



**Nonlinear probability
distributions of
waves**

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C. Guedes Soares

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Nonlinear probability distributions of waves in bimodal following and crossing seas generated in laboratory experiments

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responses. Analyses of oceanic data collected in stormy seas indicate the validity of linear models for the distributions of large wave heights (Tayfun and Fedele, 2007; Casas-Prat and Holthuijsen, 2010), and of second-order models for wave crests and troughs (Tayfun, 2006, 2008). However, deviations between the theoretical predictions and the observations do occur at low probability levels when the measurements contain relatively rare, exceptionally large waves, referred to as abnormal, rogue or freak waves (Petrova et al., 2007).

Thorough description of different aspects of the so called abnormal waves is provided by Kharif et al. (2009). One of the likely mechanisms for abnormal wave occurrence is the Benjamin–Feir instability due to third-order quasi-resonant interactions between free waves when the initial spectra present narrowband long-crested conditions (Onorato et al., 2001, 2004; Janssen, 2003; Onorato and Proment, 2011). The likelihood of this mechanism is quantified by the Benjamin–Feir index (BFI) of Janssen (2003) (see also Onorato et al., 2001). Favourable conditions for instability can be generated mechanically in wave tanks (Onorato et al., 2004; Waseda et al., 2009; Cherneva et al., 2009; Shemer and Sergeeva, 2009), or can be simulated numerically (Onorato et al., 2001; Mori and Yasuda, 2002; Socquet-Juglard et al., 2005; Toffoli et al., 2008; Zhang et al., 2013). Onorato et al. (2004) provided the first experimental evidence that the nonlinear wave statistics depends on BFI. However, the initial requirements for instability make this mechanism unlikely to be the primary cause for the majority of extreme wave occurrences in oceanic conditions, characterized by broader spectra and directional spread (Forristall, 2007; Guedes Soares et al., 2011). Numerical studies (Onorato et al., 2002; Socquet-Juglard et al., 2005; Gramstad and Trulsen, 2007) and laboratory experiments (Onorato et al., 2009; Waseda et al., 2009) analysing the effect of directionality show that the wave train becomes increasingly unstable towards long-crested conditions.

The superposition of two wave systems propagating in different directions could explain some cases of rogue wave occurrences. Such extreme conditions at sea are reported in relation with accidents and worsened operability of ships and offshore

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platforms in heavy weather (Guedes Soares et al., 2001; Toffoli et al., 2005). Onorato et al. (2006a) proposed a system of two coupled Nonlinear Schrödinger Equations (CNLS) to explain the formation of wave extremes in crossing seas (see also Shukla et al., 2006), showing that the second wave train advancing at a certain critical angle facilitates the modulational instability. These findings are numerically validated by simulation of the Euler equations, as well as experimentally in laboratory conditions (Onorato et al., 2010; Toffoli et al., 2011). It has been also found that the coefficient of kurtosis increases up to 40° and then stabilizes attaining its maximum between 40 and 60° .

Hindcast analysis is used to explain recent cases of reported extreme waves. Tamura et al. (2009) demonstrated that the coexisting wind sea evolved into steep and energetic swell which originated an unimodal “freakish” sea. Cavaleri et al. (2012) studied the accident with the Luis Majesty cruiser showing the coexistence of two wave systems of comparable energies and peak periods, propagating at 40 – 60° . The possible role of a rogue wave is somewhat confirmed by the performed numerical analysis using the CNLS system of equations.

Usually the analysis on wave statistics addresses sea states represented by single-peaked spectra, though the oceanic sea states are more complex (Guedes Soares, 1991), in the sense of being described by two-peaked spectra (Guedes Soares, 1984), or by more complex spectra (Boukhanovski and Guedes Soares, 2009). The probability distributions of wave heights in such sea states have been studied within the linear theory by Rodríguez et al. (2002) for numerically simulated data, and by Guedes Soares and Carvalho (2003, 2012) for oceanic data. The Rayleigh distribution was found to systematically overestimate the observations and fit the data only in the case of wind-dominated sea states with low intermodal distances. The approximation of Tayfun (1990) was suitable only for wind dominated seas with large and mainly moderate intermodal distances.

The effect of combined seas on the wave crest statistics, surface elevation skewness and kurtosis was shown for the first time by Bitner-Gregersen and Hagen (2003)

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mixed sea states in Table 1. The first step in the analysis of the bimodal spectra is to apply criteria for identification and separation of the two spectral components. A set of criteria easy to apply was proposed by Guedes Soares and Nolasco (1992), so first the local maxima and minima are identified over 8 frequency bands, such as the smaller peak is considered valid if its magnitude is equal to or 15% greater than the larger peak. Furthermore, the trough between the two peaks is required to be less than the lower confidence bound of the smaller spectral peak. It should be mentioned that this simple approach showed better accuracy when compared to a more comprehensive one (Ewans et al., 2006).

Having the two spectral counterparts separated, the relative contribution of the components is quantified by the ratio of the zero-moments of the wind sea, m_{0WS} , and the swell, m_{0SW} – the sea-swell energy ratio (SSER) (Rodríguez and Guedes Soares, 1999). The same SSER limits as for the bimodal crossing seas were imposed here to classify the mixed seas with following wave trains (see Petrova and Guedes Soares, 2011): wind dominated sea ($SSER > 1.6$); sea-swell energy equivalent sea ($0.9 < SSER < 1.6$); swell-dominated sea ($SSER > 1.6$). Consequently, it results that: (1) 8228–8229 are wind sea dominated (Fig. 2a, b); (2) 8230 (Fig. 2c), as well as 8231 (Fig. 2d) can be described as sea-swell energy equivalent sea states, though looking at the profiles of the associated autocorrelation functions (ACF) in Fig. 3 one can see that the profile in Fig. 3d (run 8231) resembles a swell-dominated case with a secondary smaller minimum before the global one.

Contrary to the crossing mixed seas, which showed pronounced variation of the spectral energies of wind sea and swell with the distance (Table 1 in Petrova and Guedes Soares, 2009), the energies of the spectral counterparts in the present study keep relatively unchanged during the wave propagation along the tank (Fig. 4). An exception is run 8231 with identical significant wave heights for the two JONSWAP components and larger intermodal distance (Fig. 4d). The reduction in the significant wave heights of the high-frequency counterparts along the tank can be due to wave breaking.

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The deep water conditions for the individual spectral components are validated by the additional information in Table 2 showing the relative water depth in terms of d/L_p and $k_p d$. This information is relevant to wave instability, as far as $k_p d < 1.36$ describes water depth conditions where the wave train tends to defocusing (Mori and Yasuda, 2002).

The values in Table 2 show that the short-period wave system ($T_p = 7$ s) fulfils the deep water inequality $d/L_{pws} > 1/2$, where $L_{pws} = 76.5$ m. The long-period waves with $T_p = 14$ s do not fulfil the deep water condition, since $d/L_p < 1/2$, though still fulfilling $k_p d > 1.36$. The sea state with $T_p = 20$ s describes both intermediate water depth waves and stable wave modes according to the above inequality.

Inherent to the instability development are some typical changes in the spectral shape: broadening of the spectrum, downshift of the peak frequency, reduction of the tail (Janssen, 2003; Onorato et al., 2006b; Toffoli et al., 2008). Figure 5 shows in logarithmic scale the changes in the shapes of the high-frequency spectral component at three locations along the tank: $6.5L_p$ (gauge 1), $20L_p$ (gauge 5) and $33L_p$ (gauge 9).

The distance from the wavemaker is measured in multiples of the peak wave length of the high-frequency spectral component: $L_p = 76.5$ m ($T_p = 7$ s). The abscissa illustrates the frequencies scaled by the spectral mode at gauge 1. The ordinate shows the magnitude of the spectral energy scaled by the spectral peak magnitude at gauge 1. It can be observed that the spectral tail reduces as the waves advance along the tank; the spectral peak diminishes and also shifts downwards. Such results have been observed by Janssen (2003), Dysthe et al. (2003), Trulsen and Dysthe (1997), for numerical simulations, as well as by Onorato et al. (2006b), Fedele et al. (2010) for laboratory experiments.

A slightly different pattern of change is observed for experiment 8231 (Fig. 5d). There is an increase of the spectral peak magnitude at the second and third probes, which results in normalized peak magnitudes larger than 1. This situation is demonstrated in Fig. 5d by the spectral density curve at the second gauge ($\sim 10L_p$), drawn as a blue dotted line. The spectral changes observed for the mixed following seas can be also

detected when analyzing the crossing bimodal seas, as can be seen in Fig. 6a–c for $\theta = 60^\circ$, 120° and 90° , respectively.

Figure 7a and b illustrates the spectral broadening over the high-frequency range in terms of Δ_{ws} for the following and crossing mixed seas, respectively. The maxima for the two following seas with combination $T_p = 7/14$ s (8228 and 8230) are reached at gauge 7: for run 8230 the increase is estimated at 42 % (Fig. 7a). The broadest high-frequency spectrum for 8229 is estimated later, at gauge 8. Run 8231, on the other hand, is characterized by the same initial characteristics of the bimodal spectrum as the crossing seas ($H_s = 3.6/3.6$ m, $T_p = 7/20$ s) and shows the largest Δ_{ws} at the same gauge as the crossing seas: gauge 6 (Fig. 7a, b).

3 Experimental results: nonlinear wave statistics

The self-focusing effects observed for narrow peaked spectra change significantly the wave statistics. Thus, attention is given here initially to some statistical quantities that indicate nonlinearity and, in particular, to those indicating the possible presence of wave extremes in the wave records.

Within the weakly-nonlinear assumption, the non-Gaussian sea surface is considered a linear superposition of free modes modified by second-order bound harmonics. Thus, the wave profiles display higher sharper crests and shallower rounded troughs. The vertical asymmetry is reflected in various wave statistics through the normalized third-order cumulant λ_{30} – the coefficient of skewness, calculated from the surface elevation, η , and its Hilbert transform, $\hat{\eta}$, as

$$\lambda_{mn} = \frac{\langle \eta^m \hat{\eta}^n \rangle}{\sigma^{m+n}}, \quad m + n = 3 \quad (2)$$

such as a positive skewness of the non-Gaussian surface illustrates greater probability for occurrence of large positive displacements than of large negative displacements.

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The large-amplitude occurrences, on the other hand, and, in particular, the increased frequency of encountering large crest-to-trough excursions due to third-order nonlinear wave-wave interactions are indicated by the fourth-order normalized cumulant, λ_{40} – the coefficient of kurtosis, or the sum of fourth-order joint cumulants $\Lambda = \lambda_{40} + 3\lambda_{22} + \lambda_{04}$. These two fourth-order statistics are used as higher-order corrections in the distribution models for wave crests, troughs and heights. The fourth-order normalized joint cumulants are presented in the following generalized form (Tayfun and Lo, 1990)

$$\lambda_{mn} = \frac{\langle \eta^m \hat{\eta}^n \rangle}{\sigma^{m+n}} + (-1)^{m/2} (m-1) (n-1), \quad m+n=4. \quad (3)$$

The nonlinear contributions to the coefficient of kurtosis are due to: (1) bound waves, where the associated correction is of order $O(\varepsilon^2)$, with ε being the sea state steepness, so that for weakly-nonlinear waves this effect is negligible, and (2) near-resonant interactions (Benjamin–Feir type instability), such as for relatively narrow spectra and long-crested waves the latter factor is dominant and gives rise to large deviations from Gaussianity (Janssen, 2003; Onorato et al., 2005; Mori and Janssen, 2006). Both the spectral broadening and the kurtosis depend on BFI, which was theoretically shown by Janssen (2003), Mori and Janssen (2006), or observed experimentally by Onorato et al. (2004, 2006b), Toffoli et al. (2008). However, the coefficient of kurtosis is proportional to the squared BFI only for long-crested seas (Gramstad and Trulsen, 2007).

Tables 3–6 summarize the overall averages of the third- and fourth-order cumulants estimated over 15 min segments of the wave records. The segmental analysis aims at avoiding possible non-stationarity in the original time series. The third-order corrections due to free waves are reflected by the key parameter Λ . One can see that the waves close to the wave generator have nearly Gaussian statistics (Λ is usually the smallest here, and in two of the cases is practically zero), which can be expected since each of the unidirectional wave trains is a linear superposition of harmonics within the random amplitude/phase wave model. Down the basin, the nonlinearities evolve and

Λ increases appreciably, which can be explained by development of modulational instability with the distance.

The coefficient of skewness fulfils the identity $\lambda_{30} = 3\lambda_{12}$, thus being in agreement with the second-order wave theory (Tayfun and Lo, 1990; Tayfun, 1994). The other joint third-order cumulants, λ_{03} and λ_{21} , and the joint fourth-order cumulants λ_{31} and λ_{13} are approaching zero. However, the fourth-order cumulants λ_{40} , λ_{22} , λ_{04} and thus the cumulant sum Λ assume rather large values with respect to λ_{30} , exceeding the order $O(\lambda_{30}^2)$ for weakly-nonlinear waves. The largest values of Λ are reached over the last gauges in the tank. In particular, the two wind-sea dominated following mixed seas show maxima at gauge 8, such as $\Lambda \geq 1$ (Tables 3 and 4), while the maxima are smaller for the sea-swell energy equivalent following mixed seas (Tables 5 and 6).

The same conclusions, regarding the nonlinear wave statistics in mixed seas with following wave trains have been recently derived for mechanically generated single unidirectional irregular waves influenced by higher-order effects (Cherneva et al., 2009), as well as for mixed crossing wave systems (Petrova et al., 2013) from the same experiment.

The wave statistics for test 8231 (Table 6) can be directly compared with the statistics from bimodal crossing seas analyzed previously by Petrova et al. (2013), since the generated sea states have identical individual spectral characteristics ($H_s = 3.6/3.6$ m and $T_p = 7/20$ s). From the comparison, one can conclude that the nonlinear statistics of the mixed following sea states, provided in Table 6, are generally lower. In particular, the maximum value of Λ for the following seas is estimated at gauge 8 as $\Lambda_{\max} = 0.567$ (Table 6), while the same parameter far from the generator for the crossing sea states is larger, namely for $\theta = 90^\circ$ and $\theta = 120^\circ$ the estimated values are respectively: $\Lambda_{\max} = 1.571$ at gauge 10, and $\Lambda_{\max} = 1.347$ at gauge 9. For the smallest angle of propagation, $\theta = 60^\circ$, a local maximum $\Lambda_{\max} = 0.673$ is obtained at gauge 8.

Consequently, the amplitudes and heights of the largest waves associated with the relatively large values of Λ , such as those usually registered at the last probes, can be

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1994, 2006, 2008). For instance, the second-order crests and troughs are expressed as (Tayfun, 2006)

$$\xi^{\pm} = \xi \pm \frac{1}{2}\mu\xi^2 \quad (6)$$

where ξ^+ and ξ^- describe the second-order wave crest and trough, respectively, and μ is a dimensionless steepness parameter. For narrowband waves, $\mu = \lambda_{30}/3$, while in the more general case, μ can assume slightly different forms, as shown by Tayfun (2006). Following Tayfun (2008), the exceedance probabilities of ξ^+ and ξ^- are expressed as

$$E_{\xi^+}(z) = \exp \left[-\frac{(\sqrt{1+2\mu z}-1)^2}{2\mu^2} \right] \quad (7)$$

$$E_{\xi^-}(z) = \exp \left\{ -\frac{1}{2} \left[z \left(1 + \frac{1}{2}\mu z \right) \right]^2 \right\} \quad (8)$$

where $\mu = \lambda_{30}/3$.

Third-order corrections due to second- and third-order bound waves in a weakly-nonlinear wave field are typically of order $O(\lambda_{30}^2)$. Consequently, their contribution is rather small, since $\lambda_{30} \ll 1$ for deep water storm waves. However, mechanically generated extremely large waves display heights and amplitudes that deviate significantly from the linear and second-order predictions, which can be explained by third-order quasi-resonant interactions among free modes. These tend to amplify the wave statistics and increase the occurrence frequency of large events, leading to long tails in the observed empirical distributions (Mori et al., 2007; Cherneva et al., 2009; Fedele et al., 2010).

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Modifying Eqs. (7) and (8) to include the third-order effects in terms of the parameter Λ results in the approximate forms (Tayfun and Fedele, 2007; Tayfun, 2008)

$$E_{\xi^+}(z) = \exp \left[-\frac{(\sqrt{1+2\mu z}-1)^2}{2\mu^2} \right] \left[1 + \frac{\Lambda}{64} z^2 (z^2 - 4) \right] \quad (9)$$

$$E_{\xi^-}(z) = \exp \left\{ -\frac{1}{2} \left[z \left(1 + \frac{1}{2} \mu z \right) \right]^2 \right\} \left[1 + \frac{\Lambda}{64} z^2 (z^2 - 4) \right] \quad (10)$$

For brevity, Eqs. (7) and (8) and Eqs. (9) and (10) are referred to in the text and in the plots as NB and NB-GC models, respectively.

Large crest-to-trough wave heights are unaffected by second-order nonlinearities (Tayfun, 2011). Regardless of the spectral width, the large wave heights in simple wind seas are well predicted by the asymptotic models of Tayfun (1990) and Boccotti (1989). The model of Boccotti is exact, and depends on two parameters, while Tayfun's distribution is correct to $O(\nu)$, but requires a single parameter. These models, in particular Boccotti's distribution, were validated with oceanic measurements and simulations (Boccotti, 2000; Tayfun and Fedele, 2007; Casas-Prat and Holthuijsen, 2010). The lower bound approximation of the Tayfun's model was also found to describe well the data from swell-dominated bimodal crossing sea states (Petrova et al., 2013). This model was preferred, since it has a somewhat larger range of validity than Boccotti's model, and the single parameter allows generalization to swell-dominated mixed sea states or sea states with comparable sea-swell energies where the autocorrelation function does not have monotonically decaying shape and the global minimum is no longer the first one (Fig. 3d).

The lower bound approximation of the model of Tayfun (1990) has the form

$$E(h) \approx \left(\frac{1+r_m}{2r_m} \right)^{1/2} \exp \left\{ -\frac{h^2}{4(1+r_m)} \right\} \quad (11)$$

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for $h > \sqrt{2\pi}$; r_m is a dimensionless parameter defined as $r_m = r(T_m/2)$, where $r =$ envelope of the normalized autocorrelation function and $T_m = 2\pi m_0/m_1$ with $m_i = i$ -th ordinary spectral moment (see Fig. 1 in Tayfun and Fedele, 2007). To account for the secondary minimum before the global one in the profile of the autocorrelation function, the parameter r_m is reformulated as a function of the normalized wave height h in the form (Petrova et al., 2013)

$$r_m(h) = (r_{m1} + r_{m2}) - r_{m1} \left[1 - \exp\left(-\frac{h}{\sqrt{1 + \alpha^2}}\right) \right] \quad (12)$$

where $\alpha = T_{psw}/T_{pws}$ is a dimensionless ratio of the peak period T_{psw} of the low-frequency spectrum to the peak period T_{pws} of the high-frequency spectrum; r_{m1} and r_{m2} designate respectively the values of the envelope $r(\tau)$ at the secondary minimum of ACF and at the global minimum of ACF (see Fig. 3d). Equation (12) yields $r_m(h) \approx r_{m2}$ for $h \gg 1$, so as the distribution of the largest waves will be based on the global minimum of the autocorrelation function.

The asymptotic distributions are restricted to linear and second-order waves only. Higher-order corrections, such as those due to third-order nonlinear interactions in relatively narrowband long-crested waves, either generated mechanically in laboratory experiments or simulated numerically, can be accounted for by Gram–Charlier (GC) series expansions. Longuet-Higgins (1963) used for the first time series approximations to represent the nonlinearity of the sea surface displacement and its related features. Bitner (1980) demonstrated their applicability to the representation of shallow water waves and extended their use to different wave parameters, among which wave envelopes, heights and phases. Later, the series approach was more systematically applied by Tayfun and Lo (1990) and Tayfun (1994), focusing on the distributions of wave envelopes and phases of a weakly nonlinear deep water wave field. Further elaborations and applications for second- and third-order waves can be found in Mori and Janssen (2006), Tayfun and Fedele (2007), Tayfun (2008).

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In particular, the Gram–Charlier wave height model used here to fit the laboratory observations is taken in the form proposed by Tayfun and Fedele (2007):

$$E_{H=2\xi}(h) = \exp\left(-\frac{h^2}{8}\right) \left[1 + \frac{\Lambda}{1024}h^2(h^2 - 16)\right]. \quad (13)$$

At this point, it must be noted that all above introduced distributions refer to one-peak spectra, which generally describe severe sea conditions (wind-sea dominated spectrum). Moderate and low sea states, on the other hand, have often contribution by at least two wave systems (Guedes Soares, 1984; Guedes Soares and Nolasco, 1992; Lucas et al., 2011). Though the available theoretical models do not assume a second wave train, it seems that they have a broader application in their predictions, since the results show that in particular cases they represent well the data or, they can give a qualitative description of the observed tendencies.

5 Experimental results: probability distributions

5.1 Probability distributions of wave crests and troughs

The wave crests, ξ^+ , are determined as the global maxima between two zero-crossings and are scaled by the local standard deviation σ of the 15 min segments. The wave troughs, ξ^- , on the other hand, are defined as the global absolute minima between two zero-crossings and are also scaled by the segmental σ . The crest and trough exceedance probabilities, E_{ξ^+} and E_{ξ^-} , respectively, are approximated by: (1) the second-order narrowband models, designated by NB in the plots (Eqs. 7 and 8); (2) the modified narrowband models including third-order corrections, designated by NB-GC (Eqs. 9 and 10), and (3) the Rayleigh form, designated by R (Eq. 4).

The second-order individual waves are expected to exhibit high steep crests and shallow flat troughs which results in positive skewness coefficient. However, as one

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can see next, the experimental crests largely exceed the second-order predictions. Moreover, the troughs tend to be deeper than predicted by the second-order theory, thus corroborating the experimental results of Onorato et al. (2006b), as well as the numerical simulations of Toffoli et al. (2008) of the fully-nonlinear Euler equations.

Obviously, the large discrepancies can only be explained by higher-order wave interactions, since the corrections due to bound modes only slightly increase the non-Gaussian statistics.

The first column of Fig. 8 illustrates the distributions of wave amplitudes for test 8228 at three locations along the basin: at the first gauge where Λ has minimum (Fig. 8a); at gauge 8 where the third-order statistics shows a local maximum (Fig. 8b), and at the last gauge: gauge 10 (Fig. 8c). The observed crest heights are shown as full triangles and the trough depths as full circles. It can be observed that for the smallest Λ (Fig. 8a) the wave crests in the midrange are largely underestimated by all models. With the distance, the wave crests show gradual improvement in the agreement with NB-GC, which is demonstrated in Fig. 8b and c. The largest crests, $\xi^+ > 5\sigma$, are registered by probes 4 and 8, but as one can see in Fig. 8b, the largest value is only slightly underestimated by NB-GC. The wave troughs, on the other hand, are fitted well by the NB-GC curve approximately up to 3σ for all gauges. At gauge 1 (Fig. 8a), however, it can be assumed that $NB \approx NB-GC$. The empirical tail constructed of the deepest eight troughs exhibits large variation and usually corresponds to lower probabilities of exceedance than those predicted by NB-GC.

The plots in the second column of Fig. 8 illustrate the distributions for test 8229. The input spectral conditions differ from those in experiment 8228 by imposing larger intermodal distance while the sea-swell energy ratio is kept unchanged. The associated statistics are less nonlinear (see Table 4). It can be observed that both empirical and theoretical distributions become narrower. However, the pattern of change along the tank is similar to 8228. The wave crests at the first three gauges are largely underestimated by the theoretical models over the midrange ($2\sigma-4\sigma$) (see Fig. 8d). This discrepancy reduces with the distance, so that the wave crests over the second

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half of the gauges are in close agreement with NB-GC for $\xi^+ \leq 4-4.5\sigma$ (Fig. 8e and f). Similarly to test 8228, the largest crests are registered again by probes 4 and 8: $\xi^+ > 5.5\sigma$ (Fig. 8e). However, these waves look as outliers in the data sample (Fig. 8e). At the first three gauges, the troughs follow NB \approx NB-GC and afterwards NB-GC up to approximately 3σ .

The results for the crest/trough distributions for run 8230 are shown in Fig. 9a–c. The initial sea state conditions are less steep, as compared to 8228 and 8229, and smaller third- and fourth-order statistics are observed (Table 5). In particular, $\lambda_{40} \approx \lambda_{30}^2$ at the first probe (Fig. 9a), thus both crest and trough amplitudes can be considered well represented by the second-order models (NB \approx NB-GC). However, the coefficient of kurtosis being close to Gaussian at the first probe ($\lambda_{40} = 0.054$) suffers a significant change with the distance and at gauge 6 it reached the values observed for the more energetic experiments 8228 and 8229 (see Tables 3–6). This tendency is in agreement with the increasing deviations between data and predictions, especially over the second half of the gauges. The largest λ_{40} correspond to the biggest departure of the crest extremes from linearity (gauges 6 and 9), not illustrated here: the crest maximum approaches 6σ , so the crest amplification index, $CI = C_{\max}/H_s$, along with the abnormality index, $AI = H_{\max}/H_s$ ($CI > 1.5$, $AI > 2$) make the case a possible abnormal wave candidate (Tomita and Kawamura, 2000). Similar results, however, are represented by Fig. 9b and c. In Fig. 9b, one can see that the Gram–Charlier form fits perfectly all the range of troughs, but fails to predict the crests exceeding 4σ , which keeps for all gauges over the second half. In Fig. 9c the deepest troughs are rather better predicted by R , though the extreme trough remains underpredicted. In both cases, the largest wave crest is associated with a possible abnormal wave.

The narrowest distributions belong to run 8231 which differs from 8230 by the larger intermodal distance (compare Fig. 9a–c with Fig. 9d–f) and, as can be expected, the non-Gaussian statistics are the smallest here (see Table 6). The distributions show initial widening over the first half of the gauges and then again become narrow towards the last gauge. One can assume that the wave troughs generally follow the second-

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the two definitions to obtain more stable estimates. They are then normalized by the segmental σ . The Rayleigh form is used as a reference for the narrowband linear waves. It must be noted that the model of Tayfun (1990) appears in the plots as T1 when calculated with the original parameter r_m , and as T1_{*h*} when the parameter is recalculated as $r_m = r_m(h)$ using Eq. (12). The results are plotted in Figs. 10 and 11 for the three locations along the tank already considered for the wave crests and troughs (gauges 1, 8 and 10). The wave height data are illustrated in the plots as full squares.

The first column of Fig. 10 illustrates the evolution of the wave height distribution along the tank for test 8228. Some characteristic distribution parameters and statistics are also included in the plots. It is seen in Fig. 10a (gauge 1) that though the data follow the Rayleigh model up to approximately 5.5σ , the tail of the distribution is increasingly overestimated by it. However, along the tank the tail gradually shifts up towards larger probabilities. This shift is statistically justified by the increasing value of Λ , which is provided as an additional information in the plots. One can see that even for the largest values of Λ (Fig. 10b and c) the wave heights are quantitatively better described by R , though R slightly underestimates the tail at the last gauge (Fig. 10c). Applying the abnormality index of Dean (1990), it can be concluded that none of the observed wave height maxima for run 8228 can be classified as abnormal, since none of them exceeds 8σ .

The second column of Fig. 10 shows the results for run 8229. At the first gauge (Fig. 10d) the distribution of Tayfun, T1, predicts most accurately the distribution tail: $h > 5\sigma$, since it reflects the larger spectral bandwidth due to the contribution of the low-frequency energy to the total spectrum. With the distance, the probability of encountering larger waves in the samples increases, though the predictions of the Rayleigh distribution appear always as the upper limit for the probabilities of exceedance. The plots in Fig. 10e and f demonstrate that R usually describes well the wave heights up to approximately 6σ .

The initial conditions for test 8230 result in the largest observed deviation of the empirical distribution curve down the tank: the wave heights shift from the best fit

due to T1 (Fig. 11a) towards R (Fig. 11b) and subsequently towards GC-R (Fig. 11c). However, GC-R slightly overpredicts the wave height extremes. Moreover, in three of the probes during run 8230, the gauges recorded wave maxima exceeding the 8σ -limit or being very close to it, as we can see from the examples in Fig. 11b and c, which allows classifying them as abnormal.

The results for test 8231 are illustrated in the second column of Fig. 11. The specific form of the associated autocorrelation functions (Fig. 3d) required the use of Eq. (12) to recalculate the parameter r_m in the model of Tayfun. The recalculated model is designated as T1_h in the plots. The recorded wave heights are smaller, as compared to those in run 8230, which corroborates the conclusion of Rodríguez et al. (2002) that the coexistence of two wave fields of different dominant frequencies, but similar energy content, produces a decrease in the probability of wave heights larger than the mean wave height. This effect becomes more pronounced when the intermodal distance increases. The wave heights are seen here to be well-fitted by T1_h over the first half of the gauges (see Fig. 11d, as an example) and, though showing a tendency to become larger towards gauge 8 (Fig. 11e), the largest measurements eventually reduce again and are favourably predicted by T1_h (Fig. 11f).

The results for the distributions of wave crests, troughs and crest-to-trough wave heights for bimodal following seas presented above agree somewhat with the results for bimodal crossing seas in Petrova et al. (2013), showing again that the wave height statistics depends on the angle between the mean directions of the component wave systems and the propagated distance. For partially common directions of the crossing wave systems ($\theta = 60^\circ$), the empirical exceedance probabilities of wave heights do not in general exceed R , which somewhat corroborates the findings of Cavaleri et al. (2012). For partially opposing directions ($\theta = 120^\circ$), the nonlinear statistics representative of large waves closer to the wavemaker, where the wave field energy is dominated by the swell, tend to T1_h. Away from the wavemaker and further down the basin, however, the third-order nonlinearities become significant, especially at the last four gauges. The distribution pattern at these gauges shows agreement with the GC-R

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over the range of the largest waves. It has been observed here that run 8231, used for direct comparison with the crossing sea tests, yields the narrowest distributions, thus the smallest deviations from the linear approximations, and is always overestimated by the Rayleigh law, as expected (Longuet-Higgins, 1983).

6 Removing the second- and third-order bound wave effects from the surface profile

The procedure of Fedele et al. (2010) has been applied to remove the second- and third-order bound-wave contributions from the recorded surface elevation η . The non-skewed surface profile is obtained as

$$\tilde{\eta} = \eta - \frac{\beta}{2} (\eta^2 - \hat{\eta}^2) + \frac{\beta^2}{8} (\eta^3 - 3\eta\hat{\eta}^2) + O(\beta^3) \quad (14)$$

where $\hat{\eta}$ = Hilbert transform of η and β = parameter to be determined so that $\langle \tilde{\eta}^3 \rangle = 0$.

The above procedure thus provides a way to assess the relevance of third-order nonlinearity due to free waves only. In particular, the vertical asymmetry in the wave profile is basically attributed to second-order bound harmonics and is expressed statistically by the positive skewness of the sea surface probability density function (see Tayfun, 2008 for removing the second-order asymmetry only). Consequently, removing the vertical asymmetry due to both second- and third-order bound wave modes (the second and third terms in Eq. 14, respectively) leaves only the symmetric corrections to the free surface due to third-order free waves, which is statistically reflected by the positive coefficient of kurtosis.

In the following, Eq. (14) is applied to generate the non-skew surface profiles for run 8230 at the three locations which have been considered in Sect. 5 when presenting the results for the distributions of wave crests, troughs and crest-to-trough wave heights (gauges 1, 8 and 10). The test 8230 is the only experimental run from those mixed following sea runs where some of the largest registered waves can be classified as

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abnormal with respect to the abnormality index. The first considered location is gauge 1 where the wave crests and troughs show agreement with the second-order distribution models while the wave heights remain largely overestimated by R (Figs. 9a and 11a). The second example is based on the measurements at gauge 8, where NB-GC models have better predictive abilities, in particular for the wave troughs (Figs. 9b and 11b), the largest observed wave height exceeds 8σ , but is inconsistent with the rest of the sampled waves which do not exceed R . The third case is based on the record at gauge 10 where the fourth-order statistics are the largest, the maximum wave is also classified as rogue in terms of the abnormality ratio, but the long distribution tail shows a set of relatively large waves (Figs. 9c and 11c).

The plots in the first column of Fig. 12 illustrate segments of the nonlinear surface profiles around the largest crests for each of the three considered cases (thin black line), the second-order corrections extracted from them (dashed red line) and the resulting non-skew wave profiles (empty circles). The third-order corrections, though removed, are not presented in the plots, since they appear to be of order $O(10^{-1})$ at the maximum crest as compared to the second-order calculations, so they are hardly distinguishable from the mean water level. The plots in the second column of Fig. 12 illustrate the respective distributions using the non-skewed series and their comparison with the relevant theoretical models. The wave heights are designated as full squares, the wave crests – as full triangles and the wave troughs – as full circles. It must be noted that the wave analysis regarding the non-skewed surface $\tilde{\eta}$ is based again on 15 min segments, so that the wave parameters are scaled by the segmental standard deviation, which is practically the same as the standard deviation of the original series η . As a result of the applied procedure, the coefficient of skewness of $\tilde{\eta}$ gets practically zero, as well as μ ; the fourth-order averages λ_{40} and λ_{22} reduce largely, while λ_{04} remains nearly the same. As a result, the recalculated Λ is also smaller.

The non-skew wave crests (full triangles) and troughs (full circles) in Fig. 12d follow the Rayleigh curve fairly well. This implies symmetry of the wave profile around the mean water level. The wave height exceedance distribution remains

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Table 1. Target characteristics of the mechanically generated bimodal sea states.

Mixed seas	Test	H_s (m)	T_p (s)	Spectrum	Wave dir θ ($^\circ$)	Wavemaker
Following	8228	4.6/2.3	7/14	2P J3/J3	0/0	BM2
	8229	4.6/2.3	7/20	2P J3/J3	0/0	BM2
	8230	3.6/3.6	7/14	2P J3/J3	0/0	BM2
	8231	3.6/3.6	7/20	2P J3/J3	0/0	BM2
Crossing	8233	3.6/3.6	7/20	2P J3/J3	0/60	BM2/BM3
	8234	3.6/3.6	7/20	2P J3/J3	0/120	BM2/BM3
	8235	3.6/3.6	7/20	2P J3/J3	0/90	BM2/BM3

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Table 2. Characteristics of the individual spectral components.

H_s (m)	T_p (s)	L_p (m)	d/L_p	$k_p d$	$\varepsilon = k_p \sigma$	Δ	BFI
3.6	7	76.5	1.31	8.213	0.074	0.09	1.04
3.6	14	306.0	0.33	2.053	0.019	0.05	0.23
3.6	20	624.5	0.16	1.006	0.009	0.03	0.13
4.6	7	76.5	1.31	8.213	0.095	0.09	1.33
2.3	14	306.0	0.33	2.053	0.012	0.05	0.15
2.3	20	624.5	0.16	1.006	0.006	0.03	0.09

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Table 3. Overall statistical averages from 15 min segments for 8228: $H_s = 4.6/2.3$ m, $T_p = 7/14$ s.

Gauge	σ	λ_{30}	λ_{40}	λ_{04}	λ_{22}	Λ
1	1.339	0.267	0.165	0.009	0.029	0.233
2	1.291	0.225	0.296	0.156	0.076	0.603
3	1.257	0.225	0.355	0.153	0.085	0.678
4	1.265	0.228	0.410	0.178	0.098	0.785
5	1.256	0.219	0.308	0.171	0.080	0.638
6	1.240	0.222	0.353	0.183	0.090	0.717
7	1.225	0.218	0.321	0.172	0.083	0.659
8	1.206	0.206	0.465	0.372	0.140	1.116
9	1.194	0.200	0.380	0.310	0.115	0.920
10	1.170	0.212	0.498	0.364	0.144	1.150

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Table 4. Overall statistical averages from 15 min segments for 8229: $H_s = 4.6/2.3$ m, $T_p = 7/20$ s.

Gauge	σ	λ_{30}	λ_{40}	λ_{04}	λ_{22}	Λ
1	1.396	0.240	0.107	-0.023	0.014	0.112
2	1.354	0.200	0.079	0.021	0.017	0.135
3	1.323	0.229	0.236	0.067	0.051	0.404
4	1.289	0.199	0.325	0.146	0.079	0.629
5	1.253	0.187	0.324	0.247	0.095	0.761
6	1.243	0.199	0.366	0.241	0.102	0.810
7	1.226	0.238	0.340	0.190	0.089	0.707
8	1.223	0.164	0.431	0.355	0.131	1.048
9	1.238	0.135	0.288	0.246	0.089	0.712
10	1.183	0.171	0.359	0.245	0.101	0.807

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Table 5. Overall statistical averages from 15 min segments for 8230: $H_s = 3.6/3.6$ m, $T_p = 7/14$ s.

Gauge	σ	λ_{30}	λ_{40}	λ_{04}	λ_{22}	Λ
1	1.299	0.155	0.054	-0.001	0.009	0.071
2	1.260	0.155	0.142	0.075	0.037	0.292
3	1.237	0.143	0.182	0.166	0.058	0.465
4	1.231	0.167	0.218	0.124	0.057	0.456
5	1.207	0.109	0.249	0.184	0.072	0.577
6	1.192	0.220	0.357	0.205	0.094	0.751
7	1.184	0.158	0.136	0.109	0.041	0.327
8	1.181	0.177	0.282	0.160	0.074	0.591
9	1.170	0.140	0.360	0.229	0.099	0.788
10	1.158	0.156	0.305	0.198	0.084	0.671

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Table 6. Overall statistical averages from 15 min segments for 8231: $H_s = 3.6/3.6$ m, $T_p = 7/20$ s.

Gauge	σ	λ_{30}	λ_{40}	λ_{04}	λ_{22}	Λ
1	1.448	0.123	-0.022	-0.063	-0.014	-0.112
2	1.423	0.139	0.029	-0.083	-0.009	-0.071
3	1.428	0.138	0.000	-0.037	-0.006	-0.048
4	1.364	0.181	0.100	0.043	0.024	0.191
5	1.313	0.126	0.118	0.126	0.041	0.327
6	1.285	0.096	0.172	0.094	0.045	0.355
7	1.273	0.150	0.199	0.163	0.060	0.482
8	1.258	0.124	0.188	0.237	0.071	0.567
9	1.238	0.123	0.101	0.158	0.044	0.347
10	1.193	0.069	0.113	0.208	0.054	0.429

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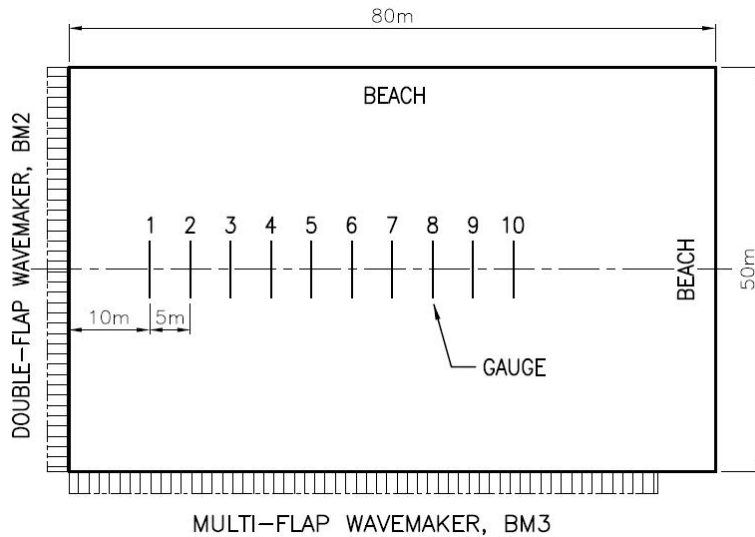


Fig. 1. Sketch of the ocean basin facility and test equipment at Marintek.

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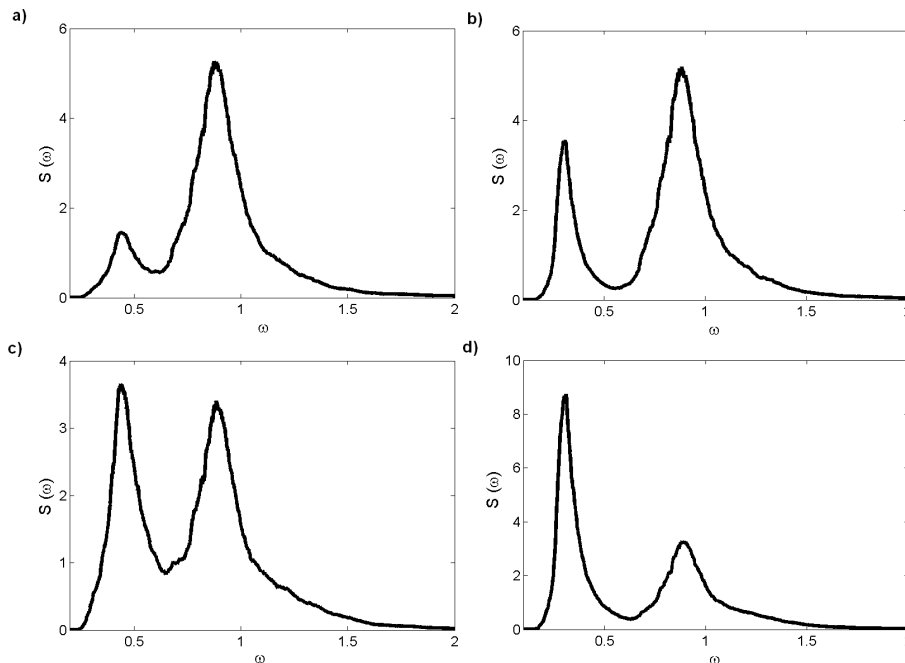
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Fig. 2. Wave spectra at the first probe for mixed following seas: **(a)** 8228, **(b)** 8229; **(c)** 8230 and **(d)** 8231.

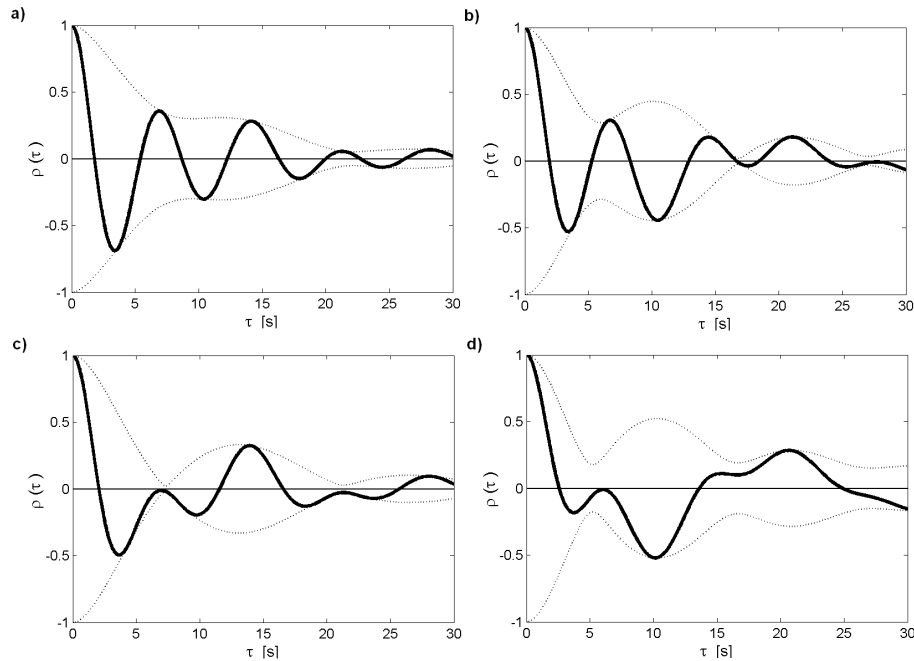
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Fig. 3. Autocorrelation functions associated with the wave spectra in Fig. 2: **(a)** 8228, **(b)** 8229; **(c)** 8230 and **(d)** 8231.

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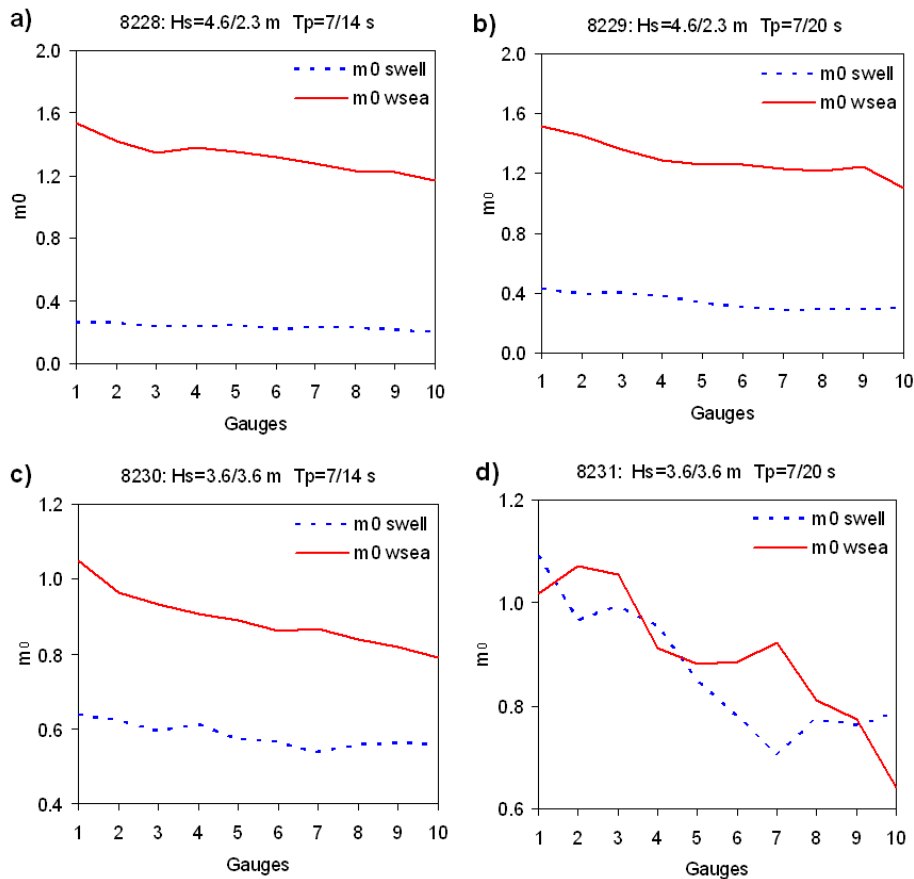


Fig. 4. Following seas: variation with the distance of the spectral energies of the wind sea and swell components.

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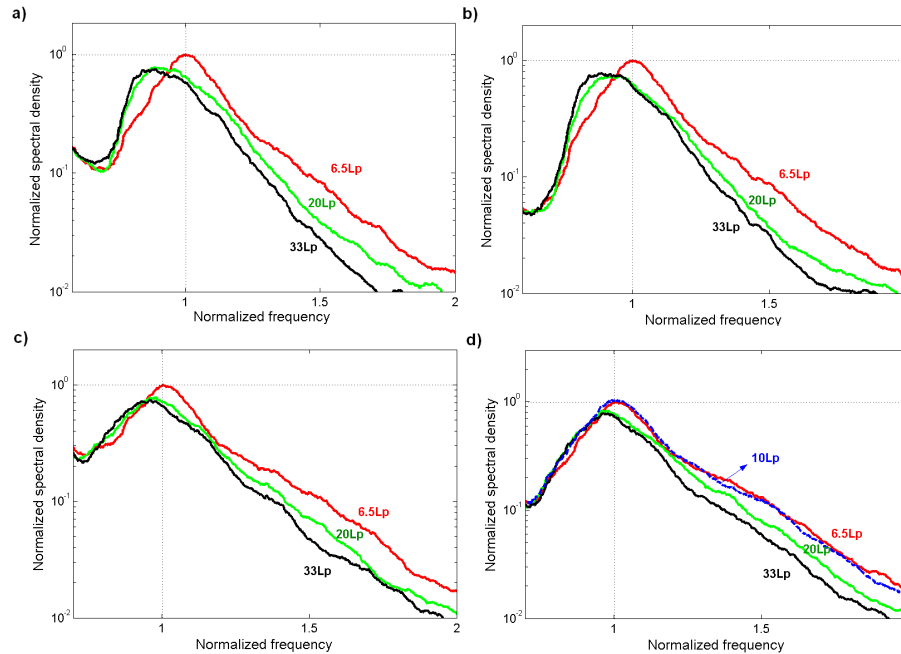


Fig. 5. Evolution along the tank of the high-frequency spectral counterparts of the mixed following seas: **(a)** 8228; **(b)** 8229; **(c)** 8230 and **(d)** 8231.

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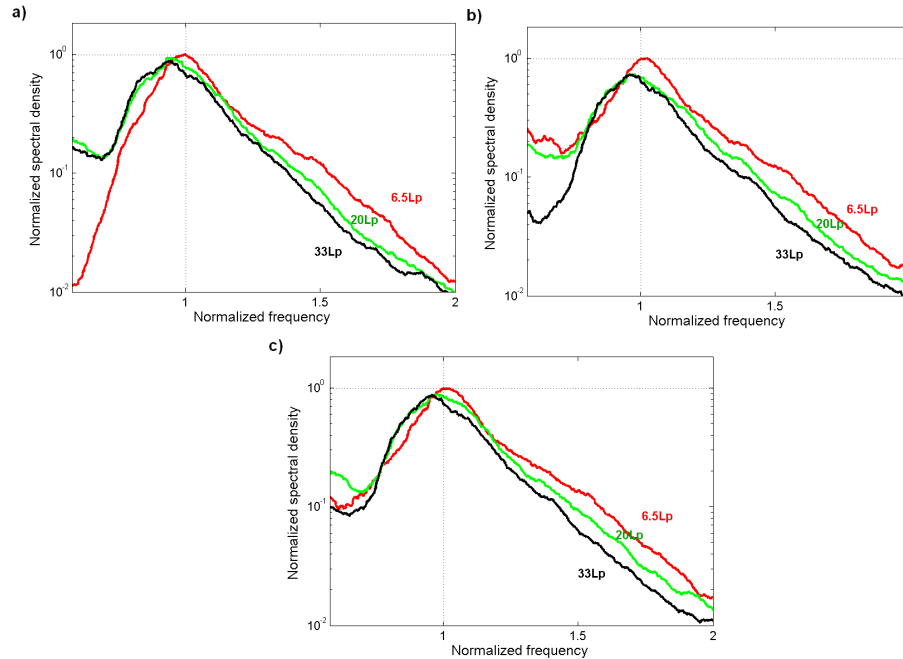


Fig. 6. Evolution along the tank of the high-frequency spectral counterparts of the mixed crossing seas: **(a)** $\theta = 60^\circ$; **(b)** $\theta = 120^\circ$ and **(c)** $\theta = 90^\circ$.

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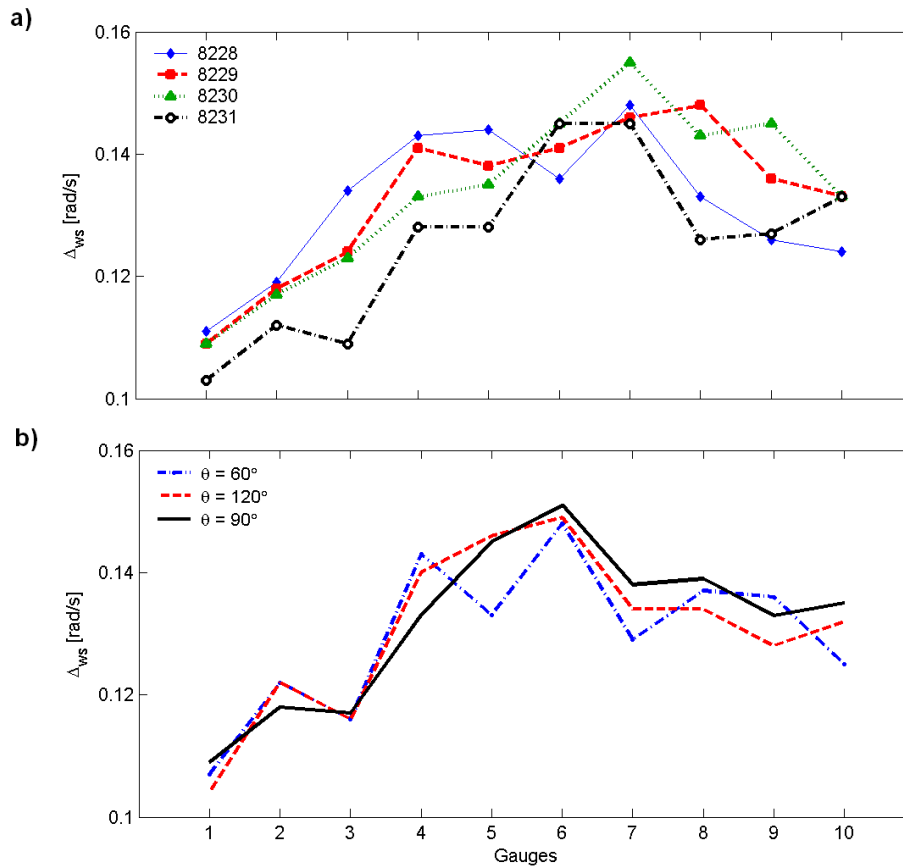


Fig. 7. Changes in the width of the wind sea spectral component with the distance for: **(a)** following; **(b)** crossing mixed seas.

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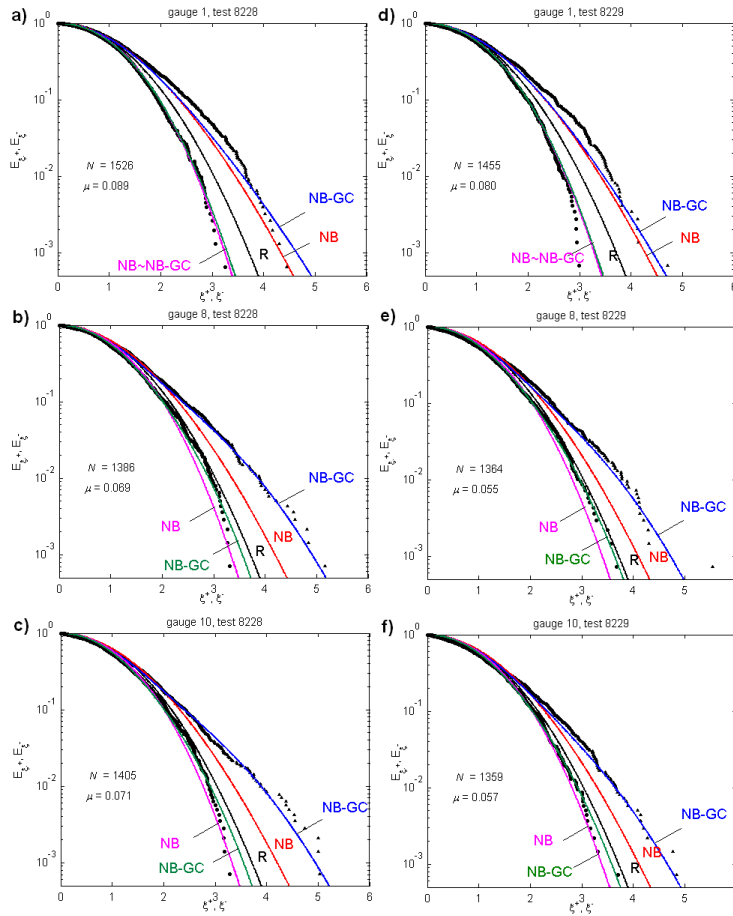


Fig. 8. Following seas: distributions of wave crests and troughs along the tank for test 8228 (column 1) and 8229 (column 2).

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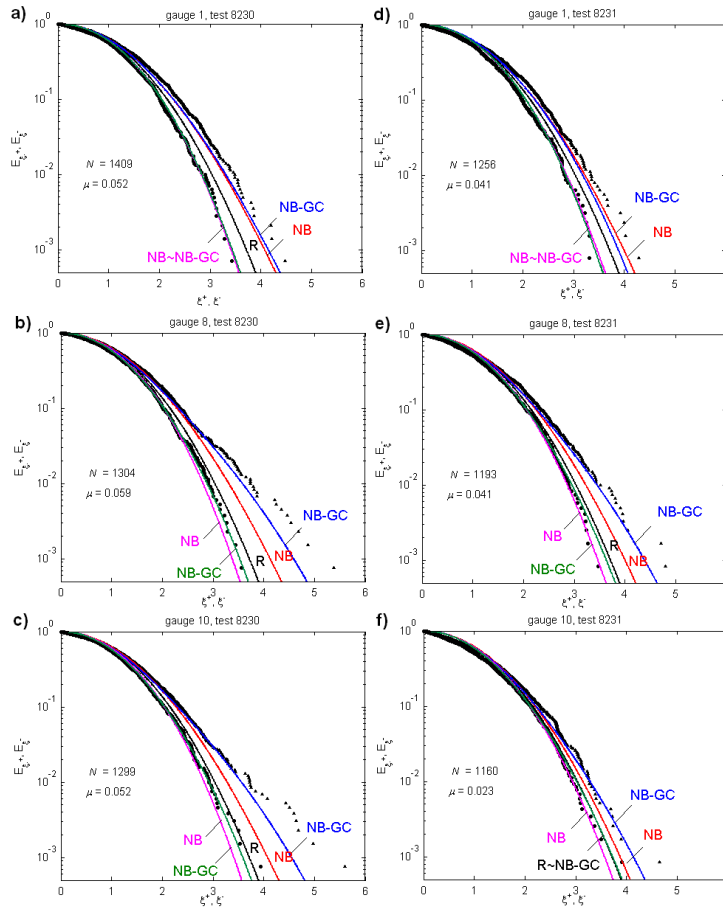


Fig. 9. Following seas: distributions of wave crests and troughs along the tank for test 8230 (column 1) and 8231 (column 2).

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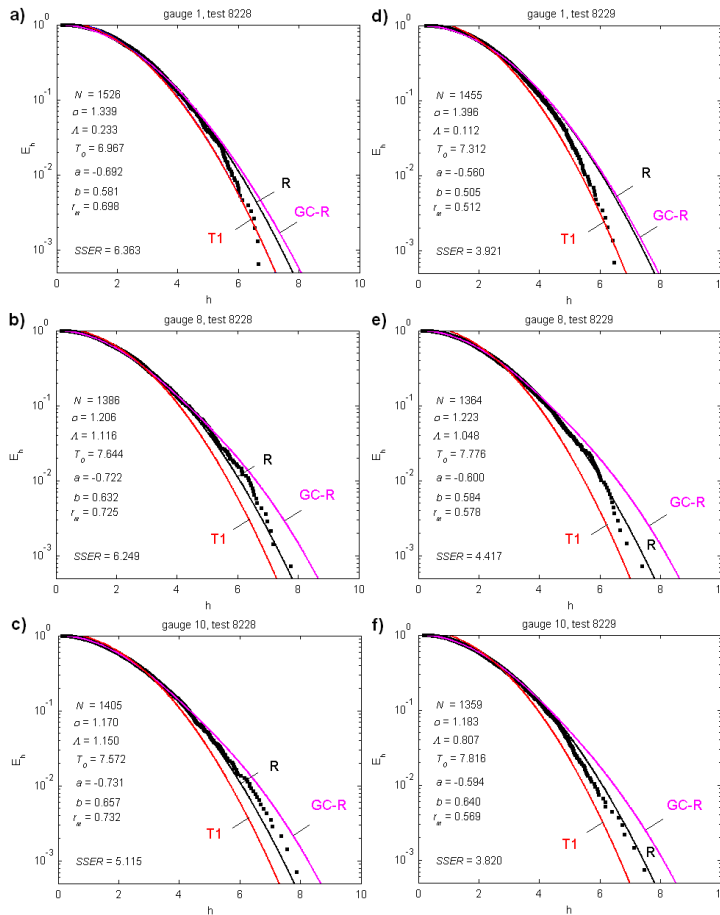


Fig. 10. Following seas: distribution of wave heights along the tank for test 8228 (column 1) and 8229 (column 2).

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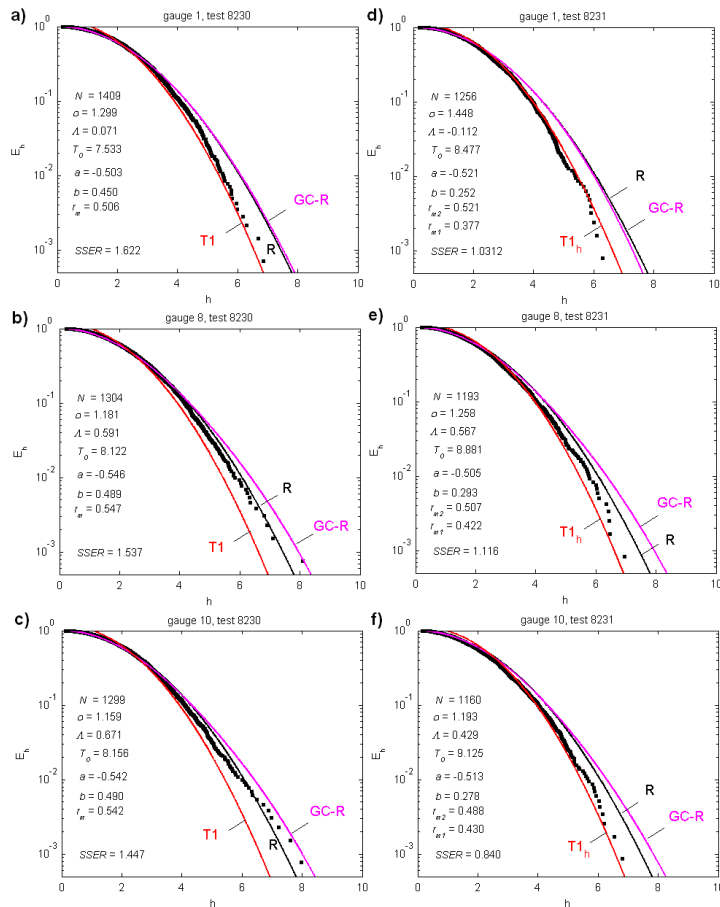


Fig. 11. Following seas: distribution of wave heights along the tank for test 8230 (column 1) and 8231 (column 2).

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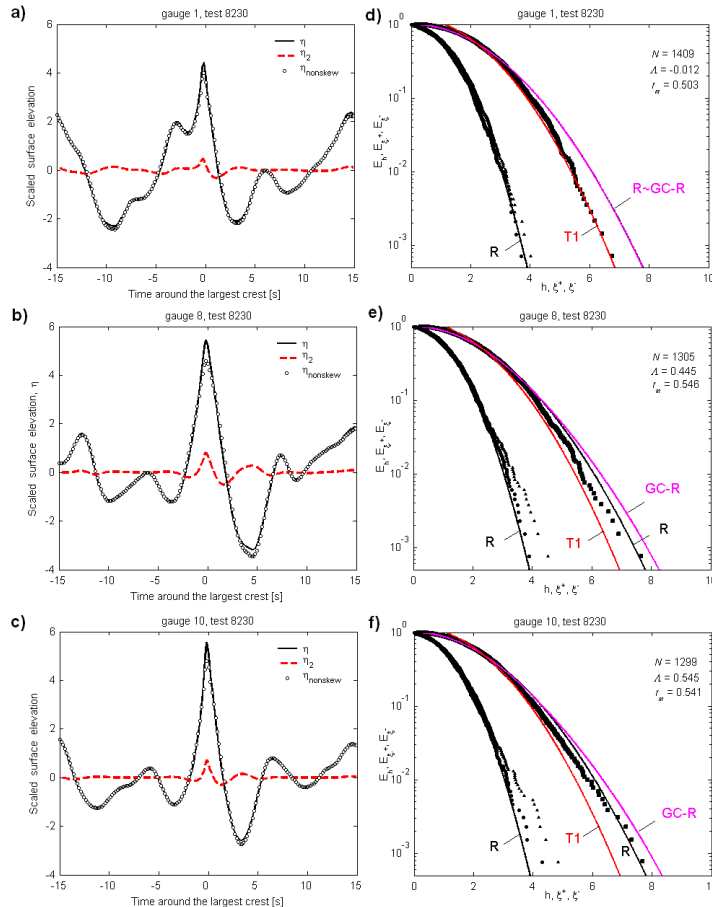


Fig. 12. (a–c) Non-linear surface profile around the largest crest (thin line), second-order corrections (dashed line) and non-skew profile (circles); (d–f) distributions of crests, troughs and heights extracted from the non-skew surface series.