

Historical floods in the Dutch Rhine Delta

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Abstract. Historical records provide direct information about the climatic impact on society. Especially great natural disasters such as river floods have been for long attracting the attention of humankind. Time series for flood development on the Rhine branches Waal, Nederrijn/Lek and IJssel in the Dutch Rhine Delta are presented in this paper. In the case of the Waal it is even possible to compare historical flood frequencies based on documentary data with the recent development reconstructed from standardized instrumental measurements. In brief, we will also discuss various parameters concerning the structure of the flood series and the “human dimension” of natural disaster, i.e. the vulnerability of society when facing natural disasters.

1 Introduction

Flood events such as the one along the Danube and Elbe River in the summer of 2002 regularly cause discussions about the influence of global warming. There is evidence that the frequency of strong precipitation events generally increase. Until 2050, most climate change scenarios predict an increase in the average annual discharge of about 10% north of the Alps (IPCC and McCarthy, 2001). In order for us to be able to create and validate appropriate models we need to know and understand climatic development of the past such as the frequency of floods.

Since the late 18th century, flood patterns in Central Europe have been evaluated on the basis of official and standardized measuring data. In various regions climate change seems to be a factor for the intensification of natural disasters both in number and extent. For the Rhine catchment area, winter precipitation has increased approximately 30%. These changes go along with a corresponding increase of annual flood discharge from 1891 on – for example at the gauging station Cologne (Mendel et al., 1997).

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On a global as well as on a regional scale, this relatively short phase of up to about 200 years is still the only commonly recognized scale for characterizing climate and flood patterns. The question arises on whether the changes within this period are part of a long-term natural development or a sign of the human impact on climate. Furthermore we have to ask which dimension these changes have in a historical context.

Up to a certain point past climate research can provide answers to these questions. Historical records, in various regions of Central Europe dating back more than 1000 years, provide direct information about the climatic impact on society. Their high temporal resolution is of special value for the examination of natural disasters such as river floods. Besides extensive reconstructions of singular historic flood events (Corsten, 2001; Munzar, 2001; Tetzlaff et al., 2001), various publications present long time series of flood frequencies (Brázdil et al., 1999; Pörtge and Deutsch, 2000; Sturm et al., 2001). Figure 1 illustrates the findings of several Central European rivers. In this paper we will discuss the flood development along the Rhine branches Waal, Nederrijn/Lek and IJssel in the Dutch Rhine Delta (Fig. 2). Time series dating back to AD 1350 will be presented as well as a concise discussion on their underlying control mechanisms. Furthermore, the human dimension of flood events will be briefly reviewed.

2 Flood data and its interpretation

2.1 Flood observation in the Dutch Rhine Delta

Since the beginning of our historic time, climate and its extremes have been attracting the attention of humankind. People wrote documents and recorded early instrumental readings. In Western and Central Europe, climate observations in historical documents have existed since the Carolingian era. After Pfister (2001), looking at the amount and continuity of this material, we can divide the past 1250 years into

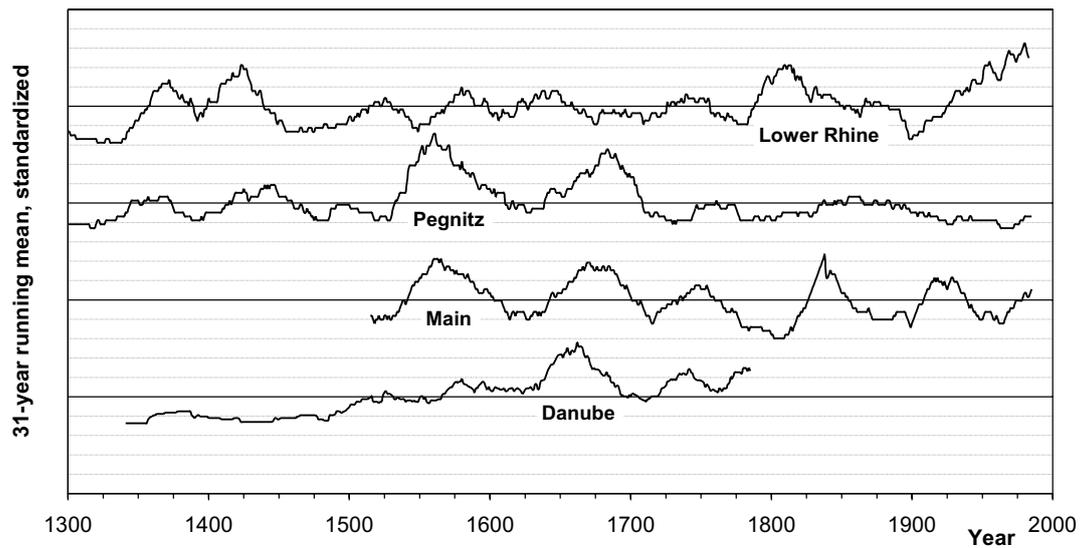


Fig. 1. 31-year running mean of historical floods on various European rivers (Glaser and Stangl, revised).

Table 1. Periods of climate observation in Central Europe (after Pfister, 2001)

from	temporal resolution	availability	source type
~ AD 700	- (yearly)	sporadic	document
~ AD 1250	seasonal	almost uninterrupted	document
~ AD 1500	monthly (daily)	almost uninterrupted	document
~ AD 1680	daily	sporadic	instruments, individuals
~ AD 1860	daily (intra-daily)	uninterrupted	instruments, officials

five periods with gradually increasing source availability and temporal resolution (Table 1).

In the area of the Dutch Rhine Delta we may assume sufficient source availability on from about AD 1350. Historical records such as the “Cameraarsrekeningen van Deventer” and the “Stadsrekeningen van Arnhem” provide valuable information over many centuries. The financial consequences of river floods concerning dike protection and repairs are especially mentioned in these accounts. Monastic chronicles or weather diaries written by individuals give further information about the extents and often even about the causes of flood events.

Thanks to compilations edited by authors like Weikinn (1958–1963), Gottschalk (1971–1977), and Alexandre (1987), lots of historical sources are easily available today. To provide modern data handling, a graphical presentation, and the possibility for statistical analysis, data banks like the “HISKLID” (<http://www24.brinkster.com/hisklid/index.htm>) have been developed.

In the course of enlightenment and the invention of new technical devices we notice the emergence of the first quantitative data sets. They are provided by individual instrumental measurements and flood marks on bridges, houses, or city ports. Finally, for the regular acquisition of hydrologic

parameters, national and international measuring networks were established, providing objective and standardized data sets. At the gauging station Nijmegen along the Waal daily acquisition of water level data was started as early as 1770, following some large flood events in the preceding years.

Today online data banks make this information available even to the general public. River measuring data for the Netherlands have been compiled by the “Rijkswaterstaat” (<http://www.waterbase.nl>).

2.2 Data evaluation and interpretation

While dealing with historical data, one has to be aware of the subjective nature of written sources. The reason for this is the fundamental influence of man’s individual perception. Generally speaking, separating subjective from objective components of historical sources requires historical methods of data evaluation and interpretation, including critical source analysis and hermeneutics. Where available, interregional comparison by means of source synopsis is of special benefit for the acquisition of reliable data. Figure 3 shows a scheme for the critical evaluation and interpretation of historical documents.

The historical methods help us to judge the reliability of



Fig. 2. The Dutch Rhine Delta.

historical data. One next step is their quantification. Therefore understanding hydrological processes is as fundamental as statistical tests and multiple statistical methods. A common procedure is the derivation of indices. Levels of magnitude (e.g. duration of the flood or buildings damaged by the water), expressed in the records, are correlated with numerical methods. Taking into account the descriptions about the flood consequences on other natural phenomena, for instance the phenological status or soil conditions, Sturm et al. (2001) such developed a scheme for the intensity classification of historical floods (Table 2).

A crucial problem is the combination of historical data based on the description of flood damages, and discharge data based on water level measurements into a single comparable series. Sometimes we even have to deal with a gap of several decades between historical and instrumental data. For this case, Sturm et al. (2001) have developed a method based on the deviation of the monthly maximum discharge from the mean discharge of a reference period with respect to the standard deviation.

In this paper, we will discuss a long time series for the Waal. Historical records were available up to AD 1800, whereas daily instrumental measurements were initiated in 1770. For the intersection period of 31 years we compared written information and river level data. Based on the necessary condition that both kinds of data should give information about the same number of river floods, we thus determined a

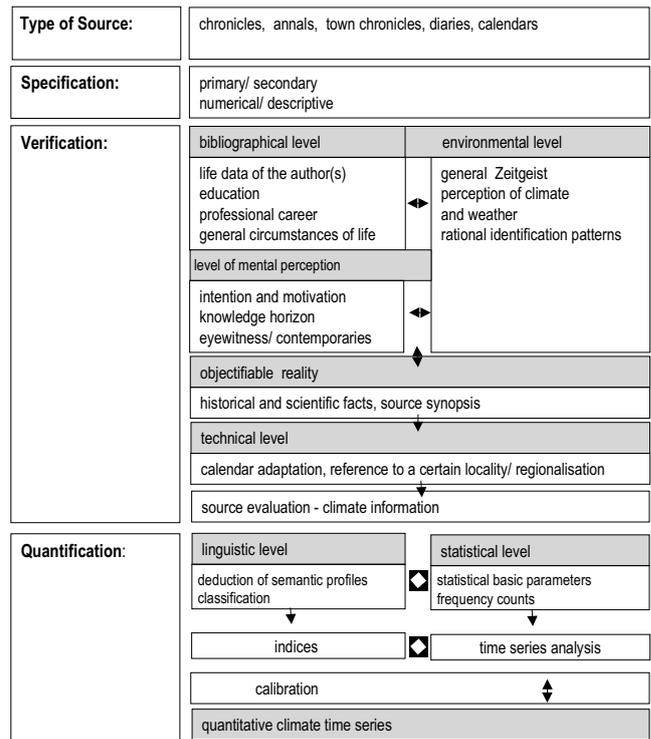


Fig. 3. Scheme for source criticism (Glaser, 2001).

limiting value for classifying gauge levels as flood events.

2.3 Analyzing flood development

For the graphical presentation of the flood series we chose 31-year running frequencies on the basis of the hydrological year (starting on 1 November). Though this measure exhibits a relatively poor filtration effect (Schönwiese, 1992), it meets the needs of various geoscientific approaches with respect to the commonly used 30-year time span for climatological phases.

Due to the multitude of uncertainties concerning the development of the processes controlling flood evolution, and due to the lack of a comprehensive model describing the complex interactions between these processes, the discussion of the time series mainly refers to some selected points in a qualitative way.

For an elementary quantitative discussion, t-test analysis seems to be appropriate (Schönwiese, 1992). For a specific year we considered the flood frequencies of the 30 preceding and the 30 subsequent years to be random samples. Gaussian distribution for each random sample was verified by using the Kolmogorov-Smirnov test (Schönwiese, 1992). As a t-test estimator we get

$$\hat{t} = \frac{|\bar{a} - \bar{b}| \sqrt{n}}{\sqrt{s_a^2 + s_b^2}} \tag{1}$$

with $n = 30$ (number of elements in the random samples) and s_a^2, s_b^2 variances of the random samples a and b .

Table 2. Intensity classification of historical floods (Sturm et al., 2001)

Level	Classification	Primary Indicators	Secondary Indicators
1	smaller, regional flood	little damage, e.g. fields and gardens close to the river, wood supplies that were stored close to the river are moved to another place	short flooding
2	above average, or supra-regional, flood	damage to buildings and constructions related to the water like dams, weirs, footbridges, bridges and buildings close to the river, like mills, etc.; water in buildings	flood of average duration; severe damage to fields and gardens close to the river, loss of animals and sometimes people
3	above average, or supra-regional, flood on a disastrous scale	severe damage to buildings and constructions related to the water, i.e. dams, weirs, footbridges, bridges and buildings close to the river, like mills etc.; water in buildings. In part, buildings are completely destroyed or torn away by the flood	duration of flood: several days or weeks; severe damage to fields and gardens close to the river, extensive loss of animals and people; morphodynamic processes like sand sedimentation cause lasting damages and change the surface structure

Table 3. Basic types of flood events in Central Europe (after Brázdil et al., 2002)

flood type	spatial scale	meteorological causes/ main synoptic situation
flash flood (due to short but intensive downpours/ cloudbursts)	local (but often with disastrous impacts)	connected with heavy precipitation during convective storms
flood due to long-lasting continuous rainfalls flood due to snow-melt flood due to ice damming	larger territorial units	related to supra-regional synoptic patterns (such as cyclones or troughs)

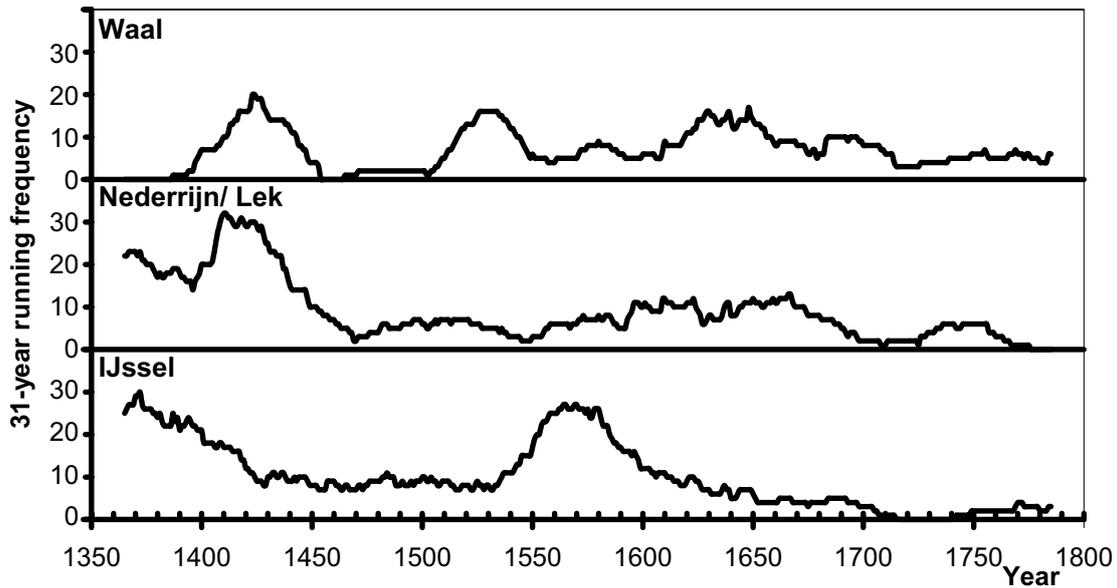


Fig. 4. Historical floods in the Rhine Delta.

For $2n - 2 = 58$ DOFs (Degrees of Freedom) and an alpha level of 1% we get a significance level of $t(58; 0.01) = 2.392$. Larger estimators, especially very high and narrow peaks in the graph of the estimator function give indication for fracture points in the flood time series, which may be starting-points for further investigations.

2.4 Flood typology in Central Europe

Brázdil et al. (2002) characterise four basic types of flood events according to their meteorological causes (Table 3). Flash floods are of minor importance for the Dutch Rhine Delta, whereas the three flood types concerning larger territorial units have occurred in changing frequency in the past – either in pure form or overlapping one another.

Flooding due to ice damming may be considered as a special case. During a long period of very low temperatures, especially river reaches with small flow velocities can be covered by ice. This condition is fulfilled in the generally slowly dropping Rhine branches, especially in the transition regions to the tide. From there on, the ice cover could subsequently be extended upstream. A sudden warming, in Central European winters often combined with precipitation from Atlantic air masses, could then lead to the piling up of ice clods at baffles in the river, such as sand banks.

3 Time series and their structure

3.1 Historical floods along Waal, Nederrijn/Lek and IJssel

Close to the German-Dutch border near Lobith the bifurcation of the Rhine into the Waal and the Pannerden Canal marks the commencement of the Rhine Delta. About ten kilometers further downstream the latter itself branches out into the IJssel and the Nederrijn, in its lower part, after crossing the Amsterdam-Rhine-Canal near Wijk bij Duurstede bearing the name Lek. The evolution of the Rhine Delta during the Holocene has been described by Brunacker (1978), Woude (1981) and Czaya (1981).

Figure 4 shows the 31-year running frequencies of historical floods on the Waal, Nederrijn/Lek and IJssel (levels 1, 2, and 3, according to the scheme presented in Table 2). On from about 1350 AD, in the case of the Waal probably a few decades later, we may assume a sufficient source availability. First of all, the running frequencies exhibit significant changes in flood frequencies. For example, along Nederrijn/Lek and Waal, a notable peak is situated around AD 1420, whereas relatively few floods occurred in the second half of the fifteenth century. Apparently, medium-term increases and decreases within a range of 30 to 100 years were quite common. This finding is remarkable as these deviations occurred within the period of “natural climate”, i.e. in a time, when humankind did not yet cause global warming.

The hydrological regime of all the three Rhine branches is almost exclusively controlled by the discharge of the Lower Rhine. Yet, periods with frequent floods and periods with

Table 4. Flood peak values on the Waal, hydrological years 1770–1800 (source for Nijmegen gauge data: Rijkswaterstaat, <http://www.waterbase.nl>)

rank	date	Nijmegen gauge (cm above NAP)*	registered in historical documents?
1	21.02.1799	1378	X
2	27.01.1781	1367	X
3	08.02.1799	1367	X
4	03.01.1784	1344	X
5	Dez. 1769	> 1265 supposed	X
6	14.02.1795	1265	
7	25.01.1789	1250	X
8	29.01.1778	1239	
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few floods did not always occur simultaneously. The reason for this will be discussed in the following chapter.

As explained above, t-test analysis may help us to find the fracture points in the flood series (Fig. 5). The periods within which t-test estimators of all the three Rhine branches surpass the significance level may be of special interest with respect to large scale phenomena. For example, this is the case between AD 1385 and AD 1413. While flood risk significantly increases along the Waal and Nederrijn/Lek within this phase, we have significantly less flood reports for the IJssel in the years following about AD 1410.

3.2 Adhering instrumental data to the Waal series

The comparison of documentary and instrumental data for the Waal exhibits a good conformity (Table 4). The five largest flood peak values have been referred to in historical documents as well. The flood event ranking at number six has not been described, while number seven is included in the records once more. The marginal difference of 15 cm between the latter 2 events might already be due to intra-day fluctuations. Thus we may state that within the time span 1770–1800 in fact large river discharges have been described as floods whereas normal ones have not. A limiting value of 1257.5 cm (mean of the gauge levels of the flood events on rank six and seven) thus seems to be appropriate for classifying subsequent events as floods. On this base we classified the daily water levels at the Nijmegen gauge for the years 1801–2001. The relocation of the gauge station in the year 1980 has been considered by an appropriate compensation. Figure 6 shows the resulting flood series.

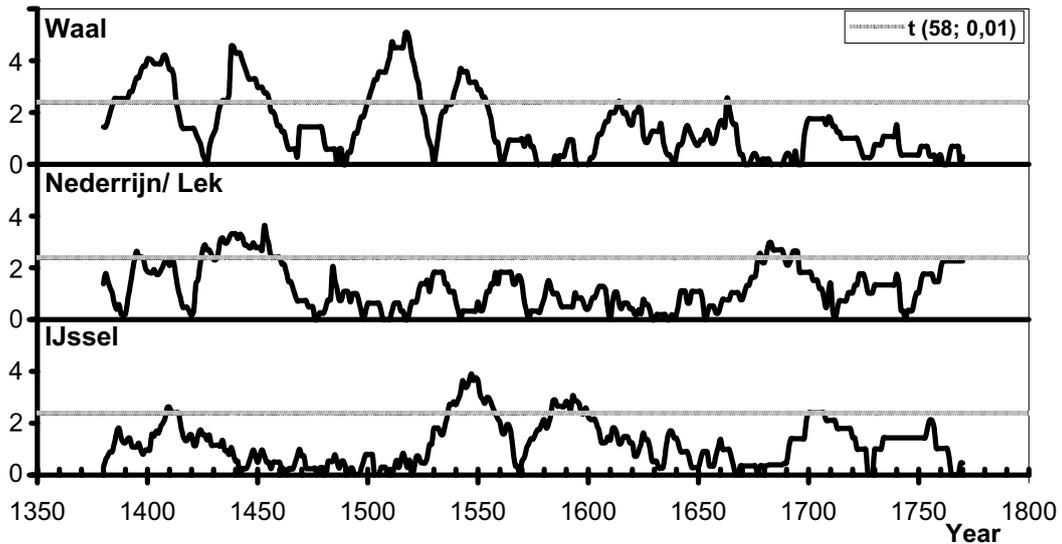


Fig. 5. t-test estimators (30 years preceding/30 years following) of flood data.

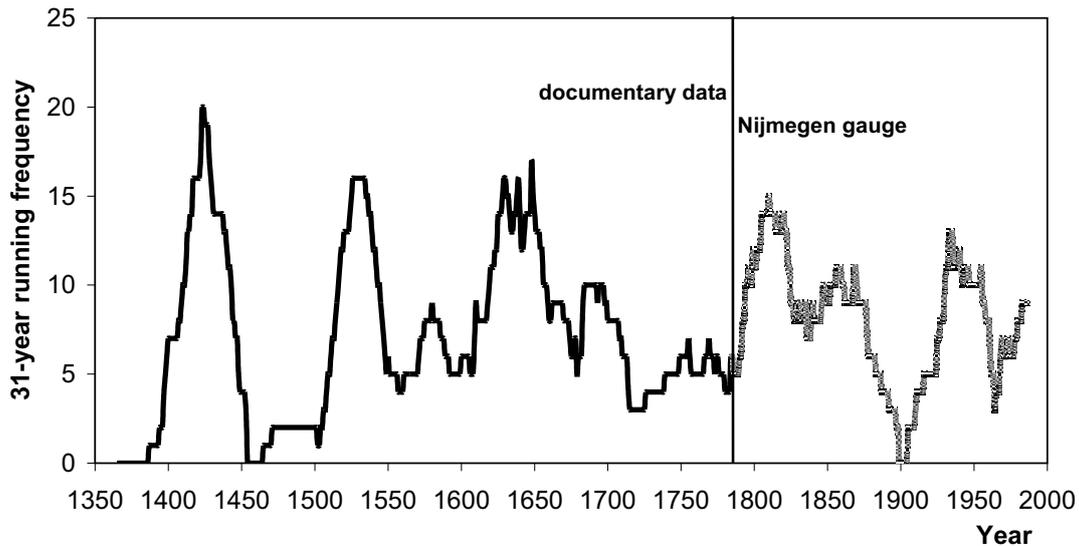


Fig. 6. Flood development on the Waal since AD 1350 (source for Nijmegen gauge data: Rijkswaterstaat, <http://www.waterbase.nl>).

4 Discussion

4.1 Parameters concerning flood series

Flood patterns are subject to a multitude of influences – not only to climatic parameters but also to other geophysical aspects such as the morphometric structure of the river basin or to social aspects (Fig. 7). The problem of the source effect has already been discussed in Sect. 2.2. Critical source analysis is applied in order to reduce this effect to a minimum. The other aspects illustrated may be of various importance for different river basins. E.g., while land-use changes (such as woodland clearance) may have dramatic effects in small river basins, their effect on the Rhine system with its large catchment area seems to be of minor importance (Kwadijk, 1993).

It is important that these control mechanisms must not be understood unilaterally. Floods themselves influence the structure of the river basin as well as the use of preventive measures and human response, thus exhibiting the main characteristics of a feedback operation.

Furthermore, Fig. 7 illustrates a fundamental problem of arranging historic and recent flood data as well as of interpreting flood series in general. While documentary records are in fact subject to all the parameters illustrated, instrumental data are neither concerned by human flood exposure nor influenced by source effects.

When giving a limiting value for an intersection period of documentary and instrumental data, we therefore have to be aware, that this value has not necessarily been constant in the time preceding the instrumental period, for which we

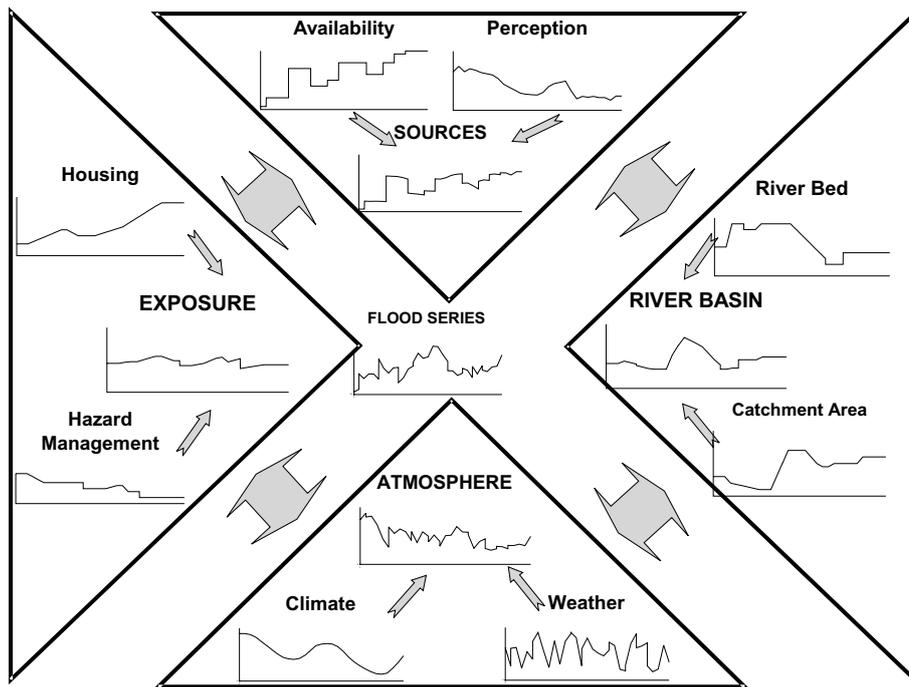


Fig. 7. Parameters concerning historic flood series.

classified the floods due to their effects (Table 2). Knowledge about possible changes would be of fundamental importance for quantifying the other effects illustrated, such as climatic changes.

In addition, developing appropriate filter techniques seems to be of fundamental importance for the comprehensive interpretation of flood development.

In the following, we will present some selected aspects to illustrate the complex interactions characterizing flood development in the Dutch Rhine Delta.

4.2 Floods and the changing structure of the river basin

Changes in the catchment area as well as changes along the river itself may be of great influence on the frequency and extents of river floods. In the Netherlands, dike construction – followed by sand sedimentation and damming up of river water – was an important factor (Tol and Langen, 2000; Ven et al., 1995). This can be easily understood in the case of icefloods (which formerly occurred more frequently, see below). On the other hand, nowadays, dikes are indispensable means for the protection of the human settlements close to the rivers.

Furthermore, historic storm floods caused great losses of land in the area of river mouths. The displacement of the erosion base was followed by significant redistribution of Rhine water between the various branches. Special attention has been given up to this day to the catastrophic “St. Elisabeth’s flood” in AD 1421 (Ven, 1996; Gottschalk, 1975).

Yet, as mentioned above, a fracture point for the flood series is supposed to be situated at least one decade earlier. Whether this is due to an opposing climate develop-

ment around the year of “St. Elisabeth’s flood”, to an earlier storm flood event already altering the river flow patterns – Gottschalk (1975) describes two more disastrous events for the years 1404 and 1409 – or for other reasons may not be stated for sure at this point.

After centuries of further storm floods and silting up of Nederrijn/Lek and IJssel, water distribution between the Rhine branches was finally stabilized in the course of the 18th century, especially by the construction of the Pannerden Canal (Urk and Smit, 1989; Ven et al., 1995).

Large scale river regulations and excavations of sand banks were among the elaborative measures implemented in the 19th and 20th centuries, both aiming to improve protection from floods and to ease navigation (Tümmers, 1994).

4.3 Floods and atmospheric patterns

Of course, there is a significant correlation between floods and the variability of our climate. On different time scales, regular and irregular climatic variations define the conditions under which specific weather situations (pressure, temperature, precipitation) lead to the formation of flood events. Glaser (2001) presents a reconstruction of the temperature’s and precipitation’s development within the past millennium based on documentary records.

Our examination of historic flood data led to the supposition that during the second half of the 17th century, that is to say, during a climax period of the Little Ice Age, up to about 70% of flood events in the area of the Rhine Delta might have been in connection with icing (often in combination with precipitation and/ or snow melt). That ice floods are of negligible importance nowadays is not only due to cli-

matic effects, but also to human interventions such as dense navigation and warming up of the river by industrial cooling water (CHR, 1978; Ven et al., 1995).

4.4 Floods and society

The impact floods have on society is a main aspect of historical flood evidence. Important features are:

- the number of people living in settlements close to the river
- the strategies developed for managing flood hazards, for instance warning and protection systems as well as measurements taken after the flood

Glaser et al. (2002) drew a multi-layered picture of disaster-perception, disaster-management and vulnerability towards natural disasters for various European rivers. Severe shortages of food as well as problems with clear drinking water supply during and until several weeks after a flood were among the most serious problems.

This “human dimension” of floods has been of great importance in the Netherlands. Tol and Langen (2000) give an extensive description of the course of human settlement and cultivation close to the river, which reached a considerable level as early as the Medieval Optimum. The authors suppose connections not only with technical inventions such as the wind mill but also with an early discernment that cooperation is for the benefit of society and with the early establishment of central governments by the counts of Gelre and Kleve.

Ven et al. (1995) draw a detailed picture of the history of prevention, warning systems, counter measures, and disaster relief operations. In many communities, storage of materials and works to be executed in the case of a disasters were directed by legislation. Out of various precursor organizations, in the time of the Batavian Republic, a country-wide, centrally organized system of river correspondence was developed. Furthermore, the authors describe donation activities organized by wealthy citizens in large towns in the 18th century. Historical documents (Gottschalk, 1975) give evidence of food deliveries by adjacent villages that already took place in medieval times. Finally, Tümmers (1994) refers to a connection between the threats by the water and religious orders.

These aspects once more stress the fact that it was not only the influence of flood development on society, but also the influence of society on flood development and perception that played an important role in history. Thus, both historic and geoscientific aspects have to be taken into account.

5 Conclusions

Historic documentary sources provide valuable information about climate and its extremes, which we can transform into semi-quantitative information by applying historical and statistical methods. Critical source analysis is therefore of major importance. In the field of river floods, the comparably

high temporal and spacial resolution proved to be a special benefit.

It became clear that river floods in Central Europe have always happened, and that the appearance of these disasters drastically changed during the past 700 years. By looking at these phases, we have to assume that during some periods and in some regions floods occurred more frequently than during the past two centuries. On the other hand, in some regions, recent flood development seems to be unique within the reconstruction period. This might be in connection with the phenomena of man-made global warming.

But we have to be careful with such a supposition. The reason for this is that, in addition to atmospheric parameters, flood evolution is subdued to a multitude of parameters. Of special interest is the “human dimensions” of floods. Historical documents provide broad information about the historical perception and management of natural disasters.

In order to apply high-quality filtration techniques, both in the fields of modelling and of data acquisition, further research is necessary to get a comprehensive understanding of past flood development. We consider the presented time series and their elementary discussion as a first step towards this direction.

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