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# ~~Nonlinear probability distributions of waves in bimodal following and crossing seas generated in laboratory experiments~~

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## **Nonlinear probability distributions of waves**

P. G. Petrova and  
C. Guedes Soares

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

## Abstract

~~This paper presents an analysis of the nonlinear distributions of crests, troughs and~~  
heights of deep water waves from mixed following sea states generated mechanically  
in an offshore basin and compares with previous results for mixed crossing seas  
from the same experiment. The random signals at the wavemaker in both types of  
mixed seas are characterized by bimodal spectra following the model of Guedes  
Soares (1984). In agreement with the Benjamin–Feir mechanism, the high-frequency  
spectrum shows decrease of the peak magnitude and downshift of the peak with the  
distance, as well as reduction of the tail. The observed statistics and probabilistic  
distributions exhibit, in general, increasing effects of third-order nonlinearity with the  
distance from the wavemaker. However, this effect is less pronounced in the wave  
systems with two following wave trains than in the crossing seas with identical initial  
spectral characteristics. The relevance of third-order effects due to free modes only is  
demonstrated and assessed by excluding the vertically asymmetric distortions induced  
by bound-wave effects of second and third order. The fact that for records characterized  
by relatively large coefficient of kurtosis, the empirical distributions for the non-skewed  
profiles continue deviating from the linear predictions, corroborate the relevance of free-  
wave interactions and thus the need of using higher-order models for the description of  
wave data.

## 1 Introduction

Much interest has been recently directed towards understanding of the observed  
extreme waves with relatively low probabilities of occurrence, in view of their effect on  
ships (Guedes Soares et al., 2008) and offshore structures (Fonseca et al., 2010).  
In this respect, controlled model tests in a laboratory are useful in studying the  
characteristics of waves that rarely occur at sea and the corresponding responses  
of marine structures, which can be used to validate the codes for calculating ship

**NHESD**

1, 5403–5452, 2013

## Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

~~responses. Analyses of oceanic data collected in stormy seas indicate the validity of~~  
 linear models for the distributions of large wave heights (Tayfun and Fedele, 2007;  
 Casas-Prat and Holthuijsen, 2010), and of second-order models for wave crests and  
 troughs (Tayfun, 2006, 2008). However, deviations between the theoretical predictions  
 and the observations do occur at low probability levels when the measurements contain  
 relatively rare, exceptionally large waves, referred to as abnormal, rogue or freak waves  
 (Petrova et al., 2007).

Thorough description of different aspects of the so called abnormal waves is provided  
 by Kharif et al. (2009). One of the likely mechanisms for abnormal wave occurrence is  
 the Benjamin–Feir instability due to third-order quasi-resonant interactions between  
 free waves when the initial spectra present narrowband long-crested conditions  
 (Onorato et al., 2001, 2004; Janssen, 2003; Onorato and Proment, 2011). The  
 likelihood of this mechanism is quantified by the Benjamin–Feir index (BFI) of Janssen  
 (2003) (see also Onorato et al., 2001). Favourable conditions for instability can be  
 generated mechanically in wave tanks (Onorato et al., 2004; Waseda et al., 2009;  
 Cherneva et al., 2009; Shemer and Sergeeva, 2009), or can be simulated numerically  
 (Onorato et al., 2001; Mori and Yasuda, 2002; Socquet-Juglard et al., 2005; Toffoli  
 et al., 2008; Zhang et al., 2013). Onorato et al. (2004) provided the first experimental  
 evidence that the nonlinear wave statistics depends on BFI. However, the initial  
 requirements for instability make this mechanism unlikely to be the primary cause  
 for the majority of extreme wave occurrences in oceanic conditions, characterized by  
 broader spectra and directional spread (Forristall, 2007; Guedes Soares et al., 2011).  
 Numerical studies (Onorato et al., 2002; Socquet-Juglard et al., 2005; Gramstad and  
 Trulsen, 2007) and laboratory experiments (Onorato et al., 2009; Waseda et al., 2009)  
 analysing the effect of directionality show that the wave train becomes increasingly  
 unstable towards long-crested conditions.

The superposition of two wave systems propagating in different directions could  
 explain some cases of rogue wave occurrences. Such extreme conditions at sea are  
 reported in relation with accidents and worsened operability of ships and offshore

## Nonlinear probability distributions of waves

P. G. Petrova and  
 C. Guedes Soares

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

## Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

platforms in heavy weather (Guedes Soares et al., 2001; Toffoli et al., 2005). Onorato et al. (2006a) proposed a system of two coupled Nonlinear Schrödinger Equations (CNLS) to explain the formation of wave extremes in crossing seas (see also Shukla et al., 2006), showing that the second wave train advancing at a certain critical angle facilitates the modulational instability. These findings are numerically validated by simulation of the Euler equations, as well as experimentally in laboratory conditions (Onorato et al., 2010; Toffoli et al., 2011). It has been also found that the coefficient of kurtosis increases up to  $40^\circ$  and then stabilizes attaining its maximum between  $40$  and  $60^\circ$ .

Hindcast analysis is used to explain recent cases of reported extreme waves. Tamura et al. (2009) demonstrated that the coexisting wind sea evolved into steep and energetic swell which originated an unimodal “freakish” sea. Cavalieri et al. (2012) studied the accident with the Luis Majesty cruiser showing the coexistence of two wave systems of comparable energies and peak periods, propagating at  $40$ – $60^\circ$ . The possible role of a rogue wave is somewhat confirmed by the performed numerical analysis using the CNLS system of equations.

Usually the analysis on wave statistics addresses sea states represented by single-peaked spectra, though the oceanic sea states are more complex (Guedes Soares, 1991), in the sense of being described by two-peaked spectra (Guedes Soares, 1984), or by more complex spectra (Boukhanovski and Guedes Soares, 2009). The probability distributions of wave heights in such sea states have been studied within the linear theory by Rodríguez et al. (2002) for numerically simulated data, and by Guedes Soares and Carvalho (2003, 2012) for oceanic data. The Rayleigh distribution was found to systematically overestimate the observations and fit the data only in the case of wind-dominated sea states with low intermodal distances. The approximation of Tayfun (1990) was suitable only for wind dominated seas with large and mainly moderate intermodal distances.

The effect of combined seas on the wave crest statistics, surface elevation skewness and kurtosis was shown for the first time by Bitner-Gregersen and Hagen (2003)

## Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



for second-order time domain simulations. Larger crests and nonlinear cumulant statistics have been reported for wind-dominated seas. Arena and Guedes Soares (2009) performed Monte Carlo simulations of second-order waves with bimodal spectra representative for the Atlantic Ocean and reported good fits between the wave heights and the linear model of Boccotti (1989, 2000), as well as between the nonlinear wave crests/troughs and the second-order formulation of Fedele and Arena (2005). Petrova et al. (2013) presented results on the contribution of third-order nonlinearity to the wave statistics in terms of the angle of incidence between two crossing wave systems and the evolution of waves along the tank. Though the results are not conclusive, it is possible to observe various effects of third-order nonlinearity which, in general, become stronger down the basin and especially at the last four gauges. For larger angle of spread, the distributions of wave crests and troughs are more likely to be predicted by the weakly-nonlinear narrowband models.

Following the lines above, the present work provides further analysis on the behaviour of laboratory generated irregular mixed seas. In particular, the work concentrates on the wave statistics and probabilistic distributions observed for combined seas with two following wave systems and makes comparison with some results for two obliquely propagating wave systems (Petrova et al., 2013). The study aims at assessing and analysing the third-order effects on the nonlinear statistics in the presence of second wave component in terms of the propagated distance along the basin. The behaviour of the nonlinear statistics indicating increasing probability for abnormal waves is associated with possible Benjamin-Feir instability which can be favoured by the initial steep narrowband conditions in the high frequency range of the bimodal spectra.

## 2 Laboratory data: basic spectral and statistical parameters

The set of laboratory data originates from an experiment carried out in the offshore basin at Marintek. The basin has 80 m length, 50 m width and bottom adjusted

## Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

at 2 m depth (Fig. 1). The two wave generators (BM2 and BM3) were operating depending on the type of the generated sea: single wave system, mixed sea with two wave systems crossing at an angle (mixed crossing sea) or mixed sea with two wave systems advancing in the same direction (mixed following sea). In the last case, both wave components were generated by the double flap wavemaker BM2 ( $T_p = 7, 14, 20$  s). The bimodal crossing seas, on the other hand, were generated by both wavemakers operating simultaneously: BM2 for the high frequency modes ( $T_p = 7$  s) and the multiflap wavemaker BM3 for the low frequency modes ( $T_p = 20$  s). The problems with wave reflection and rise of water level along the basin were resolved by placing wave energy absorbing beaches at the two walls across each wavemaker.

The laboratory experiment was run in scale 1 : 50. It must be noted that in order to get better understanding for the ocean sea states represented in the experiment, in this study it has been chosen to work with the full scale wave data. The conditions at the wavemaker provided random realizations described by the two peaked spectral formulation of Guedes Soares (1984), so that the generated individual spectral components have a JONSWAP shape with peak enhancement factor  $\gamma = 3$ , full scale peak periods in combinations of 7/20 s or 7/14 s and significant wave heights in combinations of 4.6/2.3 m and 3.6/3.6 m (see Table 1). The two JONSWAP spectra describe long crested waves propagating at a certain angle with respect to the axis along the basin. The angles of propagation between the two wave systems of the crossing seas are:  $\theta = 60^\circ, 90^\circ$  and  $120^\circ$ . Each realization of the sea surface elevations used the random amplitudes/phases model.

The instantaneous surface elevations were simultaneously registered by the set of ten gauges uniformly deployed at 5 m distance between each other along the midline of the basin; the distance between the first gauge and BM2 was set at 10 m (Fig. 1). The full scale total duration of the time series exceeds 3 h, given that the records are digitally sampled at uniform intervals,  $dt = 0.1768$  s. The initial transient waves were removed by performing a truncation at the beginning of each record. The number of ordinates to be truncated was estimated depending on the time that the harmonic with

twice the frequency of the higher frequency spectral peak takes to reach the last gauge in the tank. The length of the time series at the last gauge was used as a reference to cut the other records, so that finally for each test run one obtains a set of 10 records of equal length of approximately 3 h duration each. The 3 h record contains about 1400 waves which are expected to provide convergence of the probabilistic distributions at the low probability levels. The experimental conditions define the waves as propagating in deep water of constant depth,  $d = 100$  m (linear scale 1 : 50). However, the deep water condition can be verified only for the short waves, while the long waves behave as propagating in intermediate water depth.

Table 2 provides the parameters characterizing the JONSWAP spectral components: significant wave height,  $H_s = 4\sigma$ ,  $\sigma$  = standard deviation of the sea surface elevations; sea state steepness,  $\varepsilon = k_p \sigma$ , where  $k_p$  = wave number at the peak frequency  $\omega_p$ ; spectral width, which is represented by  $\Delta$  = half width at half of the spectral maximum. The last column in the table quantifies the instability in the random field in terms of the Benjamin–Feir index (BFI) as defined by Janssen (2003)

$$BFI = \frac{\varepsilon \sqrt{2}}{\Delta \omega / \omega_p} \quad (1)$$

such as the random wave train is unstable when  $BFI > 1.0$ . Onorato et al. (2004) provide for the first time an experimental proof for the existing connection between the larger BFI and the increased probability for rogue waves in the time series. In the present study, the BFI estimate of the high-frequency wave modes is large ( $BFI > 1$ ), thus contributing to possible development of extreme event down the basin. In turn, it is expected that statistically this will be reflected in large departures of the tails of the empirical distributions from the Rayleigh law for the wave heights and from the weakly-nonlinear predictions for the wave crests/troughs.

The wave spectra have been estimated for 400 degrees of freedom (dof) to reduce the noise and then have been block averaged over  $(dof/4) + 1$  adjacent values. Figure 2 illustrates typical spectra estimated at the first probe for the four cases of following

## Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion





~~mixed sea states in Table 1. The first step in the analysis of the bimodal spectra is to~~  
 apply criteria for identification and separation of the two spectral components. A set of  
 criteria easy to apply was proposed by Guedes Soares and Nolasco (1992), so first the  
 local maxima and minima are identified over 8 frequency bands, such as the smaller  
 peak is considered valid if its magnitude is equal to or 15 % greater than the larger  
 peak. Furthermore, the trough between the two peaks is required to be less than the  
 lower confidence bound of the smaller spectral peak. It should be mentioned that this  
 simple approach showed better accuracy when compared to a more comprehensive  
 one (Ewans et al., 2006).

Having the two spectral counterparts separated, the relative contribution of the  
 components is quantified by the ratio of the zero-moments of the wind sea,  $m_{0ws}$ ,  
 and the swell,  $m_{0sw}$  – the sea-swell energy ratio (SSER) (Rodríguez and Guedes  
 Soares, 1999). The same SSER limits as for the bimodal crossing seas were imposed  
 here to classify the mixed seas with following wave trains (see Petrova and Guedes  
 Soares, 2011): wind dominated sea (SSER > 1.6); sea-swell energy equivalent sea  
 ( $0.9 < SSER < 1.6$ ); swell-dominated sea (SSER > 1.6). Consequently, it results that:  
 (1) 8228–8229 are wind sea dominated (Fig. 2a, b); (2) 8230 (Fig. 2c), as well as 8231  
 (Fig. 2d) ~~can be described as sea swell energy equivalent sea states, though looking at~~  
~~the profiles of the associated autocorrelation functions (ACF) in Fig. 3 one can see that~~  
~~the profile in Fig. 3d (run 8231) resembles a swell dominated case with a secondary~~  
~~smaller minimum before the global one.~~

Contrary to the crossing mixed seas, which showed pronounced variation of the  
 spectral energies of wind sea and swell with the distance (Table 1 in Petrova and  
 Guedes Soares, 2009), the energies of the spectral counterparts in the present study  
 keep relatively unchanged during the wave propagation along the tank (Fig. 4). An  
 exception is run 8231 with identical significant wave heights for the two JONSWAP  
 components and larger intermodal distance (Fig. 4d). ~~The reduction in the significant~~  
~~wave heights of the high frequency counterparts along the tank can be due to wave~~  
~~breaking.~~

## Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion





## Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

The deep water conditions for the individual spectral components are validated by the additional information in Table 2 showing the relative water depth in terms of  $d/L_p$  and  $k_p d$ . This information is relevant to wave instability, as far as  $k_p d < 1.36$  describes water depth conditions where the wave train tends to defocusing (Mori and Yasuda, 2002).

The values in Table 2 show that the short-period wave system ( $T_p = 7$  s) fulfils the deep water inequality  $d/L_{pws} > 1/2$ , where  $L_{pws} = 76.5$  m. The long-period waves with  $T_p = 14$  s do not fulfil the deep water condition, since  $d/L_p < 1/2$ , though still fulfilling  $k_p d > 1.36$ . The sea state with  $T_p = 20$  s describes both intermediate water depth waves and stable wave modes according to the above inequality.

Inherent to the instability development are some typical changes in the spectral shape: broadening of the spectrum, downshift of the peak frequency, reduction of the tail (Janssen, 2003; Onorato et al., 2006b; Toffoli et al., 2008). Figure 5 shows in logarithmic scale the changes in the shapes of the high frequency spectral component at three locations along the tank:  $6.5L_p$  (gauge 1),  $20L_p$  (gauge 5) and  $33L_p$  (gauge 9).

The distance from the wavemaker is measured in multiples of the peak wave length of the high-frequency spectral component:  $L_p = 76.5$  m ( $T_p = 7$  s). The abscissa illustrates the frequencies scaled by the spectral mode at gauge 1. The ordinate shows the magnitude of the spectral energy scaled by the spectral peak magnitude at gauge 1. It can be observed that the spectral tail reduces as the waves advance along the tank; the spectral peak diminishes and also shifts downwards. Such results have been observed by Janssen (2003), Dysthe et al. (2003), Trulsen and Dysthe (1997), for numerical simulations, as well as by Onorato et al. (2006b), Fedele et al. (2010) for laboratory experiments.

A slightly different pattern of change is observed for experiment 8231 (Fig. 5d). There is an increase of the spectral peak magnitude at the second and third probes, which results in normalized peak magnitudes larger than 1. This situation is demonstrated in Fig. 5d by the spectral density curve at the second gauge ( $\sim 10L_p$ ), drawn as a blue dotted line. The spectral changes observed for the mixed following seas can be also

~~detected when analyzing the crossing bimodal seas, as can be seen in Fig. 6a c for  $\theta = 60^\circ$ ,  $120^\circ$  and  $90^\circ$ , respectively.~~

Figure 7a and b illustrates the spectral broadening over the high-frequency range in terms of  $\Delta_{ws}$  for the following and crossing mixed seas, respectively. The maxima for the two following seas with combination  $T_p = 7/14$  s (8228 and 8230) are reached at gauge 7: for run 8230 the increase is estimated at 42 % (Fig. 7a). The broadest high-frequency spectrum for 8229 is estimated later, at gauge 8. Run 8231, on the other hand, is characterized by the same initial characteristics of the bimodal spectrum as the crossing seas ( $H_s = 3.6/3.6$  m,  $T_p = 7/20$  s) and shows the largest  $\Delta_{ws}$  at the same gauge as the crossing seas: gauge 6 (Fig. 7a, b).

### 3 Experimental results: nonlinear wave statistics

~~The self focusing effects observed for narrow peaked spectra change significantly the wave statistics. Thus, attention is given here initially to some statistical quantities that indicate nonlinearity and, in particular, to those indicating the possible presence of wave extremes in the wave records.~~

Within the weakly-nonlinear assumption, the non-Gaussian sea surface is considered a linear superposition of free modes modified by second-order bound harmonics. Thus, the wave profiles display higher sharper crests and shallower rounded troughs. The vertical asymmetry is reflected in various wave statistics through the normalized third-order cumulant  $\lambda_{30}$  – the coefficient of skewness, calculated from the surface elevation,  $\eta$ , and its Hilbert transform,  $\hat{\eta}$ , as

$$\lambda_{mn} = \frac{\langle \eta^m \hat{\eta}^n \rangle}{\sigma^{m+n}}, \quad m + n = 3 \quad (2)$$

such as a positive skewness of the non-Gaussian surface illustrates greater probability for occurrence of large positive displacements than of large negative displacements.

The large-amplitude occurrences, on the other hand, and, in particular, the increased frequency of encountering large crest-to-trough excursions due to third-order nonlinear wave-wave interactions are indicated by the fourth-order normalized cumulant,  $\lambda_{40}$  – the coefficient of kurtosis, or the sum of fourth order joint cumulants  $\Lambda = \lambda_{40} + 3\lambda_{22} + \lambda_{04}$ . These two fourth order statistics are used as higher order corrections in the distribution models for wave crests, troughs and heights. The fourth-order normalized joint cumulants are presented in the following generalized form (Tayfun and Lo, 1990)

$$\lambda_{mn} = \frac{\langle \eta^m \hat{\eta}^n \rangle}{\sigma^{m+n}} + (-1)^{m/2} (m-1) (n-1), \quad m+n=4. \quad (3)$$

The nonlinear contributions to the coefficient of kurtosis are due to: (1) bound waves, where the associated correction is of order  $O(\varepsilon^2)$ , with  $\varepsilon$  being the sea state steepness, so that for weakly-nonlinear waves this effect is negligible, and (2) near-resonant interactions (Benjamin–Feir type instability), such as for relatively narrow spectra and long-crested waves the latter factor is dominant and gives rise to large deviations from Gaussianity (Janssen, 2003; Onorato et al., 2005; Mori and Janssen, 2006). Both the spectral broadening and the kurtosis depend on BFI, which was theoretically shown by Janssen (2003), Mori and Janssen (2006), or observed experimentally by Onorato et al. (2004, 2006b), Toffoli et al. (2008). However, the coefficient of kurtosis is proportional to the squared BFI only for long-crested seas (Gramstad and Trulsen, 2007).

Tables 3–6 summarize the overall averages of the third and fourth order cumulants estimated over 15 min segments of the wave records. The segmental analysis aims at avoiding possible non-stationarity in the original time series. The third-order corrections due to free waves are reflected by the key parameter  $\Lambda$ . One can see that the waves close to the wave generator have nearly Gaussian statistics ( $\Lambda$  is usually the smallest here, and in two of the cases is practically zero), which can be expected since each of the unidirectional wave trains is a linear superposition of harmonics within the random amplitude/phase wave model. Down the basin, the nonlinearities evolve and

## Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



$\Lambda$  increases appreciably, which can be explained by development of modulational instability with the distance.

The coefficient of skewness fulfils the identity  $\lambda_{30} = 3\lambda_{12}$ , thus being in agreement with the second-order wave theory (Tayfun and Lo, 1990; Tayfun, 1994). The other joint third order cumulants,  $\lambda_{03}$  and  $\lambda_{21}$ , and the joint fourth order cumulants  $\lambda_{34}$  and  $\lambda_{13}$  are approaching zero. However, the fourth order cumulants  $\lambda_{40}$ ,  $\lambda_{22}$ ,  $\lambda_{04}$  and thus the cumulant sum  $\Lambda$  assume rather large values with respect to  $\lambda_{30}$ , exceeding the order  $O(\lambda_{30}^2)$  for weakly-nonlinear waves. The largest values of  $\Lambda$  are reached over the last gauges in the tank. In particular, the two wind-sea dominated following mixed seas show maxima at gauge 8, such as  $\Lambda \geq 1$  (Tables 3 and 4), while the maxima are smaller for the sea-swell energy equivalent following mixed seas (Tables 5 and 6).

The same conclusions, regarding the nonlinear wave statistics in mixed seas with following wave trains have been recently derived for mechanically generated single unidirectional irregular waves influenced by higher-order effects (Cherneva et al., 2009), as well as for mixed crossing wave systems (Petrova et al., 2013) from the same experiment.

The wave statistics for test 8231 (Table 6) can be directly compared with the statistics from bimodal crossing seas analyzed previously by Petrova et al. (2013), since the generated sea states have identical individual spectral characteristics ( $H_s = 3.6/3.6$  m and  $T_p = 7/20$  s). From the comparison, one can conclude that the nonlinear statistics of the mixed following sea states, provided in Table 6, are generally lower. In particular, the maximum value of  $\Lambda$  for the following seas is estimated at gauge 8 as  $\Lambda_{\max} = 0.567$  (Table 6), while the same parameter far from the generator for the crossing sea states is larger, namely for  $\theta = 90^\circ$  and  $\theta = 120^\circ$  the estimated values are respectively:  $\Lambda_{\max} = 1.571$  at gauge 10, and  $\Lambda_{\max} = 1.347$  at gauge 9. For the smallest angle of propagation,  $\theta = 60^\circ$ , a local maximum  $\Lambda_{\max} = 0.673$  is obtained at gauge 8.

Consequently, the amplitudes and heights of the largest waves associated with the relatively large values of  $\Lambda$ , such as those usually registered at the last probes, can be

## Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

suitable for comparisons with theoretical probabilistic models corrected for third-order nonlinearity.

#### 4 Theoretical distributions of wave amplitudes and crest-to-trough heights

The zero-mean linear and nonlinear sea surface displacement  $\eta$  at a fixed point in time  $t$  is written as  $\eta = \xi(t) \cos \phi(t)$ , where  $\xi \geq 0$  and  $\phi$  stand for the random amplitude and phase functions. However, the linear waves have independent  $\xi$  and  $\phi$ , such as  $\xi$  is Rayleigh distributed and  $\phi$  is uniformly random over an interval of  $2\pi$  (Rice, 1945). Furthermore, the condition that the wave energy is distributed over a narrow range of frequencies implies that the amplitude  $\xi$  is nearly coincident with the global maxima and minima of  $\eta$ . As a result, the crest and trough amplitudes of linear waves follow the Rayleigh law. Letting  $\nu$  be the spectral bandwidth due to Longuet-Higgins (1975), this approximation introduces errors of order  $O(\nu^2/\xi)$ , which reduce for  $\nu^2 \ll 1$  and/or  $\xi \gg 1$  (Tayfun and Lo, 1990).

The Rayleigh exceedance probability of  $\xi$  normalized by the root-mean-square surface displacement,  $\sigma$ , has the form

$$E(\xi) = \exp(-\xi^2/2), \quad \xi \geq 0. \quad (4)$$

Further assuming narrow spectrum,  $\nu^2 \ll 1$ , allows approximating the normalized linear crest-to-trough wave height as twice the wave envelope,  $H \approx 2\xi$ , and by change of variables in Eq. (4) one comes to (Longuet-Higgins, 1952)

$$E_{H \approx 2\xi}(h) = \exp(-h^2/8), \quad h \geq 0. \quad (5)$$

The second-order nonlinearity of the free surface is a result from the linear superposition of free waves modified by second-order bound harmonics. Various models describing the distributions of wave amplitudes, phases, crests and troughs in weakly-nonlinear waves have been elaborated so far (Tayfun and Lo, 1990; Tayfun,

### Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



1994, 2006, 2008). For instance, the second-order crests and troughs are expressed as (Tayfun, 2006)

$$\xi^{\pm} = \xi \pm \frac{1}{2}\mu\xi^2 \quad (6)$$

where  $\xi^+$  and  $\xi^-$  describe the second-order wave crest and trough, respectively, and  $\mu$  is a dimensionless steepness parameter. For narrowband waves,  $\mu = \lambda_{30}/3$ , while in the more general case,  $\mu$  can assume slightly different forms, as shown by Tayfun (2006). Following Tayfun (2008), the exceedance probabilities of  $\xi^+$  and  $\xi^-$  are expressed as

$$E_{\xi^+}(z) = \exp \left[ -\frac{(\sqrt{1+2\mu z} - 1)^2}{2\mu^2} \right] \quad (7)$$

$$E_{\xi^-}(z) = \exp \left\{ -\frac{1}{2} \left[ z \left( 1 + \frac{1}{2}\mu z \right) \right]^2 \right\} \quad (8)$$

where  $\mu = \lambda_{30}/3$ .

Third-order corrections due to second- and third-order bound waves in a weakly-nonlinear wave field are typically of order  $O(\lambda_{30}^2)$ . Consequently, their contribution is rather small, since  $\lambda_{30} \ll 1$  for deep water storm waves. However, mechanically generated extremely large waves display heights and amplitudes that deviate significantly from the linear and second-order predictions, which can be explained by third-order quasi-resonant interactions among free modes. These tend to amplify the wave statistics and increase the occurrence frequency of large events, leading to long tails in the observed empirical distributions (Mori et al., 2007; Cherneva et al., 2009; Fedele et al., 2010).

## Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

Modifying Eqs. (7) and (8) to include the third-order effects in terms of the parameter  $\Lambda$  results in the approximate forms (Tayfun and Fedele, 2007; Tayfun, 2008)

$$E_{\xi^+}(z) = \exp \left[ -\frac{(\sqrt{1+2\mu z}-1)^2}{2\mu^2} \right] \left[ 1 + \frac{\Lambda}{64} z^2 (z^2 - 4) \right] \quad (9)$$

$$E_{\xi^-}(z) = \exp \left\{ -\frac{1}{2} \left[ z \left( 1 + \frac{1}{2} \mu z \right) \right]^2 \right\} \left[ 1 + \frac{\Lambda}{64} z^2 (z^2 - 4) \right] \quad (10)$$

~~For brevity, Eqs. (7) and (8) and Eqs. (9) and (10) are referred to in the text and in the plots as NB and NB-GC models, respectively.~~

Large crest-to-trough wave heights are unaffected by second-order nonlinearities (Tayfun, 2011). Regardless of the spectral width, the large wave heights in simple wind seas are well predicted by the asymptotic models of Tayfun (1990) and Boccotti (1989). The model of Boccotti is exact, and depends on two parameters, while Tayfun's distribution is correct to  $O(\nu)$ , but requires a single parameter. These models, in particular Boccotti's distribution, were validated with oceanic measurements and simulations (Boccotti, 2000; Tayfun and Fedele, 2007; Casas-Prat and Holthuijsen, 2010). The lower bound approximation of the Tayfun's model was also found to describe well the data from swell-dominated bimodal crossing sea states (Petrova et al., 2013). This model was preferred, since it has a somewhat larger range of validity than Boccotti's model, and the single parameter allows generalization to swell-dominated mixed sea states or sea states with comparable sea-swell energies where the autocorrelation function does not have monotonically decaying shape and the global minimum is no longer the first one (Fig. 3d).

The lower bound approximation of the model of Tayfun (1990) has the form

$$E(h) \approx \left( \frac{1+r_m}{2r_m} \right)^{1/2} \exp \left\{ -\frac{h^2}{4(1+r_m)} \right\} \quad (11)$$

## Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



for  $h > \sqrt{2\pi}$ ;  $r_m$  is a dimensionless parameter defined as  $r_m = r(T_m/2)$ , where  $r$  = envelope of the normalized autocorrelation function and  $T_m = 2\pi m_0/m_1$  with  $m_i = i$ -th ordinary spectral moment (see Fig. 1 in Tayfun and Fedele, 2007). To account for the secondary minimum before the global one in the profile of the autocorrelation function, the parameter  $r_m$  is reformulated as a function of the normalized wave height  $h$  in the form (Petrova et al., 2013)

$$r_m(h) = (r_{m1} + r_{m2}) - r_{m1} \left[ 1 - \exp\left(-\frac{h}{\sqrt{1 + \alpha^2}}\right) \right] \quad (12)$$

where  $\alpha = T_{psw}/T_{pws}$  is a dimensionless ratio of the peak period  $T_{psw}$  of the low-frequency spectrum to the peak period  $T_{pws}$  of the high-frequency spectrum;  $r_{m1}$  and  $r_{m2}$  designate respectively the values of the envelope  $r(\tau)$  at the secondary minimum of ACF and at the global minimum of ACF (see Fig. 3d). Equation (12) yields  $r_m(h) \approx r_{m2}$  for  $h \gg 1$ , so as the distribution of the largest waves will be based on the global minimum of the autocorrelation function.

The asymptotic distributions are restricted to linear and second-order waves only. Higher-order corrections, such as those due to third-order nonlinear interactions in relatively narrowband long-crested waves, either generated mechanically in laboratory experiments or simulated numerically, can be accounted for by Gram–Charlier (GC) series expansions. Longuet-Higgins (1963) used for the first time series approximations to represent the nonlinearity of the sea surface displacement and its related features. Bitner (1980) demonstrated their applicability to the representation of shallow water waves and extended their use to different wave parameters, among which wave envelopes, heights and phases. Later, the series approach was more systematically applied by Tayfun and Lo (1990) and Tayfun (1994), focusing on the distributions of wave envelopes and phases of a weakly nonlinear deep water wave field. Further elaborations and applications for second- and third-order waves can be found in Mori and Janssen (2006), Tayfun and Fedele (2007), Tayfun (2008).

## Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

In particular, the Gram–Charlier wave height model used here to fit the laboratory observations is taken in the form proposed by Tayfun and Fedele (2007):

$$E_{H=2\xi}(h) = \exp\left(-\frac{h^2}{8}\right) \left[1 + \frac{\Lambda}{1024} h^2 (h^2 - 16)\right]. \quad (13)$$

At this point, it must be noted that all above introduced distributions refer to one-peak spectra, which generally describe severe sea conditions (wind-sea dominated spectrum). Moderate and low sea states, on the other hand, have often contribution by at least two wave systems (Guedes Soares, 1984; Guedes Soares and Nolasco, 1992; Lucas et al., 2011). Though the available theoretical models do not assume a second wave train, it seems that they have a broader application in their predictions, since the results show that in particular cases they represent well the data or, they can give a qualitative description of the observed tendencies.

## 5 Experimental results: probability distributions

### 5.1 Probability distributions of wave crests and troughs

The wave crests,  $\xi^+$ , are determined as the global maxima between two zero-crossings and are scaled by the local standard deviation  $\sigma$  of the 15 min segments. The wave troughs,  $\xi^-$ , on the other hand, are defined as the global absolute minima between two zero-crossings and are also scaled by the segmental  $\sigma$ . The crest and trough exceedance probabilities,  $E_{\xi^+}$  and  $E_{\xi^-}$ , respectively, are approximated by: (1) the second-order narrowband models, designated by NB in the plots (Eqs. 7 and 8); (2) the modified narrowband models including third-order corrections, designated by NB-GC (Eqs. 9 and 10), and (3) the Rayleigh form, designated by  $R$  (Eq. 4).

The second-order individual waves are expected to exhibit high steep crests and shallow flat troughs which results in positive skewness coefficient. However, as one

## Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

can see next, the experimental crests largely exceed the second-order predictions. Moreover, the troughs tend to be deeper than predicted by the second-order theory, thus corroborating the experimental results of Onorato et al. (2006b), as well as the numerical simulations of Toffoli et al. (2008) of the fully nonlinear Euler equations. Obviously, the large discrepancies can only be explained by higher order wave interactions, since the corrections due to bound modes only slightly increase the non-Gaussian statistics.

The first column of Fig. 8 illustrates the distributions of wave amplitudes for test 8228 at three locations along the basin: at the first gauge where  $A$  has minimum (Fig. 8a), at gauge 8 where the third-order statistics shows a local maximum (Fig. 8b), and at the last gauge: gauge 10 (Fig. 8c). The observed crest heights are shown as full triangles and the trough depths as full circles. It can be observed that for the smallest  $A$  (Fig. 8a) the wave crests in the midrange are largely underestimated by all models. With the distance, the wave crests show gradual improvement in the agreement with NB-GC, which is demonstrated in Fig. 8b and c. The largest crests,  $\xi^+ > 5\sigma$ , are registered by probes 4 and 8, but as one can see in Fig. 8b, the largest value is only slightly underestimated by NB-GC. The wave troughs, on the other hand, are fitted well by the NB-GC curve approximately up to  $3\sigma$  for all gauges. At gauge 1 (Fig. 8a), however, it can be assumed that  $NB \approx NB-GC$ . The empirical tail constructed of the deepest eight troughs exhibits large variation and usually corresponds to lower probabilities of exceedance than those predicted by NB-GC.

The plots in the second column of Fig. 8 illustrate the distributions for test 8229. The input spectral conditions differ from those in experiment 8228 by imposing larger intermodal distance while the sea-swell energy ratio is kept unchanged. The associated statistics are less nonlinear (see Table 4). It can be observed that both empirical and theoretical distributions become narrower. However, the pattern of change along the tank is similar to 8228. The wave crests at the first three gauges are largely underestimated by the theoretical models over the midrange ( $2\sigma-4\sigma$ ) (see Fig. 8d). This discrepancy reduces with the distance, so that the wave crests over the second

## Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

half of the gauges are in close agreement with NB-GC for  $\xi^+ \leq 4-4.5\sigma$  (Fig. 8e and f). Similarly to test 8228, the largest crests are registered again by probes 4 and 8:  $\xi^+ > 5.5\sigma$  (Fig. 8e). However, these waves look as outliers in the data sample (Fig. 8e). At the first three gauges, the troughs follow NB  $\approx$  NB-GC and afterwards NB-GC up to approximately  $3\sigma$ .

The results for the crest/trough distributions for run 8230 are shown in Fig. 9a-c. The initial sea state conditions are less steep, as compared to 8228 and 8229, and smaller third- and fourth-order statistics are observed (Table 5). In particular,  $\lambda_{40} \approx \lambda_{30}^2$  at the first probe (Fig. 9a), thus both crest and trough amplitudes can be considered well represented by the second order models (NB  $\approx$  NB-GC). However, the coefficient of kurtosis being close to Gaussian at the first probe ( $\lambda_{40} = 0.054$ ) suffers a significant change with the distance and at gauge 6 it reached the values observed for the more energetic experiments 8228 and 8229 (see Tables 3–6). This tendency is in agreement with the increasing deviations between data and predictions, especially over the second half of the gauges. The largest  $\lambda_{40}$  correspond to the biggest departure of the crest extremes from linearity (gauges 6 and 9), not illustrated here: the crest maximum approaches  $6\sigma$ , so the crest amplification index,  $CI = C_{\max}/H_s$ , along with the abnormality index,  $AI = H_{\max}/H_s$  ( $CI > 1.5$ ,  $AI > 2$ ) make the case a possible abnormal wave candidate (Tomita and Kawamura, 2000). Similar results, however, are represented by Fig. 9b and c. In Fig. 9b, one can see that the Gram–Charlier form fits perfectly all the range of troughs, but fails to predict the crests exceeding  $4\sigma$ , which keeps for all gauges over the second half. In Fig. 9c the deepest troughs are rather better predicted by  $R$ , though the extreme trough remains underpredicted. In both cases, the largest wave crest is associated with a possible abnormal wave.

The narrowest distributions belong to run 8231 which differs from 8230 by the larger intermodal distance (compare Fig. 9a–c with Fig. 9d–f) and, as can be expected, the non-Gaussian statistics are the smallest here (see Table 6). The distributions show initial widening over the first half of the gauges and then again become narrow towards the last gauge. One can assume that the wave troughs generally follow the second-

## Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

~~order NB model, with some exceptions at the extreme tail for the first three gauges: troughs deeper than  $3\sigma$  remained overestimated by all models. The crests, however, tend to be usually underpredicted by all considered models when  $\xi^+ > 3.5\sigma$ . However, over the last three gauges, NB-GC shows a reasonably good approximation to the crest data, except for the largest waves (Fig. 9e and f).~~

The results for test 8231 are suitable for comparison with results for crossing bimodal seas discussed in Petrova et al. (2013), since 8231 has the same characteristics of the individual spectral components. Though the distribution results for the crossing seas are not illustrated here, it suffices to summarize that for the same initial sea state conditions, the addition of a second wave component crossing at an angle increases the chance for deviation from the linear law away from the wave generator. For larger angles of spread, on the other hand, the distributions of wave crests and troughs are better-fitted by the second-order narrowband models. These results actually confirm the fact that the large shift between the main directions of the component wave systems in a mixed sea suppresses the modulational instability. Consequently, one encounters smaller wave amplitudes and heights at the lowest probability levels of the distribution tails, as already reported for numerically simulated waves (Onorato et al., 2006a, 2010; Shukla et al., 2006; Toffoli et al., 2011), for waves modelled from the second-order theory (Toffoli et al., 2006), or in other laboratory experiments (Toffoli et al., 2011). In terms of wave extremes, the most favourable angles of crossing were found in the range between 10 and 40°.

## 5.2 Probability distributions of wave crest-to-trough heights

Next, the exceedance probabilities of the measured wave heights,  $E_h$ , are discussed. All empirical distributions are estimated from the 15 min consecutive segments, using the Weibull plotting position formula (Goda, 2000). The height of a wave is defined as the elevation difference between the wave crest and the adjacent trough, following ~~either the up crossing or the down crossing definition. Since these differ somewhat, except for Gaussian sea, the experimental distributions are constructed by averaging~~

## Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



~~the two definitions to obtain more stable estimates. They are then normalized by the~~  
segmental  $\sigma$ . The Rayleigh form is used as a reference for the narrowband linear  
waves. It must be noted that the model of Tayfun (1990) appears in the plots as T1  
when calculated with the original parameter  $r_m$ , and as T1<sub>*h*</sub> when the parameter is  
recalculated as  $r_m = r_m(h)$  using Eq. (12). The results are plotted in Figs. 10 and 11 for  
the three locations along the tank already considered for the wave crests and troughs  
(gauges 1, 8 and 10). The wave height data are illustrated in the plots as full squares.

The first column of Fig. 10 illustrates the evolution of the wave height distribution  
along the tank for test 8228. Some characteristic distribution parameters and statistics  
are also included in the plots. It is seen in Fig. 10a (gauge 1) that though the data follow  
the Rayleigh model up to approximately  $5.5\sigma$ , the tail of the distribution is increasingly  
overestimated by it. However, along the tank the tail gradually shifts up towards larger  
probabilities. This shift is statistically justified by the increasing value of  $\Lambda$ , which is  
provided as an additional information in the plots. One can see that even for the largest  
values of  $\Lambda$  (Fig. 10b and c) the wave heights are quantitatively better described by  
 $R$ , though  $R$  slightly underestimates the tail at the last gauge (Fig. 10c). Applying the  
abnormality index of Dean (1990), it can be concluded that none of the observed wave  
height maxima for run 8228 can be classified as abnormal, since none of them exceeds  
 $8\sigma$ .

The second column of Fig. 10 shows the results for run 8229. At the first gauge  
(Fig. 10d) the distribution of Tayfun, T1, predicts most accurately the distribution  
tail:  $h > 5\sigma$ , since it reflects the larger spectral bandwidth due to the contribution of  
the low-frequency energy to the total spectrum. With the distance, the probability  
of encountering larger waves in the samples increases, though the predictions of  
the Rayleigh distribution appear always as the upper limit for the probabilities of  
exceedance. The plots in Fig. 10e and f demonstrate that  $R$  usually describes well  
the wave heights up to approximately  $6\sigma$ .

The initial conditions for test 8230 result in the largest observed deviation of the  
empirical distribution curve down the tank: the wave heights shift from the best fit

## Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

due to T1 (Fig. 11a) towards R (Fig. 11b) and subsequently towards GC-R (Fig. 11c). However, GC-R slightly overpredicts the wave height extremes. Moreover, in three of the probes during run 8230, the gauges recorded wave maxima exceeding the  $8\sigma$ -limit or being very close to it, as we can see from the examples in Fig. 11b and c, which allows classifying them as abnormal.

~~The results for test 8231 are illustrated in the second column of Fig. 11. The specific form of the associated autocorrelation functions (Fig. 3d) required the use of Eq. (12)~~ to recalculate the parameter  $r_m$  in the model of Tayfun. The recalculated model is designated as T1<sub>h</sub> in the plots. The recorded wave heights are smaller, as compared to those in run 8230, which corroborates the conclusion of Rodríguez et al. (2002) that the coexistence of two wave fields of different dominant frequencies, but similar energy content, produces a decrease in the probability of wave heights larger than the mean wave height. This effect becomes more pronounced when the intermodal distance increases. The wave heights are seen here to be well-fitted by T1<sub>h</sub> over the first half of the gauges (see Fig. 11d, as an example) and, though showing a tendency to become larger towards gauge 8 (Fig. 11e), the largest measurements eventually reduce again and are favourably predicted by T1<sub>h</sub> (Fig. 11f).

The results for the distributions of wave crests, troughs and crest-to-trough wave heights for bimodal following seas presented above agree somewhat with the results for bimodal crossing seas in Petrova et al. (2013), showing again that the wave height statistics depends on the angle between the mean directions of the component wave systems and the propagated distance. For partially common directions of the crossing wave systems ( $\theta = 60^\circ$ ), the empirical exceedance probabilities of wave heights do not in general exceed  $R$ , which somewhat corroborates the findings of Cavaleri et al. (2012). For partially opposing directions ( $\theta = 120^\circ$ ), the nonlinear statistics representative of large waves closer to the wavemaker, where the wave field energy is dominated by the swell, tend to T1<sub>h</sub>. Away from the wavemaker and further down the basin, however, the third-order nonlinearities become significant, especially at the last four gauges. The distribution pattern at these gauges shows agreement with the GC-R

## Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



over the range of the largest waves. It has been observed here that run 8231, used for direct comparison with the crossing sea tests, yields the narrowest distributions, thus the smallest deviations from the linear approximations, and is always overestimated by the Rayleigh law, as expected (Longuet-Higgins, 1983).

## 6 Removing the second- and third-order bound wave effects from the surface profile

The procedure of Fedele et al. (2010) has been applied to remove the second- and third-order bound-wave contributions from the recorded surface elevation  $\eta$ . The non-skewed surface profile is obtained as

$$\tilde{\eta} = \eta - \frac{\beta}{2} (\eta^2 - \hat{\eta}^2) + \frac{\beta^2}{8} (\eta^3 - 3\eta\hat{\eta}^2) + O(\beta^3) \quad (14)$$

where  $\hat{\eta}$  = Hilbert transform of  $\eta$  and  $\beta$  = parameter to be determined so that  $\langle \hat{\eta}^3 \rangle = 0$ .

The above procedure thus provides a way to assess the relevance of third-order nonlinearity due to free waves only. In particular, the vertical asymmetry in the wave profile is basically attributed to second-order bound harmonics and is expressed statistically by the positive skewness of the sea surface probability density function (see Tayfun, 2008 for removing the second-order asymmetry only). Consequently, removing the vertical asymmetry due to both second- and third-order bound wave modes (the second and third terms in Eq. 14, respectively) leaves only the symmetric corrections to the free surface due to third-order free waves, which is statistically reflected by the positive coefficient of kurtosis.

In the following, Eq. (14) is applied to generate the non-skew surface profiles for run 8230 at the three locations which have been considered in Sect. 5 when presenting the results for the distributions of wave crests, troughs and crest-to-trough wave heights (gauges 1, 8 and 10). The test 8230 is the only experimental run from those mixed following sea runs where some of the largest registered waves can be classified as

## Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



## Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

abnormal with respect to the abnormality index. The first considered location is gauge 1 where the wave crests and troughs show agreement with the second-order distribution models while the wave heights remain largely overestimated by  $R$  (Figs. 9a and 11a). The second example is based on the measurements at gauge 8, where NB-GC models have better predictive abilities, in particular for the wave troughs (Figs. 9b and 11b), the largest observed wave height exceeds  $8\sigma$ , but is inconsistent with the rest of the sampled waves which do not exceed  $R$ . The third case is based on the record at gauge 10 where the fourth-order statistics are the largest, the maximum wave is also classified as rogue in terms of the abnormality ratio, but the long distribution tail shows a set of relatively large waves (Figs. 9c and 11c).

The plots in the first column of Fig. 12 illustrate segments of the nonlinear surface profiles around the largest crests for each of the three considered cases (thin black line), the second-order corrections extracted from them (dashed red line) and the resulting non-skew wave profiles (empty circles). The third-order corrections, though removed, are not presented in the plots, since they appear to be of order  $O(10^{-1})$  at the maximum crest as compared to the second-order calculations, so they are hardly distinguishable from the mean water level. The plots in the second column of Fig. 12 illustrate the respective distributions using the non-skewed series and their comparison with the relevant theoretical models. The wave heights are designated as full squares, the wave crests – as full triangles and the wave troughs – as full circles. It must be noted that the wave analysis regarding the non-skewed surface  $\tilde{\eta}$  is based again on 15 min segments, so that the wave parameters are scaled by the segmental standard deviation, which is practically the same as the standard deviation of the original series  $\eta$ . As a result of the applied procedure, the coefficient of skewness of  $\tilde{\eta}$  gets practically zero, as well as  $\mu$ ; the fourth-order averages  $\lambda_{40}$  and  $\lambda_{22}$  reduce largely, while  $\lambda_{04}$  remains nearly the same. As a result, the recalculated  $\Lambda$  is also smaller.

The non-skew wave crests (full triangles) and troughs (full circles) in Fig. 12d follow the Rayleigh curve fairly well. This implies symmetry of the wave profile around the mean water level. The wave height exceedance distribution remains



lower than for mixed crossing seas with identical initial spectral characteristics. This is obvious when comparing the mixed crossing seas with the results for test 8231. When the two wave systems propagate in the same direction,  $A$  hardly reaches maximum of 0.6 at gauge 8, while for any of the mixed crossing seas this parameter attains much larger values, e.g. for  $\theta = 90^\circ$   $A$  exceeds 0.9 at the last four gauges; for  $\theta = 120^\circ$   $A$  exceeds 1 at the last two gauges.

The results on the distribution of wave heights for tests 8230 and 8231 corroborate the conclusion of Rodríguez et al. (2002) that the coexistence of two wave systems of different dominant frequencies, but similar energy contents, results in reduction of the probability for wave heights larger than the mean and this effect gets more pronounced when the intermodal distance increases.

It has been observed that the high-frequency spectral counterpart for both following and crossing seas shows decrease of the peak magnitude and downshift of the peak with the distance, as well as reduction of the spectral tail, which is typical for the evolution of spectra when modulational instability takes place. This result allows associating the increasing probability for abnormal waves along the tank with possible Benjamin–Feir instability favoured by the steep narrowband conditions over the high-frequency range of the spectrum.

The results from removing the second- and third-order bound wave effects from the nonlinear surface profiles show that in some cases away from the wave generator the wave parameters of the non-skewed profiles continue deviating largely from the linear predictions, which proves the need of using higher-order models for the description of the wave data when free-wave interactions become relevant.

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## Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

## References

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## Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

## Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

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## Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

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## Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

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## Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



**Nonlinear probability  
distributions of  
waves**

P. G. Petrova and  
C. Guedes Soares

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

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# Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

**Table 1.** Target characteristics of the mechanically generated bimodal sea states.

Mixed seas	Test	$H_s$ (m)	$T_p$ (s)	Spectrum	Wave dir $\theta$ ( $^\circ$ )	Wavemaker
Following	8228	4.6/2.3	7/14	2P J3/J3	0/0	BM2
	8229	4.6/2.3	7/20	2P J3/J3	0/0	BM2
	8230	3.6/3.6	7/14	2P J3/J3	0/0	BM2
	8231	3.6/3.6	7/20	2P J3/J3	0/0	BM2
Crossing	8233	3.6/3.6	7/20	2P J3/J3	0/60	BM2/BM3
	8234	3.6/3.6	7/20	2P J3/J3	0/120	BM2/BM3
	8235	3.6/3.6	7/20	2P J3/J3	0/90	BM2/BM3

[Title Page](#)
[Abstract](#)
[Introduction](#)
[Conclusions](#)
[References](#)
[Tables](#)
[Figures](#)
[◀](#)
[▶](#)
[◀](#)
[▶](#)
[Back](#)
[Close](#)
[Full Screen / Esc](#)
[Printer-friendly Version](#)
[Interactive Discussion](#)

# Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

**Table 2.** Characteristics of the individual spectral components.

$H_s$ (m)	$T_p$ (s)	$L_p$ (m)	$d/L_p$	$k_p d$	$\varepsilon = k_p \sigma$	$\Delta$	BFI
3.6	7	76.5	1.31	8.213	0.074	0.09	1.04
3.6	14	306.0	0.33	2.053	0.019	0.05	0.23
3.6	20	624.5	0.16	1.006	0.009	0.03	0.13
4.6	7	76.5	1.31	8.213	0.095	0.09	1.33
2.3	14	306.0	0.33	2.053	0.012	0.05	0.15
2.3	20	624.5	0.16	1.006	0.006	0.03	0.09

[Title Page](#)
[Abstract](#)
[Introduction](#)
[Conclusions](#)
[References](#)
[Tables](#)
[Figures](#)
[I◀](#)
[▶I](#)
[◀](#)
[▶](#)
[Back](#)
[Close](#)
[Full Screen / Esc](#)
[Printer-friendly Version](#)
[Interactive Discussion](#)

# Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

**Table 3.** Overall statistical averages from 15 min segments for 8228:  $H_s = 4.6/2.3$  m,  $T_p = 7/14$  s.

Gauge	$\sigma$	$\lambda_{30}$	$\lambda_{40}$	$\lambda_{04}$	$\lambda_{22}$	$\Lambda$
1	1.339	0.267	0.165	0.009	0.029	0.233
2	1.291	0.225	0.296	0.156	0.076	0.603
3	1.257	0.225	0.355	0.153	0.085	0.678
4	1.265	0.228	0.410	0.178	0.098	0.785
5	1.256	0.219	0.308	0.171	0.080	0.638
6	1.240	0.222	0.353	0.183	0.090	0.717
7	1.225	0.218	0.321	0.172	0.083	0.659
8	1.206	0.206	0.465	0.372	0.140	1.116
9	1.194	0.200	0.380	0.310	0.115	0.920
10	1.170	0.212	0.498	0.364	0.144	1.150

[Title Page](#)
[Abstract](#)
[Introduction](#)
[Conclusions](#)
[References](#)
[Tables](#)
[Figures](#)
[◀](#)
[▶](#)
[◀](#)
[▶](#)
[Back](#)
[Close](#)
[Full Screen / Esc](#)
[Printer-friendly Version](#)
[Interactive Discussion](#)

# Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

**Table 4.** Overall statistical averages from 15 min segments for 8229:  $H_s = 4.6/2.3$  m,  $T_p = 7/20$  s.

Gauge	$\sigma$	$\lambda_{30}$	$\lambda_{40}$	$\lambda_{04}$	$\lambda_{22}$	$\Lambda$
1	1.396	0.240	0.107	−0.023	0.014	0.112
2	1.354	0.200	0.079	0.021	0.017	0.135
3	1.323	0.229	0.236	0.067	0.051	0.404
4	1.289	0.199	0.325	0.146	0.079	0.629
5	1.253	0.187	0.324	0.247	0.095	0.761
6	1.243	0.199	0.366	0.241	0.102	0.810
7	1.226	0.238	0.340	0.190	0.089	0.707
8	1.223	0.164	0.431	0.355	0.131	1.048
9	1.238	0.135	0.288	0.246	0.089	0.712
10	1.183	0.171	0.359	0.245	0.101	0.807

[Title Page](#)
[Abstract](#)
[Introduction](#)
[Conclusions](#)
[References](#)
[Tables](#)
[Figures](#)
[◀](#)
[▶](#)
[◀](#)
[▶](#)
[Back](#)
[Close](#)
[Full Screen / Esc](#)
[Printer-friendly Version](#)
[Interactive Discussion](#)



# Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

**Table 5.** Overall statistical averages from 15 min segments for 8230:  $H_s = 3.6/3.6$  m,  $T_p = 7/14$  s.

Gauge	$\sigma$	$\lambda_{30}$	$\lambda_{40}$	$\lambda_{04}$	$\lambda_{22}$	$\Lambda$
1	1.299	0.155	0.054	−0.001	0.009	0.071
2	1.260	0.155	0.142	0.075	0.037	0.292
3	1.237	0.143	0.182	0.166	0.058	0.465
4	1.231	0.167	0.218	0.124	0.057	0.456
5	1.207	0.109	0.249	0.184	0.072	0.577
6	1.192	0.220	0.357	0.205	0.094	0.751
7	1.184	0.158	0.136	0.109	0.041	0.327
8	1.181	0.177	0.282	0.160	0.074	0.591
9	1.170	0.140	0.360	0.229	0.099	0.788
10	1.158	0.156	0.305	0.198	0.084	0.671

[Title Page](#)
[Abstract](#)
[Introduction](#)
[Conclusions](#)
[References](#)
[Tables](#)
[Figures](#)
[◀](#)
[▶](#)
[◀](#)
[▶](#)
[Back](#)
[Close](#)
[Full Screen / Esc](#)
[Printer-friendly Version](#)
[Interactive Discussion](#)

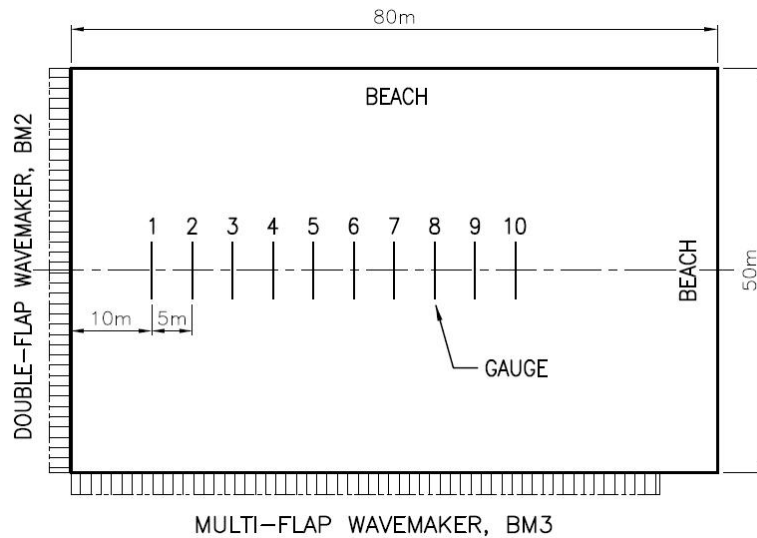

# Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

**Table 6.** Overall statistical averages from 15 min segments for 8231:  $H_s = 3.6/3.6$  m,  $T_p = 7/20$  s.

Gauge	$\sigma$	$\lambda_{30}$	$\lambda_{40}$	$\lambda_{04}$	$\lambda_{22}$	$\Lambda$
1	1.448	0.123	−0.022	−0.063	−0.014	−0.112
2	1.423	0.139	0.029	−0.083	−0.009	−0.071
3	1.428	0.138	0.000	−0.037	−0.006	−0.048
4	1.364	0.181	0.100	0.043	0.024	0.191
5	1.313	0.126	0.118	0.126	0.041	0.327
6	1.285	0.096	0.172	0.094	0.045	0.355
7	1.273	0.150	0.199	0.163	0.060	0.482
8	1.258	0.124	0.188	0.237	0.071	0.567
9	1.238	0.123	0.101	0.158	0.044	0.347
10	1.193	0.069	0.113	0.208	0.054	0.429

[Title Page](#)
[Abstract](#)
[Introduction](#)
[Conclusions](#)
[References](#)
[Tables](#)
[Figures](#)
[◀](#)
[▶](#)
[◀](#)
[▶](#)
[Back](#)
[Close](#)
[Full Screen / Esc](#)
[Printer-friendly Version](#)
[Interactive Discussion](#)

**Fig. 1.** Sketch of the ocean basin facility and test equipment at Marintek.

## Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

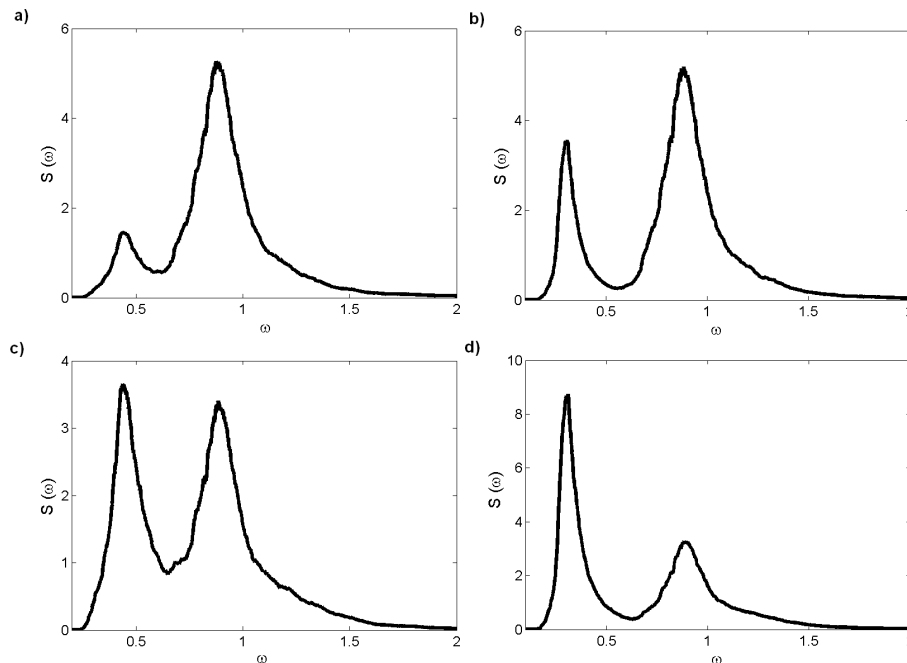
Full Screen / Esc

Printer-friendly Version

Interactive Discussion

## Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares



**Fig. 2.** Wave spectra at the first probe for mixed following seas: **(a)** 8228, **(b)** 8229; **(c)** 8230 and **(d)** 8231.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

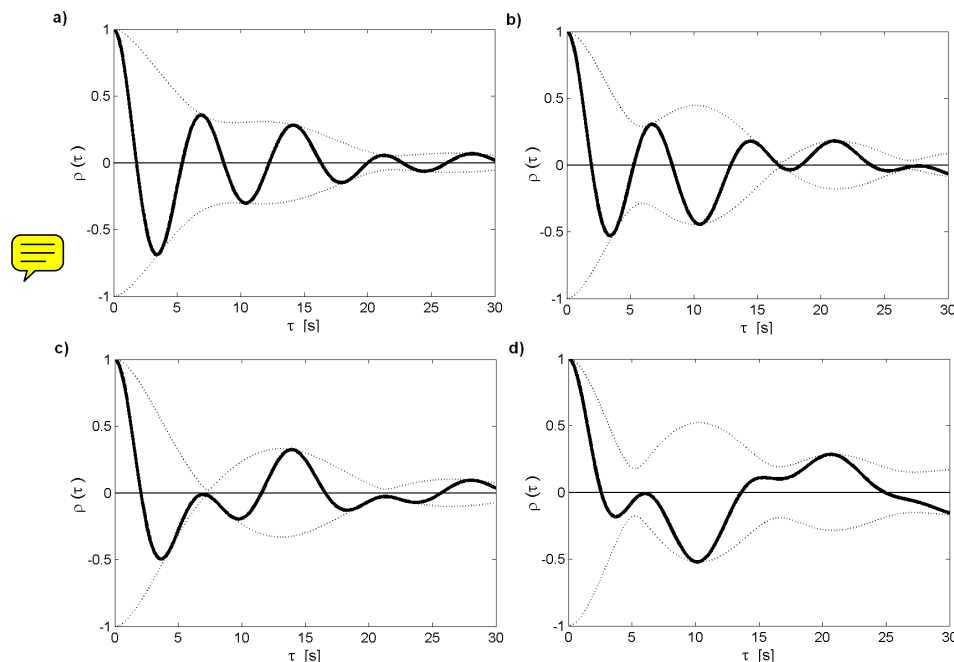
Full Screen / Esc

Printer-friendly Version

Interactive Discussion

# Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares



**Fig. 3.** Autocorrelation functions associated with the wave spectra in Fig. 2: **(a)** 8228, **(b)** 8229; **(c)** 8230 and **(d)** 8231.

[Title Page](#)
[Abstract](#)
[Introduction](#)
[Conclusions](#)
[References](#)
[Tables](#)
[Figures](#)
[◀](#)
[▶](#)
[◀](#)
[▶](#)
[Back](#)
[Close](#)
[Full Screen / Esc](#)
[Printer-friendly Version](#)
[Interactive Discussion](#)

## Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

Title Page

## Abstract

## Introduction

## Conclusions

## References

## Tables

## Figures



▶

▶

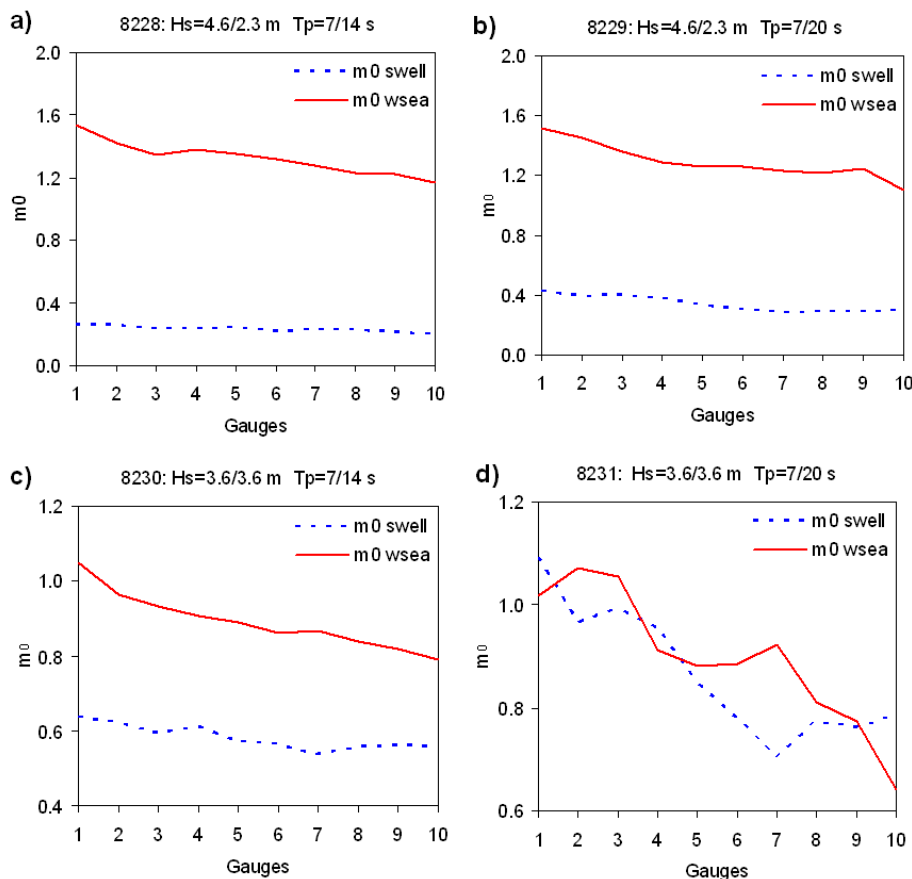
[Back](#)

Close

Full Screen / Esc

[Printer-friendly Version](#)

## Interactive Discussion



**Fig. 4.** Following seas: variation with the distance of the spectral energies of the wind sea and swell components.

# Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

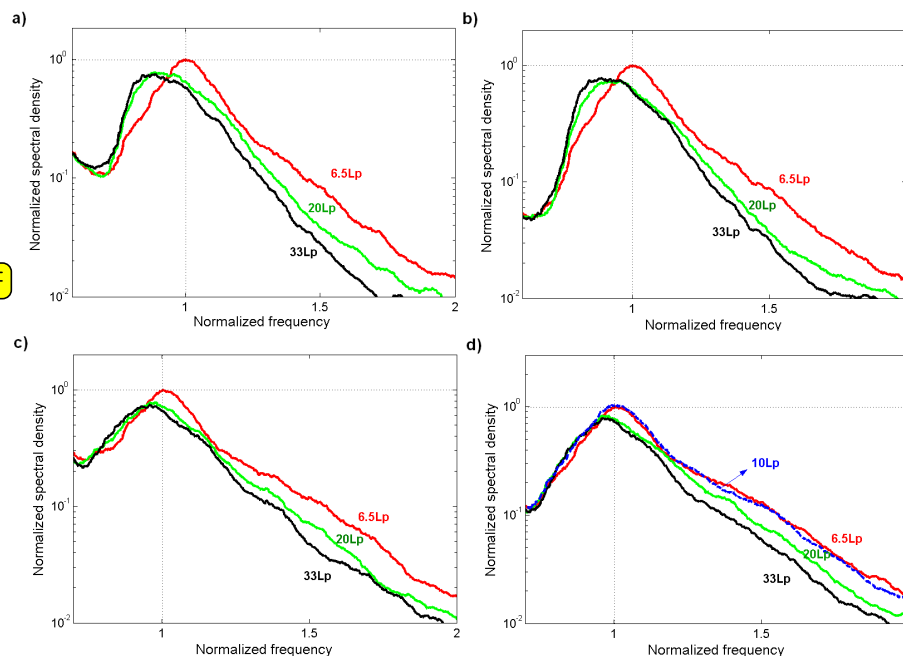
Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



**Fig. 5.** Evolution along the tank of the high-frequency spectral counterparts of the mixed following seas: **(a)** 8228; **(b)** 8229; **(c)** 8230 and **(d)** 8231.

# Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

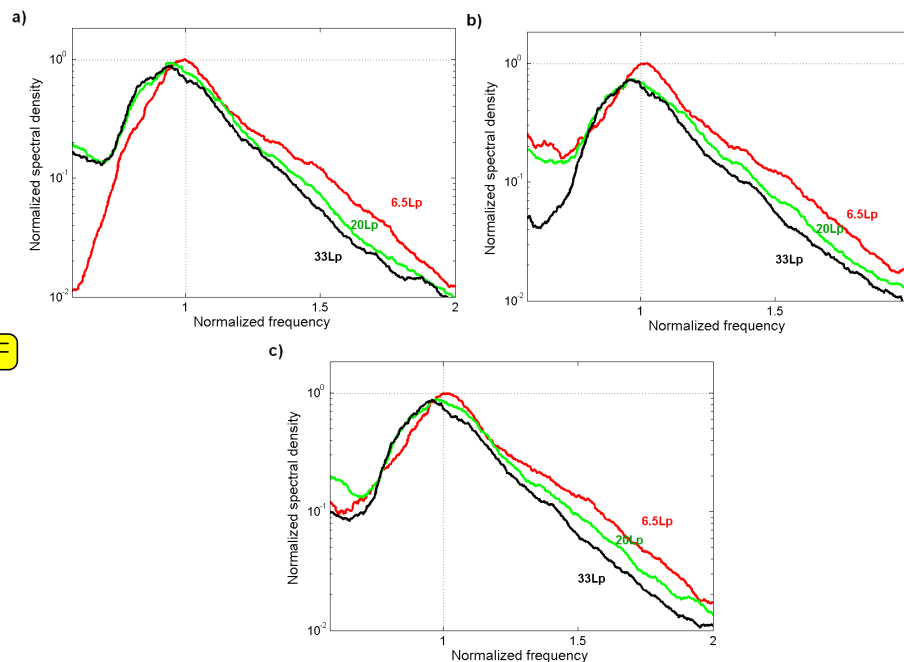
Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



**Fig. 6.** Evolution along the tank of the high-frequency spectral counterparts of the mixed crossing seas: **(a)**  $\theta = 60^\circ$ ; **(b)**  $\theta = 120^\circ$  and **(c)**  $\theta = 90^\circ$ .



# Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

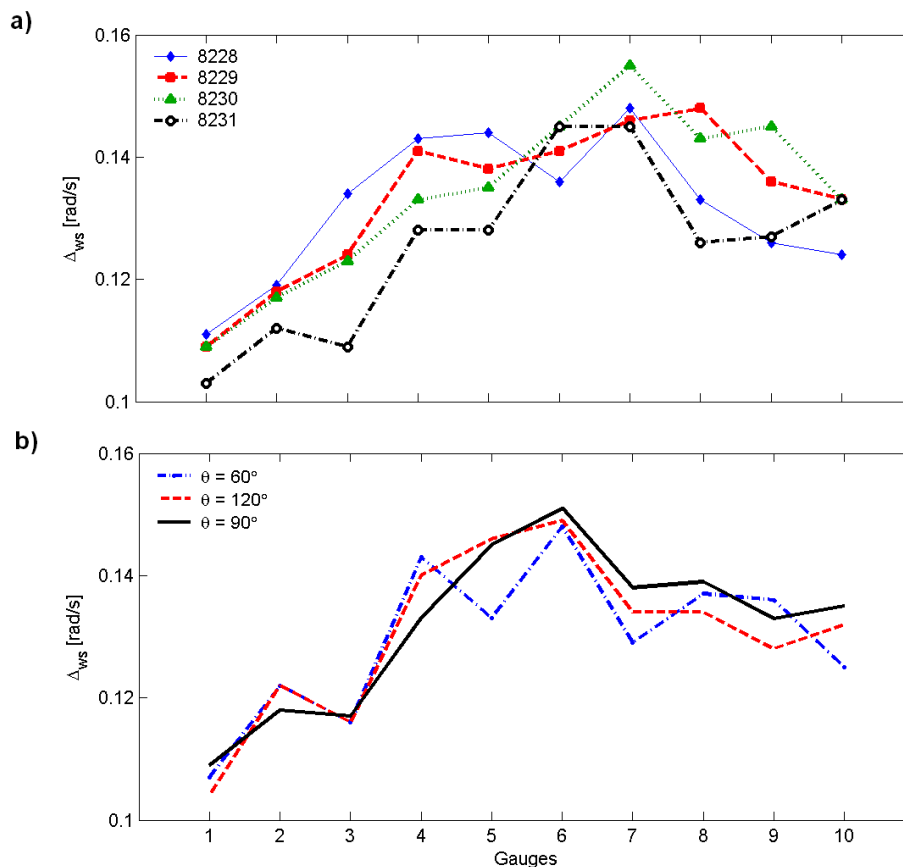
Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



**Fig. 7.** Changes in the width of the wind sea spectral component with the distance for: **(a)** following; **(b)** crossing mixed seas.

# Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

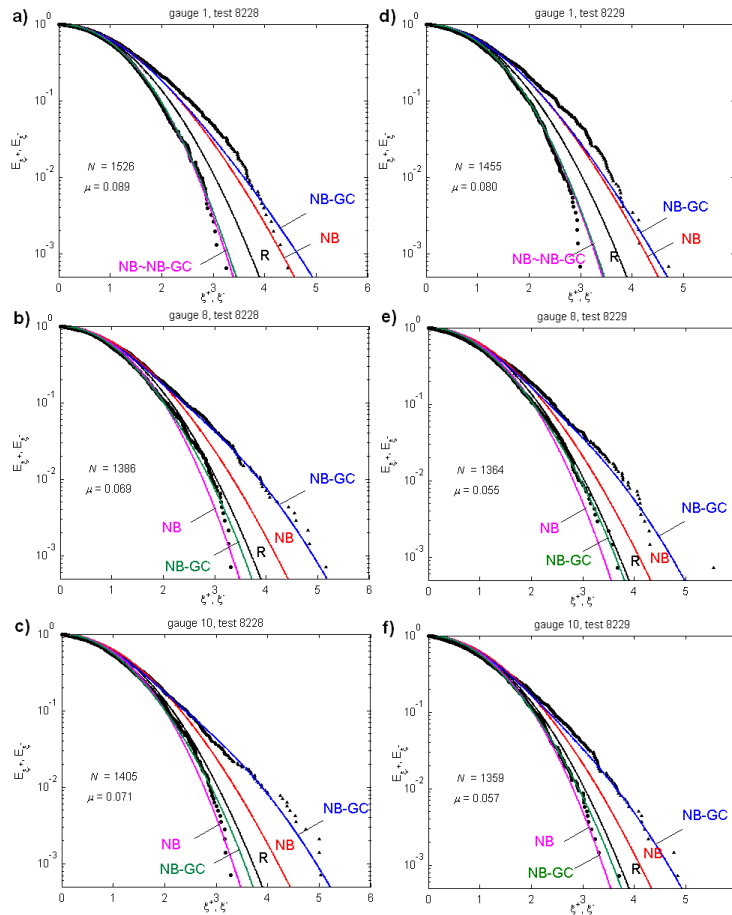
Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



**Fig. 8.** Following seas: distributions of wave crests and troughs along the tank for test 8228 (column 1) and 8229 (column 2).

# Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

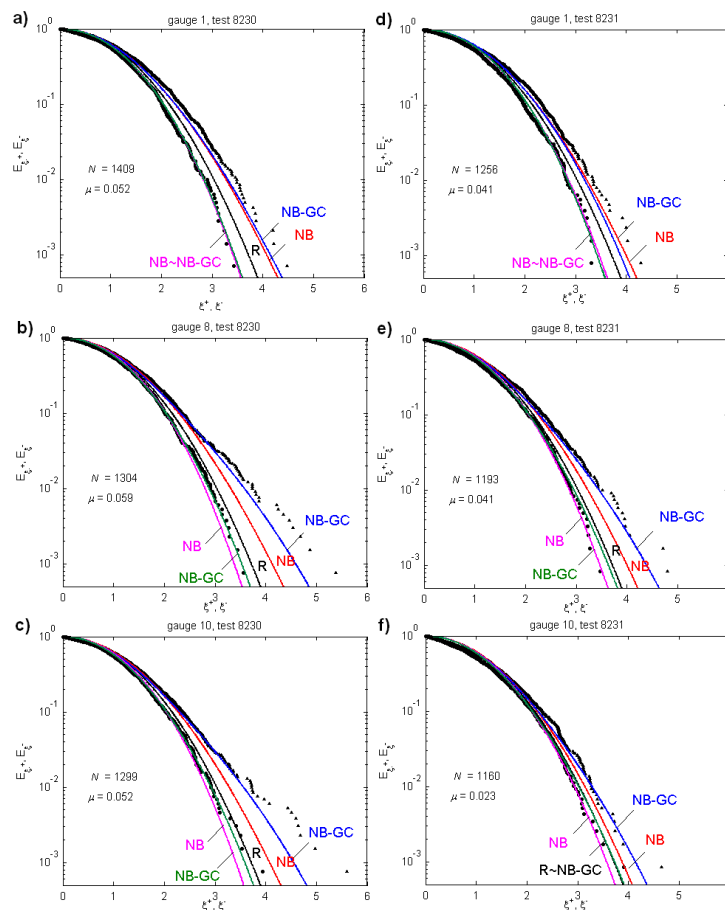
Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



**Fig. 9.** Following seas: distributions of wave crests and troughs along the tank for test 8230 (column 1) and 8231 (column 2).

# Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

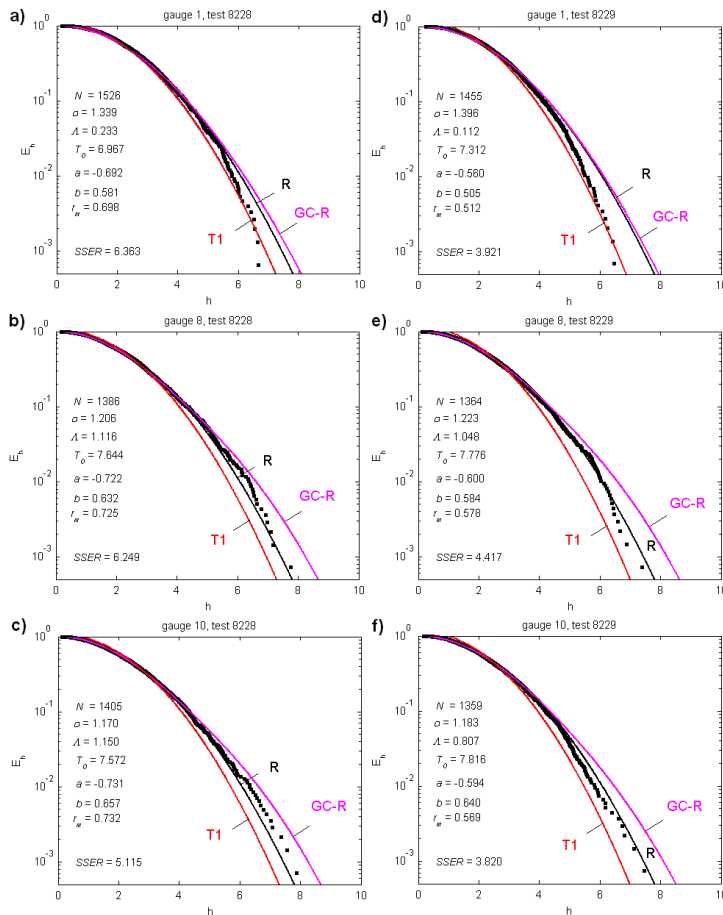
Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



**Fig. 10.** Following seas: distribution of wave heights along the tank for test 8228 (column 1) and 8229 (column 2)

# Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

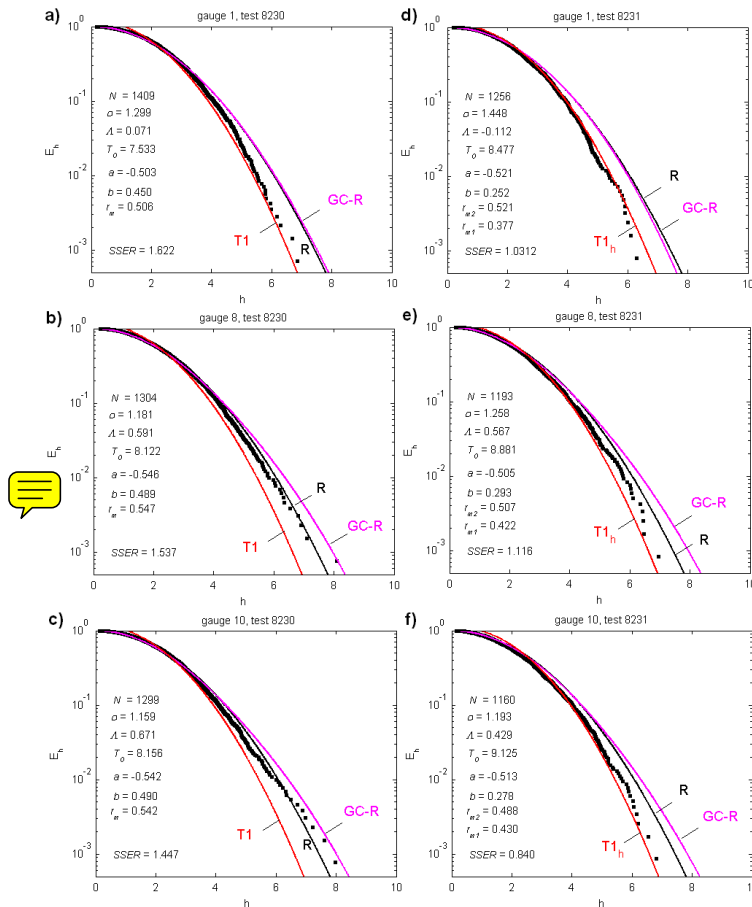
Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



**Fig. 11.** Following seas: distribution of wave heights along the tank for test 8230 (column 1) and 8231 (column 2).

# Nonlinear probability distributions of waves

P. G. Petrova and  
C. Guedes Soares

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

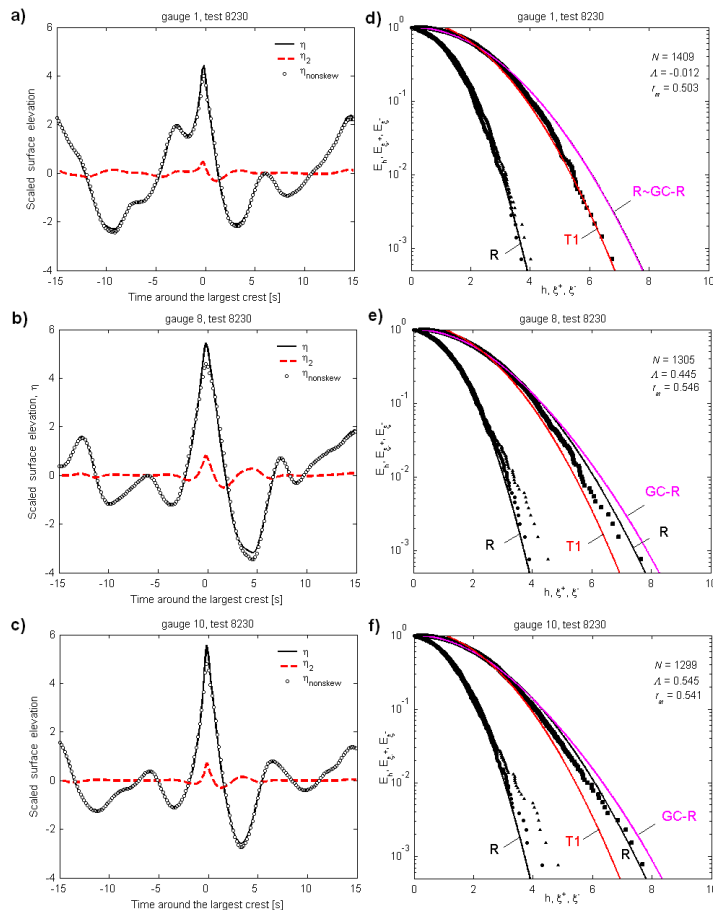
Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



**Fig. 12. (a–c)** Non-linear surface profile around the largest crest (thin line), second-order corrections (dashed line) and non-skew profile (circles); **(d–f)** distributions of crests, troughs and heights extracted from the non-skew surface series.