

The Variable Scale Evacuation Model (VSEM): a new tool for simulating massive evacuation processes during volcanic crises

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Abstract. Volcanic eruptions are among the most awesome and powerful displays of nature’s force, constituting a major natural hazard for society (a single eruption can claim thousands of lives in an instant). Consequently, assessment and management of volcanic risk have become critically important goals of modern volcanology. Over recent years, numerous tools have been developed to evaluate volcanic risk and support volcanic crisis management: probabilistic analysis of future eruptions, hazard and risk maps, event trees, etc. However, there has been little improvement in the tools that may help Civil Defense officials to prepare Emergency Plans. Here we present a new tool for simulating massive evacuation processes during volcanic crisis: the Variable Scale Evacuation Model (VSEM). The main objective of the VSEM software is to optimize the evacuation process of Emergency Plans during volcanic crisis. For this, the VSEM allows the simulation of an evacuation considering different strategies depending on diverse impact scenarios. VSEM is able to calculate the required time for the complete evacuation taking into account diverse evacuation scenarios (number and type of population, infrastructure, road network, etc.) and to detect high-risk or “blackspots” of the road network. The program is versatile and can work at different scales, thus being capable of simulating the evacuation of small villages as well as huge cities.

1 Introduction

Volcanic eruptions are awesome and powerful displays of nature’s force, constituting a major natural hazard for society (Blong and McKee, 1995; Blong, 2000; Sigurdsson, 2000; Blong, 2003; Witham, 2005). Many volcanic areas are located in densely populated areas. According to Small and Naumann (2001), almost 9% (around half a billion people) of the world’s 1990 population lived within 100 km of an historically active volcano and 12% within 100 km of a volcano believed to have been active during the last 10 000 years. Thus, assessment and management of volcanic risk are now among the main goals of modern volcanology. Both topics involve important scientific, economic and political issues, especially for densely populated areas in volcanic regions, such as Mexico, Japan, Ecuador and other countries hosting active and potentially active volcanoes, among others.

Volcanic crisis management, as understood nowadays, is focused on determining, as objectively as possible, when, where and how a volcanic event will take place and on establishing the lines of action to mitigate its impact on the population. This way of defining crisis management was established after the volcanic eruptions of St. Helens (USA, 1980) (Lipman and Mullineaux, 1981), El Chichón (Mexico, 1982) (De la Cruz-Reyna and Martín del Pozzo; 2009, Tilling, 2009), Nevado del Ruiz (Colombia, 1985) (Voight, 1990) took place. These and other natural disasters prompted the United Nations to declare an International Decade for Natural Disaster Reduction (UN General Assembly, 1987).

Effective volcanic risk management requires the informed participation of all stakeholders. The exchange of information between scientists, the media, the public, Civil Defense,



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and other official groups is therefore crucial. However, the relation between all “team members” is not always as successful as expected or required. In many situations, the complexity of volcanic forecasting and the subjectivity on the decisions to be taken may lead to several conflicts of interests. During the Nevado del Ruiz eruption (Colombia, 1985; Voight, 1990) disconnection between warning release emitted by Civil Defense and the way the local authorities and the population interpreted the information caused several thousands of fatalities. The day of the eruption, two successive warning releases were issued at 04:00 and 07:00 p.m., hours before Armero town was destroyed by a lahar and more than 20 000 people died. Another example is the El Chichón volcano eruption in 1982 (De la Cruz-Reyna and Martín del Pozzo, 2009). When the eruption first began, the population evacuated spontaneously fleeing from the volcano activity. However, due to the paucity of scientific knowledge about the volcano, lack of monitoring data and poor coordination between the on-site scientific teams and authorities allowed people to return home. Then a new and more powerful explosion a week later killed more than 2000 persons (Tilling, 2009).

During the periods of volcanic unrest – expressed by departures from normal behavior (e.g., increased earthquakes, ground deformation, changes in fumarolic activity) (Newhall and Dzurisin, 1988) volcanologist are able to monitor the volcanic activity, to observe the possible changes occurring to the system and to attempt some kind of forecast (Voight, 1988; Marzocchi et al., 2007). However, even with a volcano reawakening one or more years before eruption, civil-defense and political decisions generally have to be taken only few days or weeks before eruption onset (Woo, 2008). In a short time, the authorities have to adapt the existing Emergency plans (if any), especially those related to the evacuation of the potentially affected population, to the eruptive scenarios proposed by the volcanologist. In these cases, Civil Defense and other decision-makers need answers about the ongoing situation, and qualitative statements of hazard and risk are of little use in making life-and-death decisions about mitigation measures (Newhall and Hoblitt, 2002). Therefore, during the last decades, researchers have been developing tools to give some quantitative estimate of at least some of the aspects concerning volcanic risk. Several software tools have been developed to support volcanic crisis management, especially for the elaboration of hazard and risk maps, event tree, eruption scenarios, eruption forecast, cost-benefit analysis framework and evacuation models (e.g. Ortiz et al., 2003; Newhall and Hoblitt, 2002; Thierry et al., 2007; Zuccaro et al., 2008; Woo, 2008; Marrero, 2009). The results obtained are offered to Civil Defense officials in a simplified way commonly as impact or generic maps, which are included in the Emergency Plan.

Once a volcanic crisis has begun, one of the main actions for risk mitigation is the evacuation of the exposed population (Marzocchi and Woo, 2007). Since the 1970s, various

evacuation models have been developed for different natural and man-made hazards. These may be grouped into: i) models related to meteorological phenomena that may affect quite vast areas (Mei, 2002); ii) models associated with high risk installations as nuclear power stations, which are applicable only in a detailed scale involving only small villages surrounding the installations (CTA, 2008); and iii) models related to fire in infrastructures and installations that focused mainly on the spaces inside the building (Lu et al., 2003). The great majority of the latter models work on a single scale (small, medium or large) based on their design and initial objective. Some of them are only focused on one specific aspect of the evacuation process as for example, crossroad management (Cova and Johnson, 2003). Others are site specific and only apply to the places they have been designed for and, from a methodological point of view, it is quite complicated to adapt them to other areas.

Evacuation of volcanic areas is not an easy task, especially for heavily populated areas that require the evacuation of many thousands of people. For example, in case of an eruption of Vesuvius, the Vesuvius Emergency Plan considers evacuating 600 000 people (Marzocchi and Woo, 2007). The main concern of the responsible authorities is then how and where to evacuate the threatened population, and equally important, how long the whole evacuation process will take. Of course, the longer it takes to mobilise the affected population the sooner the decision to evacuate has to be taken. Also, a critical fact to take into account is the decision of when to order the evacuation, or to even order an evacuation at all. Both decisions have high economical and political costs (Woo, 2008). There are unnecessary evacuations resulting from a “false alarm” or failed eruption forecast. However, the available software does not address these problems.

In this paper, we present a new tool for simulating massive evacuation processes during volcanic crises: the Variable Scale Evacuation Model (VSEM). The main objective of the VSEM is to optimise the evacuation process of Emergency Plans during volcanic crises.

We give a brief description of the VSEM and an example of its applicability simulating the evacuation of the villages located around El Chichón volcano (Chiapas, Mexico) based on a scenario assuming some future moderate to large eruption (VEI 2–5). “VEI” or Volcanic Explosivity Index (Newhall and Self, 1982), was defined as a parameter related to the eruptive power after studying up to 8000 historic and prehistoric volcanic eruptions to measure their relative explosiveness.

A more detailed description of VSEM, as well as the full version of code and some examples would be later published on-line at the website of the International Association of Volcanology and Geochemistry of the Earth’s Interior (IAVCEI) (<http://www.iavcei.org>) in due term. On this website, registered users will be able to acquire the current code version, to propose possible corrections or updates, and to exchange information with other registered members.

2 The VSEM

The Variable Scale Evacuation Model (VSEM) is a computer application developed in ANSI C (Kernighan and Ritch, 1978) that simulates the evacuation process for a threatened area. VSEM has been developed to work at any scale (i.e. it may be applied to a single town or city or to more extensive areas) and is completely independent of other software packages. In order to prepare the required input data to run VSEM, it is necessary to use Geographical Information Systems (GIS) software. Since the required tools are related to basic editing tasks, they are available in all currently existing GIS packages, even the open source ones.

VSEM is based on cellular automata, a mathematical model that represents a dynamic system evolving in discrete time steps. In the VSEM code, a transient-update non-homogeneous function and stochastic elements are used to determine the number of persons that may incorporate into the evacuation at any moment. It is a model of class I, discrete in time and space, in which the evolution of the process leads to a stable and homogeneous configuration, i.e., all cells tend to reach the same value, zero (Clymer, 1990). In order to analyse the evolution of the evacuation process and select the most suitable evacuation strategy, VSEM exports different types of output files at certain pre-established time intervals.

Different evacuation scenarios and new variables can be added to the VSEM, depending on the available knowledge and the characteristics of the area. The VSEM calculates the *evacuation time* and the *connectivity reliability* (Taylor, 2007) to determine the best strategies of evacuation when designing an emergency plan.

2.1 Road network vulnerability

When activating an existing Emergency Plan, it is very important to take into account any ongoing or incoming volcanic activity, the possible environmental conditions (e.g., hurricanes, rain season, etc.) and the forecast population behaviour during the evacuation. The concept of vulnerability adopted in this paper is given in UNDRO, 1979: “*degree of loss to a given element at risk or set of such elements resulting from the occurrence of a natural phenomenon of a given magnitude and expressed on a scale from 0 (no damage) to 1 (total loss)*”.

It is possible that under some specific circumstances the link/route/road get completely or partially blocked. In order to incorporate such situations into the VSEM, we have added four further attributes to represent the vulnerability of the road network (i.e. earthquakes, ash fall, slope-collapse, lahars, mudflows or landslides). However, road network vulnerability is not calculated by VSEM. All vulnerability data for each of the latter phenomena is obtained individually by several methodologies and introduced in the GIS expressed in terms of reduction factor of the road capacity (i.e. for each

point). When the vulnerability is taken into account and there are roadblocks, results for the evacuate simulation in VSEM show two effects; 1) number of people than can not be evacuated; 2) an increment in the evacuation time. The first situation occurs when there is not available other evacuation route and the main one is blocked. The second situation occurs when another slower evacuation route is selected.

2.2 Evacuation zone vulnerability and population behaviour

It is very important to distinguish between the road network vulnerability and the impact zone vulnerability. The first one is not related only with the volcanic hazards (e.g. landslides produced by heavy rain) and is calculated for every point of the road network. The second one is directly related to the forecasted volcano activity; the impact zone or *ground zero* has a vulnerability value of 1, and everybody should be evacuated. The impact zone represents the total area that has to be evacuated due to the threat of pyroclastic flows, mudflows (lahar), glacier bursts, tsunamis or debris avalanches, among other threats (UNDRO and UNESCO, 1985). This is the reason because of gradations are not used in the impact zone. However, this delimitation is not always easy to establish because of the economical and political costs repercussions (Woo, 2008).

During an evacuation process, knowledge of the behaviour of the population being moved is fundamental to estimate the total time required for evacuating. For a proper evaluation of this behaviour different surveys are made in order to estimate how many people would evacuate, how much time they need to be prepared for it, etc. There are numerous works and models focused on evacuation during hurricanes in USA (PBSJ Inc., 1999; Mei, 2002). However, in case of evacuation due to fast-moving hazards of uncertain spatial impact (i.e. meteorological phenomenon), no one is willing to evacuate. One of the main problems that the researchers have to face is to forecast how many people will be evacuated and when this will happen (Cova and Church, 1997; Dash and Gladwin, 2007). Due to the characteristics of the process the results obtained for hurricanes cannot be easily extrapolated to other hazards or areas.

Since the lack of information is the most frequent scenario, a lognormal distribution (Evans et al., 2000) is commonly applied to simulate the process of incorporation of the population into the evacuation process (Guanquan et al., 2006) (Fig. 1). A single distribution implies that the whole population reacts in the same way (Fig. 1a). By contrast, a superposition of several different lognormal distributions simulate the behaviour of the various subgroups existing inside the threatened population due to its age, economic level, etc. (Fig. 1b). When people are warned, for example when the evacuation has been announced in advance, the time for the population to be incorporated into the evacuation process should be shorter. This fact is reflected in the lognormal

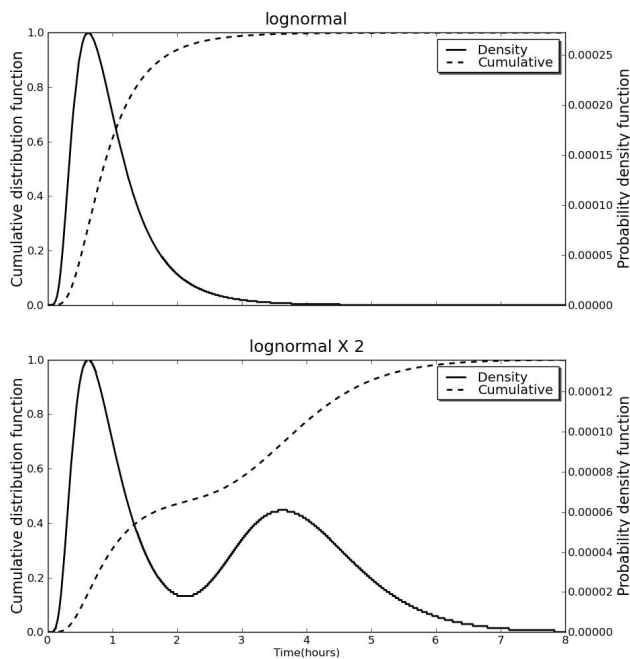


Fig. 1. VSEM uses lognormal distributions to simulate the process of incorporation of the population into the evacuation process. People joined in the evacuation flux are represented by the black line and people arrived to check or exit point is represented by a dashed line. A single distribution implies that the whole population reacts in the same way. A superposition of several different lognormal distributions simulates the behaviour of the various subgroups existing inside the threatened population due to its age, economic level, etc.

distribution by reducing the dispersion value and the mean time. However, the experience during massive evacuations related to volcanic crises shows that the population not always behaves as expected by the Emergency Plan provided by Civil Defense. It may also occur that part of the population that should not be evacuated decides to auto-evacuate, thereby saturating the road network and the available facilities. To simulate this situation, the *panic* variable has been added to the VSEM. This variable represents the outside of impact zone population percentage that spontaneously evacuate. This value depends on different factors (e.g. distance to the volcano and/or the proximity to zones that have to be officially evacuated, a random value to represent the rumour propagation generated by alarmist mass media). As the panic is applied outside the impact zone, the methodology for determining how many people could evacuate may vary. In Chakraborty et al. (2005) spatial variability of geophysical risk and social vulnerabilities is analysed. This methodology could be used to get the *panic* value.

Another fact to consider is that VSEM does not try to forecast the exact behaviour of a real evacuation. A clear example of evacuation difficulties was shown at volcano Popocatepetl crisis in December 2000, Mexico State (Valdés-

González et al., 2001; Marrero, 2009). In that case, the Scientific Committee recommended the evacuation of a specific area, while authorities decided to evacuate a wider area. After the evacuation began, people had to be returned to their towns. Two days later, the same people had to be re-evacuated due to the general fear and worry. The evacuation process complexity depends on multiple elements and not all of them can be simulated.

2.3 Preparing input data for VSEM

To comprehend how to prepare the input data for VSEM, it is necessary to understand some basic concepts of the GIS. In a GIS, discrete elements of the real world are represented as: points, polylines and polygons. These elements are stored in linked vectorial layers, using an internal index code, to alphanumeric attribute tables. These attribute tables, list all the information concerning the different features included in the vectorial layer.

The data input in VSEM is based on the simplest entity used in GIS, the point. Thus, the main objective is to create a single vectorial point layer that is linked to an attribute table containing the main information required to run VSEM. To start preparing the input data, it is necessary to know the location of the threatened population and the road network to be used during the evacuation process (Fig. 2).

The road network is added to the GIS using a polyline layer (*road network polyline layer*). In many cases, governmental organisations are able to provide such information and then only few modifications are necessary. In other cases, this layer has to be created with information from orthophoto maps or by mapping the routes of different roads with a vehicle equipped with a GPS receiver. VSEM takes into account the whole road network regardless of the quality (i.e. category) of the road (e.g., motorways, path, tracks, etc.). This is fundamental since it may come that main roads get blocked during a real evacuation process and then alternative routes are required, even using paths or tracks. The attribute table related to the *road network polyline layer* records the information that characterises each road link (e.g., name or ID, category, etc.).

Once the *road network polyline layer* is complete and correctly digitized, we use it to elaborate three other layers. The first one, is obtained converting the polylines of the *road network polyline layer* into points using a homogeneous spatial discretisation of distance (dl) (Fig. 2). The result is a point layer (*road network point layer*) composed by equidistant points that emulate the road network. The total number of points included in the *road network point layer* is a function of the applied spatial discretisation dl , the dimension of the road network, the working scale and the total expected computational time. A too large dl value would lead to a loss of information when passing from the continuous elements (lines) to the discrete ones (points). This may affect the quality of the road link attributes: vulnerability, capacity,

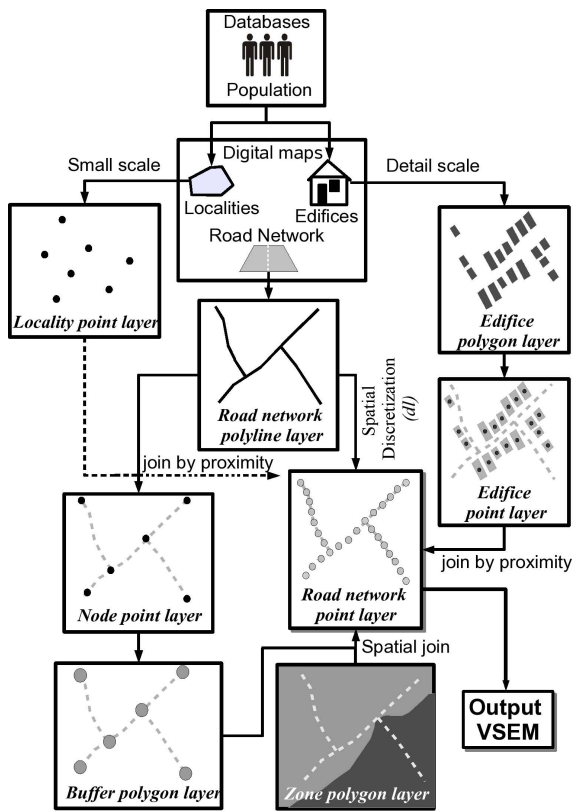


Fig. 2. GIS Processing data. The figure shows the methodology used to prepare the input data needed by VSEM. Notice that the gray dashed line used in some of the boxes represents the road polyline layer only for visual reference purposes.

etc. By contrast, if the *dl* value is too small and the working area large, the total number of features in the road network point layer increases leading to longer computational times. The same effect occurs when assigning different *dl* values to diverse parts of the same working area.

The next layer is obtained by calculating the nodes of the road network polyline layer. On the one hand, nodes are topological connection points between two or more polylines. On the other hand, they may also indicate the beginning/ending point of each polyline. All resulting nodes are integrated in the node point layer (Fig. 2).

The next step is the creation of the buffer polygon layer. For each feature of the node point layer we create a buffer, i.e., a zone that defines an area of influence in distance or time units. In our case, buffers define the area of influence of the road network crossroads. The buffer is centred on each of the nodes and its radius has to be slightly higher than *dl*. To determine which features of the road network point layer fall into the area of influence of a buffer we use a spatial join method. The autonumeric buffer value is transferred to the road network point layer using spatial join criteria.

The third layer divides the working area in zones (zone polygon layer, Fig. 2). The zonation criteria depend on the

working scale (e.g., villages or neighbourhoods limits), the geographical characteristics of the area (e.g., valleys, rivers, etc.) and operative aspects related to the evacuation design (e.g., priority in the evacuation order, road network hierarchy, local level of hazard, etc.). How these zones are defined determines the order and the flux direction of the evacuation process. It is possible to generate multiple zonations applying diverse criteria and each of them would correspond to different evacuation scenarios (Fig. 3). In VSEM, the evacuation process flux is controlled throughout the design of these zones. Two evacuation types are possible: simultaneously (all zones are evacuated at the same time) or sequential (prioritising the evacuation order of the different zones). Once the working area is divided into zones, we assign an auto-numeric value. The auto-numeric value has to be transferred to the road network point layer using spatial join criteria.

Next, it is necessary to add the information concerning the population to be evacuated. Such data may come from two different sources. In many cases, official bodies are able to provide a database of the existing population in specific locations. This information is easily translated into a point layer (locality point layer, Fig. 2) that indicates the number of inhabitants within certain geographical co-ordinates. When working in a more detailed scale, access to the register data of each municipality is required. The information concerning the home address of each inhabitant is transferred to an edifice polygon layer and later on to an edifice point layer (Fig. 2). The latter is generated assigning the value of inhabitants inside an edifice to the geographic point that corresponds to the centroid of the edifice polygon at the edifice polygon layer. Finally, all information concerning the population to be evacuated is transferred by assigning the value of inhabitants at the (x,y) position given by the edifice point layer and/or locality point layer to the closest feature (individual point) of the road network point layer (Fig. 4). Figure 5 shows a detailed scale example of the above described process. Working at such detailed scales, the data-preparation process in the GIS becomes more complex and more time is needed.

Once all the information contained in the different layers (e.g., inhabitants, crossroads, zones, etc.) has been transferred to the road network point layer and stored in the associated alphanumeric attribute table (Table 1), it is exported as a comma delimited text file (Table 1). The file is named *place_road.csv*, where “place” refers to the name of the working area. If new information is added to the original GIS data, a new text file has to be exported. Additionally, working with different evacuation scenarios, implies the creation of one independent *place_road.csv* for each of them. Apart from the *place_road.csv* file, VSEM needs additional information concerning other variables of the evacuation process that cannot be represented or stored in the GIS. This information is included in a configuration file, *place.cfg*, that has to be located in the same folder as *place_road.csv*.

Table 1. Attribute table of road network point layer.

id_road	Primary road identifier number.
id_road2	Secondary road identifier number.
id_road3	Tertiary road identifier number.
id_point	Road point number. Auto-numeric value for each road
id_buff	Buffer Number. Auto-numeric value assigned to each buffer created in each road cross.
typ_rd	Road type number. Depending on type of road, the assigned speed and capacity values may vary
n_inhab	Inhabitants number, depending on used scale this value correspond to the total inhabitants of a building, village, town or an administrative region.
cap	Capacity number, maximum number of inhabitants that can flow into a point per unit time.
vul_slope	Vulnerability collapse value
vul_ash	Vulnerability ash fall value
vul_flow	Vulnerability flow value
vul_seis	Vulnerability seismic value
xcoord	X co-ordinate value
ycoord	Y co-ordinate value
zone	The zone number shows all road points situated on it.
exit	Exit point is a boolean value. It is TRUE when road point is an exit point.

In the configuration file, the following information is indicated: type of output file desired; whether the zones evacuation sequential model is used or not; the parameters of the lognormal distribution function describing the population behaviour; the values of the spatial (dl) and temporal discretization (dt); the parameters of the speed model; list of the maximum speed limit for each road of the transport network; the priority of the different zones, for its use in the sequential evacuation model; and the *panic*. When the panic mode is “on”, a value is established as a trigger. If the panic level is reached, all points will evacuate then simultaneously.

The temporal discretization dt is defined as the minimum time required to move from a point of *road network point layer* to the next one. The definition of the temporal discretization (dt) depends on dl and the maximum velocity that can be reached in the road network. In order to avoid spatial aliasing (displacement of population to distances greater than the gap between two next points), dt has to be less than the time required to cover the spatial discretization distance dl at the maximum velocity of the road network.

Regarding the speed model, it is common to use a linear approximation in three different intervals. The slopes and intersection points can be chosen independently (Fig. 6). The first section corresponds to the high velocity zone. In the case of low traffic densities, the velocity in the road is close to its

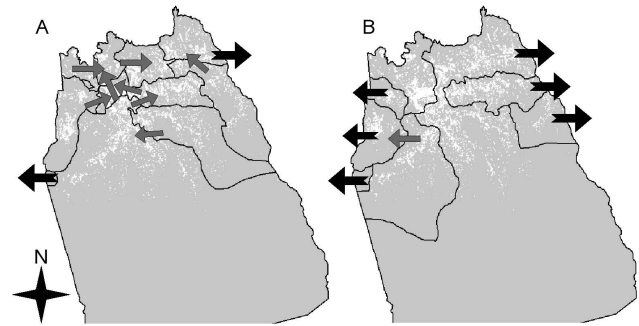


Fig. 3. Examples of different evacuation scenarios depending on the zonation criteria. **(A)** Here only two exit points (big black arrows) are established. The evacuation flow is similar to the behaviour of daily traffic. Almost the entire population is evacuated through a north-east point. This scenario is more complex and requires a higher evacuation time. **(B)** This scenario uses different exit points, the nearest inhabited areas. It facilitates the process of evacuation and reduces the evacuation times.

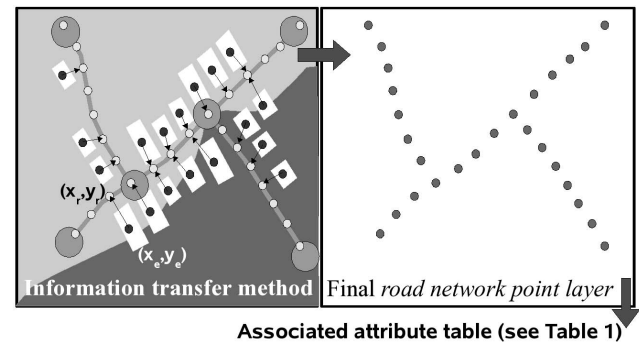


Fig. 4. **(A)** Information transfer from the buffer polygon layer, zone polygon layer, edifice point layer and locality point layer to the road network point layer. For this, two methodologies are applied: 1) spatial join, we detect which features of the road network point layer are included in the different features of the buffer and zone polygon layers, and transfer the contained information in the attribute tables of both polygon layers to the one of the point layer; 2) join by proximity, we calculate the shortest distance (using x, y coordinates) between features of the locality and/or edifice point layers and those of the road network point layer; then, we transfer the inhabitants value to the features of the road network point layer. One point of the road network point layer, can store inhabitants from different edifices or localities.

maximum. The second stretch decreases quickly with the traffic and the third one corresponds to the saturated road in which velocity may drop to zero. It is possible to apply more complex functions but this would imply a considerably increase of the calculation time since the function is estimated in each iteration.

The different evacuation scenarios are created by changing the information contained in the file *place_road.csv* or in the configuration *place.cfg*. In the *place_road.csv* file all the

Table 2. Example of output file. For each zone, all data are updated every minute. An analysis can be done in one zone or throughout the work area using the “glob” data file.

“Time”	“Z048”	“Z421”	“Z002”	“Z265”	“Z509”	“Z389”	“Z535”	“Z122”	“Z256”	“Glob”
0.00	0	0	0	0	0	0	0	0	0	0
0.02	31	131	0	0	79	0	0	0	0	241
0.03	17	107	0	0	40	0	0	0	0	121
0.05	3	82	0	0	29	0	0	0	0	114
0.07	0	60	0	0	5	0	0	0	0	65
0.08	0	43	0	0	0	0	0	0	0	43
0.10	0	22	0	0	0	0	0	0	0	22

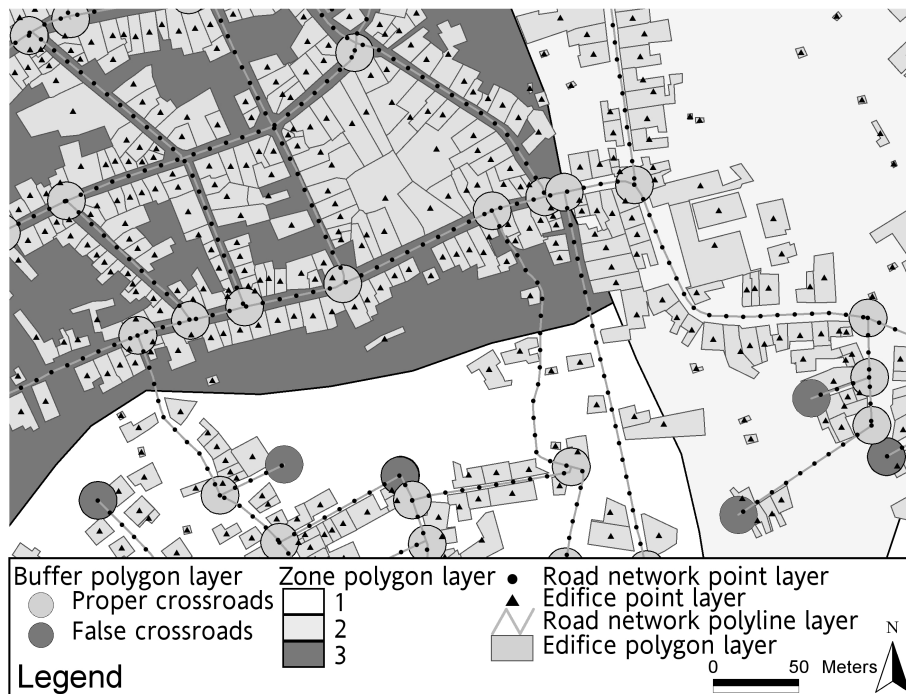


Fig. 5. Example of VSEM application using a detailed scale. The zone polygon layer is represented by three different gray tones; the buffer polyline layer is represented with two different colours, gray is a proper crossroads and dark gray is a false crossroads (end-road, superimposed, etc.). The edifice polygon layer has been transformed into a point layer (black triangles) using the centroid of each polygon. The road network polyline layer is transformed into a point layer (black circles) using a spatial homogeneous discretization.

formation directly related to the road network and the population (i.e. “id_road”; “id_road2”; “id_road3”; “id_point”; “id_buff”; “typ_rd”; “n_inhab”; “xcoord” and “ycoord”) is fixed. The rest of the fields (i.e. “cap”; “vul_slope”; “vul_ash”; “vul_flow”; “vul_seis”; “zone”) may vary depending on the chosen scenario. In the *place.cfg* file all fields are variable except *dl* and *dt*.

2.4 Running VSEM

The code constructs a set of *p_road* objects from the information provided by the file *place_road.csv*. These *p_road* objects contain all the information exported from the GIS

and will record all the data and calculate the intermediate variables. Figure 7 illustrates the block diagram of VSEM. The program is structured as following: 1) uploading of the input data (*place_road.csv*, *place.cfg*); 2) calculation of evacuation routes from the check points backwards using a First Input-First Output (FIFO) queue; 3) beginning of the evacuation process controlled by the *EVACUA()* function (calling *CELL()* and *UPDATE()* functions); 4) initialisation of the output files and their update every specific time interval; and 5) finalisation of the evacuation process once all the population has been evacuated or the number of successfully evacuated persons remains constant after a certain period of time.

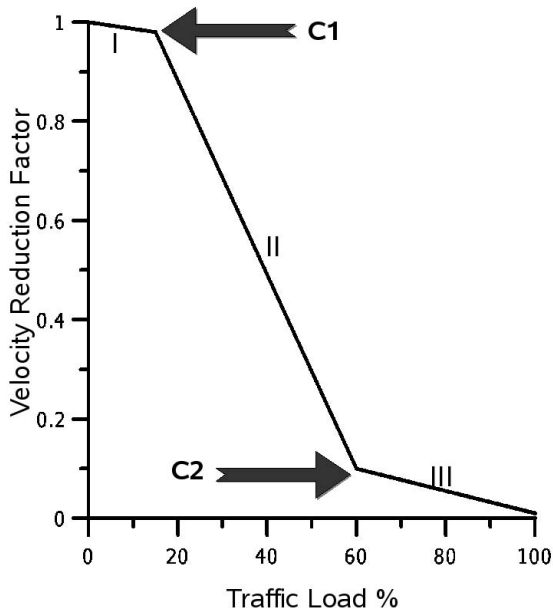


Fig. 6. During the evacuation process, the road capacity (traffic load) and the maximum speed are the parameters that determine the final speed reached in one point at each iteration. To represent this behaviour, three sections are used; I represent the maximum speed values with a low traffic density (0–20% traffic load); II simulates an abrupt fall of the speed value due to high density traffic conditions (20–60% traffic load); III represent the minimum speed values due to high traffic load values. When the load traffic is 100%, the speed value is 0. The change between one section to other is represented by two load point, C1 and C2. When the traffic load reach these values, the speed function changes.

To defining the *evacuation route table*, we have developed a procedure that uses a First Input-First Output (FIFO) queue (Fig. 8). All data stored temporarily in the FIFO are used to create the *evacuation route table*, which allows to assign in which direction the population has to evacuate.

The evacuation process starts with the *EVACUA()* function that controls the main loop of VSEM. For each iteration it: i) increments the time, ii) calls *CELL()* function for all *p_road* objects, iii) calls *UPDATE()* function to transfer people from a *p_road* object to the next one and updates the output files iv) and ends the evacuation process when all population has been evacuated or there are no more arrivals at the check point after a time period twice the evacuation time.

Once the evacuation process has begun, the most important function is the *CELL()* (Fig. 9a). For each *p_road* object, this function calculates: i) inhabitants at home, ii) inhabitants waiting to be evacuated, iii) inhabitants flowing, iv) velocity at this object, v) limbo (temporary variable that stores the fraction of persons that may be potentially evacuated to the next point), vi) step counter and vii) transfer flag status.

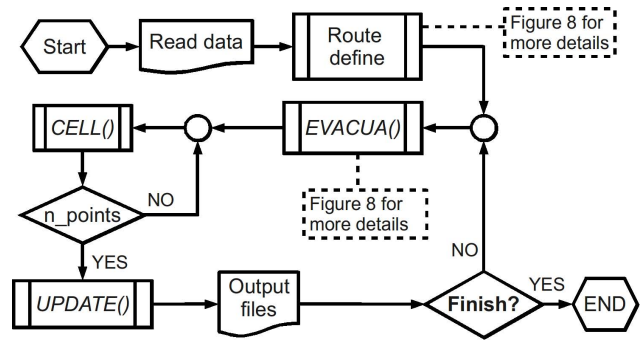


Fig. 7. Operating block diagram of VSEM. The program reads the input data and calculates the evacuation routes. From the calculated routes, each object *p_road* knows where to evacuate. Then, VSEM starts the simulation of the evacuation controlled by the *EVACUA()* function. All computing procedures are performed by the *CELL()* and *UPDATE()* functions. The simulation ends when everyone is evacuated or when there are no more arrivals at the check point during a time period or when the double evacuation time elapses without the occurrence of new arrivals at the check point.

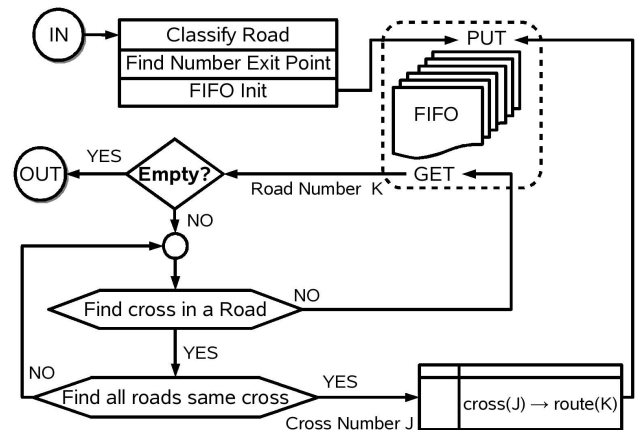


Fig. 8. FIFO queue diagram. First, VSEM sorts all *p_road* objects and looks for exit points. Second, the FIFO queue is built and the first value assigned is the id number of the road where the exit point is situated. Third, all *p_road* objects belonging to the same road are processed from the exit point backwards to find the crossroads (buffers). In each crossroads, the function analyses how many roads merge into it and stores the road id numbers in the evacuation route table and the FIFO queue. When all *p_road* objects of a single road have been processed and no other crossroads have been detected, the search starts again with the next road id number in the FIFO queue. The process go on until FIFO queue is empty.

In VSEM, the flux of evacuating people at each *p_road* object is composed of (Fig. 9b): 1) the persons coming from the neighbouring *p_road* objects; and 2) the persons moving internally from the waiting to the flowing state. This internal flux is only for those *p_road* objects that contain inhabitants. The transfer of persons from one *p_road* object to the other is controlled by a flag (Fig. 9b). A *p_road* object allows the

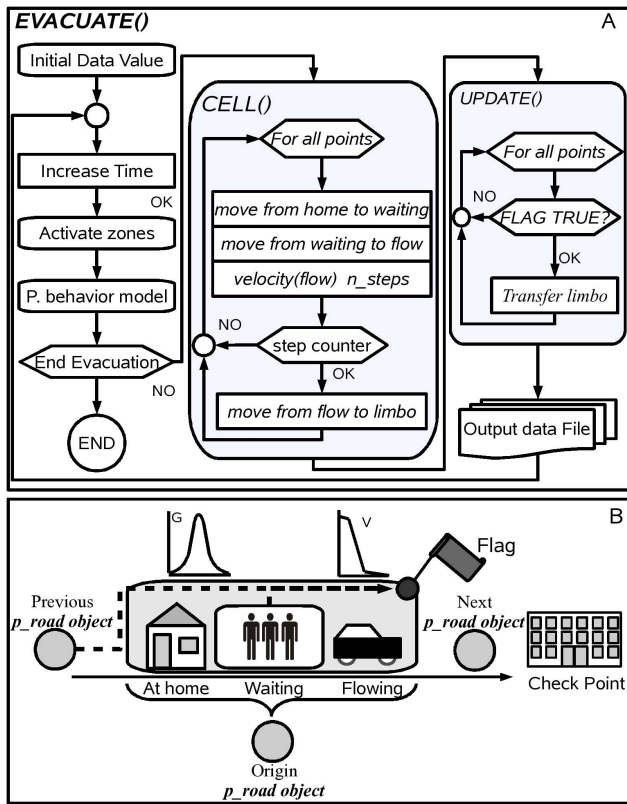


Fig. 9. (A) VSEM evacuation process diagram. The CELL() function is the most important in the evacuation model. In each iteration, for all *p_road* objects, the CELL() function: 1) computes how many people moves from one status to other (from home to waiting, from waiting to flowing), 2) determines the flux velocity, 3) fixes the number of step (iterations) needed to cover the distance between two *p_road* objects, 4) estimates the fraction of people that will be transferred from one *p_road* object to the other and stores in a temporal variable (limbo). The people displacement is controlled by the UPDATE() function, which 1) analyses the flag status and transfers people if the level of occupancy of the *p_road* object is less than the total limit of its capacity (see text for more details) and 2) stores, every few iterations, the obtained data in the output files. (B) Sketch of the transfer process (see text for more details).

entrance of people when its occupation is less than its capacity (TRUE). Once achieved 100% of capacity, the *p_road* object gets blocked and does not admit further incoming persons (FALSE).

In order to preserve the stability of the methodology, we have to avoid the first processed *p_road* objects having priority over the subsequent ones. Therefore, we have created the temporal buffer, *limbo*, which stores the number of persons to be transfer from a *p_road* object to the next one. The information contained in limbo is transferred according to the flags, once all *p_road* objects have been individually calculated (function UPDATE()).

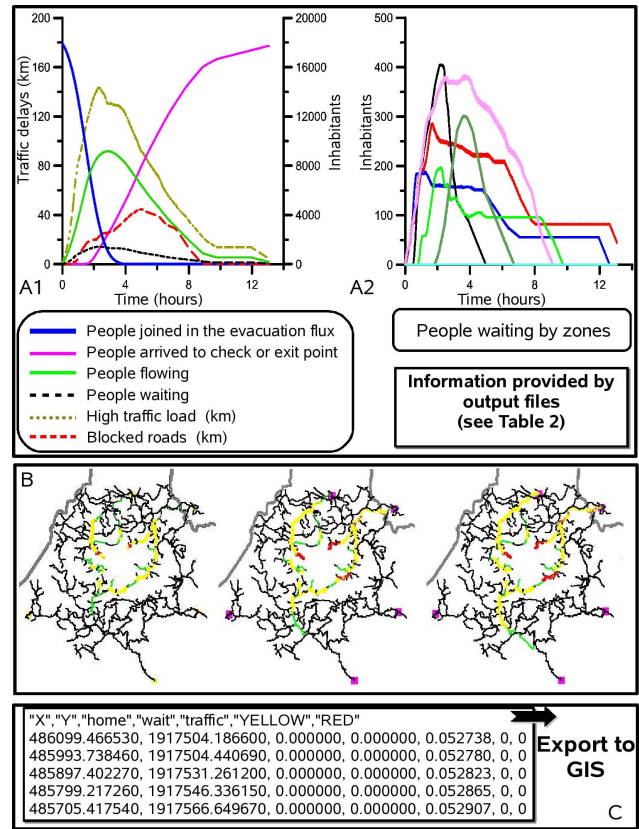


Fig. 10. Example of VSEM output files. (A) Output data that may be represented as plots. A1 is a data compiled plot and represents all output parameters obtained for the evacuation area. A2 is waiting status by zones. All output parameters can be plotted by zones or by working area. (B) Graphical output of VSEM. In these three figures the traffic delay evolution is represented (see text for more details). (C) GIS text output file. This file can be imported directly into the GIS.

2.5 VSEM output data

The output data may be divided in three main groups: 1) data that show the evolution of a certain set of variables during the process and that are represented as plots (Fig. 10a); 2) images that show the situation of the road network at regular time intervals (Fig. 10b); and 3) data that show, in regular intervals, the evolution of the evacuation for all points of the road network (Fig. 10c).

The first set of results is composed of six different text files, each of them representing the temporal evolution for the whole evacuated area or individual zones of the following variables: inhabitants at home, inhabitants waiting to be evacuated, inhabitants being moved, inhabitants whom have reached either the check or exit point, number of kilometres blocked and number of kilometres with lower speed due to highly dense traffic flow. This information is continuously updated during the evacuation process and may be represented in charts (Fig. 10a).

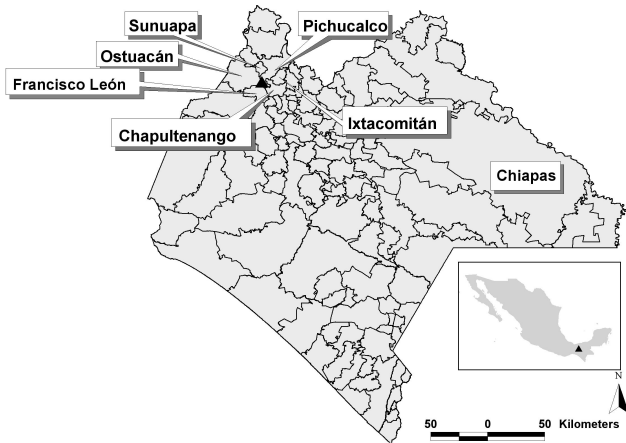


Fig. 11. Municipalities located near the El Chichón volcano.

The second type of results involves images of the evacuation zone that can be generated at a specific time interval fixed by the user (1, 5, 10 min) (Fig. 10b). These may show the information of any of the above mentioned variables in a visual way. In order to track the evolution of the evacuation process related to the traffic conditions, we have defined a variable equivalent to a traditional traffic light. The *p_road* object colour palette is red (when it is at 100% or more of its capacity), yellow (at 40–100%) or green (less than 40%).

The last sets of files are text files that record the information concerning the evacuation process for each *p_road* object (Fig. 10c). The information fields are: co-ordinates *x* and *y*, inhabitants at home, inhabitants waiting to be evacuated, inhabitants being moved, and capacity percentage value. This file can be directly imported to the GIS throughout the *x-y* co-ordinates and are available every a certain time interval defined by the user. A recommend time frame is 15 min because this process is quite time consuming.

2.6 Case study: El Chichón volcano

The El Chichón volcano, located in Chiapas (Mexico) (Fig. 12) became notorious because of its deadly eruption in 1982 that claimed thousands of lives. Since 2007, Mexican Civil Defense, together with different research groups, is developing a new Emergency Plan for the area.

2.7 Volcano overview

The El Chichón volcano ($17^{\circ}21'38''$ N, $93^{\circ}13'28''$ W) is located in the northern Region of Chiapas state (Mexico) between the municipalities of Chapultenango, Ostuacán, Pichucalco and Francisco León (Fig. 11). According to CONAPO (2006), the total population of these areas amounts to 59 553 inhabitants.

The 1982 eruption at the El Chichón volcano did not surprise the inhabitants of the area. During several months

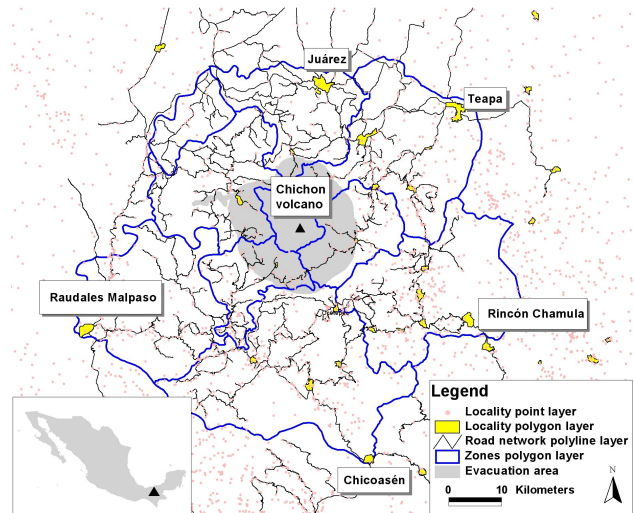


Fig. 12. Location map of El Chichón volcano. The evacuation area is obtained from impact scenarios simulated by VORIS (Felpeto et al., 2007) plus a security zone of 3.5 km. The number of people living in the evacuated area is 17 918. The arrival points are different towns located 30 km far away from the volcano (Juárez, Teapa, Rincón Chamula, Chicoasén and Raudales Malpaso). The working area has been divided in nine zones. Since this is not an example in a detailed scale, the information concerning the population is contained in a localities point layer.

before the first eruption, reports about the occurrence of tremors, strange noise and explosions were repeatedly communicated to the local authorities (Macías, 2005) but were not transmitted to the scientific community (De la Cruz-Reyna and Martín del Pozzo, 2009). The first phase of the eruption started on the night of 28 March, partially destroying the central dome and generating a plinian eruption with a 27 km high column. In the absence of a volcanic Emergency Plan, this first phase terrified the population and prompted them to auto-evacuate the affected areas and to run away to the most remote villages.

The intervention of the army and the activation of the Army Emergency Plan took place the day after (29 March), cordoning off the area and evacuating the remaining population. This delay was mainly due to the characteristics of the Emergency Plan which was primarily based on the airlifting of troops, action impossible to carry out due to the ashes generated during the eruption. Currently, the Army plan has been adapted to volcanic emergencies based on that experience. (De la Cruz-Reyna and Martín del Pozzo, 2009).

During one week following the 28 March explosion, the volcano was relatively quiet except for continuous seismic activity and few small explosions. Relying on the judgement of the senior scientist on site, who believed that the eruption had ended, the authorities allowed the population to return home, but they maintained the military and scientific operation. The decision (to allow the evacuees to return home) was

not shared by all involved scientific groups, but difficulties in communication and the strong influence of the senior scientist made impossible a proper information exchange (De la Cruz-Reyna and Martín del Pozzo, 2009; Tilling, 2009). On the night of 3 April, a violent phreatomagmatic explosion took place destroying the remains of the central dome and generating pyroclastic flows that devastated nine villages located in a 9 km radius area. The authorities estimated over 2000 fatalities (Macías, 2005).

2.8 Defining the impact and evacuation scenarios

Regardless of the evacuation is ordered or spontaneous, the VSEM calculates the evacuation time depending on when it has started. Previously, the volcanologists team must provide expected impact maps where the area to evacuate is defined. Evacuation can also be simulated in stages according to the volcanic eruption forecast. The El Chichón volcano Emergency Plan under development considers as starting point the well-documented eruption of 1982 (Macías et al., 1997, 2004; Armienta et al., 2002; De la Cruz-Reyna and Martín del Pozzo, 2009; Tilling, 2009). In order to simulate the possible impact scenarios, we have applied VORIS, a code that simulates eruption scenarios from the information of past eruptions and the geology of the area (Felpeto et al., 2007, <http://www.gvb-csic.es/GVB/VORIS/VORIS.htm>). The values for the parameters defining the eruptive scenario are listed in Table 3.

Once different impact scenarios have been determined, the area of highest hazard is defined. However, due to future eruption parameters are unknown, hazard simulation models cannot accurately represent the impact zone. Beyond the limit of this area, we add an extra safety margin of 3.5 km. All villages and cities contained in the resultant area have to be evacuated. In this example, all the population located in the impact zone (17 918 inhabitants) is evacuated to neighbouring safe areas.

Once selected where to move the evacuated population, we defined the zones distribution of the working area according to the considerations mentioned in Sect. 2.3. At the end, the working area was divided in 9 zones being the destinations Juárez, Teapa, Rincon Chamula, Chicoasen and Raudales Malpaso located at 30 km from the volcano (Fig. 12).

Mexico Civil Protection offers all the necessary resources for evacuation, however it is necessary to define the evacuation scenario using collective transports (e.g., buses). Since collective transports move slower than cars, they tend to reduce the saturation level of the roads. In order to simulate the use of collective transport, we impose in VSEM a reduction of the velocity and varied the capacity of the different roads. In order to compare the results obtained we ran two simulations: one with high road capacity and another with normal one. The vulnerabilities were not used in the El Chichón example due to the lack of vulnerability data of road transport.

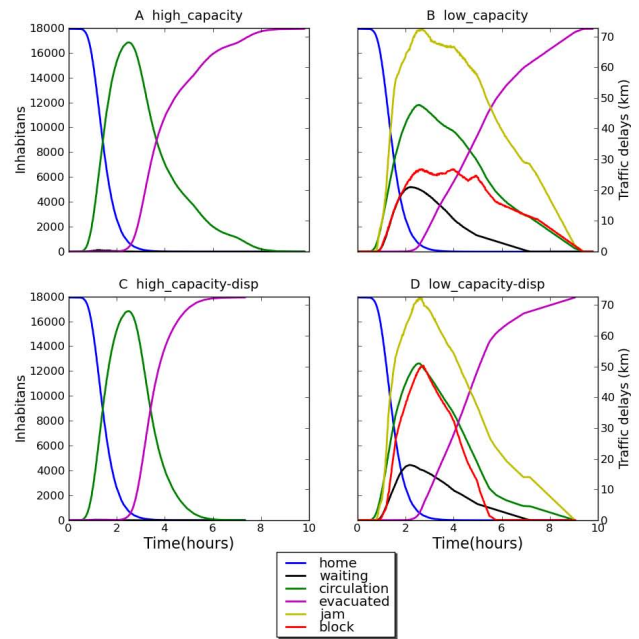


Fig. 13. VSEM results. In all simulations zones, population behaviour model and speed model are equal. Only two elements have been varied: 1) the road capacity (in the road network point layer) Plots (A) and (C) are simulations with high capacity values while (B) and (D) have low capacity value. The high capacity value represents the use of collective transport (fewer vehicles, no traffic delays, etc.); 2) the inhabitants of some localities have been moved to others due to its difficult access the road (track or path). The evacuation time has been assessed with the entire population within its geographic origin (A) and (B), and displacing the population living in areas of difficult access (C) and (D). (For a better visualization of the results, the reader is referred to the web version of this article).

In the case of volcanoes, evacuations have to take place before the eruption starts (preventive evacuation). In our example, we consider an early warning, i.e., the time for the evacuation beginning is fixed. As mentioned in previous section, early warnings allow the population to be prepared in advance, reducing the incorporation time. We assume that the simulation begins once the collective transports have reached the villages or cities. The mean incorporation time has been established to be 1 h and 30 min considering all possible difficulties that may occur during these situations.

2.9 Results

Figure 13 shows the obtained results. For the evacuation scenario with high capacity values, the obtained evacuation time is about 10 h (Fig. 13a). Since the evacuation takes place without traffic jams, the evacuation time corresponds practically to the travel time plus the incorporation time. For lower capacity values adapted to the different road types, important traffic jams occur modifying the arrival curve but with the same evacuation time (Fig. 13b). The slow circulation occurs

Table 3. Parameters used for the design of the hazard scenarios simulated with VORIS model (Felpeto et al., 2007; <http://www.gvb-csic.es/GVB/VORIS/VORIS.htm>).

Pyroclastic flow. Energy Cone Model			
Vent (x,y)	Collapse Height 1	Collapse Height 2	Collapse Height 3
475567.0 1919435.0	300 m	400 m	500 m
Function used to calculate collapse angle: $\alpha = -\log(hc/1300)/0.116$			
Ash fall			
	Velocity (m/s)	Angle (°) (Wind direction. From)	Height (m)
Low elevation wind	2.0	10.0	0.0
Medium elevation wind	4.0	30.0	2000.0
High elevation Wind	6.0	40.0	6000.0
x,x vent	x = 475567.0 y = 1919435.0	Diffusivity=6000 m ² /s	
Size ash Φ	0.0 (equivalent to 1 mm)	Density=1200 kg/m ³	
Deviation ash Φ	1.5	Column shape A=20	
Column Height	6000 m	Volume=0.5 km ³	
Gravitational flows			
Maximum distance (cell)	100 000	Path/vent number	10 000
Vent number	20	Critic Height	10

along 70 km of the road network and 25 km of roads area completely blocked (max. values). In both scenarios, there is a certain group of people (3486) who delay the whole evacuation process. This group corresponds to people who live in small, remote villages with a single access route that tend to be a path with quite reduced velocity throughout no transport vehicles may circulate. To evaluate the effect of this group on the evacuation time, we ran two additional simulations. Both are equivalent to the previous ones, but in this case, the population has been located in localities of faster access. The results show an evacuation time reduction of 2 h in the first case (Fig. 13c) and 1 h in the second one (Fig. 13d). In the literature related to the 1982 eruption of El Chichon volcano (Macías et al., 1997; Macías, 2005; De la Cruz-Reyna and Martín del Pozzo, 2009; Tilling, 2009), the evacuation was carried out by the local population without receiving any official warning. The evacuation began on the night of 28th and continued throughout the 29th, although there is much confusion about it (Tilling, 2009). In this work we simulated an ordered evacuation. The main objective was to assess the minimal evacuation time needed to evacuate the impact zone and to test the VSEM. The results from the 1982 evacuation and the proposed scenario are not directly compared. Furthermore, the current population and socio-economic characteristics have changed over the past 27 years.

If we consider the actual time needed to cover the distances between the evacuated villages and the destination points, it is evident that results are satisfactory. VSEM allows the definition of maximum and minimum evacuation times for the different proposed scenarios. These data permit the authorities to know how much time is required to

evacuate the threatened area. This is very important since the accuracy and reliability of volcano forecasting generally improves as the time of the eruption approaches.

3 Summary and conclusions

During recent years, numerous software packages have been developed to evaluate volcanic risk and support volcanic crisis management: probabilistic analysis of future eruptions, hazard and risk maps, event trees, etc. However, there has been little or no improvement in tools that may help Civil Defense officials to prepare the Emergency Plans. The Variable Scale Evacuation Model (VSEM) presented in this paper can be used to optimise the evacuation process of a certain area if the required information is available.

The VSEM only needs the road network map and the spatial population distribution to assess the evacuation time. Today, this information can be obtained with simple tools using a GPS installed in a car or scanning a traditional map. The spatial population is generally provided by the official statistical agencies and local authorities.

VSEM facilitates the design of more adequate emergency plans depending on the different situations that may cause the same natural phenomenon as a function of its intensity. The model is able to work at different spatial scales depending on the available data. The results obtained provide information concerning the minimum times required to execute the evacuation. Additionally, VSEM is capable to detect the blackspots of the road network and allows the redesign of the evacuation scenarios in order to avoid them or give recommendations to improve the road network.

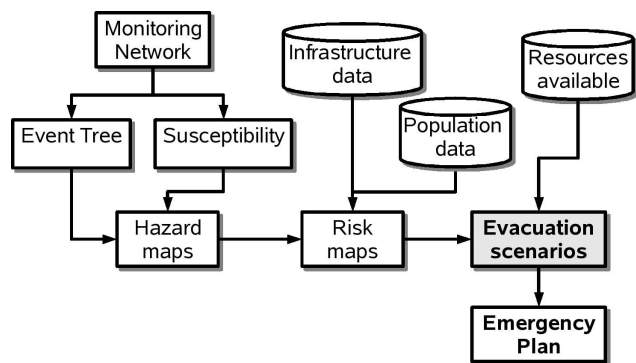


Fig. 14. Current management of volcanic risk. VSEM is a new tool to improve the Emergency Plans. It is part of a software package that analyse the volcanic processes from an objective point of view.

To run VSEM, it is necessary to have the geographical and administrative information of the area and the expected impact scenarios. Crossing over all this information helps to: i) define the area and number of persons to be evacuated, ii) establish the different evacuation scenarios and iii) evaluate the diverse emergency strategies to be followed. The quality of the results obtained depends mainly on the quality of the input data, as well as on the possibility of doing additional fieldwork to check the characteristics of the road network and the related vulnerability.

In general, the available databases (population, road network, etc.) are not optimised to be used for emergency management. However, with support from the administrative bodies, crises management (not only volcanic ones) could be notably improved. Additionally, VSEM enables the simulation of the behaviour of the population through specific distribution functions. Nonetheless, an important problem is the lack of information concerning the behaviour of the population in case of an emergency. In general, during an emergency, the primary concern of Civil Defense and related officials (police, fire brigade, etc.) is to sort out and management the ongoing crisis situation, and not to collect information on the human of the people affected. Therefore, a refined understanding of the population behaviour is very difficult to achieve and requires sociological studies of the inhabitants involved during emergency situations.

The model presented here is designed to be easily adapted to any working group regardless of the software infrastructure used. It does not require high computing capacity and is executable in any modern personal computer. In the future, VSEM should be included in a set of tools for the integrated management of volcanic risk, such that, together with systematic volcano-monitoring data, it would be possible to: 1) get information about potential eruptive vents (susceptibility maps) and eruptive mechanisms (event trees); 2) generate the expected eruption and impact scenarios that define a potentially affected area; and 3) combined with the population and infrastructure data, define the evacuation scenarios (Fig. 14).

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