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Application and prospect of high-resolution remote sensing and geo-information system in estimating earthquake casualties

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Abstract

An accurate estimation of the number of casualties can help respond earthquake disaster and increase the number of survivors. Building damage is considered as the major cause of earthquake casualties in many developing countries. The high-resolution satellite imagery (HRSI) can be used to detect the damage of buildings in a short time. This advantage provides the possibility to estimate the earthquake casualties immediately after earthquake. With respect to capability of HRSI, this paper builds a new model for estimating the casualty number in an earthquake disaster based on the attributes and damage of buildings. Three groups of earthquake data, 2003 Bam earthquake, 2008 Wenchuan earthquake and 2010 Yushu earthquake, were used to evaluate our proposed model. The estimating results indicate that the model has improved the accuracy significantly. Meanwhile, the parameters in model should be different from earthquake between developed and developing countries. This study can provide valuable information to help develop an efficient rescue operation.

1 Introduction

Estimating human losses due to earthquakes in real-time and scenario mode is becoming more necessary as the world population increases and concentrates in town and city dramatically (Wyss and Trendafiloski, 2011). In recent years, earthquakes that caused great damage have occurred frequently all over the world, such as the 2008 Wenchuan earthquake (der Hilst, 2008), the 2009 L'Aquila earthquake (Ameri et al., 2009), the 2010 Chile earthquake (Lay et al., 2010), the 2010 Haiti earthquake (Daniell et al., 2013), the 2010 Yushu earthquake (Ni et al., 2010), the 2011 Japan Great earthquake (Mimura et al., 2011) and the 2013 Ya'an earthquake (Tang and Zhang, 2013). In this situation, the prevention and relief of earthquake drew a great deal of attention. Building damage is the main contributor to earthquake casualty except some countries, such as Japan (Yamazaki et al., 1996). Compared to developed countries, buildings hit

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by an earthquake in developing countries are damaged more seriously, thus more casualties were caused by building damage in developing regions. This is because most of buildings in developed countries were designed to withstand quakes, such as buildings in 1994 Northridge earthquake (Peek-Asa et al., 1998), while there are still a great number of buildings in developing countries were not designed to seismic standard mainly due to the lack of funds (Kenny, 2012). The lack of earthquake knowledge worsens the situation further. Therefore, in the present stage, a high efficient rescue plan which needs the support from all source (Brunner et al., 2009) can largely increase the number of survivors after an earthquake that happen in developing countries (Zhang et al., 2012).

Among all rescue activities, casualty estimation is the primary information to support the design of rescue plan. The less time is used to prepare for the rescue, the more people can be saved. Many methodologies were developed to estimate the earthquake casualties in the past. In 1977, Anagnostopoulos and Whitman suggested a method to estimate casualties. In their study, they thought building type, time when earthquake occurs, population distribution affected the casualty number and then proposed an estimating model, but no real case was discussed (Anagnostopoulos and Whitman, 1977). In 1989, Tiedemann emphasized the quality of building was a very critical factor affecting the number of casualties. The final number of casualties could be calculated from the earthquake intensity, the time when the earthquake occurs, the season of the year, the influence of any warning and local habits (Tiedemann, 1989). Study of Coburn not only emphasized the same factors but also make a preliminary statistics (Coburn, 1994). Shiono built a functional relationship between collapse rate and fatality rate. Several earthquakes were discussed in his study and reported the casualty functional relationships of each earthquake were not same (Shiono, 1995). Therefore, there was not a common model to estimate earthquake casualties. Due to technical restriction, predicting earthquake casualties only could be used to assess the loss caused by earthquake at that time. These results did not show obvious help for earthquake relief. As the information techniques were widely used in the early years of the 21st

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Century. Real-time prediction of earthquake casualties was discussed by Max Wyss. He used information of local shaking intensity to calculated the damage of buildings and then estimated the casualties (Wyss, 2004). Based on this theory, a framework was built (Jaiswal et al., 2011). Because of the geographic differences, Aghamohammadi et al. started to use the machine-learning method to build casualties estimation model (Aghamohammadi et al., 2013). Besides, the direct methods and some factors relating with the casualty were also discussed (Gutiérrez et al., 2005; Petal, 2011; Wyss and Trendafiloski, 2011).

Previous researches can contribute much to the prevention of earthquake, but new technique must be introduced to help the relief of earthquake. Earthquakes don't cause deaths, buildings do (Petal, 2011). If we can know the damage condition of buildings immediately after earthquake, the accuracy of casualty estimation in a short time can be improved much. Recent studies have used high-resolution satellite imagery (HRSI) to detect the height change of one building after earthquake in a region (Teeuw et al., 2013; Lu et al., 2013; Tong et al., 2013; Huang et al., 2013) owing to its large coverage, lower prices, short revisit time, adaptable capability of stereo imaging (Tao et al., 2004; Tack et al., 2012).

As the development of society, people-oriented research will be paid more attention. However, most researches of remote sensing just focused on the change of geological landscape and access the risk or the loss caused by earthquake (Dell'Acqua et al., 2011; Ehrlich et al., 2010; Dekker, 2011). Results of remote sensing should be used to improve the quality of the living of human being. For this reason, this paper built a casualty estimation model based on remote sensing. Compared to other existing methods, the advantages of the proposed model was built from the mechanism of casualty rather than simple machine learning method (Aghamohammadi et al., 2013) or fitting method (Feng et al., 2013). Besides a potential high accuracy of estimation, a deep analysis of casualty mechanism in different countries can also be achieved with quantitative evidence. Based on our experience on the scene of earthquake, it is valuable to know the change of survivor number in the scene. Therefore, the change

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was also discussed in this study based our proposed model. Three sets of earthquake data were used to evaluate our model. The detailed steps of modeling and problems that arose during the process were illuminated in the Sect. 2.

5 The remainder of this paper is organized as follows. In Sect. 2, we describe our model carefully and propose a framework of casualty estimation. The model was evaluated and discussed with real earthquake data in Sect. 3. Finally, in Sect. 4 a conclusion is made

2 Methods and data

10 This model was constituted by 3 parts (Fig. 1). In the first part, The HRSIs covering the affected area were collected. In idea situation, the DI (Damage Index) of one building was calculated by the high change of the pixel on a building before and after a earthquake. Due to the reasons such as the resolution of images and so on. Other alternative methods, such as visual interpretation (Shalaby and Tateishi, 2007), automatic (Benz et al., 2004) were appropriate methods. In the second part, attributions
15 of buildings including materials and structure were collected from local GIS database and used to calculate the MSI (Materials and Structure Index) of buildings. In the third part, a casualty estimation model based on MSI and DI was proposed. To evaluate the model, 3 sets of data of earthquake were used. The whole process was described in next sections.

2.1 Methods

2.1.1 Damage detection

20 The damage level of one building can be classified into 6 groups (Yano et al., 2004; Barbat et al., 2008), shown in Table 1. However, the classification was based on the field survey. Does the classification agree with the damage grade detected by remote sensing? The three reports of Wang et al. (2013), Hisada et al. (2005), and Yamazaki
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et al. (2005) addressed this issue. The Kappa value between field survey and HRSI increased, from 0.2 to 0.55, if we clustered damage condition of building into three groups rather than five group. D5 and D4 belong to two groups, respectively. Damage grade less than D4 are grouped. The three kinds of damage condition could be distinguished using HRSI. In the view of casualty estimation, damaged buildings belong to
5 D4 and D5, are the major determinants of injury and mortality in an earthquake in developing countries. Factors that cause the casualties in other classification of damaged buildings are various very much, even some casualties were not caused by building damaged. This situation occurred more in developed counties. Without a very detailed
10 epidemiological statistics, the regularity of casualties from D0 to D3 buildings was hard to sum up. Therefore, this study only focused on the D4 and D5 buildings and used the method mentioned in Tong et al. (2012), including visual Interpretation (Gamba and Casciati, 1998; Saito et al., 2004), automatic classification (Turker and Sumer, 2008) and DEM differences (Turker and Cetinkaya, 2005), to evaluate the damage grade. The
15 visual interpretation is a directly method to assess the damaged condition of buildings from 2-D remote sensing images, It, though has high accuracy, needs more time. The automatic classification based on the spectral band and textural feature of buildings utilizes a variety of information tools to assess the damaged condition of buildings. The DEM difference was a new method and had a high accuracy. At the first step, the DEM
20 of pre-earthquake was generated by points cloud (Ma, 2005) which were marked on the digital topographic map, if the resolution of images before earthquake were not high enough to generate the digital evaluation model (DEM). Otherwise the DEM of pre-earthquake was generated by 3-D HRSI (Feng et al., 2013; Tong et al., 2012). The DEM of post-earthquake must be generated by 3-D HRSI, for there won't be the digital
25 topographic map of post-earthquake. From the height change of points belonging to a same DEM between pre- and post-earthquake, the damage grade of one building was calculated. If the decrease of nearly all points of one building were more than 80 %, the building belongs to D5. If the decrease of some points of one building were more than 60 % and less than 80 %, the building belongs to D4. The DI were calculated

could reduce the increasing rate of casualty. However, the change of survival rate of each kind of buildings was different. During the time when earthquake were attacking, about 40 % to 60 % people died or were harmed seriously in a very short time. The rate was various and depended on the attribution and the damage grade of buildings. The survival rate decreased as time went. Using the value of final casualty rate calculated from and the Eq. (5), the changes of survival rate of different buildings in collapsed state and damaged state were plotted in Figs. 4 and 5, respectively. When buildings collapsed, the change of survival rate of each kinds of buildings was very different. For instance, the survival rate of gravel structure buildings remained at a low level from the beginning, while the survival rate of wooden framework with different infill walls remained a relative high level; and the survival rate of low quality reinforced concrete shear walls changed much from the beginning to the end. Under the limitation of earthquake relief materials and personnel in the disaster areas, the change of survival rate of each kind of collapsed building should be considered when administrators designed the rescue plan. When buildings in damaged state, the change of survival rate of each kind of buildings were nearly similar and the survival rate remained at a high level. Though some occupants did not escape from buildings when the earthquake were attacking, they may still be unharmed. After the attack of earthquake passed, many occupants who were hardly hurt could save themselves on their own effort. Compared to other factors, such as the traffic line and so on, the areas filled with damaged buildings had less weight value. The change of survival of buildings was helpful, especially in initial stage of earthquake relief. In the disaster areas of earthquake, the relief goods and rescuer were in shortage in the beginning (Li et al., 2013). The crisis last for one or two days. It is very important to decide where are the emergency sites. The fate of trappers changed along with the decision of administrator. Weight of sites would change along with factors, such as the arrival of more relief goods and rescuer and the clear of road. It is crucial to know the change of survival rate at each stage.

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3.4 Advantage and disadvantage of the model

Casualty estimation helps administrators properly respond this crisis and limit its impacts and losses. This study based on the mechanism of casualty proposed a model to estimate the casualty number in a short time with the help of remote sensing. To achieve this model, we decomposed the problem into several smaller questions in each step along with timeline. The final question was solved by integrating the solutions of small questions. Compared with other methods (Table 3), methods of this study had some advantages. The methods used in our model are similar with the work of Aghamohammadi et al. (2013). Compared to the “black box” mentioned in Aghamohammadi et al. (2013), we illuminated the meaning of each parameter in our model. And the parameter could be modified accordance with the actual situation. Using this methods in this study, the casualties could be estimated in two days, even less time. We used 3 cases to confirm the effectiveness of our model. From the results of 3 numerical simulation experiments, the difference between the estimation and the actual counts of some cases was lest among all methods. It may be that the characteristics of the three place were highly similar, such as less developed, high population density, most of building without seismic design. If the model were used to estimate the casualties in developed counties, the parameters should be corrected according to actual situations, or the estimating count will differ much from actual casualties. During the literature review, we found most of literatures just reported from their point of view. Therefore, based on our model, we suggested a general data-input standard that might be essential to a statistical part of a report regarding earthquake casualty. This work might help the epidemic researchers make a more useful and practical report and let their work contribute more to the earthquake relief. The model is required to improved when it would be used in developed countries. Because the datasets that were available to solve the model were insufficient, we did not improve the model. From some literature that reported the earthquake in developed countries, we have found that even the buildings were damaged seriously the casualty number was relative lower

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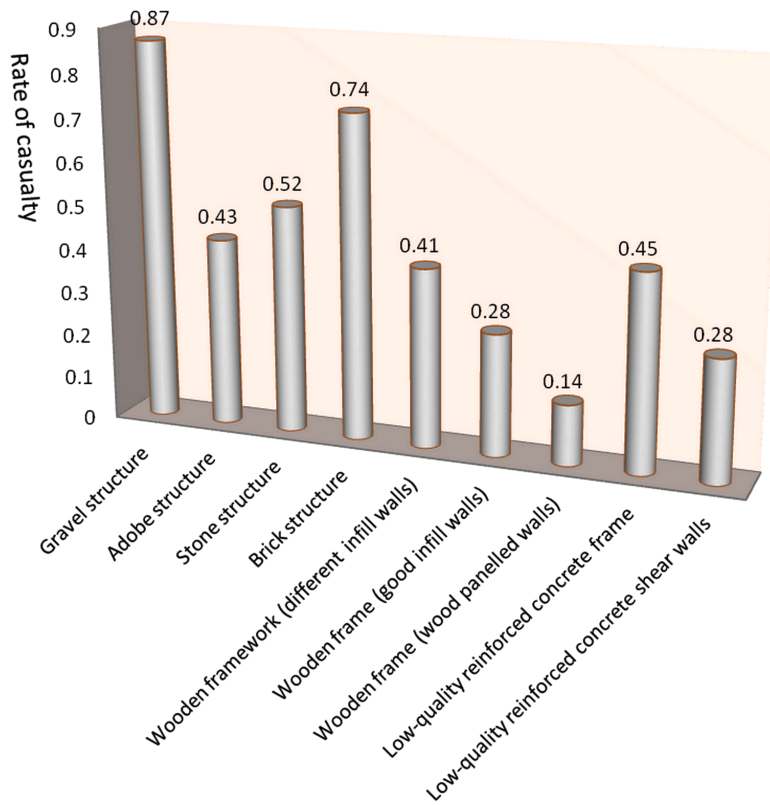


Fig. 2. Death rate of different material collapsed buildings.

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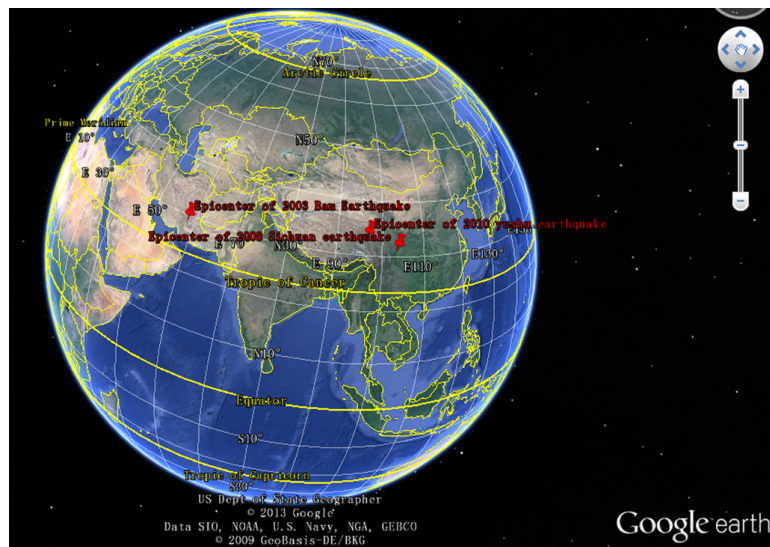


Fig. 3. The study areas.

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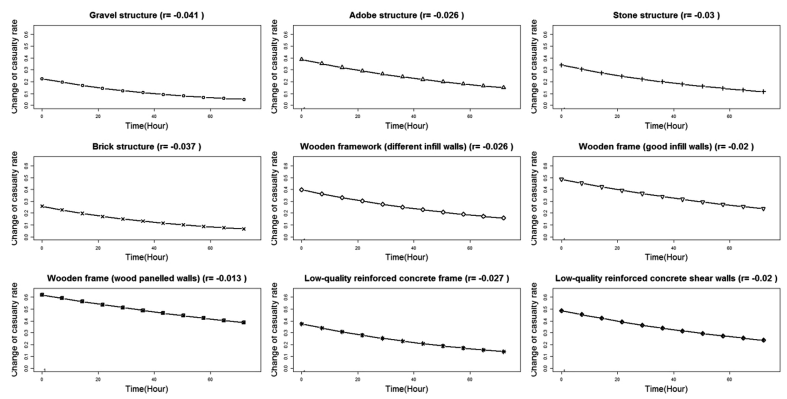


Fig. 4. Change of survival rate of different buildings in collapsed state.

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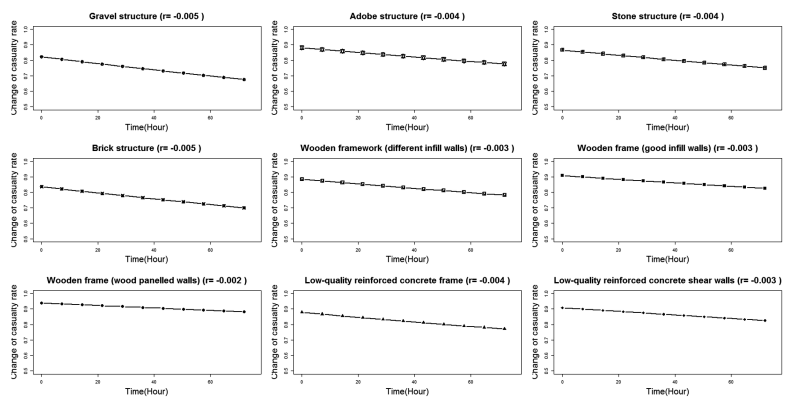


Fig. 5. Change of survival rate of different buildings in damaged state.

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