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# Mapping the susceptibility of rain-triggered lahars at Vulcano island (Italy) combining field characterization, geotechnical analysis, and numerical modelling

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Abstract. The characterization of triggering dynamics and remobilized volumes is crucial to the assessment of associated lahar hazards. We propose an innovative treatment of the cascading effect between tephra fallout and lahar hazards based on probabilistic modelling that also accounts for a detailed description of source sediments. As an example, we have estimated the volumes of tephra fallout deposit that could be remobilized by rainfall-triggered lahars in association with two eruptive scenarios that have characterized the activity of the La Fossa cone (Vulcano, Italy) in the last 1000 years: a long-lasting Vulcanian cycle and a subplinian eruption. The spatial distribution and volume of deposits that could potentially trigger lahars were analysed based on a combination of tephra fallout probabilistic modelling (with TEPHRA2), slope-stability modelling (with TRIGRS), field observations, and geotechnical tests. Model input data were obtained from both geotechnical tests and field measurements (e.g. hydraulic conductivity, friction angle, cohesion, total unit weight of the soil, and saturated and residual water content). TRIGRS simulations show how shallow landsliding is an effective process for eroding pyroclastic deposits on Vulcano. Nonetheless, the remobilized volumes and the deposit thickness threshold for lahar initiation strongly depend on slope angle, rainfall intensity, grain size, friction angle, hydraulic conductivity, and the cohesion of the source deposit.

# 1 Introduction

Lahars, an Indonesian term to indicate volcanic debris flows and hyper-concentrated flows with various amounts of volcanic solid content, can cause loss of life and damage to infrastructure and cultivated lands; they represent one of the most devastating hazards for people living in volcanic areas (Pierson et al., 1990, 1992; Janda et al., 1996; Scott et al., 1996, 2005; Lavigne et al., 2000; Witham, 2005; De Bélizal et al., 2013). The most destructive lahars are caused by the breakout of crater lakes or volcano dammed lakes (e.g. Mt. Kelud in Indonesia; Thouret et al., 1998) and by the interaction of hot pyroclastic density currents (PDCs) with glacial ice and snow at ice-capped volcanoes (e.g. Nevado del Ruiz in Colombia; Pierson et al., 1990). However, the most common lahars are those generated by heavy rainfall on tephra fallout and PDC deposits emplaced on volcano slopes (e.g. Casita Volcano, Nicaragua; Scott et al., 2005; Panabaj, Guatemala; Charbonnier et al., 2018). For example, torrential rainstorms on loose pyroclastic deposits produced by the 1991 eruption of Pinatubo (Philippines) have generated hundreds of secondary lahars for years after the end of the eruption (e.g. Janda et al., 1996; Newhall and Punongbayan, 1996). Despite their relatively small volumes, over 6 years, these lahars have remobilized 2.5 km<sup>3</sup> of the 5.5 km<sup>3</sup> of primary pyroclastic material, inundated 400 km<sup>2</sup> of villages and fields, and caused the evacuation of more than 50 000 people (Vallance and Iverson, 2015).

Many studies exist that make use of various analytical and numerical models to describe the potential inundation area of lahars (e.g. Procter et al., 2012; Córdoba et al., 2015; Caballero et al., 2016; Mead and Magill, 2017; Charbonnier et al., 2018). The associated outcomes are fundamental to the development of risk reduction strategies; nonetheless, all inundation models require the determination of the volume of potentially remobilized material that is often approximated due to the lack of information. In fact, the identification of lahar source areas and lahar initiation mechanisms is crucial to the evaluation of lahar recurrence and magnitude. With "lahar source areas" we refer to areas with pyroclastic material that can be remobilized to form lahars; these areas are normally located on steep slopes (>  $20^{\circ}$ ) and at the head of channels draining the volcano flanks. The generation of rain-triggered lahars may be influenced by several factors such as the amount of rainfall, deposit stratigraphy, slope gradient, vegetation cover, and the physical characteristics of pyroclastic deposits (e.g. thickness, permeability, pore pressure, and grain size distribution). Two main mechanisms have been identified for the triggering of lahars by rainfall on pyroclastic material: sheet and rill erosion due to Hortonian overland flow caused by deposit saturation (e.g. Collins and Dunne, 1986; Cuomo et al., 2015) and the infiltration of slope surface by rainfall that can generate shallow landslides (e.g. Iverson and Lahusen, 1989; Manville et al., 2000; Crosta and Dal Negro, 2003; Zanchetta et al., 2004; Volentik et al., 2009; Cascini et al., 2010). Infiltration occurs when the rainfall intensity is lower than the hydraulic conductivity, while overland runoff occurs when rainfall intensity is greater than infiltration capacity, which is also related to capillary suction for unsaturated soils (Cuomo and Della Sala, 2013). Overland runoff is enhanced by the emplacement of very fine ash layers (< 0.125 mm) that reduce the infiltration capacity (Collins and Dunne, 1986; Leavesley et al., 1989; Pierson et al., 2013; Cuomo et al., 2016). Poor infiltration capacities of fresh pyroclastic deposits have been measured, for example at Mount St. Helens in 1980, USA (Collins and Dunne, 1986; Leavesley et al., 1989; Major et al., 2000; Major and Yamakoshi, 2005), Mt. Unzen in 1990-1995, Japan (Yamakoshi and Suwa, 2000; Yamamoto, 1984), and Chaitén in 2008, Chile (Pierson et al., 2013). The combination of a large supply of loose pyroclastic deposits and intense rainfall episodes therefore increases the likelihood of lahars. This is the case on Vulcano island (Italy; Fig. 1), where the deposits of the 1888-1890 eruption, the last eruption of the La Fossa volcano, are still remobilized during the rainy season (e.g. Frazzetta et al., 1984; Dellino and La Volpe, 1997; De Astis et al., 2013; Di Traglia et al., 2013). The initiation mechanism of recent lahars has been studied in detail by Ferrucci et al. (2005), but the initiation mechanism during and just after long-lasting eruptions and in association with short sustained eruptions (such as the eruptions that have characterized the activity of the volcano in the last 1000 years; Di Traglia et al., 2013) has not yet been characterized.

In order to determine the volume of potentially remobilized material, Volentik et al. (2009) and Galderisi et al. (2013) have already combined lahar-triggering modelling with probabilistic assessment of tephra deposition based on a static hydrological model (Iverson, 2000) and assuming total saturation of the deposit. In addition, Tierz et al. (2017) have compiled a probabilistic lahar hazard assessment through the Bayesian belief network "Multihaz" based on a combination of probabilistic hazard assessment of both tephra fallout and PDCs with a dynamic physical model for lahar propagation. Even though these three examples were pioneering in assessing the effect of cascading hazards, the associated description of lahar triggering was overly simplified (i.e. fundamental aspects such as hydraulic conductivity and friction angle were not taken into account) and the soil characteristics as well as the intensity and duration of the rainfall were not considered. In our paper we build on these first studies to show the importance of the application of physically based models in combination with the characterization of pyroclastic material for the determination of deposit instability. Our goal is to accurately predict the volume of tephra fallout that could be remobilized by a rainfall-triggered shallow landslide in association with various eruptive conditions at the La Fossa cone in order to compile a rain-triggered lahar susceptibility map. To achieve this task, we combine the shallow landslide model TRIGRS (Baum et al., 2002) with both probabilistic modelling of tephra fallout (for eruptions of different duration and magnitude) and field and geotechnical characterizations of tephra fallout deposits (i.e. grain size, hydraulic conductivity, soil suction, deposit density).

First, we describe the physical characteristics (e.g. grain size, hydraulic conductivity, angle of friction) of selected tephra fallout deposits associated with both a long-lasting Vulcanian eruption (i.e. the 1888-1890 eruption) and a subplinian eruption (PAL-D eruption of the Palizzi sequence; Di Traglia et al., 2013). Second, we characterize the lahar deposits associated with the 1888-1890 eruption, which provide insights into lahar source areas, flow emplacement mechanisms, and inundation areas of future lahars. The physical characteristics of tephra fallout deposits are used in combination with a probabilistic modelling of tephra fallout (Biass et al., 2016a) to estimate the unstable areas based on the shallow landslide model TRIGRS (Baum et al., 2002). This approach provides the first integrated attempt to quantify the source volume of lahars as a function of probabilistic hazard assessment for tephra fallout (with TEPHRA2), numerical modelling of lahar triggering (with TRIGRS), field observations (including primary tephra fallout deposits, geology, geomorphology, and precipitation), and geotechnical tests of source deposits. Finally, we propose a new strategy to map syn-eruptive lahar susceptibility as a critical tephra fallout deposit thickness resulting in unstable conditions, which could represent a valuable tool for contingency plans. Here the term syn-eruptive is used in the sense of Sulpizio et al. (2006) to indicate lahars that originated during volcanic eruptions or shortly after, while post-eruptive lahar events are generated long (i.e. a few to several years) after an eruption. This is also in agreement with the current definition of primary (syn-eruptive) and secondary lahars (post-eruptive or unrelated to eruptions) provided by Vallance and Iverson (2015) and Gudmunsson (2015). To sum up, this study explores new strategies for volcanic multi-hazard assessment and offers an innovative treatment of the cascading effects between tephra fallout and lahar susceptibility.

## 2 Study area

#### 2.1 Eruptive history

The island of Vulcano, the southernmost island of the Aeolian archipelago, consists of several volcanic edifices whose formation overlapped in time and space beginning 120 kyr ago. The most recently active volcano is the La Fossa cone, a 391 m high active composite cone that began to erupt 5.5 kyr ago (Frazzetta et al., 1984) and whose erupted products vary in composition from latitic to rhyolitic, with minor shoshonites (Keller, 1980; De Astis et al., 1997; Gioncada et al., 2003). The stratigraphy of the La Fossa cone has been described in detail in several studies (Keller, 1980; Frazzetta et al., 1983; Di Traglia et al., 2013; De Astis et al., 2013). The last period of eruptive activity (younger than 1 ka) has been recently divided into two main eruptive clusters, further separated into eruptive units (Di Traglia et al., 2013; Fig. 1). The activity of the Palizzi and Commenda eruptive units (PEU and CEU, respectively) is grouped into a single eruptive period (Palizzi-Commenda eruptive cluster, PCEC) lasting approximately 200 years (11th to 13th century). The following Pietre Cotte cycle, with the post-1739 CE and 1888–1890 CE activity form the Gran Cratere eruptive cluster (GCEC, 1444-1890CE; Di Traglia et al., 2013). The stratigraphic sequence of PEU displays a large variety of eruptive products and a wide spectrum of magma compositions, including cross-stratified and parallel-bedded ash layers (e.g. PAL-A and PAL-C in Di Traglia et al., 2013), pumiceous tephra fallout layers of rhyolitic (PAL-B) and trachytic composition (PAL-D), several lava flows intercalated in the sequence (e.g. the rhyolitic obsidian of Commenda and the trachytic lava flows of Palizzi, Campo Sportivo, and Punte Nere), and, finally, several ash layers and widely dispersed PDC deposits (CEU) associated with the hydrothermal eruption of the Breccia di Commenda eruptive unit (Gurioli et al., 2012; Rosi et al., 2018), which closes the PCEC. The GCEC includes the recent products of the Pietre Cotte eruptive unit (ash and lapilli layers from Vulcanian activity), rhyolitic pumiceous tephra fallout layers, and the rhyolitic 1739 CE Pietre Cotte lava flow. The uppermost part of the GCEC is represented by the products of the 1888–1890 CE eruption, consisting of latitic spatters, trachytic and rhyolitic ash, lapilli layers, and the characteristic bread-crust bombs. Historical chronicles (Mercalli and Silvestri, 1891; De Fiore, 1922), archaeomagnetic data (Arrighi et al., 2006; Zanella et al., 1999; Lanza and Zanella, 2003), and stratigraphic investigations (Di Traglia et al., 2013; De Astis et al., 1997, 2013) concur in indicating that in the past 1000 years at least 20 effusive and explosive eruptions have occurred. Among the explosive eruptions, the Vulcanian cycles represent the most important events in terms of recurrence (at least five long-lasting episodes corresponding to annual frequencies of  $5 \times 10^{-3}$  year<sup>-1</sup>) and erupted volumes, followed by rarer short-lived, higher-intensity events, strombolian explosions, and phreatic eruptions (Table 1). In this work, we will focus on the tephra fallout associated with two main eruptive styles of the past 1000 years: a long-lasting Vulcanian eruption such as that of 1888–1890 (e.g. Di Traglia et al., 2013; Biass et al., 2016a) and a subplinian eruption, which emplaced deposits such as the Palizzi-D subunit. For simplicity, we refer to the deposits of the last 1888-1890 long-lasting Vulcanian event as the "1888-1890 eruption" and to the fallout deposit of the subplinian Palizzi-D eruption as "PAL-D" primary deposits.

## 2.2 Climate

Vulcano island has a typical semi-arid Mediterranean climate (De Martonne, 1926) with annual rainfall between 326 and 505 mm, falling mostly during autumn and winter seasons (Fig. 2a). Based on Arnone et al. (2013), rainfall trends in Sicily island can be classified in three intensitybased categories: light precipitation  $(0.1-4 \text{ mm d}^{-1})$ , moderate precipitation (4–20 mm  $d^{-1}$ ), and heavy-torrential precipitation (>  $20 \text{ mm d}^{-1}$ ). Heavy-torrential precipitation occurred three times in 2010 and 2011, two times in 2012, and one time in 2013 and 2014 (INGV Palermo); the associated rainfall duration can last 2-3 h to 3 d and occurs normally in September, October, November, December, and, more rarely, in May (Fig. 2b). Such an observation agrees with the observations of De Fiore (1922) for the period just following the 1888–1890 eruption, indicating that the weather pattern in the region has been pretty constant. These meteorological conditions associated with poor vegetation coverage (Valentine et al., 1998), steep slopes (Fig. 1d), and the presence of layered, fine-grained tephra (lapilli and ash) favour the remobilization of volcanic deposits (Ferrucci et al., 2005; Di Traglia, 2011). Wind patterns inferred from the ECMWF ERA-Interim dataset (Dee et al., 2011) for the period 1980-2010 show a preferential dispersal towards SE at altitudes lower than 10 km above sea level (a.s.l.), which above shift towards E (Biass et al., 2016a).



**Figure 1.** Overview of the study area. (a) location of the island of Vulcano in Italy. (b) Isopach map on a shaded relief of Vulcano island of the cumulative tephra fallout PAL-D deposit (after Di Traglia, 2011). (c) Simplified stratigraphy of the last 1000 years of the La Fossa volcano based on Di Traglia et al. (2013) and De Astis et al. (1997, 2013) (see Table 1 for more details). (d) Isopach map of the cumulative 1888–1890 tephra fallout deposit (after Di Traglia, 2011) and sample locations. Sample names refer to *V* samples of tephra fallout (yellow circles) and lahars (red squares), *I* to infiltration measures (blue triangle), and Su to suction measurements (pink diamond). SCA: summit cone area. VPP: Vulcano Porto Plain. The rain gauge of Lentia is also shown (green circle).

## 2.3 Recent lahars

Both syn-eruptive and post-eruptive lahar events induced the progressive erosion of the tephra deposits that cover the La Fossa cone. The tephra fallout deposit associated with the most recent Vulcanian eruption (1888–1890) has been almost completely removed from the upper slopes and accumulated at the foot of the cone, where the stratigraphic sections show a succession of lahar deposits with thicknesses between 0.1 and 1 m (Ferrucci et al., 2005). The entire tephra sequence of the Gran Cratere eruptive cluster (including the 1888–1890 Vulcanian eruption) lies on top of thinly stratified, reddish, impermeable ash layers (varicoloured tuffs or "tufi varicolori"; Frazzetta et al., 1983; Capaccioni and Coniglio, 1995;

Dellino et al., 2011), which concluded the Breccia di Commenda phase. In most parts of the cone, the dark grey tephra of the Vulcanian cycles is almost completely eroded and the tufi varicolori are exposed. A rill network developed on the impermeable fine-grained tuffs that conveys water to a funnel-shaped area, where a main gully defines a drainage basin (Ferrucci et al., 2005). The main gullies start where the loose grey interdigitated tephra fallout and lahar deposits crop out (Fig. 1). Lahar volumes and travel distance strongly depend on both the availability of pyroclastic material in the source area and on the characteristics of the rainfall events (intensity and duration). Ferrucci et al. (2005) estimated volumes between 20 and 50 m<sup>3</sup> for three recent lahars based on levees and terminal-lobe deposit geometry on the NW sector

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**Table 1.** Number of observed eruptions for the different types of activity in the last 1000 years on Vulcano island. Values of frequencies and the detailed events for each type are also reported. In bold are the selected scenarios used in Sect. 3.3; based on data from Di Traglia et al. (2013) and De Astis et al. (1997, 2013).

Eruption type	Frequency and events				
Phreatic eruptions		Eruption 1727 Eruption 1444 Commenda			
	Number	3			
_	Freq. $(a^{-1})$	$3.0 \times 10^{-3}$			
Effusive activity		Pietre Cotte, Palizzi, Commenda, Vulcanello 3 (2), Vulcanello 1, Vulcanello 2, Punte Nere, Campo Sportivo			
	Number	9			
	Freq. $(a^{-1})$	$9 \times 10^{-3}$			
Strombolian activity		Vulcanello 3, Vulcanello 2, Vulcanello 1			
	Number	3			
	Freq. $(a^{-1})$	$3.0 \times 10^{-3}$			
Vulcanian cycles		<b>1888–1890</b> Pietre Cotte (3) Palizzi (1)			
	Number	5			
	Freq. $(a^{-1})$	$5.0 \times 10^{-3}$			
Sustained eruptions		Event in Pietre Cotte Palizzi trachytic ( <b>PAL-D</b> ) Palizzi rhyolitic (PAL-B)			
	Number	3			
	Freq. $(a^{-1})$	$3.0 \times 10^{-3}$			

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of the La Fossa cone. We describe, as an additional example, a small lahar that occurred on September 2017 (just before our main field survey) on the NW cone flank covering part of the La Fossa crater trail (the Supplement). However, the occurrence of larger syn-eruptive lahars  $(10^3 - 10^4 \text{ m}^3)$  reaching the Porto di Levante and Porto di Ponente areas have also been reported (Di Traglia, 2011). Much of the material of the La Fossa ring plain has been transported by lahars during the post-1000 CE period; in fact, the Porto di Levante and Porto di Ponente plains were progressively filled up with 2-3 m of reworked tephra fallout deposits during this time interval (Di Traglia et al., 2013). In 1921 the loose grey 1888-1890 tephra fallout deposit was already largely eroded and the tufi varicolori were already exposed (De Fiore, 1922). Di Traglia et al. (2013) also reported post-eruptive lahar deposits on the flank of the volcano with increasing thickness towards the base. Deposit thickness then decreases on the ring plain in the Porto area where they also show finer grain size and lamination. The study of recent lahars located on the NW flank of the La Fossa cone indicated that the deposits were emplaced by saturated slurries in which grain interaction dominated the flow dynamics (Ferrucci et al., 2005). The use of the empirical ratio clay / (sand + silt + clay) (Vallance and Scott, 1997) and the physically based calculation of  $N_{mass}$  (Iverson and Vallance, 2001) suggest non-cohesive debris flows and transport dominated by granular flows (Ferrucci et al., 2005).

## 3 Methods

## 3.1 Field sampling

The field characterization of both tephra fallout primary deposits in the lahar initiation zones and lahar deposits was carried out during two field campaigns in 2017 and 2018.



**Figure 2.** (a) Mean monthly rainfall (mm) observed at Lentia station (Fig. 1) between 2010 and 2014 (error bars also indicate minimum and maximum values). (b) Maximum monthly rainfall within 3, 6, 12, and 24 h (data from INGV Palermo).

Eight undisturbed samples of tephra fallout primary deposit were collected for geotechnical tests from four outcrops on the La Fossa cone and Palizzi valley for the 1888-1890 and the PAL-D units (yellow circles in Fig. 1b and d). In particular, PAL-D tephra fallout primary deposit was sampled at locations V3 (one sample) and V4 (one sample) (Fig. 1b), while the 1888-1890 tephra fallout primary deposit was sampled at locations V1 (four samples) and V2 (two samples) (Fig. 1d). In addition, five samples for grain size analysis were collected vertically every 6 cm at two 1888-1890 tephra fallout primary deposit outcrops (yellow circles V1 and V2 in Fig. 1d). We consider the tephra fallout primary deposit of V1 and V2 as representative of the lahar initiation zone on the S and NW flanks of the La Fossa cone, respectively. In contrast, the lahar source area associated with the PAL-D primary deposit is either covered by new eruptive products or eroded. As a result, PAL-D had to be sampled at the base of the cone (V3 and V4 in Fig. 1b). Deposit sampling for geotechnical tests was performed by inserting a steel tube with a height of 30 cm and a diameter of 10 cm into the ground (see Appendix A, Fig. A1). A basal support was then inserted, and the tube was extracted from the deposit with minimum disturbance of the internal stratigraphy. The tube was then covered on both ends to preserve the deposit for further laboratory analysis (see Sect. 3.2). Due to the sampling apparatus, most geotechnical tests could only be carried out on the top 30 cm of each deposit location. As a comparison, in V1 the 30 cm tube was inserted after having eliminated the top 30 cm of deposit to analyse the central part of the outcrop. Given the characteristics of the deposit, we consider 30 cm of sampling to be representative for the main characteristics of both the 1888–1890 (which is thinly laminated across the entire section) and the PAL-D (which is mostly massive) deposits. Soil suction measurements were carried out in situ on the 1888–1890 tephra fallout deposit with a soil moisture probe ("Quick Draw" model 2900FI) (Fig. 1 and Appendix A). The saturated hydraulic conductivity was estimated in the field with a single-ring permeameter on PAL-D primary deposits (V3 and I3 in Fig. 1) and on 1888-1890 primary deposits (I1 and I2, near the V2 location in Fig. 1) (see Appendix A, Fig. A3). The field description and sampling of syn-eruptive lahar deposits associated with the 1888-1890 eruption were performed on the NW volcano flanks, in the Palizzi valley, and in the Porto Plain (red squares in Fig. 1; V5 to V12). At total of 11 samples of lahar matrices were sampled on the S cone flank (V5), on the NW cone flank (V8–V12), in the Palizzi valley (V6), and in the Porto Plain (four samples in V7).

#### 3.2 Laboratory analyses

Grain size analyses were carried out at the University of Geneva for three tephra fallout sections (11 samples) and for 11 lahar deposit matrix samples (fractions between -6 and  $10\Phi$ ). The phi ( $\Phi$ ) scale is a sediment particle size scale diameter calculated as the negative logarithm to the base 2 of the particle diameter (in millimetres) (Krumbein, 1938). Samples were mechanically dry-sieved at half- $\Phi$  intervals for the coarser fraction between 16 and 0.25 mm. The laser granulometry technique (CILAS 1180 instrument) was used for fractions smaller than 0.25 mm. The deposit density of five samples of the 1888–1890 primary deposits and one sample of the PAL-D tephra fallout deposit was also determined at the University of Geneva by weighing a given volume of sample material measured with a graduated cylinder.

Natural water content and shear strength were measured on undisturbed samples at the University of Salerno. The natural water content  $(w_n)$  was evaluated at several depths (from 0.06 to 0.3 m) for six samples of the 1888-1890 primary deposits and for four samples of the PAL-D primary deposit. The shear strength of primary deposits was measured through direct shear tests performed with a conventional direct shear apparatus in the Laboratory of Geotechnics at the University of Salerno. Tests on undisturbed specimens of the 1888-1890 primary deposit were performed at both natural water content and in fully saturated conditions. The natural water content  $(w_n)$  and the degree of saturation were evaluated before and after the tests. The modified Kovács (1981) model of Aubertin et al. (2003) was used to obtain the soil water retention curve (SWRC) from the grain size data of the source area (i.e. D10 and D60, the diameter corresponding to 10 % and 60 % of the grain size distribution; see the Supplement, Table S2) and the liquid limit. SWRC relates the water content to soil suction. The tests were interpreted in terms of shear stress and the vertical effective stress as defined by Bishop (1959), referring to the "effective saturation degree" ( $S_{re}$ ) following Eq. (1):

$$\sigma'_{ij} = \sigma_{ij} - u_{a}\delta_{ij} + S_{r}(u_{a} - u_{w})\delta_{ij}, \qquad (1)$$

where  $\sigma_{ij}$  (kPa) is the total stress tensor,  $u_a$  (kPa) is the pore air pressure,  $u_w$  (kPa) is the pore water pressure,  $u_a - u_w$  is the matric suction, and  $S_r$  (%) is the degree of saturation.

The saturated hydraulic conductivity  $K_s$  (m s<sup>-1</sup>) for the 1888–1890 primary deposit was measured through laboratory tests at the University of Salerno. The test was carried out on a reconstructed specimen with a height of 140 mm and a diameter of 39.4 mm obtained through a water pluviation technique, ensuring specimen saturation. A constant water volume (5 mL) was forced to go through the specimens by applying a difference of pore pressure between the top and bottom, while the time was measured. The test was repeated five times and each time  $K_s$  was evaluated.

The saturated soil diffusivity  $D_0$  (m<sup>2</sup> s<sup>-1</sup>) was evaluated for both deposits using the soil water retention relationship of Rossi et al. (2013) as a function of the saturated hydraulic conductivity and the parameters ( $h_b$ ,  $\lambda$ ) of Brooks and Corey (1964, 1966) following Eq. (2):

$$D_0 = \frac{h_{\rm b} k_{\rm sat}}{\lambda \left(100 \cdot n - \theta_{\rm s}\right)},\tag{2}$$

where  $\theta_s$  (%) is the soil water content at saturation,  $h_b$  (kPa) the bubbling pressure, and  $\lambda$  (m<sup>2</sup> g<sup>-1</sup>) the pore size index distribution. The parameters  $h_b$  and  $\lambda$  were estimated by interpolating the data of SWRC.

## 3.3 Probabilistic tephra fallout modelling

In order to best describe the cascading effect between tephra deposition and lahar triggering susceptibility in the context of multi-hazard assessments, the tephra fallout deposits considered in our analysis are those probabilistically modelled by Biass et al. (2016a). Based on the stratigraphy of the last 1000 years of La Fossa (Di Traglia et al., 2013), Biass et al. (2016a) defined three eruption scenarios for tephra fallout including the following: (i) a long-lasting Vulcanian eruption scenario (plume heights: 1-10 km a.s.l.; total mass:  $1.9-140 \times 10^9$  kg; duration: 30 d–3 years); (ii) a VEI 2 (Volcanic Explosivity Index; Newhall and Self, 1982) subplinian eruption scenario (plume heights: 5-12 km a.s.l.; mass: 0.6- $6 \times 10^9$  kg; duration: 0.5–6 h); and (iii) a VEI 3 subplinian eruption scenario (plume heights: 8-17 km a.s.l.; mass: 6- $60 \times 10^9$  kg; duration: 0.5–6 h). Note that although no VEI 3 eruption is observed in the stratigraphy of the last 1000 years of activity, evidence of VEI 3 eruptions is found in the older history of La Fossa. All three scenarios were simulated probabilistically using the TEPHRA2 model (Bonadonna et al., 2005) with the TephraProb software (Biass et al., 2016b). Probabilistic isomass maps were computed for various probability thresholds, which express the distribution of tephra load for a fixed probability of occurrence within a given eruption scenario (Biass et al., 2016b). For the long-lasting Vulcanian scenario, various probabilistic isomass maps were computed to express the cumulative tephra fallout at a given time after eruption onset. Note that these cumulative maps ignore remobilization of the primary deposit between single Vulcanian explosions. These probabilistic isomass maps were converted into probabilistic isopach maps using deposit densities of 1200 and 600 kg m<sup>-3</sup> for the Vulcanian and subplinian scenarios, respectively (Biass et al., 2016a).

### 3.4 TRIGRS model

Based on the physical characteristics of the tephra fallout deposits (high permeability) and on the high intensity of rainfall events, we assume that the most probable rain-triggered lahar initiation mechanism on the La Fossa cone is shallow landsliding. Shallow landsliding is produced by an increase in water pore pressure due to rainfall infiltration on tephra deposits, which causes a slope failure. Several slope-stability models have been used to predict lahar initiation processes as shallow landslides in volcanic areas (e.g. Cascini et al., 2010; Frattini et al., 2004; Crosta and Dal Negro, 2003; Sorbino et al., 2007, 2010; Cascini et al., 2011; Cuomo and Iervolino, 2016; Cuomo and Della Sala, 2016; Mead et al., 2016, Baumann et al., 2018). Among those, the Fortran programme TRIGRS (Baum et al., 2002) can be used for computing transient pore pressure and the related changes in the factor of safety due to rainfall infiltration. Here, TRIGRS is used to investigate the timing and location of shallow landslides in response to rainfall in large areas (e.g. Baumann et al., 2018). Baum et al. (2002) extended the method of Iverson (2000) by implementing the solutions for a complex time sequence of rainfall intensity, an impermeable basal boundary at infinite depth, and an optional unsaturated zone above the water table. TRIGRS is applicable for unsaturated initial conditions, with a two-layer system consisting of a saturated zone with a capillary fringe above the water table overlain by an unsaturated zone that extends to the ground surface. The unsaturated zone acts like a filter that smooths and delays the surface infiltration signal at depth. The model uses the soil water characteristic curve for wetting of the unsaturated soil proposed by Gardner (1958) and approximates the infiltration process as a one-dimensional vertical flow (Srivastava and Yeh, 1991; Savage et al., 2004). The reader is referred to the vast literature published on the application of this model for more details (e.g. Baum et al., 2002, 2008; Savage et al., 2003; Salciarini et al., 2006; Cuomo and Iervolino, 2016). Briefly, the infiltration models in TRIGRS for wet initial conditions are based on Iverson's (2000) linearized solution of the Richards equation and its extension to that solution (Baum et al., 2002; Savage et al., 2003, 2004). The solution is valid only where the transient infiltration is vertically downward and the transient lateral flow is relatively small.

Following Iverson (2000), slope stability is calculated using an infinite slope-stability analysis. Incipient failure of infinite slopes is described by an equation that balances the downslope component of gravitational driving stress against the resisting stress due to basal Coulomb soil friction and the influence of groundwater (Iverson, 2000). The factor of safety (FS) is calculated at a depth Z by Eq. (3):

$$FS(Z, t) = \frac{\tan\phi'}{\tan\beta} + \frac{c' - \psi(Z, t)\gamma_w \tan\phi'}{\gamma_s Z \sin\beta \cos\beta},$$
(3)

where c' (kPa) is the effective soil cohesion,  $\phi'$  (deg) is the effective friction angle,  $\psi(Z, t)$  is the ground water pressure head  $\psi$  (kPa) as a function of depth Z (m) and time t (s),  $\beta$  (deg) is the slope angle,  $\Upsilon_w$  (kN m<sup>-3</sup>) is the unit weight of groundwater, and  $\Upsilon_s$  (kN m<sup>-3</sup>) is the unit weight of soil. The pressure head  $\psi(Z, t)$  in Eq. (3) is obtained from various formulas depending on the particular condition modelled. FS is calculated for pressure heads at multiple depths (Z). The slope is predicted to be instable where FS < 1, in a state of limiting equilibrium where FS = 1, and stable where FS > 1. Thus, the depth Z of landslide initiation is where FS first drops below 1.

TRIGRS for unsaturated initial conditions was applied on the probabilistic isopach maps described in Sect. 3.3 for the long-lasting Vulcanian, the subplinian VEI 2, and the subplinian VEI 3 eruption scenarios. For each eruption scenario, probabilistic isopach maps are computed for probabilities of occurrence of 25 % and 75 % (Biass et al., 2016a). The eruption associated with the subplinian scenario is considered to be short lived (<6h), and therefore one single deposit is analysed. Instead, for the long-lasting Vulcanian scenario, deposits are computed for durations of 3, 6, 9, 12, 18, and 24 months. A 5 m resolution digital elevation model (DEM) of Vulcano island was used in our susceptibility analysis that was computed from contour lines and spot heights reconstructed from stereo-photograms at a scale of 1:35000 collected during an aerophotogrammetric flight in 1994-1995 (Bisson et al., 2003). The maximum planimetric error of the contour lines reconstructed from stereo models is less than 3.5 m. The vertical error of the DEM is lower than 0.5 m in the area of the La Fossa cone and < 1 m in the flat area of Vulcano Porto (Bisson et al., 2003).

## 4 Results

# 4.1 Field characterization of tephra fallout and lahar deposits

## 4.1.1 PAL-D tephra fallout deposit

We logged two sections of the PAL-D tephra fallout deposit at outcrops located in the Palizzi valley (point V3 and V4; Fig. 1b). The isopach map of Di Traglia (2011) shows the associated southward dispersal (Fig. 1b). The PAL-D section at V3 is a 1 m thick, massive, grain-supported, and well-sorted pumice deposit between two subunits of the Palizzi cycle (Fig. 3a): PAL-C deposit at the base (alternation of black lapilli and ash) and the rhyolitic white ash of the Rocche Rosse eruption from Lipari and the Breccia di Commenda at the top (Di Traglia et al., 2013; Rosi et al., 2018). The mean saturated hydraulic conductivity of the PAL-D deposit measured in the field at V3 (Fig. 1b) is  $6.8 \times 10^{-4} \text{ m s}^{-1}$ .

#### 4.1.2 1888–1890 tephra fallout deposit

Two stratigraphic sections of the 1888–1890 tephra fallout deposit were logged in the upper part of the La Fossa cone S flank (V1 in Fig. 1d; Fig. 3c) and at the base of the NW flank (V2 in Fig. 1d; Fig. 3d). The isopach map for the 1888–1890 primary tephra fallout deposit shows an almost circular dispersal (Fig. 1d). The V1 section overlies several older tephra fallout and lahar units. It is a 1 m thick deposit consisting of an alternation of thin ash and lapilli layers overtopped by 0.2 m of reworked tephra. The whole sequence shows an inclination of 30°. The second section (V2) is a 0.5 m thick deposit laying on the Commenda tephra sequence and is overlaid by 0.3 m of reworked tephra. Seven soil suction measurements on the 1888-1890 deposits located on the La Fossa cone in the upper catchment and lower part of the cone are comprised between 15 and 27 kPa (Fig. 1d and Table S1). The mean saturated hydraulic conductivity measured in the field varies between 6.0 and  $7.5 \times 10^{-5}$  m s<sup>-1</sup> (Fig. 1d; I1 and I2).

#### 4.1.3 1888–1890 lahar deposits

Stratigraphic sections in gullies and small channels on the NW flank of the La Fossa cone show several massive to laminated, remobilized deposits covering the 1888-1890 primary tephra fallout deposit. Unfortunately, no map exists that describes the distribution of lahars on Vulcano, mostly due to the difficulty of correlating the exposed deposits across the different gullies. The thickness of each lahar layer, representing different flow pulses, varies between  $\sim 0.20$  and 1 m. The lahar deposits are massive to thinly laminated and matrix supported, with boulders immersed in a coarse ash and lapilli matrix. The observed boulders have a diameter between 5 and 15 cm. Although the distinction among debris flow and hyper-concentrated flow emplacement mechanisms from direct observations in the field was not possible due to the lack of information related to original water content, we speculate that most of the well-sorted, massive deposits were related to hyper-concentrated flows based on the definition of Pierson (2005).



**Figure 3.** (a) PAL-D subplinian tephra fallout deposit outcrop (V3; Fig.1). (b) Grain size distribution of PAL-D subplinian tephra fallout deposit. (c, d) Md $\Phi$ ,  $\sigma \Phi$ , and grain size distribution of the first 30 cm of the tephra fallout deposit associated with the 1888–1890 Vulcanian eruption for stratigraphic sections V1 and V2 (Fig. 1). Thickness of the layers analysed: A (24–30 cm); B (24–18 cm); C (18–12 cm); D (12–6 cm); E (6–0 cm).

# 4.2 Laboratory characterization of tephra fallout and lahar deposits

## 4.2.1 Grain size analyses

The Md $\Phi$  of the majority of tephra fallout and lahar samples is in the range of  $2\Phi$  and  $-1\Phi$ , and most deposits are well sorted ( $\sigma \Phi$  mostly between 1 and 2) (Fig. 5; associated grain size distributions are shown in the Supplement, Fig. S3). An exception is represented by the grain size distribution of the top 30 cm of the PAL-D primary tephra fallout deposit at section V3 that shows an Md $\Phi$  and  $\sigma \Phi$  of -3.42 and 1.55, respectively (Fig. 5). Grain size distributions of the top 30 cm of the 1888-1890 tephra fallout deposit on the S flank of the La Fossa cone (V1) show Md $\Phi$  between -0.88 and 0.08 and  $\sigma \Phi$  between 1.46 and 1.80, respectively (Fig. 5). At the base of the cone on the NW sector (V2), grain size distributions of the 1888–1890 tephra fallout deposit are slightly finer (Md $\Phi$ of 0.09 to 0.9) and with a poorer sorting ( $\sigma \Phi$  of 1.49 to 2.1). The grain size distributions of the top 30 cm of the 1888-1890 tephra fallout deposit at V1 and V2 show a predominance of coarse ash (Fig. 3). All lahar matrix samples have a low content of fine ash (i.e. 2.4 %-16 %) and contain 60 %-83 % coarse ash and 2 %–36 % lapilli. The Md $\Phi$  vs.  $\sigma \Phi$  diagram shows a finer grain size distribution for lahar matrices located in the Palizzi valley and Porto di Ponente harbour (V6 and V7 samples, respectively; Fig. 5) than for those located on the La Fossa cone (V5 sample; Fig. 5). In general, the grain size distributions of the 1888–1890 primary tephra fallout deposits is similar to their remobilized counterparts (Fig. 5 and Fig. S3). Only three primary tephra fallout deposits are coarser than lahar matrices (V1C, V1D, and V3; Fig. 5)

## 4.2.2 Natural water content

The natural water content  $(w_n)$  of the 1888–1890 tephra fallout deposit varies from 2.64 % to 3.65 %, while the PAL-D tephra fallout deposit exhibits higher  $w_n$  (10.80 %–30.63 %). The specific gravity ( $G_s$ ) for the solid fraction was measured for both deposits and shows values of 2.57 and 2.42 for the 1888–1890 and PAL-D tephra fallout deposits, respectively.

## 4.2.3 Shear strength

Although the four specimens of the 1888–1890 primary tephra fallout deposit are consolidated at three different total stresses, all specimens exhibit a slight hardening associated with a dilative behaviour. The shear stress envelope exhibits



**Figure 4. (a)** Lahar levee deposit (sample V5 in Fig. 1) above the 1888–1890 tephra fallout, located in a channel cut on the S of the La Fossa cone. **(b)** Lahar deposit (sample V6) above the 1888–1890 tephra fallout in the Palizzi valley. **(c)** The profile observed at the beachside in Porto di Ponente (1) is a 14 cm bed of coarse ash, with a 1 cm thick grey fine ash grey layer; this is the primary 1888–1890 CE tephra fallout. Layer V7-1 is a 26 cm lahar deposit of coarse ash to fine lapilli inversely graded. Layer V7-2 is an 11 cm fine lapilli lahar deposit with 1 cm of soil on the top. V7-3 is a 10 cm lahar deposit of coarse to fine ash with soil on the top and a 6 cm lahar deposit (V7-4) of coarse to fine ash with recent soil on the top. **(d)** Several lahar deposits located in a channel on the NW of the La Fossa cone: the first deposit above 1888–1890 tephra fallout deposit.

**Table 2.** Geotechnical parameters for the subplinian tephra fallout deposit (PAL-D) and the Vulcanian tephra fallout deposit associated with the 1888–1890 eruption (Vulc).

Unit	$\frac{K_{\rm s}}{{ m ms}^{-1}}$	${{D_0}^* \over {{m^2}  {s^{-1}}}}$	Φ' deg	$\Upsilon_{\rm s}$ wet kN m <sup>-3</sup>	$\Upsilon_{\rm s}  {\rm dry} \ {\rm kN}  {\rm m}^{-3}$	c' kPa	$ heta_{ m s}$ %	$ heta_{ m r}$ %	$\alpha$ kPa <sup>-1</sup>	$G_{s}$	n _	<i>e</i> _
PAL-D	$1 \times 10^{-2}$	$6.59 \times 10^{-3}$	54.00	13.70	6.64	$0.00 \\ 0.00$	0.72	0.04	0.93	2.42	0.72	2.57
Vulc.	$8.50 \times 10^{-5}$	$3.28 \times 10^{-4}$	40.98	17.00	13.51		0.47	0.03	0.28	2.57	0.47	0.87

c': cohesion;  $\Phi'$ : friction angle;  $\gamma_s$ : total unit weight of the soil;  $K_s$ : saturated conductivity;  $D_0$ : saturated diffusivity;  $\theta_s$ : saturated water content;  $\theta_r$ : residual water content;  $\alpha$ : Gardner parameter;  $G_s$ : specific gravity; n: porosity; e: void ratio. \* Diffusivity was evaluated using the procedure proposed in Rossi et al. (2013).

high friction angle ( $\phi = 42^{\circ}$ ) and zero cohesion (Table 2). For PAL-D tephra fallout deposits, direct shear tests are performed on reconstructed specimens constituted only by the size fraction smaller than 20 mm (i.e. lapilli and ash). In order to maintain the in situ characteristics, the specimen is reconstructed using an air pluviation method into the shear box, i.e. pouring the dry deposit material with a spoon from zero drop height. The specimens exhibit high porosity (*n* equals about 0.72). The tests are performed in dry conditions and all specimens exhibit a dilative behaviour. The friction angle at the peak is high and typical of lapilli clasts ( $\phi = 54^{\circ}$ ), while the cohesion is null (Table 2). The angle of dilatation ( $\Psi$ ) is also evaluated and is about 13°. Thus, according to Taylor (1948) the friction angle is about 41°, but it will be reached at large deformation.

#### 4.2.4 Hydraulic conductivity

The mean saturated hydraulic conductivity of the 1888–1890 tephra fallout deposit measured in the laboratory  $(8.50 \times 10^{-5} \text{ m s}^{-1})$  is similar to that obtained during field measurements (6.0 and  $7.5 \times 10^{-5} \text{ m s}^{-1}$ ). The mean hydraulic saturated conductivity of the PAL-D tephra fallout deposit could not be measured in the laboratory because the deposit grain size (Md $\Phi = -3.4\Phi$ ) is too coarse for the apparatus. As a result, we use two values for the modelling: one from the literature derived for coarse-grained volcanic soils and one obtained from field measurements. A value of  $1 \times 10^{-2} \text{ m s}^{-1}$  (Table 2) is inferred from the hydraulic conductivity measured in the field for the 2011 Cordón Caulle (Chile) eruption lapilli deposits (Baumann et al., 2018) and from the lower pumice deposit from Vesuvius (Crosta and Dal Negro, 2003).



**Figure 5.** Md $\Phi$  vs.  $\sigma \Phi$  diagram for the lahar matrix and tephra fallout deposits. Porto Di Ponente (orange triangle) corresponds to the following samples: V7-1, V7-2, V7-3, V7-4 S (Fig. 4c). The La Fossa cone V1 (black cross): V1A, V1B, V1C, V1D, V1E (Fig. 3c). NW La Fossa cone V2 (brown star): V2A, V2B, V2C, V2D, V2E (Fig. 3d).

These values are significantly higher with respect to our field measurements of  $6.8 \times 10^{-4} \text{ m s}^{-1}$ . These two endmembers are hereafter referred to as *high* (i.e.  $1 \times 10^{-2} \text{ m s}^{-1}$ ) and *low* (i.e.  $6.8 \times 10^{-4} \text{ m s}^{-1}$ ) hydraulic conductivities and will be used to explore a variety of deposit conditions.

## 4.2.5 Soil water retention curve

The SWRC of the 1888–1890 primary tephra fallout deposit exhibits an air entry value equal to 1 kPa, while the water content at saturation ( $\theta_s$ ) is 0.47 and the residual water content ( $\theta_r$ ) is 0.04. The SWRC of the PAL-D deposit exhibits an air entry value lower than 1 kPa, slightly high water content at saturation ( $\theta_s = 0.72$ ), and low residual water content ( $\theta_r = 0.03$ ) (Table 2). The data are interpolated using the equations from both Gardner (1958) and Brooks and Corey (1964, 1966).

#### 4.2.6 Saturated soil diffusivity

The saturated soil diffusivity of the 1888–1890 and PAL-D tephra fallout deposits are 1 order of magnitude higher than their saturated soil conductivity (Table 2). The 1888–1890 tephra fallout deposit shows a value of  $3.28 \times 10^{-4} \text{ m}^2 \text{ s}^{-1}$ , while the PAL-D primary tephra fallout deposit has a value of  $6.59 \times 10^{-3} \text{ m}^2 \text{ s}^{-1}$ .

#### 4.3 Modelling

Based on the local weather pattern (Sect. 2.2), TRIGRS simulations were run using one high-intensity rainfall scenario of 6.4 mm h<sup>-1</sup> over 5 h (i.e. total of 32 mm). As mentioned in Sect. 2.2, such heavy–torrential precipitation occurred twice in 2011, causing widespread floods in the Porto floodplain,



Figure 6. Slope map for the La Fossa cone and surrounding areas. Northwestern and southern lahar source areas are indicated with a black contour. The NW and S upper catchments are indicated with a black contour. SCA: summit cone area; VPP: Vulcano Porto Plain.

and can be considered amongst the most intense scenarios to occur on Vulcano based on available data (Fig. 2). Following Arnone et al. (2013), we consider this scenario to be a heavy– torrential scenario and we used it to investigate the maximum unstable tephra fallout volume. For the PAL-D tephra fallout deposit, we used two different hydraulic conductivities in order to consider both endmembers as described in laboratory analyses (Table 3).

TRIGRS simulations assume the following: (i) a water table is located at the bottom of the tephra fallout sequence (lower boundary); and (ii) the tephra fallout sequence lies on an impermeable layer. These assumptions are supported by the exposure of the consolidated and impermeable tufi varicolori unit on the upper part of the La Fossa cone (Frazzetta et al., 1983; Capaccioni and Coniglio, 1995; Dellino et al., 2011). Although lahars have been initiated all around the La Fossa cone in the past, here we analyse the slope and the stability condition of the tephra deposits in two selected potential lahar source areas (Fig. 6, black lines). The first NW source area represents a direct threat to the populated Porto village, while the second S source area is downwind of the prevailing wind and presents the highest probabilities of tephra accumulation (Sect. 1.2; Biass et al., 2016a). The percentage of slope angle ranges (Fig. 6) for the NW and S lahars source area are the following: 18 % and 22 % for a slope angle between  $6^{\circ}$  and  $30^{\circ}$ ; 38 % and 46 % for a slope angle between 30° and 35°; 31 % and 24 % for slope angles between 35° and 41°; and 12% and 7% for slope angles bigger



**Figure 7.** Probabilistic isopach maps (converted from the probabilistic isomass maps of Biass et al., 2016a, based on deposit density) and corresponding instability maps compiled with TRIGRS for a Vulcanian eruption with the following: (a) an eruption duration of 6 months and a probability of occurrence of 25 %; (b) an eruption duration of 12 months and a probability of occurrence of 25 %; (c) an eruption duration of 18 months and a probability of occurrence of 25 %; (d) an eruption duration of 24 months and a probability of occurrence of 25 %. The rainfall intensity is  $6.4 \text{ mm h}^{-1}$  with a duration of 5 h for all the scenarios, and the parameters for the 1888–1890 Vulcanian deposits are listed in Table 3.

**Table 3.** Input parameters for the subplinian ( $K_s$  from the literature), subplinian\* ( $K_s$  measured in the field), and 1888–1890 Vulcanian eruption scenarios used for simulation with TRIGRS.

Unit	Ks	$D_0$	$\Phi'$	$\Upsilon_{\rm s}$	c'	$\theta_{s}$	$\theta_{\rm r}$	α
	$(m s^{-1})$	$(m^2 s^{-1})$	(deg)	$(kN m^{-3})$	(kPa)	(%)	(%)	$(kPa^{-1})$
Vulcanian	$8.50  imes 10^{-5}$	$1 \times 10^{-4}$	41.00	17.00	0.5	0.47	0.029	0.28
Subplinian	$1 \times 10^{-2}$	$6.59 \times 10^{-3}$	54.00	13.70	0.00	0.72	0.04	0.93
Subplinian*	$6.8 \times 10^{-4}$	$6.59 \times 10^{-3}$	54.00	13.70	0.00	0.72	0.04	0.93

c': cohesion;  $\Phi'$ : friction angle;  $\gamma_s$ : total unit weight of the soil;  $K_s$ : saturated conductivity;  $D_0$ : saturated diffusivity;  $\theta_s$ : saturated water content;  $\theta_r$ : residual water content;  $\alpha$ : Gardner parameter.

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**Table 4.** Unstable areas (FS  $\leq$  1) for long-lasting Vulcanian and subplinian eruptions (VEI:  $K_s$  from the literature; VEI\*:  $K_s$  measured in the field) calculated with TRIGRS for NW and S source areas (Fig. 6). Rainfall intensity 6.4 mm h<sup>-1</sup> with a duration of 5 h. Thickness: tephra fallout deposit thickness from probabilistic isopach maps considered in the model. Input parameters used for the simulation are described in Table 3. VEI: Volcanic explosivity index.

Long-lasting Vulcanian eruption scenario									
Probability (%)	Duration (month)	NW so	ource area	S source area					
		Thickness (cm)	Percentage of unstable area (%)	Thickness (cm)	Percentage of unstable area (%)				
25	9	11–14	34	13–17	57				
25	12	14-18	69.5	16–22	81.6				
25	18	21-26	89.4	24–31	66.6				
25	24	26-33	52.3	30–39	22.9				
75	9	6.5–8	0.08	7.6–10	0				
75	12	8-10	7.1	8-12	10.9				
75	18	9–12	17.9	11–14	31.1				
75	24	10-12.8	20.7	11–15	36.8				
Subplinian e	ruption scen	ario							
Probability	VEI	NW so	ource area	S sou	arce area				
		Thickness (cm)	Percentage of unstable area (%)	Thickness (cm)	Percentage of unstable area (%)				
25	2	8–25	79.1	15-40	14.7				
25	3	36–95	1.9	61–112	0.2				
75	2	1–3	99.1	2-4	97.1				
75	3	3-10	0.9	5–19	0.5				
25	2*	8-25	99	15-40	97				
25	3*	36–95	97	61–112	25				
75	2*	1–3	99	2-4	97				
75	3*	3-10	99	5–19	97				

than 41°. Comparing the slope angle distribution for both areas, we observe that the percentage of steep slopes is higher in the NW area. Finally, we selected two upper catchments with similar surface area and reshaped the S upper catchment to have the same size of 4665 m<sup>2</sup> in order to facilitate the comparison of remobilized volumes associated with different eruptive conditions (Fig. 6). The catchment boundaries were defined with a semiautomatic tool in ArcGIS using the flow direction raster and defining a pour point for the catchment; then we obtained the contributing area above the pour point, which was defined as the lahar source area.

#### 4.3.1 Deposition and remobilization scenarios

## Vulcanian eruption scenarios

Figure 7 shows the probabilistic isopach maps (for a probability of occurrence of 25%) and the instability maps for eruption durations of 6, 12, 18, and 24 months. For an eruption duration of 6 months, only 4.8% percent of the NW and

6% of the S lahar source areas, respectively, are unstable due to the small tephra fallout deposit thickness (between 6 and 12 cm) (Fig. 7a). For an eruption duration of 12 months, the unstable areas are significantly higher: 69 % for the NW and 81 % for the S lahar source areas, respectively (tephra fallout deposit thickness between 14 and 22 cm; Fig. 7b and Table 4). For an eruption duration of 18 months the percentage of unstable areas for the NW is also very high (89%) and reached a value of 66% for the S area (Fig. 7c). The percentage of unstable area decreases for an eruption duration of 24 months, with 52 % for the NW and 22 % for the S; the tephra fallout deposit accumulation is more than 35 cm in the case of the S source area (Fig. 7d, Table 4). Figure 8 shows the unstable volumes as a function of the eruption durations described above calculated for the two single upper catchments with the same area  $(4665 \text{ m}^2)$  located in the NW source area (NW catchment) and in the S source area (S catchment; Fig. 6). The largest unstable volume is reached for an eruption duration of 18 months, with a vol-



**Figure 8.** Unstable tephra fallout volume for the S and NW upper catchments obtained with TRIGRS for eruption durations of 3, 6, 9, 12, 18, and 24 and for probabilities of occurrence of 25 % and 75 %. UC: upper catchment (see Fig. 6).

ume of  $1105 \text{ m}^3$  for the S upper catchment and  $990 \text{ m}^3$  for the NW upper catchment in the case of the 25 % probability of occurrence scenario (Table 5, Fig. 8).

## Subplinian eruption scenarios

The same two lahar source areas were used for investigating the instability of the subplinian deposits. Four probabilistic isopach maps were considered (VEI 2 and VEI 3 with a 25 % and 75 % probability of occurrence) and combined with hydraulic conductivities measured in the field and derived from the literature (i.e.  $K_s = 1 \times 10^{-2} \text{ m s}^{-1}$  and  $K_{\rm s} = 6.8 \times 10^{-4} \,\mathrm{m \, s^{-1}}$ ). Using the highest hydraulic conductivity, a VEI 2 eruption with a 25 % probability of occurrence results in 79 % and 14 % of unstable areas in the NW and S flank, respectively (Table 4). A 75 % probability of occurrence increases the unstable areas to 99 % and 97 %. In contrast, considering a VEI 3 eruption with a 25 % probability of occurrence, only the tephra fallout deposit located on slope > 48° is unstable (2 % and 0.02 %). A 75 % probability shows 99% unstable area in the NW and 58% in the S area. Using the lowest hydraulic conductivity, almost the entire deposit resulting from VEI 2 is unstable (99% of the NW source area and 97 % of the S source area) regardless of the probability of occurrence (Table 4). In the case of a VEI 3 scenario with a 25 % probability of occurrence, a high percentage of the NW source area is unstable (97%), whereas only 25 % of the S source area is unstable (Table 4). The unstable tephra fallout volumes calculated for the subplinian scenarios for the two single upper catchments NW and S show that the largest volume (2455 m<sup>3</sup>) resulted for the NW upper catchment and the subplinian VEI 3 (25%) scenario with  $K_{\rm s} = 6.8 \times 10^{-4}$  (i.e. hydraulic conductivity measured in the field) (Table 5, Fig. 9).



**Figure 9.** Unstable tephra fallout volume for the S and NW upper catchments obtained with TRIGRS for the subplinian scenarios VEI 2 and VEI 3 with  $K_s = 1 \times 10^{-2} \text{ m s}^{-1}$  (from the literature) and for VEI2 and VEI3 with  $K_s = 6.8 \times 10^{-4} \text{ m s}^{-1}$  (as measured in the field) for probabilities of occurrence of 25 % and 75 %.

# 4.3.2 Parameterization of unstable area based on variable tephra fallout thickness

In order to characterize the minimum tephra fallout deposit thickness necessary to trigger lahars on Vulcano during or just after either a Vulcanian cycle or a subplinian eruption, we carried out TRIGRS simulations using the characteristics of the 1888-1890 and PAL-D tephra fallout deposits, with increasing deposit thicknesses from 0.1 to 1.1 m and an interval of 0.05 m. In these simulations, the deposit thickness was considered constant over the whole NW and SE source areas. The same rainfall scenario was applied. The percentage of unstable areas for the NW and S source areas (Fig. 10) shows that the tephra fallout deposit thickness generating the largest instability for the 1888-1890 eruption tephra fallout deposit is between 20 and 30 cm. For PAL-D tephra fallout deposits using the lowest hydraulic conductivity, the unstable percentage area decreases rapidly with an increase in deposit thickness, with virtually the entire deposit being stable beyond a 45 cm thickness. In contrast, when the PAL-D tephra fallout deposit is simulated with high hydraulic conductivity, almost all of the lahar source area is unstable (98%) for deposit thickness between 10 and 65 cm, after which the fraction of unstable area decreases with increasing deposit thickness.

In order to investigate the combination of multiple parameters (FS, deposit thickness, pore pressure, slope, rainfall intensity), we have carried out dedicated simulations for a smaller area (100 pixels only on the NW source area) (Fig. 11 for the 1888–1890 eruption and Fig. 12 for PAL-D). Three slope angles (38, 35.4, and 30.1°) and two rainfall intensities have been considered (6.4 mm h<sup>-1</sup> with a duration of 5 h and 15.5 mm h<sup>-1</sup> with a duration of 3 h). In particular, the rainfall intensity of 15.5 mm h<sup>-1</sup> represents the worst rainfall scenario for 2017 (rainfall event recorded at Lentia station on 11 November 2017, with a total of 46.5 mm). In summary, Table 6 shows how a lapilli-rich tephra fallout deposit with

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**Table 5.** Total and unstable volumes of primary tephra deposits for long-lasting Vulcanian and subplinian eruptions (VEI:  $K_s$  from the literature; VEI\*:  $K_s$  measured in the field) calculated with TRIGRS for the NW and S upper catchments. The NW and S upper catchments have the same area (4665 m<sup>2</sup>), with a mean slope of 43.5 and 40.1°, respectively. Rainfall intensity is 6.4 mm h<sup>-1</sup> with a duration of 5 h. Thickness: tephra fallout deposit thickness from probabilistic isopach maps considered in the model.

Long-lasting Vul	canian erupt							
Probability	Duration							
(%)	(months)	NW	catchm	ent	S catchment			
		Thickness	Vo	olumes	Thickness	Vo	olumes	
		(cm)	(	(m <sup>3</sup> )	(cm)		$(m^3)$	
			Total	Unstable		Total	Unstable	
25	9	11-13	574	246	15–16	734	585	
25	12	14–16	748	601	20-21	959	952	
25	18	20-24	1061	991	28-30	1353	1105	
25	24	25-30	1326	861	35–37	1689	95	
75	9	6.5–7.5	333	0	9	427	0	
75	12	9–10	417	0	11	533	21	
75	18	9.5–11.5	489	47	13–14	627	231	
75	24	10-12	507	74	13.5–14.5	649	319	
Plinian eruption	scenario							
Probability (%)	VEI	NW	catchm	ent	S	catchme	nt	
		Thickness	Vo	olumes	Thickness	Vo	olumes	
		(cm)	(	(m <sup>3</sup> )	(cm)	(m <sup>3</sup> )		
			Total	Unstable		Total	Unstable	
25	2	11-15	589	563	32-40	1663	0	
25	3	37–58	2455	0	101-112	5112	0	
75	2	1.2-2	77	77	3.2–3.6	187	185	
75	3	2-8	245	244	13–16	736	401	
25	2*	11–15	589	604	32–40	1663	1636	
25	3*	37–58	2455	2455	101-112	5112	0	
75	2*	1.2-2	77	77	3.2–3.6	187	187	
75	3*	2-8	245	245	13–16	736	731	

a low hydraulic conductivity is unstable for slopes  $>30^{\circ}$  regardless of the associated thickness (deposit features of the PAL-D eruption); nonetheless, Fig. 10 shows that the deposit becomes stable for thickness values >65 cm. In fact, a constant FS (dashed lines in Fig. 12b, d) is the result of the upper boundary of the pressure head, which is physically limited at the beta line (Iverson, 2000; Baum et al., 2008). The total pore pressure cannot be above the values denoted by a water table at the ground surface (beta line) and the model calculates FS with this value, which is the worst condition for instability. In contrast, the same lapilli-rich tephra fallout deposit is unstable at all observed slopes only for thickness values <10-20 cm in the case of high hydraulic conductivity. Finally, a tephra fallout deposit dominated by coarse ash is unstable at slopes <35.4°, mostly for thickness values between about 10 and 40 cm (deposit features of the 1888-1890 eruption); for a slope  $>38^{\circ}$  the same tephra fallout deposit is unstable for thickness values larger than 13 cm. For the same tephra fallout deposit the ratio of rainfall intensity and hydraulic conductivity ( $I/K_s$ ) determines the time to reach the water table (located at the bottom of the deposit in our case study); the rate of rise of the water table increases with an increase in the  $I/K_s$  ratio (Li et al., 2013). In the case of the 1888–1890 tephra fallout deposit, the upper critical thickness for instabilities increases with the increase in rainfall intensity and total rainfall. It is also important to note how the maximum value of total pore pressure, and therefore the potential for triggering lahars, is shifted toward larger values of tephra fallout deposit thickness when rainfall intensity is increased. As an example, the maximum value of pore pressure for a 38° slope angle (blue solid line in Fig. 12a, c) is reached at 15 and 30 cm for a rainfall intensity of 6.4 and 15.5 mm h<sup>-1</sup>, respectively.

**Table 6.** Summary description of outcomes of Figs. 11 and 12 showing the relation between tephra fallout deposit thickness and the factor of safety (FS) based on various key parameters (i.e. tephra fallout properties, slope angle, rainfall intensities). Unstable deposit thickness is shown in bold. The ratio between rainfall intensity and hydraulic conductivity  $(I/K_s)$  is also shown as an indication of the time for the rainfall water to reach the bottom of the deposit.

Tephra fallout properties	Slope	Tephra fallout	t thickness (cm)	Stability
		Rainfall $I = 6.4 \text{ mm h}^{-1}$ D = 5  h	Rainfall $I = 15.5 \text{ mm h}^{-1}$ D = 3  h	
1888–1890		$I/K_{\rm S} = 0.02$	$I/K_{\rm S}=0.05$	
$Md\Phi = -0.90-1$ K <sub>s</sub> = 8.50 × 10 <sup>-5</sup> m s <sup>-1</sup>	38°	0–12 <b>13–50</b>	0–12 <b>13–50</b> +	stable (FS >1) <b>unstable</b> (FS <1)
$\Phi' = 41^{\circ}$ c' = 0.5 kPa	35.4°	0–10 <b>11–40</b> 41–50	0–12 <b>11–50</b> 50+	stable (FS >1) <b>unstable (FS &lt;1)</b> stable (FS >1)
	30.1°	0–19 <b>20–27</b> 28–50	0–19 <b>20–35</b> 36–50	stable (FS >1) <b>unstable (FS &lt;1)</b> stable (FS >1)
PAL-D – high $K_{\rm S}$		$I/K_{\rm s} = 0.0001$	$I/K_{\rm s} = 0.0004$	
$Md\Phi = -3.42 K_s = 1 \times 10^{-2} \mathrm{m  s^{-1}}$	38°	<b>0–21</b> 22–50	<b>0–32</b> 33–50	unstable (FS <1) stable (FS >1)
$\Phi' = 54^{\circ}$ c' = 0  kPa	35.4°	<b>0–18</b> 19–50	<b>0–26</b> 27–50	unstable (FS <1) stable (FS >1)
	30.1°	<b>0–13</b> 14–50	<b>0–21</b> 22–50	unstable (FS <1) stable (FS >1)
PAL-D – low $K_{\rm s}$		$I/K_{\rm s} = 0.002$	$I/K_{\rm s} = 0.006$	
$Md\Phi = -3.42 K_s = 6.8 \times 10^{-4} \mathrm{m  s^{-1}}$	38°	0–50	0–50	unstable (FS <1)
$\Phi' = 54^{\circ}$	35.4°	0-50	0–50	unstable (FS < 1)
c' = 0 kPa	30.1°	0–50	0-50	unstable (FS <1)

#### 5 Discussion

## 5.1 Characteristics of lahar source deposits

Rain-triggered lahars associated with both tephra fallout and PDC deposits are associated with a variety of precipitation, grain size, hydraulic conductivity, and infiltration capacity (Table 7). It is important to note that infiltration capacity and hydraulic conductivity can be considered similar parameters for the sake of this comparison. In fact, the infiltration capacity is a measure of the rate at which soil is able to absorb water (Horton, 1945), while the hydraulic conductivity measures the ease with which water will pass through a porous medium (Darcy, 1856). Infiltration capacity typically decreases through time and converges to a constant value, which is the hydraulic conductivity. Infiltration capacity is more easily measured in the field, while hydraulic conductivity is more easily measured in the laboratory. Examples of

lahar generation enhanced by fine-grained deposits include Mount St. Helens in 1980 (Leavesley et al., 1989), Chaitén in 2008 (Pierson et al., 2013), and Cordón Caulle in 2011 (Pistolesi et al., 2015) (Table 7). In contrast, the grain size of the Vulcano 1888-1890 tephra fallout deposit is closer to Mt. Unzen PDCs and the Pinatubo tephra fallout deposit. Hydraulic conductivity associated with the Vulcano 1888-1890 tephra fallout deposit is 44 times higher than the infiltration capacity of the PDC in Shultz Creek (Mount St. Helens). Infiltration capacity is also low in the case of Mt. Unzen but is higher for the PDCs of Mt. Pinatubo. If we also compare the lahar volumes of Mount St. Helens in 1980, Pinatubo in 1990, Chaitén in 2008, and Vulcano in 1888-1890, we observe that the volumes are in the range of millions of cubic metres for the first three volcanoes, while in the case of Vulcano the larger events were only in the range of thousands of cubic metres. An important difference between the deposits studied in this paper and the other deposits is the

Eruption	Deposit type	Μdφ	<i>P</i> (mm)	$K_{\rm s}~({\rm ms^{-1}})$	I (m s <sup>-1</sup> )	V (m <sup>3</sup> )	Data source
Vulcano (1888–1890 Vulcanian)	TF	-0.90-1	500	$8.5 \times 10^{-5}$	ND	$10^3 - 10^4$	this study; (a)
Vulcano (PAL-D subplinian)	TF	-3.42	500	$1 \times 10^{-2}$	ND	ND	this study
Cordón Caulle 2011	TF–ash (unit III)	2.15	2500-3000	$5 \times 10^{-5}$	ND	ND	b
Cordón Caulle 2011	TF–lapilli (unit I–II)	0.2	2500-3000	$3.9 \times 10^{-2}$	ND	ND	b
Chaitén 2008	TF	<3	2600-4300	ND	ND	$3 - 8 \times 10^{6}$	с
Mt. Pinatubo 1995	PF TF	0–3 –1.1–1.7	1950	ND ND	$1 \times 10^{-4}$ ND	80–250 × 10 <sup>6</sup>	d d, e
Mt. Unzen 1990–1995	PF	-1-1	3100	ND	$1.25 \times 10^{-5}; 5 \times 10^{-6};$	ND	f
Mount St. Helens 1980	TF	1.73	1200	ND	$1.9 \times 10^{-6};$ $1.13 \times 10^{-6}$	$14 \times 10^{6}$	g, h

**Table 7.** Median grain size, hydraulic conductivity, and infiltration capacity on tephra fallout (TF) and PDC deposits measured near volcanic vents and the lahar volumes of selected examples.

MdΦ: median grain size of deposit; *P*: annual precipitation; *K*<sub>s</sub>: hydraulic conductivity; *I*: post-eruption infiltration capacity: *V*: lahar volume; ND: no data. References: (a) Di Traglia et al. (2013); (b) Baumann et al. (2018); (c) Pierson et al. (2013); (d) Daag (2003); (e) Janda et al. (1996); (f) Yamakoshi and Suwa (2000); (g) Leavesley et al. (1989); (h) Pierson (1985).



**Figure 10.** Percentage of unstable area for the NW and S lahar source areas simulated with TRIGRS for tephra fallout deposit thicknesses of 0.1–1.1 m and a rainfall intensity of 6.4 mm h<sup>-1</sup> with a duration of 5 h, as well as parameters for the following: Vulcanian tephra fallout deposits (red squares); subplinian tephra fallout deposits with  $K_s = 1 \times 10^{-2} \text{ m s}^{-1}$  (value from the literature; orange diamonds); and subplinian tephra fallout deposits with  $K_s = 6.8 \times 10^{-4} \text{ m s}^{-1}$  (value measured in the field; green circles).

climatic conditions (Table 7). In fact, Vulcano is characterized by semi-arid, poorly vegetated regions with nonpermanent streams and limited annual rainfall (500 mm), while all other cases are characterized by forested area with permanent streams draining the volcano flanks and annual precipitation between 1000 mm and 4300 mm.

Lahar triggering is clearly influenced by the hydraulic conductivity and infiltration capacity of the primary deposits, which in turn are strongly related to deposit grain size. The highest hydraulic conductivities  $(1 \times 10^{-2})$  are associated with  $Md\Phi < -1\Phi$  (lapilli), while the lowest hydraulic conductivities and infiltration capacities (between  $5 \times 10^{-5}$  and  $1.9 \times 10^{-6}$ ) result for Md $\Phi$ >1 (coarse and fine ash), except for the PDCs at Mt. Unzen where Md $\Phi$  is between -1 and  $1\Phi$  (Table 6). Nonetheless, in the case of Mt. Unzen, the poor infiltration capacity is not due to fine grain size but to the development of an impermeable crust on the top of the deposit (Yamakoshi et al., 2000). The combination of hydraulic conductivity (or infiltration capacity) and rainfall intensity influences the lahar triggering mechanism either in terms of slope failure or erosion (Cuomo and Della Sala, 2013). If hydraulic conductivity exceeds rainfall intensity only infiltration occurs, but if rainfall intensity exceeds hydraulic conductivity runoff (overland flow) occurs (Cuomo and Della Sala, 2013; Pierson et al., 2014).

The effect of grain size on runoff has also been investigated based on laboratory experiments. As an example, Jones et al. (2017) investigated the behaviour of two tephra fallout samples with contrasting grain size (a fine-grained sample from the Chaitén 2008 eruption ( $D_{50} = 4.2\Phi$ , fine ash) and a coarse-grained sample from the Mt. Kelud 2014 eruption ( $D_{50} = 0.9\Phi$ , coarse ash)) in relation to one rainfall intensity (150 mm h<sup>-1</sup>). Experiments showed that sur-



**Figure 11.** Total pressure head and factor of safety versus tephra fallout deposit thicknesses between 0.1 and 0.55 m for Vulcanian tephra fallout deposits (Table 3) and a rainfall intensity of the following: (**a**, **b**) 6.4 mm h<sup>-1</sup> with a duration of 5 h ( $I/K_s = 0.02$ ); (**c**, **d**) 15.5 mm h<sup>-1</sup> with a duration of 3 h ( $I/K_s = 0.05$ ) (see also Table 6).

face sealing occurred within minutes of rainfall on dry finegrained tephra but was not evident on coarser material. The surface sealing on fine-grained tephra reduces infiltration and enhances overland flow, generating downslope sediment transportation. Additionally, antecedent rainfall, and thus increased moisture content, increased runoff rates and reduced runoff lag time; low rainfall intensities with short durations could therefore still trigger lahars when the tephra residual moisture content is high (Jones et al., 2017). More experimental investigations should be carried out considering a range of rainfall intensities and more directly relating grain size with hydraulic conductivity. Nonetheless, these outcomes confirm that the lahar triggering mechanism is strongly influenced by grain size and therefore by hydraulic conductivity and rainfall intensity; it could be complicated by the deposit local grain size, composition, and weather patterns. It is interesting to note that on Vulcano some specific material formed a solid crust that made it impermeable, forming an ideal surface for shallow landsliding (e.g. tufi varicolori), while some other material remains unconsolidated over the years (e.g. the 1888–1890 deposit); this is probably related to the grain size and composition of the pyroclastic material and to the variable fumarolic activity at the time of deposition (De Fiore 1922; Fulignati et al., 2002).

## 5.2 Short versus long-lasting eruptions

The duration of a long-lasting eruption plays an important role in the pattern of remobilization of tephra fallout deposits. Different unstable volumes calculated with TRIGRS were obtained for durations of Vulcanian eruptive cycles between 3 and 24 months without considering remobilization between the eruptive cycles. The results show that for an eruption time of 18 months and a probability of occurrence of 25 % (corresponding to a tephra fallout deposit thickness between 17 and 33 cm) the unstable areas, and therefore the remobilized volumes from the lahar source areas, reached a maximum  $(1105 \text{ m}^3 \text{ for the S upper catchment and } 990 \text{ m}^3 \text{ for the NW}$ upper catchment). For the eruption duration of 24 months, the increase in tephra fallout deposit thickness (between 21 and 42 cm) produced a decrease in the unstable areas (95  $\text{m}^3$ for the S upper catchment and 861 m<sup>3</sup> for the NW upper catchment). It is worth noting that the thickness of the deposit also affects both the driving and resisting forces along the slip surface at the bedrock contact. In addition, a higher soil thickness also increases the time for rainfall to produce significant changes in pore water pressure at the bedrock contact. Thus, a lower volume of remobilized material may occur despite a thicker deposit. The results obtained with TRIGRS showed that there is an unstable window of tephra fallout deposit thickness, which depends on amount and duration of rainfall, slope angle, and geotechnical characteristics of the deposit (Table 6). These results are in agreement with the window of potentially unstable soil thickness found by Dietrich et al. (2007) for a range of non-volcanic case studies. In their work, Dietrich et al. (2007) adopted a slope-stability model that includes shear resistance due to lateral and basal boundaries as a result of the combination of cohesion (soil and root cohesion) and friction.



**Figure 12.** Total pressure head and factor of safety versus tephra fallout deposit thicknesses between 0.1 and 0.55 m for subplinian tephra fallout deposits with  $K_s = 1 \times 10^{-2} \text{ m s}^{-1}$  and  $K_s = 6.8 \times 10^{-4} \text{ m s}^{-1}$  (dashed lines) for two different rainfall intensities and durations: (**a**, **b**) 6.4 mm h<sup>-1</sup> with a duration of 5 h; (**c**, **d**) 15.5 mm h<sup>-1</sup> with a duration of 3 h (see also Table 6).

The morphology of the middle and the upper part of the La Fossa cone shows a strong remobilization of the 1888–1890 eruption tephra fallout deposit. The coarse ash grain size range and medium permeability of the 1888–1890 tephra fallout deposits in combination with the impermeable deposits at the base of the sequence (i.e. tufi varicolori) make this deposit easily remobilized by rainfall through a shallow landslide initiation mechanism. Deep channels due to the continuous remobilization of this deposit can be observed on the cone (Fig. 1). Because of the short transport distance (200–400 m) the lahar deposits on the La Fossa cone have almost the same grain size as the 1888–1890 tephra fallout deposits (Fig. 5). The same relation between the primary pyroclastic deposits and the lahars has been described for the la Cuesta succession (Valentine et al., 1998).

Field evidence for post-PAL-D remobilization and lahar deposits is not recorded in the stratigraphic record (Di Traglia, 2011). This is consistent with our modelling results with  $K_s = 1 \times 10^{-2} \text{ m s}^{-1}$  that show the low potential for remobilization associated with thick lapilli deposits. In this case, a high hydraulic conductivity allows the water to rapidly migrate down to the water table with low transient pressure. Therefore, the water table rarely rises sufficiently to induce instability, which explains why thicker deposits are relatively less unstable. In fact, the thick lapilli deposits associated with both VEI 2 and VEI 3 as well as a high permeability are stable even for the largest rainfall event occurring on Vulcano, e.g. VEI 3 and a 25 % probability of occurrence (Table 4). Studies on rainfall lahar generation at the Mayon

volcano, Philippines, also demonstrated that coarse and highpermeability pyroclastic deposits on volcano slopes remain stable in most cases (Rodolfo and Arguden, 1991). A similar case occurred at Mount St. Helens, where rainfall-induced lahar drastically dropped when the erosion of fine ash exposed coarser and more permeable material (Collins and Dunne, 1986).

#### 5.3 Initiation mechanisms of rain-triggered lahars

The tephra remobilization model used in our study assumes rainfall-induced shallow landslides caused by the infiltration of rain on the slope surface. These shallow landslides can eventually transform into lahars depending on the availability of water, slope morphology, and characteristics of tephra deposits. In particular, we studied cases in which rainfall intensity (I) is lower than hydraulic conductivity ( $K_s$ ) and infiltration occurs before runoff (Cuomo and Della Sala, 2013). At Vulcano, both the 1888-1890 and the PAL-D tephra fallout deposits have high permeability compared to the cases of the Mount St. Helens (1980) and Chaitén (2008) fine-grained tephra fallout deposits (Table 3). The fine-grained tephra fallout deposits reduce infiltration capacity on basin slopes, enhancing runoff and producing larger peak flows. However, we cannot discard the mechanism of sheet and rill erosion in Vulcano, which was not simulated in this study. The physical characteristics of primary tephra fallout deposits (e.g. high hydraulic conductivity) and the rainfall characteristics on Vulcano indicate that the main lahar initiation mechanism is most likely shallow landsliding.

The relationship between unstable areas and deposit thicknesses suggests a significant influence of the hydraulic conductivity on the model outcomes and on the resulting estimation of unstable volumes (Fig. 7 and Tables 4 and 5). Our results better explain the parameter values affecting slope instability (Fig. 10 and Tables 4 and 5). In fact, the tephra fallout deposit thickness of 21-33 cm, associated with the largest unstable volumes for the Vulcanian scenarios (18- and 24month durations), correlates well with the thickness of 20-30 cm shown in Fig. 10. Similarly, the tephra fallout deposit thickness associated with the largest unstable volumes for the VEI 2 and 3 scenarios (with  $K_s$  derived from the literature, i.e.  $1 \times 10^{-2} \text{ m s}^{-1}$ ), i.e. 8–25 cm, also shows how a higher hydraulic conductivity generates lahars for a lower deposit thickness. These values of tephra fallout deposit thickness are in good agreement with the critical threshold for lahar generation found by Sulpizio et al. (2006) for syn-eruptive lahars in the Vesuvian area (i.e. 10 cm).

For the 1888–1890 tephra fallout deposit, results suggest that cohesion leads to a critical minimum landslide depth size (lower-limit deposit thickness for instability) dependent on the slope angle (Fig. 11b, d; Table 6). Using a model for natural slopes, Milledge et al. (2014) found a critical depth in cohesive soil, resulting in a minimum size for failure. For cohesionless material, such as the primary PAL-D, the lower thickness limit is not defined as most small deposit thicknesses are unstable and become progressively stable with a deposit thickness increase depending on rainfall intensity, duration, and slope angle (Fig. 12b, d; Table 6). The different behaviour shown by the different tephra fallout deposits modelled in our study could relate to the fact that rainfall-induced slope failure can occur by two mechanisms (Li et al., 2013): (1) rainfall infiltration that produces a rise in groundwater, generating positive pore pressure and adding weight on the slope (Cho and Lee, 2002; Crosta and Frattini, 2003; Soddu et al., 2003); and (2) rainfall that results in the propagation of a wetting front, causing an increase in water content and pore pressure (loss in matric suction) (Ng et al., 2001; Collins and Znidarcic, 2004; Rahardjo et al., 2007). First, in the case of subplinian tephra fallout deposit with  $K_s = 6.8 \times 10^{-4} \text{ m s}^{-1}$  (low conductivity), the wetting front mechanism moves from the ground surface toward the bedrock, which means that the time for the perturbation to reach the bedrock contact increases with deposit thickness. As a result, for the same rainfall, the higher the thickness the more stable the slope (Fig. 10, green curve). Second, in the case of subplinian tephra fallout deposit with  $K_s = 1 \times 10^{-2} \text{ m s}^{-1}$  (high conductivity), the water moves fast down to the water table with low transient pressure (a sort of drained condition). This means that the water table should be the same provided by the same rainfall, independently from the total deposit thickness. The slope is very sensitive to the ratio of water table to total thickness and becomes stable with the increase in deposit thickness. Finally, in the case of the 1888–1890 tephra fallout deposit, the increase in deposit stability (right side of the red curve in Fig. 10) could be explained by both mechanisms described above, but the first decrease in stability (left side of the red curve) indicates that for small deposit thicknesses the pore pressure reached at the end of the rainfall is not enough to neutralize the effect of cohesion (0.5 kPa).

The results obtained with the TRIGRS model show the potential for the evaluation of transient pore water pressure stability conditions and lahar (landslide) source areas during rainfall (Godt et al., 2008), even though the role of suction in unsaturated conditions, which plays a fundamental role for the pore pressure regime, is not included in the model (Sorbino et al., 2010). Matrix suction between 24 and 27 kPa was measured in 1888-1890 primary tephra deposits in May 2018 (at the beginning of the dry season), but further seasonal matrix suction variation needs to be performed to evaluate the role of suction in potentially unstable areas and the most critical period for slope stability (Pirone et al., 2016). Finally, our deposit-stability analysis could be largely strengthened by validation with the volume of observed lahar deposits, which is unfortunately difficult to obtain for the 1888-1890 eruption due to complex deposit correlation.

#### 5.4 Impact and risk implications

Remobilized tephra fallout volume was calculated with TRI-GRS for two different catchments with same area, one located on the NW flank and the other on S flank of La Fossa volcano; different values were obtained for the same eruption scenarios (Figs. 8 and 9). Two main factors are responsible for these differences in volume. The first factor is that the tephra deposit is thicker for the S flank due to the prevailing wind direction to the SE, and therefore it inhibits the formation of lahars as it requires more water to be remobilized (which is not frequently available in the Vulcano area). In fact, there is a thickness threshold for instability depending on rainfall intensity and tephra fallout deposit properties (Figs. 11 and 12). An additional factor influencing the deposit stability is the slope morphology. Steep slopes  $(>35^{\circ})$ are more frequent on the NW flank, with 42 % for the NW basin and 32% for the S basin, which explains the higher percentage of unstable area for the NW part. As a result, due to both the lower deposit thickness and the steeper slopes, the NW flank is more likely to generate lahars than the S flank, even though lahars from the S flank can also be significant (Figs. 8 and 9). It is important to consider that one of the most populated parts of the island, which is also where the key infrastructures are located (i.e. Porto village; Galderisi et al., 2013), is directly exposed to the lahars potentially generated on the NW flank of the volcano. In contrast, the residential area of Piano located on the S of the island is protected by a caldera rim that could easily block all lahars forming on the S flank. It is also important to highlight the importance of assessing the effect of compounding hazards in the case of multi-hazard environments such as volcanic eruptions. In fact, volcanic hazards are often assessed individually, and an investigation of the associated cascading effects such as tephra sedimentation and lahars should be considered (e.g. Volentik et al., 2009; Tierz et al., 2017). Our results demonstrate the effectiveness and strength of combining probabilistic tephra hazard modelling with both physically based lahartriggering modelling and physical and geotechnical characterization of the pyroclastic material. The next step necessary to assess the impact of the combination between tephra sedimentation and lahar generation is lahar inundation modelling. Clearly, each step requires dedicated studies and investigations and has some intrinsic value on its own; however, the combination of all aspects has tremendous potential for the impact assessment of communities located in volcanic areas.

## 6 Conclusions

We presented a detailed analysis of the volume of tephra fallout deposit that could be potentially remobilized by rainfall as a result of two likely eruptive scenarios of the La Fossa volcano, the main volcanic system on Vulcano island: a longlasting Vulcanian eruption (i.e. using the 1888-1890 eruption as the reference event) and a short-lived eruption (VEI 2 and VEI 3; using the PAL-D eruption as the reference event) (Fig. 1 and Table 1). The great novelty of this work is the assessment of compounding hazards (tephra fallout deposits and lahar triggering) based on both numerical modelling and field and geotechnical characterization of the source deposit. In fact, volumes of tephra fallout deposit that could be remobilized by rain-triggered lahars were analysed by combining a tephra sedimentation model (TEPHRA2) and slopestability model (TRIGRS) along with field observations and geotechnical tests.

We have considered 12 probabilistic isopach maps with different eruption durations and probabilities of occurrence of 25 % and 75 % in the case of the Vulcanian eruptive scenario. We have also considered four probabilistic isopach maps for two short-lived eruptions of VEI 2 and 3 and the same probabilities of occurrence as in the case of the Vulcanian eruptive scenario. In addition, a parametric analysis was performed with TRIGRS to determine the tephra fall-out thickness thresholds required to trigger lahars for a given rainfall event. Two basins of same area were identified on the NW and S flank of the volcano to analyse the effect of different morphology and different accumulation related to the prevailing wind direction. The results of unstable volumes for the two basins show the following:

 for the Vulcanian scenario, the largest unstable volume is reached for an eruption duration of 18 months and a 25 % probability of occurrence scenario, with a volume of  $1105 \text{ m}^3$  for the S basin and  $990 \text{ m}^3$  for the NW basin (Fig. 8);

- 2. for the subplinian scenario, the largest unstable volume  $(2455 \text{ m}^3)$  resulted for the VEI 3 (25% probability of occurrence) with  $K_s = 6.8 \times 10^{-4} \text{ m s}^{-1}$  (i.e. hydraulic conductivity measured in the field) in the case of the NW basin (Fig. 9); and
- 3. for the subplinian scenario with  $K_s = 1 \times 10^{-2} \text{ m s}^{-1}$ (i.e. hydraulic conductivity estimated from the literature) the largest unstable volume (563 m<sup>3</sup>) was found for the NW basin with scenario VEI 2 (25 % probability of occurrence) (Fig. 9).

For a tephra fallout deposit with features associated with a Vulcanian eruption we observe an unstable window of deposit thickness, suggesting that particle cohesion leads to a critical minimum landslide depth, which is dependent on the slope angle; an increase in rainfall intensity enlarges the windows of thickness instability (Table 6). In contrast, for cohesionless material such as the primary PAL-D, a low thickness limit of instability is not reached, and the deposit becomes stable with thickness increase depending on rainfall intensity and slope angle (Table 6). In particular, the parametric analysis with variable tephra fallout thickness and slope, with two rainfall intensities of  $6.4 \text{ mm h}^{-1}$  for 5 h and 15.5 mm h<sup>-1</sup> for 3 h, shows the following:

- 1. for a tephra fallout deposit with features associated with a Vulcanian eruption, the thickness generating the largest instability is between 20 and 27 cm for a rainfall intensity of  $6.4 \text{ mm h}^{-1}$  and between 20 and 35 cm for  $15.5 \text{ mm h}^{-1}$  (Fig. 11 and Table 6);
- 2. for a tephra fallout deposit with features associated with a subplinian eruption with  $K_s = 1 \times 10^{-2} \text{ m s}^{-1}$ , the unstable area decreases rapidly with an increase in deposit thickness, with all area almost stable beyond a thickness of 32 cm (Fig. 12 and Table 6); and
- 3. for a tephra fallout deposit with features associated with a subplinian eruption with  $K_s = 6.8 \times 10^{-4} \text{ m s}^{-1}$ , almost all the lahar source area is unstable (98 %) for deposit thickness <65 cm (Figs. 10, 12 and Table 6).

The results modelled with TRIGRS show that shallow landsliding is an effective process for eroding both Vulcanian-type and subplinian-type (with  $K_s = 6.8 \times 10^{-4} \text{ m s}^{-1}$ ) tephra fallout deposits in combination with high-intensity rainfall events with short durations, such as those occurring in Vulcano every year. Nonetheless, the occurrence of shallow landsliding is a complex process (e.g. Table 4 and Fig. 10) and the tephra fallout deposit thickness threshold strongly depends on rainfall intensity, tephra fallout deposit characteristics, and geomorphology features. Both eruptive scenarios (e.g. plume height, erupted mass, eruption duration) and prevailing wind direction are therefore crucial to the generation of rain-triggered lahars, having a 1st-order control on tephra fallout deposit thickness. The physical characteristics of tephra fallout deposits (e.g. hydraulic conductivity, grain size, friction angle, and cohesion), geomorphological features (e.g. flank slopes), the characteristics of soil at the base of the deposits, and vegetation are also important parameters to consider as they have a 1storder control on slope instability. We can conclude that deposit thickness and rainfall intensity alone are not sufficient to derive thresholds for lahar triggering; a comprehensive assessment of unstable volumes that could potentially trigger lahars, in fact, requires dedicated numerical simulations combined with detailed field observations and geotechnical analysis as we have shown in this study.

*Data availability.* Most of the relevant data are made available in the main tables and the Supplement. Additional data are available upon request based on a collaborative agreement.

## **Appendix A: Field strategies**

# A1 Sampling of undisturbed deposit for geotechnical tests

Undisturbed tephra fallout deposit is sampled for testing the properties in the laboratory without disturbing structure texture, density, natural water content, and stress condition (Figs. A1a and b). Sampling was performed by inserting a steel tube 3 mm thick with a height of 30 cm and a diameter of 10 cm into the ground (Fig. A1a). After that, we cleaned the entire deposit around the tube to extract it with minimum disturbance. Then, a support was inserted at the base of the cylinder, and the tube was extracted from the deposit. Finally, the tube was covered on both ends with a plastic cover and plastic tape to preserve the deposit during transport (Fig. A1b).

# A2 Soil suction measurement

Soil suction measurements were carried out in situ on the 1888–1890 tephra fallout deposit with a soil moisture probe ("Quick Draw" model 2900FI) (Fig. A2b). The first step in taking a reading with the probe is to core a hole by pushing the coring tool into the soil (Fig. A2a). After removing the coring tool, we have a proper sized hole to insert the probe. The second step is to insert the probe in the soil and wait approximately 1 min (equalization time assessed for such soils). Finally, the suction can be read on the dial gauge (Fig. A2b). The soil suction is created by water capillary pressure that each soil particle applies to the soil. The moisture probe has a porous ceramic sensing tip at the end of the tube. The soil suction reading is obtained when a small amount of water transfers between the sensing tip of the probe and the soil.

# A3 Saturated hydraulic conductivity measurement

The saturated hydraulic conductivity was estimated in the field with a single-ring permeameter for both deposits (Figs. A3a and b). The apparatus for the measurements consists of a steel ring with a diameter of 21 cm and height of 12 cm, as well as a plastic cover with a hole to insert a Mariotte bottle (Fig. A3b). In the field, we put the ring on a horizontal plane surface on the tephra fallout deposit. Then, the first 6 cm was pushed into the ground. Finally, we filled the Mariotte bottle with water and inserted it on the tape turned upside down. The water first formed a 1 cm layer on the tephra fallout deposit and then started to infiltrate the deposit. For the infiltration rate measurements, the readings were done every minute in the case of the 1888-1890 eruption deposit and every 30 s in the case of the PAL-D deposit. The duration of the measurements was 40 min for the 1888-1890 deposit and 3.2 min for the PAL-D deposit.



**Figure A1. (a)** Sampling the 1888–1890 tephra fallout deposit with a 30 cm steel tube. We cleaned the entire deposit around the tube to extract it with minimum disturbance. (b) Sampling the PAL-D tephra fallout deposit with a 30 cm steel tube. The tube is covered with a plastic cover and plastic tape before extracting it from the deposit with a basal support.



Figure A2. (a) Coring tool into the deposit before soil suction measurement. (b) Soil suction measurement on the 1888–1890 primary tephra fallout deposit on the NW volcano flank.



Figure A3. (a) Ring infiltrometer: 6 cm is buried in the 1888–1890 tephra fallout deposit. (b) Ring infiltrometer during the infiltration measurements showing the bottle turned upside down with water infiltrating the deposit.

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*Author contributions.* VB, CB, and SC conceived the study. VB, CB, SB, MP, and AG were involved in the fieldwork. VB carried out sample characterization both in the field and in the laboratory. SB carried out the tephra fallout modelling. SC and MM carried out the geotechnical tests in the laboratory. VB, SC, and MM carried out the slope-stability modelling. VB analysed the results and compiled the figures with input from the other authors. VB and CB prepared the paper with contributions from all co-authors. All the authors read, reviewed, and approved all versions of the paper.

*Competing interests.* The authors declare that they have no conflict of interest.

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