75 m and by the occurrence of with sand mixed
19 shell fragments in this core part (Figs. 3, 8).

20 Based on preliminary datings, a storm layer has been identified in the lower part of the core
21 (unit 4). This layer is characterized by sandy sediment, whose high content of coarse particles
22 contrasts with the adjacent sediments (Fig. 2). Our paleo-water depth reconstruction indicate
23 a sediment transport from slightly shallower to deeper water (14.04 +/- 1.78 m). This result
24 contrasts with observations from other areas, where sediment particles during comparable
25 storm surges were preferentially transported from deeper to shallower water depths and where
26 no backflow was observed (Nanayama et al., 2000).

27

6 Conclusions

For the first time, a transfer function for water depth reconstruction was developed on benthic foraminifers and applied to the reconstruction of re-deposition processes and dynamics associated with the 2004 Indian Ocean tsunami. From our results we can extract the following conclusions:

- The distribution of recent benthic foraminifera on the inner shelf of the southeastern Andaman Sea off Khao Lak (Thailand) is typical for a tropical Indo-Pacific mixed siliciclastic-carbonate environment. The faunas exhibit a distinct bathymetric zonation, but also relation to the grain size of the substrate reflecting gradients in light intensity and water energy but also specific microhabitats. A total of six assemblages has been distinguished most of which include symbiont-bearing larger foraminifera as dominant and associated constituents. The shallowest sites, between 10 and 15 m, are inhabited by three assemblages, comprising the *Operculina ammonoides* fauna, the *Pararotalia stellata* fauna, and the *Discorbinella bertheloti* fauna. The latter is associated with muddy sediments trapped in depressions on the reef flat. The sandy sediments at intermediate water depth are inhabited by the *Siphonaperta* sp. 2 fauna (between 15 and 25 m), and the *Amphistegina radiata* fauna (between 20 and 30 m). The deepest sites (below 30 m) are characterized by the *Neoeponides praecinctus* fauna adapted to low light intensities and low water energy.

- The distinct bathymetric zonation of most recent species allowed the development of a transfer function for quantitative water depth reconstructions with a high prediction potential. Our reconstructions for the 2004 Indian Ocean tsunami layer and pre-dating storm layers from two sites in the study area limit the maximum water depth of re-suspension to approximately 20 m. This value is considerably lower when compared to most previous estimates for various tsunami events in the Indo-Pacific realm. On the other hand, the differentiation between storm

1 and tsunami layers in the study area based on foraminifera remains problematic because both
2 events reveal similar characteristics and re-deposition processes.

3

4 **Appendix A: Species list**

5

6 *Ammonia tepida* (Cushman, 1926) - Melis and Violanti, 2006, p. 98, pl. 1, figs.1-2

7 *Amphistegina lessonii* d'Orbigny, 1843 - Jones, 1994, p.109, pl. 111, figs. 4-7; Hohenegger et
8 al., 1999, p.144, fig. 19

9 *Amphistegina radiata* (Fichtel and Moll, 1798) - Hohenegger et al., 1999, p. 145, fig. 20; Jones,
10 1994, pl. 111, fig. 3

11 *Amphistegina* sp.1

12 *Anomalinoides colligerus* (Chapman & Parr, 1937) - Jones, 1994, p. 98, pl. 94, figs. 2-3

13 *Borelis schlumbergeri* (Reichel, 1937) - Hottinger et al., 1993, p. 68, pl. 75, figs. 1-17

14 *Cibicidoides pseudoungerianus* (Cushman, 1922) - Cushman, 1931, p. 123, pl. 22, figs. 3-7;
15 Milker and Schmiedl, 2011, p. 106, fig. 24.5-8

16 *Dendritina ambigua* (Fichtel & Moll, 1798) - Hohenegger et al., 1999, p. 131, fig. 10

17 *Discorbinalla bertheloti* (d'Orbigny, 1839) - Hottinger et al., 1993, p. 114, pl. 150, figs. 1-4

18 *Elphidium craticulatum* (Fichtel & Moll, 1798) - Hottinger et al., 1993, p. 147, pl. 208, figs. 1-
19 10; Hawkes et al., 2007; p. 178, pl. 2, fig. 8

20 *Neoeponides preacinctus* (Karrer 1868) - Jones, 1994, p. 99, pl. 95, figs. 1-3

21 *Neorotalia calcar* (d'Orbigny, 1839) - Hottinger et al., 1993, p. 140, pl. 199, figs. 1-10;
22 Hohenegger et al., 1999, p. 146, fig. 21

23 *Operculina ammonoides* (Gronovius, 1781) - Hohenegger et al., 1999, p. 155, fig. 28

24 *Operculina complanata* (Defrance, 1822) - Jones, 1994, p. 110, pl. 112, figs. 3-9

25 *Pararotalia stellata* (de Férussac, 1827) - Jones, 1994, p. 107, pl. 108, fig. 3; Hawkes et al.,
26 2007, p. 176, pl. 1, figs. 1-3

27 *Parrellina hispidula* (Cushman, 1936) - Melis and Violanti, 2006, p. 98, pl. 1, fig. 13; Berkeley
28 et al., 2009, p. 84, pl. 3, fig. 7a, b

29 *Peneroplis pertusus* (Forsk., 1775) - Jones, 1994, p. 29, pl. 13, figs. 16-17, 23; Hohenegger,
30 1999, p. 129, fig. 9;

31 *Quinqueloculina seminula* (Linné, 1758) - Jones, 1994, p. 21, pl. 5, fig. 6

32 *Quinqueloculina* sp.1

33 *Saidovina subangularis* (Brady, 1881) - Jones, 1994, p. 59, pl. 53, figs. 30, 31

34 *Siphonaperta* sp.1

35 *Siphonaperta* sp.2

36 *Siphonaperta* sp.3

1 *Spiroloculina communis* Cushman & Todd, 1944 - Jones, 1994, p. 25, pl. 9, figs. 5-6

2 *Triloculina oblonga* (Montagu, 1803) - Berkeley et al., 2009, p.84, pl. 2, fig. 5a, b, c

3

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5

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11

1 Figure captions

2

3 Figure 1

4 Bathymetric map of the study area on the inner shelf of the eastern Andaman Sea off Cape
5 Pakarang, Khao Lak, southwestern Thailand. Shown are locations of investigated surface
6 sediment sites (small open circles) and **core sites addressed in this study** (large grey circles).
7 See Table 1 for station details. The positions of the study area and the main shock of the 26
8 December 2004 earthquake are marked in the overview map of the Northern Indian Ocean.

9

10 Figure 2

11 Photographies, x-radiographs and definition of lithological units of sediment cores 030310-C3
12 and 050310-C4 versus core depth. The red arrows mark sample positions investigated in the
13 frame of this study.

14

15 Figure 3

16 Relative abundance of selected recent benthic foraminifera from surface sediments versus
17 water depth, showing a distinct bathymetric zonation. Note different scaling.

18

19 Figure 4

20 Distribution of recent benthic foraminiferal assemblages extracted with Q-mode Principal
21 Component Analysis. Shown are PC loadings. Loadings >0.4 indicate statistically significant
22 influence of the respective fauna (Malmgren and Haq, 1984). Ocean Data View (Schlitzer,
23 2012) was used for data interpolation. For scale bar see Figure 1.

24

25 Figure 5

26 Results of the Redundancy Analysis (RDA) applied on the surface samples (see also Table
27 3). The species arrows are colorized according to their membership to the Principle
28 Components (PC) extracted from PCA (compare with Fig. 4). The distribution of recent
29 foraminifers exhibits a strong relation to water depth but also to the grain size of the substrate.
30 Species of the PC2 assemblage have a relation to deeper water depths and species of the
31 PC6 assemblages occur more frequently at shallower water depths. For surface sample codes
32 see Table 1.

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Figure 6

Distribution of the most important fossil benthic foraminifera in sediment cores 030310-C3 (A) and 050310-C4 (B).

Figure 7

Observed water depths versus estimated water depths by the WA-PLS transfer function in the surface samples (A) and their residuals (B).

Figure 8

Sediment core images with their lithological units (see also Fig. 3) and paleowater depths in cores 030310-C3 and 050310-C4 estimated with the WA-PLS transfer function (open circles = bad analogues, light blue circles = fair analogues, blue circles = good analogues; calculated by Modern Analog Technique). The vertical black lines mark the water depth where the sediment cores were taken. Further are shown the percentages of broken foraminiferal tests, proportion of the coarse fraction, and relative abundances of *Amphistegina radiata*, *Peneroplis pertusus* (core 030310-C3) and *Amphistegina lessonii* (core 050310-C4). The shaded areas indicate storm layers (S) and the 2004 tsunami deposits (T).

Table captions

Table 1

Surface sample and sediment core IDs, sampling year, longitude and latitude and water depth of the investigated surface samples and sediment cores (see also Fig. 1).

Table 2

Results of the Principal Component Analysis (see also Fig. 4) with the total variance explained by each Principle component (PC) and the scores of the most important species. The species in bold, having the highest scores, are eponymous for the assemblages extracted from the surface samples.

1 Table 3

2 Results of the Redundancy analysis (RDA).

3

4 Table 4

5 Results of the Detrended Canonical correspondence Analysis (DCCA). The short length of
6 gradient for the first axis indicates a linear species-water depth relationship in the surface
7 samples.

8

9 Table 5

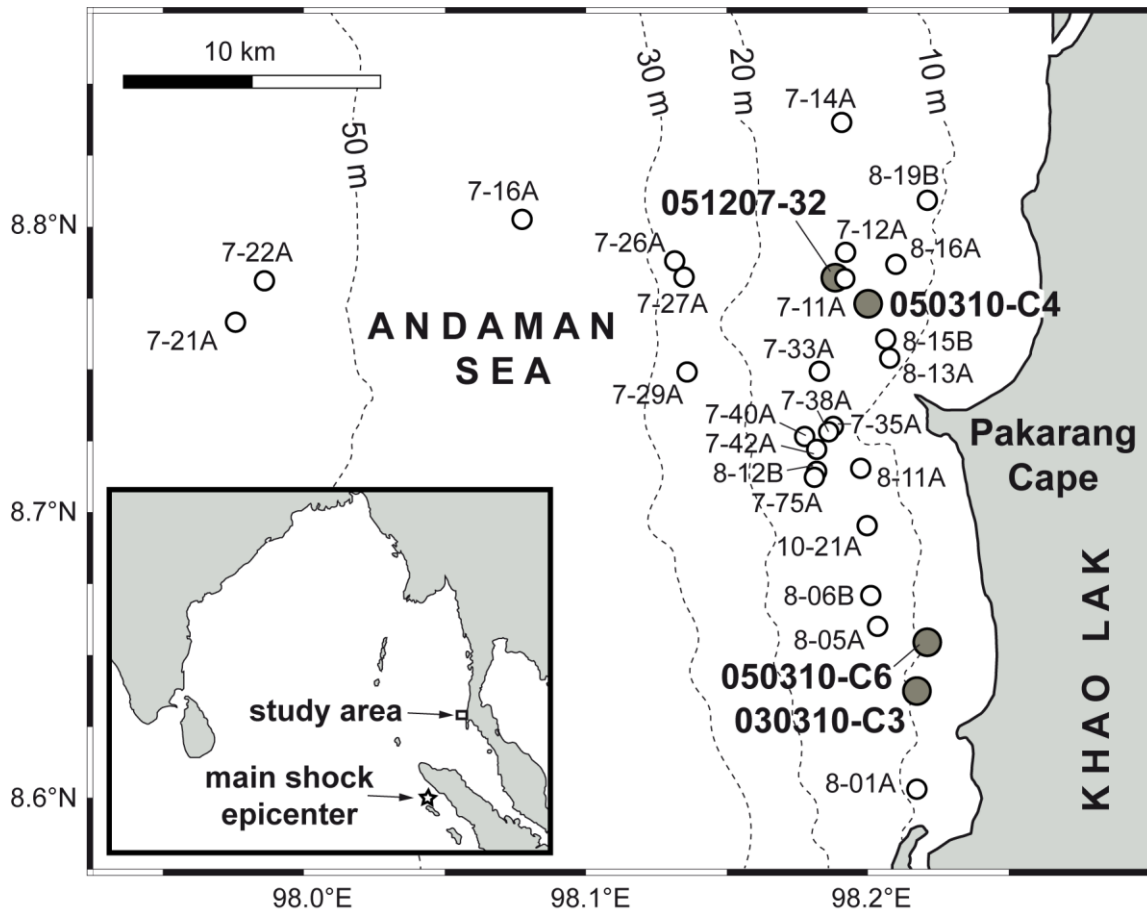
10 Results of the WA-PLS transfer function with the root mean squared error, the apparent
11 coefficient of determination (R^2), the cross-validated coefficient of determination (R^2_{jack}) and
12 the root mean squared error of prediction (RMSEP) calculated by cross validation, and the
13 reduction in RMSEP (% change). The selected component for the paleo-water depth estimates
14 in cores 030310-C3 and 050310-C4 is shown in bold.

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1 Figure 1

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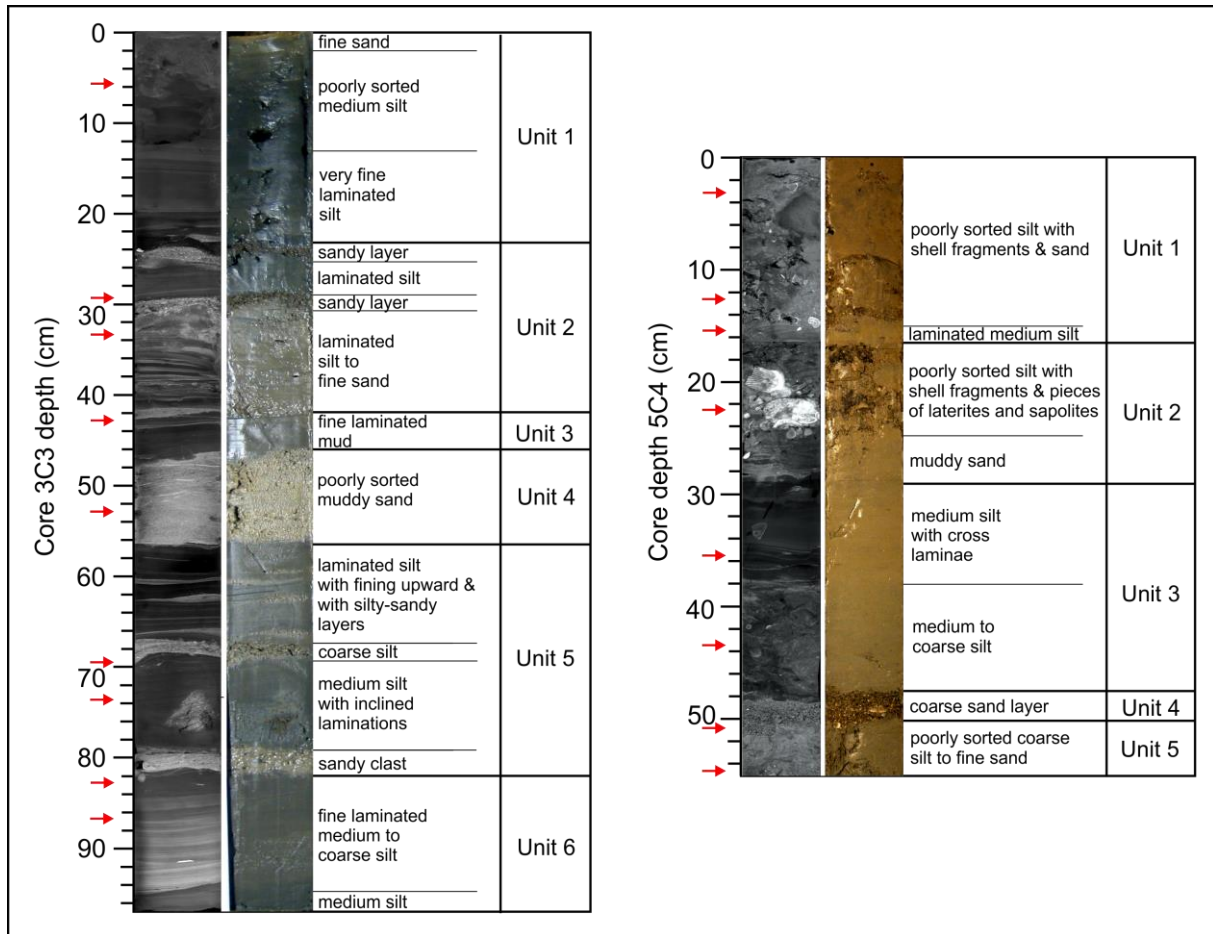


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1 Figure 2

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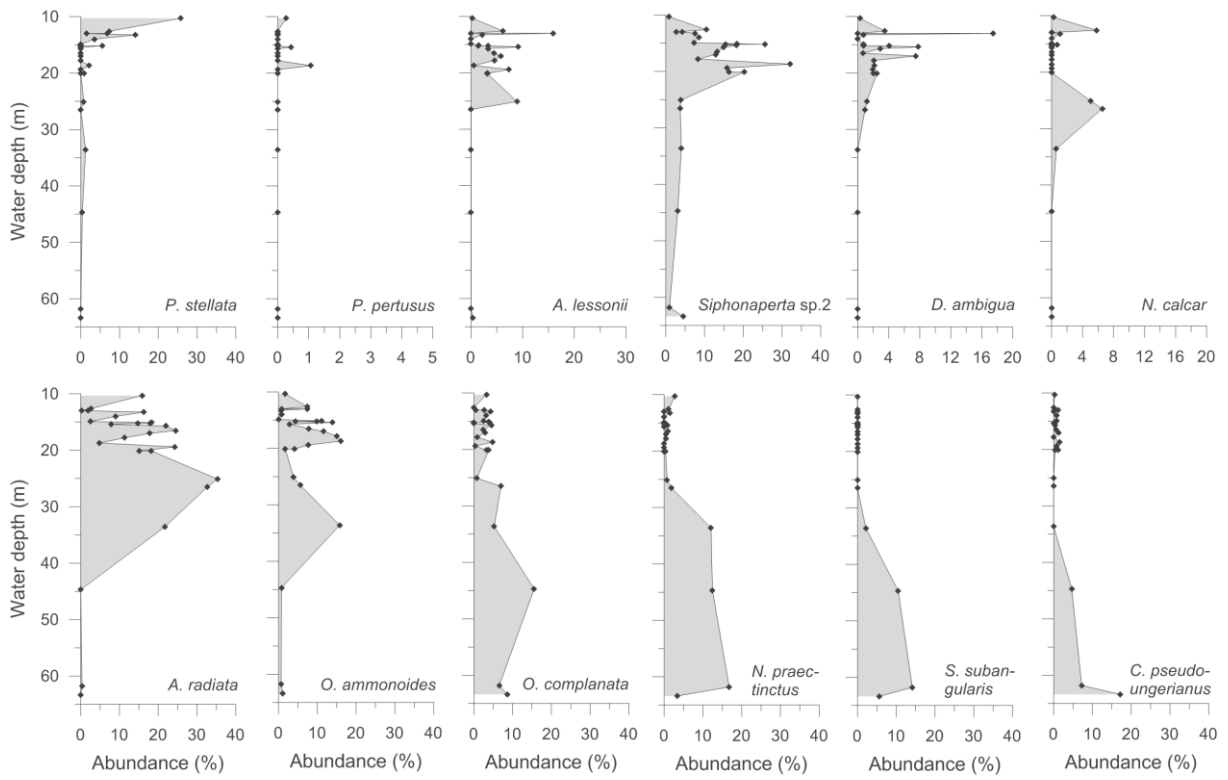


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1 **Figure 3**

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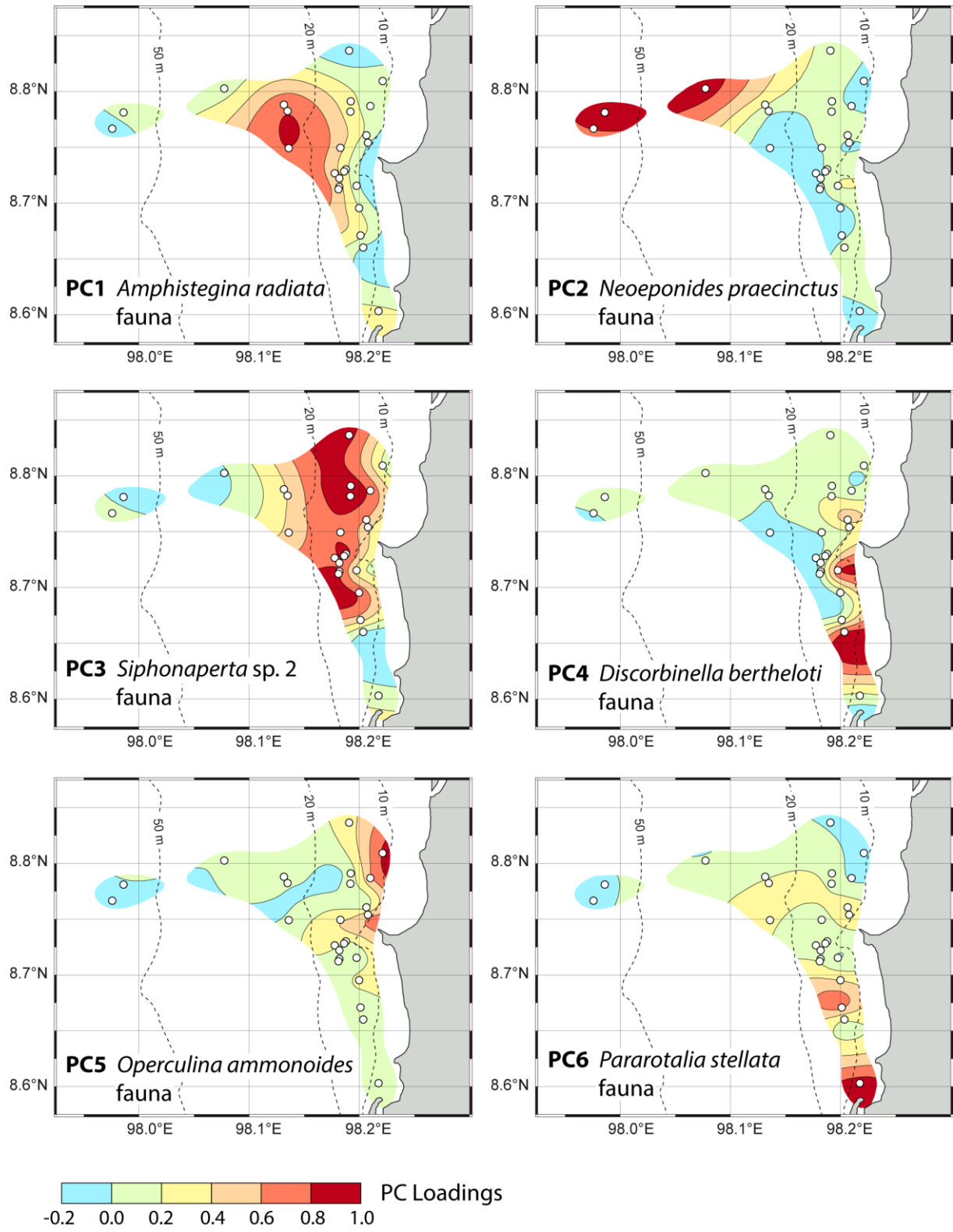


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1 Figure 4

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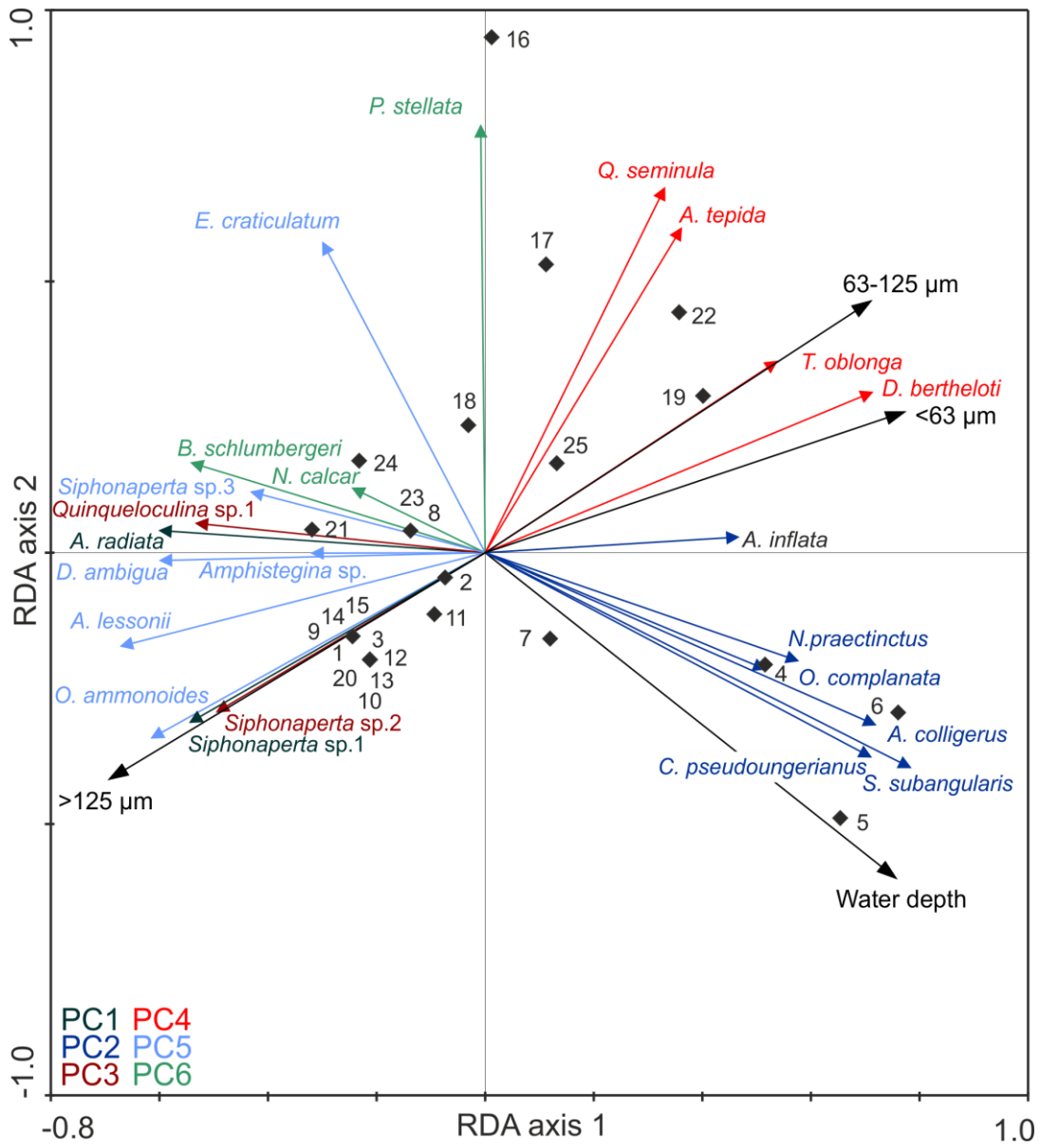


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1 Figure 5

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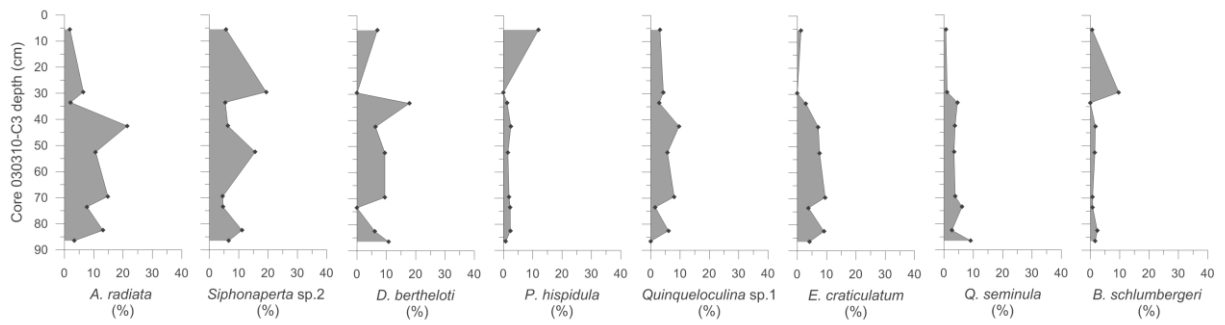
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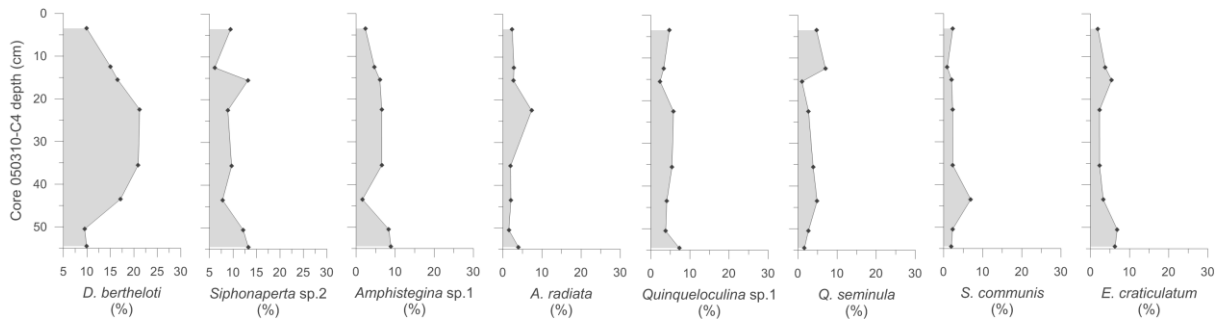
1 Figure 6

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A: Sediment core 3C3



B: Sediment core 5C4

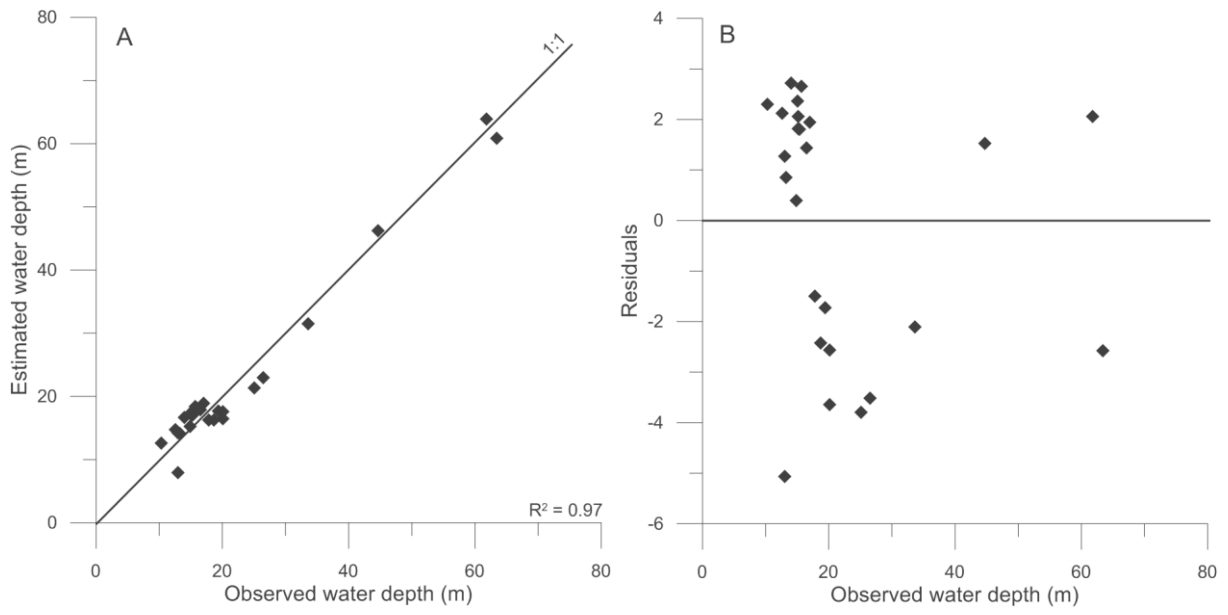


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1 Figure 7

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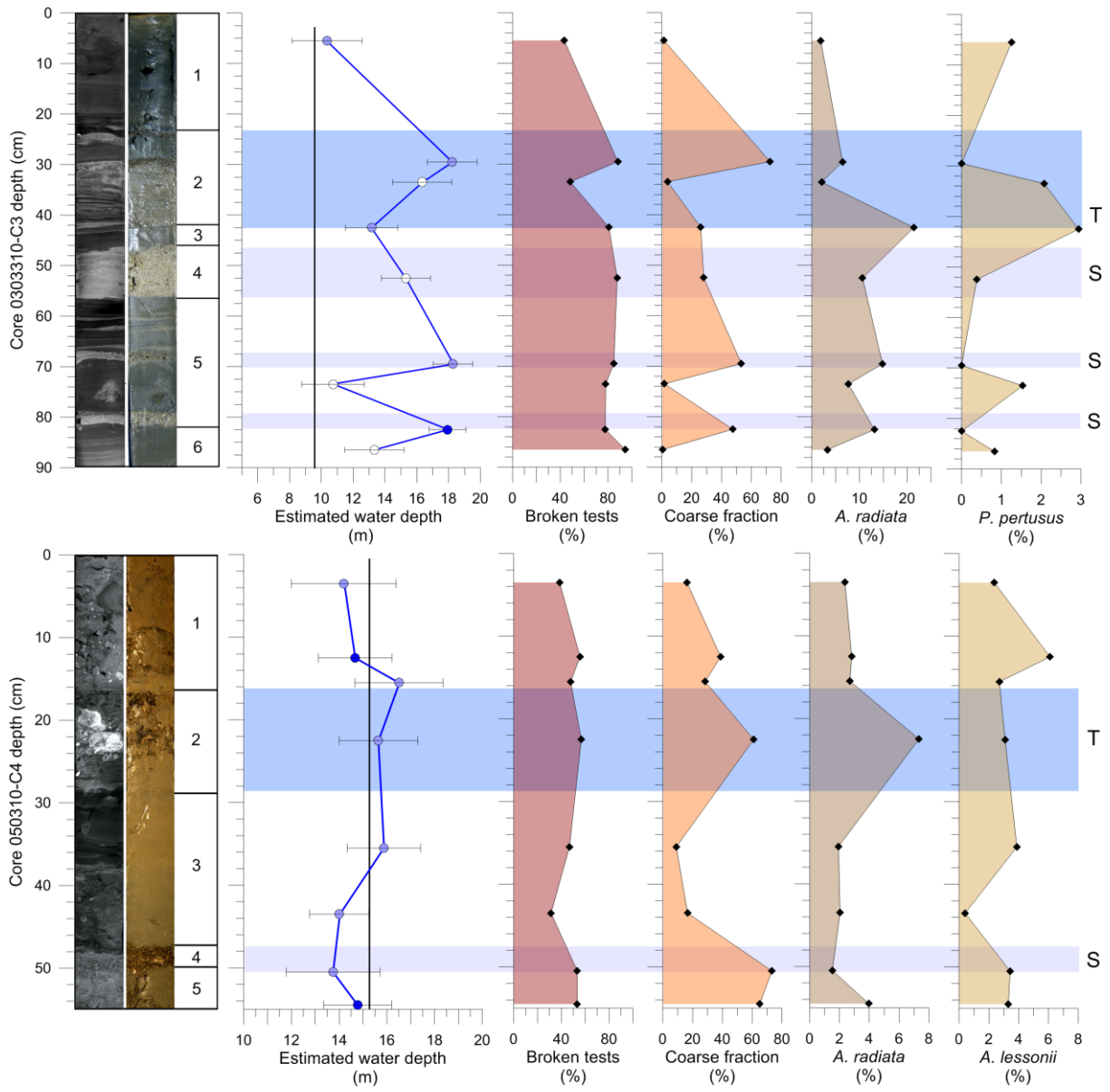


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1 Figure 8

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1 Table 1

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Sample/ core ID	Sampling period	Code in this study (RDA)	Type of material	Longitude	Latitude	Water depth (m)
021207-11A	12/2007	1	surface sample	08°46.901	98°11.512	20.1
021207-12A	12/2007	2	surface sample	08°47.459	98°11.528	20.1
021207-14A	12/2007	3	surface sample	08°50.189	98°11.433	18.7
021207-16A	12/2007	4	surface sample	08°48.147	98°04.652	44.7
031207-21A	12/2007	5	surface sample	08°45.989	97°58.549	63.4
031207-22A	12/2007	6	surface sample	08°46.860	97°59.166	61.8
031207-26A	12/2007	7	surface sample	08°47.278	98°07.884	33.6
031207-27A	12/2007	8	surface sample	08°46.932	98°08.094	26.5
031207-29A	12/2007	9	surface sample	08°44.938	98°08.154	25.1
051207-33A	12/2007	10	surface sample	08°44.958	98°10.965	17.0
051207-35A	12/2007	11	surface sample	08°43.796	98°11.270	15.2
051207-38A	12/2007	12	surface sample	08°43.682	98°11.168	15.1
051207-40A	12/2007	13	surface sample	08°43.584	98°10.655	17.8
051207-42A	12/2007	14	surface sample	08°43.319	98°10.908	15.7
081207-75A	12/2007	15	surface sample	08°42.744	98°10.866	16.5
061208-01A	12/2008	16	surface sample	08°36.181	98°13.044	10.3
061208-05A	12/2008	17	surface sample	08°39.600	98°12.217	13.0
061208-06II-B2	12/2008	18	surface sample	08°40.250	98°12.067	13.3
061208-11A	12/2008	19	surface sample	08°42.917	98°11.850	14.9
061208-12B	12/2008	20	surface sample	08°42.860	98°10.922	19.4
061208-13A	12/2008	21	surface sample	08°45.233	98°12.460	12.6
061208-15B	12/2008	22	surface sample	08°45.639	98°12.372	14.0
061208-16A	12/2008	23	surface sample	08°47.200	98°12.592	15.4
061208-19B	12/2008	24	surface sample	08°48.548	98°13.265	13.0
030310-21A	03/2010	25	surface sample	08°41.720	98°11.982	15.2
101207-89-2	12/2007	26	onshore sample	08°41.542	98°14.727	
101207-93	12/2007	27	onshore sample	08°37.371	98°14.396	
030310-C3	03/2010		sediment core	08°38.708	98°12.931	9.5
050310-C4	03/2010		sediment core	08°46.659	98°12.269	15.3

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1 Table 2

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PC axis	Explained variance (%)	Species	Scores
1	14.29	<i>Amphistegina radiata</i>	5.71
		<i>Operculina ammonoides</i>	1.31
		<i>Neoeponides preacinctus</i>	1.24
		<i>Discorbinella bertheloti</i>	0.89
		<i>Amphistegina</i> sp.	0.74
		<i>Amphistegina lessonii</i>	0.62
		<i>Siphonaperta</i> sp. 1	0.57
2	10.08	<i>Neoeponides preacinctus</i>	3.32
		<i>Operculina complanata</i>	3.03
		<i>Saidovina subangularis</i>	2.85
		<i>Cibicidooides pseudoungerianus</i>	2.78
		<i>Discorbinella bertheloti</i>	2.26
		<i>Anomalinooides colligerus</i>	0.89
		<i>Reussella spinulosa</i>	0.70
		<i>Bolivina</i> sp.	0.56
<i>Siphonaperta</i> sp.2	0.54		
3	38.50	<i>Siphonaperts</i> sp.2	5.80
		<i>Amphistegina radiata</i>	2.80
		<i>Quinqueloculina</i> sp.1	1.84
		<i>Operculina ammonoides</i>	1.11
4	8.86	<i>Discorbinella bertheloti</i>	4.28
		<i>Rosalina</i> spp.	3.88
		<i>Quinqueloculina seminula</i>	1.96
		<i>Triloculina oblonga</i>	1.65
		<i>Ammonia tepida</i>	1.05
5	8.86	<i>Operculina ammonoides</i>	3.49
		<i>Dentritina ambigua</i>	3.24
		<i>Amphistegina lessonii</i>	3.20
		<i>Siphonaperta</i> sp.3	1.38
		<i>Amphistegina</i> sp.	1.24
		<i>Borelis schlumbergeri</i>	1.23
		<i>Discorbinella bertheloti</i>	1.02
<i>Pararotalia stellata</i>	1.02		
6	8.56	<i>Pararotalia stellata</i>	6.13
		<i>Amphistegina radiata</i>	1.89
		<i>Borelis schlumbergeri</i>	1.31
		<i>Quinqueloculina</i> sp.1	0.95
		<i>Elphidium craticulatum</i>	0.65
<i>Quinqueloculina seminula</i>	0.61		

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1 Table 3

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Axes	1	2	3	4	Captured variance (%)	p value
Eigenvalues	0.296	0.135	0.068	0.014		
Species-environment correlations	0.905	0.945	0.802	0.529		
Cumulative percentage variance of species data	29.60	43.10	49.80	51.20		
of species-environment relation	57.80	84.10	97.30	100.00		
Correlation						
Water depth	0.685	-0.567	0.210	-0.001	22.2	<0.001
Fraction <63 μm	0.699	0.245	-0.426	-0.123	20.5	<0.002
Fraction >125 μm	-0.627	-0.394	0.038	0.310	17.0	<0.001
Fraction 63-125 μm	0.643	0.438	0.398	0.098	19.5	<0.002

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1 Table 4

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	1	2	3	4
Eigenvalues	0.236	0.123	0.07	0.017
Lengths of gradient (SD)	1.738	1.723	1.112	0.706

3

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1 Table 5

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Component	RMSE	R^2	R^2_{jack}	RMSEP	%Change
1	4.50	0.90	0.85	5.46	
2	2.45	0.97	0.92	4.09	25.12
3	1.67	0.99	0.92	3.98	2.69
4	1.07	0.99	0.92	4.05	-1.65
5	0.79	1.00	0.92	3.94	2.63

3