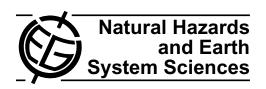
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# Landslide tsunami hazard in the Indonesian Sunda Arc

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Received: 21 January 2010 - Accepted: 1 March 2010 - Published: 26 March 2010

Abstract. The Indonesian archipelago is known for the occurrence of catastrophic earthquake-generated tsunamis along the Sunda Arc. The tsunami hazard associated with submarine landslides however has not been fully addressed. In this paper, we compile the known tsunamigenic events where landslide involvement is certain and summarize the properties of published landslides that were identified with geophysical methods. We depict novel mass movements, found in newly available bathymetry, and determine their key parameters. Using numerical modeling, we compute possible tsunami scenarios. Furthermore, we propose a way of identifying landslide tsunamis using an array of few buoys with bottom pressure units.

#### 1 Introduction

#### 1.1 Submarine slope failures

During the past decades, awareness has grown that submarine landslides can generate large tsunamis (e.g. Hampton et al., 1996; Yalçiner et al., 2003; Masson et al., 2006). The involvement of submarine mass movements could be proven amongst others for the 1929 Grand Banks tsunami (Heezen and Ewing, 1952; Piper and Aksu, 1987), the Aleutian tsunami of 1946 (Okal et al., 2003), the Skagway event in 1994 (Lander, 1995; Kulikov et al., 1996) and the Papua New Guinea tsunami in 1998 (Tappin et al., 1999; Okal, 1999). Volumes of tsunamigenic submarine landslides range between several million m³ (i.e. 0.001 km³) for cliff failures or volcanic debris flows (Hébert et al., 2002; Tinti et al., 1999; Maramai et al., 2005) to thousands of km³ at formerly glaciated margins (Evans et al., 2005; ten Brink, 2009).

Major causes for submarine slope failures and landslide terminology are reviewed in Hampton et al. (1996): rapid



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sedimentation plays a major role that is characteristic for river deltas or glacial troughs. Especially fine grained deposits with high water content can be extremely underconsolidated. If permeability is low and sedimentation rate high, the pore fluid can not drain and pore pressure increases. This reduces the effective shear strength and leads to instability. Elevated pore pressure can moreover result from melting of gas hydrates, as suspected for landslides in the North Atlantic (Sultan et al., 2004; Mienert et al., 2005), but also off Indonesia evidences for gas hydrates have been found (Kopp, 2002). Another important cause is steepening of the slope. An increase of the slope angle due to tectonic movement, erosion or sedimentation might lead to oversteepening and eventually to failure (Leynaud et al., 2009). At a critical slope, earthquake may provide the final trigger, as they can induce large vertical and horizontal soil acceleration. Many large recent tsunamigenic landslides have been ultimately triggered by earthquakes (Masson et al., 2006).

At many locations in Indonesia, the failure conditions could be fulfilled: Large amounts of sediments are deposited offshore Indonesia in accretionary wedges or at the slopes of forearc basins providing plenty of potential slide material (e.g. Susilohadi et al., 2005). At the Sunda Arc, many earthquakes larger than magnitude of 7.0 occur (Lay et al., 2005; Briggs et al., 2006; McCloskey et al., 2008) that have the potential to generate tsunamis, but also to trigger major submarine mass movements.

In Sect. 2.1, we assemble all landslide tsunamis that have been identified for the Indonesian region. Then we compile, to our best knowledge, all landslides that were found within the last decades by means of multibeam bathymetry, seismic methods and backscatter data. Following the Great Sumatra-Andaman Earthquake, new surveys that recorded bathymetry and seismic data yielded new evidence for submarine landslides. In Sect. 2.3, we present these new slides and list their geometrical parameters length, width, height, and volume. Based on numerical modeling, we simulate possible tsunami scenarios and compute potential run-up. Generalizing these results, we discuss the landslide tsunami hazard

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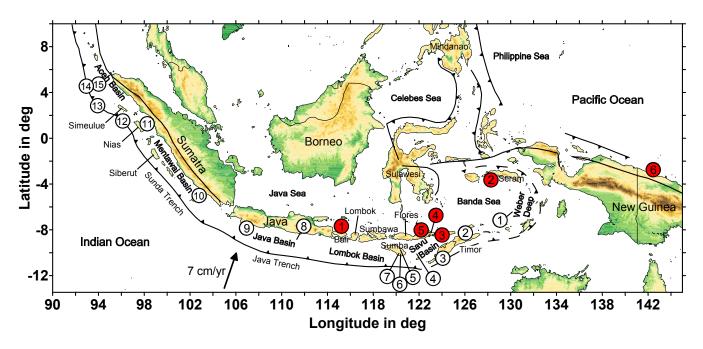


Fig. 1. Literature overview map. Locations where landslide tsunamis were witnessed are shown in red (see Sect. 2.1 for descriptions). White circles represent places of geophysical evidence for landslides, some of these events might have been tsunamigenic (see Sect. 2.2).

in the Indonesian Sunda Arc region. Rapid identification of landslide tsunamis could be crucial for coastal communities. In the last section, we propose an identification method that compares the incoming tsunami signal to precomputed scenarios of a large database. We discuss the scope of this technique, by applying it to the eastern Sunda Arc, where the largest landslides have been found.

#### 1.2 Tectonic background

The Indonesian archipelago consists of more than 18 000 islands and extends over 5000 km from Sumatra in the west to the Papua New Guinean border in the east. Indonesia is located at the junction of four major lithospheric plates: Eurasia, Indo-Australia, the Pacific, and the Philippine plates whose interplate fault zones exhibit volcanism and frequent earthquakes (Hamilton, 1979).

In the western part of Indonesia, oceanic lithosphere of the Indo-Australian plate subducts beneath the Eurasian plate representing a classical convergent margin system. A volcanic arc has been formed on Sumatra, Java, Bali, Lombok, Sumbawa and Flores (Fig. 1). Several depositional basins are located adjacent to the arc (i.e. Aceh Basin, Mentawai Basin, Java Basin, Lombok Basin). The accumulation of sediments at the plate boundary produced an accretionary prism with a pronounced forearc high. Between the Sunda trench and Sumatra, it rises above sea level constituting the Mentawai Islands. Subduction direction changes from trench-perpendicular at the Java Trench to oblique at the Sumatra Trench. The oblique setting results in slip parti-

tioning between the subduction thrust and the trench parallel Sumatran strike-slip fault (McCaffrey, 2009). This process causes an increasing convergence rate from northwest (40–50 mm/yr off Sumatra) to southeast (~70 mm/yr off Java and Bali) (Simons et al., 2007).

In East Indonesia, active deformation and earthquakes take place within a complex zone of multiple micro plates and several subduction zones. The subduction of an oceanic embayment, for example, led to the formation of the horseshoeshaped Banda Arc that comprises the islands of Flores, Timor and Seram (Hamilton, 1988; Hall, 2002).

The Indonesian Sunda Arc is particularly prone to earthquake and tsunami hazard. According to the Harvard Centroid-Moment-Tensor Catalog, more than 30 earthquakes with magnitude 7 or greater took place during the last 30 years in the Sunda subduction zone (www.globalcmt.org). The largest event with  $M_w$ =9.3 was the Sumatra-Andaman earthquake of 2004 that ruptured over 1000 km (Krüger and Ohrnberger, 2005; Lay et al., 2005; Ishii et al., 2005) generating a catastrophic ocean-wide tsunami. Maximum runup in Aceh reached more than 30 m (Borrero et al., 2006) and nearly 20 m in Thailand (Tsuji et al., 2006). Following this event, the GITEWS Project (German-Indonesian Tsunami Early Warning System) was initiated (Rudloff et al., 2009). At the southeastern part of the Sunda subduction zone, off Java, Sumbawa and Sumba, devastating earthquake tsunamis occurred during the last decades. The largest were the  $M_{\rm w}$ =8.3 Sumba Earthquake of 1977, the East Java Earthquake ( $M_w$ =7.8) of 1994 and the  $M_w$ =7.7 Pangandaran Earthquake in 2006 (ITDB catalogue, 2007). Tsunami run-up reached 8 m (Kato and Tsuji, 1995), 14 m (Tsuji et al., 1995a) and 20 m (Lavigne et al., 2007; Fritz et al., 2007), respectively.

### 2 Historical perspective

## 2.1 Known landslide tsunamis in the Indonesian region

By triggering submarine mass failures, even moderate earthquakes can generate locally disastrous tsunamis. Tsunamigenic slope failures have been observed multiple times in Indonesian history. Results of scientific surveys and witness accounts of the last centuries are published in tsunami catalogs (Iida, 1967; Soloviev, 1974; Soloviev, 1992; Rynn, 2002; www.ngdc.noaa.gov, http://tsun.sscc.ru/ htdbwld). Here, we summarize the known events in chronological order (locations are mapped in Fig. 1):

- (1) 1815 Bali: an earthquake  $M_S$ =7.0 triggered a catastrophic subaerial landslide at the foot of the coastal mountains. When it reached the shore, it induced a tsunami that flooded the surrounding area killing over 1000 people (Rynn, 2002; www.ngdc.noaa.gov).
- (2) 1899 Seram Island: a magnitude  $M_S$ =7.8 earthquake took place. Large subaerial landslides have been observed that together with the earthquake generated a local tsunami (www.ngdc.noaa.gov).
- (3) 1979 Lomblen Island: the tsunami event of Lomblen Island (east of Flores) is the only one, where no earthquake took place. A spontaneous landslide is being accounted for the strong tsunami with heights of 7–9 m and inundation distances of up to 1500 m. A characteristic first negative wave has been reported followed by a large positive second wave. The tsunami claimed 539 casualties and further 700 people missing from four villages (Soloviev, 1992).
- (4) 1982 Flores: an earthquake of magnitude  $M_S$ =5.6 took place at the eastern tip of Flores Island in December 1982. The caused landslides resulted together with the earthquake in a fairly undocumented tsunami that, nevertheless, claimed 15 lives (Rynn, 2002).
- (5) 1992 Flores: ten years after the East-Flores tsunami, an earthquake struck in December 1992 at the northern coast of the island. Over 1000 people died because of the earthquake and another 1000 due to the generated tsunami. An international survey team measured run-up of 2 m at Maumere, 3 m at Wuring and nearly 6 m at Babi Island (Tsuji et al., 1995b). Exceptionally large run-up was found in east Flores, with 11 m in Waibalan and 26 m in Riangkroko where even the foundations of houses were washed away. The overall wave distribution could be explained assuming rupture on two seismic faults (Imamura and Kikuchi, 1994; Hidayat et al., 1995), however, the largest run-up values in Waibalan and Riangkroko are not explicable with a tectonic source model. In these areas, large landslides were triggered by the earthquake (Yeh et al., 1993). Numerical landslide modeling resulted in wave heights comparable to the measured values,

confirming the landslide tsunami hypothesis (Imamura et al., 1995; Hidayat et al., 1995). A well studied case constitutes the tsunami behavior at the nearly conical Babi Island. Here, the largest run-up was found on the backward island side (Yeh et al., 1994; Liu et al., 1995). In numerical and analog experiments, this feature could be attributed to the splitting of the tsunami front when it reached the island. Two waves propagated around each side and superposed on the far island coast, leading to especially large run-up.

(6) 1998 Aitape, Papua New Guinea: only 130 km east of the Indonesian border, one of the best studied landslide events took place 1998 near Sissano lagoon, west of Aitape. A catastrophic tsunami succeeded a comparatively small earthquake of magnitude  $M_W$ =7.0 (Harvard Centroid-Moment-Tensor Catalog). Two weeks later, an international survey team found the extreme flooding confined to a shoreline area of 25 km but with maximum run-up heights of up to 15 m (Kawata et al., 1999; Davies et al., 2003). Neither could the large run-up be explained by "slow" earthquake source mechanics (Newman and Okal, 1998), nor did modeling of the earthquake tsunami yield comparable wave heights (Titov and Gonzalez, 1998). Thus an alternative tsunami source like a submarine slump was discussed. The hypothesis was supported by offshore data collected during three ship cruises that revealed a landslide 30 km off Sissano lagoon (Tappin et al., 1999, 2001; Sweet and Silver, 2003). Further, hydroacoustic wave records at Wake Island have been identified to represent the seismic signal of the submarine slump (Okal, 1999). The thereby associated timing of the mass failure corresponds very well to eyewitness accounts of tsunami arrival, as numerical tsunami modeling shows (Synolakis et al., 2002; Okal, 2003). Recent geometry interpretations revealed slump width, length and thickness of 4.2, 4.5, and 0.75 km, respectively, resulting in a slide volume of 6.4 km<sup>3</sup> (Tappin et al., 2008).

The above listed events are probably only a small subset of landslide generated tsunamis in the Indonesian region. Due to the strong localization of landslide tsunamis (Okal and Synolakis, 2003), events in areas of low population densities might not have been observed at all. Furthermore, the contribution of landslides to tsunami generation, especially in historic cases, is not obvious and often matter of debate (Gusiakov, 2009). So tsunamis that are listed in catalogs as purely earthquake-generated might in fact have had a landslide contribution (Gusiakov, 2002). It is suspected, for example, that the extreme run-up of 20 m at Permisan (Fritz et al., 2007) during the 2006 Central Java tsunami was caused by a landslide (Matsumoto, 2007).

### 2.2 Known landslides offshore Indonesia

Witnessed landslide tsunamis represent only a small subset of hazardous slope failures. To assess possible sizes and the distribution of submarine mass movements in the Indonesian region, we list available geophysical data on landslide events (locations are shown in Fig. 1). To our knowledge, we took into account every available publication in this field, whereby data were collected in form of seismic lines, swath bathymetry, sediment echosound data, backscatter data or visual inspection. This landslide compilation can only be fragmentary, as large portions of the ocean floor have not been mapped yet and complete coverage would obviously exceed financial possibilities. Furthermore, scientific attention is focused on specific regions, for example the transition zone between Sunda subduction and Timor Trough or the area of the 2004 and 2005 earthquakes, so the apparent frequency of landslides is strongly biased with scientific interest. The surveys were mostly conducted by geomorphologists who studied tectonic processes in this region and not tsunami hazard posed by submarine slope failures. Therefore in some cases, important parameters like landslide thickness and width have not been evaluated.

- (1) During the Snellius II Expedition, Jongsma et al. (1989) discovered seismic sequences at the lower slope of the Weber trough. The sequences show irregular boundaries and are interpreted as slump deposits of about 50 m height. A subsequent slope stability study for the Banda Sea (Nitzsche, 1989) related slope steepness, sediment material, and possible seismic accelerations to calculate a factor of safety. Large areas of the Banda Sea are stated to be potentially unstable.
- (2) In the Wetar Basin north of Timor, Masson et al. (1991) detected a chaotic slide sequence in seismic data. Areas of high back-scatter coincide with the slide. Unfortunately, no information on slide size has been published.
- (3) A slump complex composed of several slide units is situated southwest of Timor (Karig et al., 1987). The area is  $10 \, \text{km}$  wide and covers  $200 \, \text{km}^2$ . Maximal thickness amounts to  $200 \, \text{m}$ .
- (4) Van Weering et al. (1989) relate mass movements in the Savu Basin with acoustic voids in seismic data. Slump scars at the southwestern basin slope are reported that involve head scarps of up to  $80\,\mathrm{m}$ . The apparently most recent slide is located in a depth of  $1800\,\mathrm{m}$  featuring a thickness of  $40\,\mathrm{m}$  and length of  $2\,\mathrm{km}$ .
- (5–7) Sumba Island has experienced more than 4 km uplift during the last 7 million years (Rutherford et al., 2001). Thus, marine sediments of Miocene to Pliocene age are exhibited on land. This gives the opportunity to study sequences of submarine mass movements directly. An early Pliocene slope failure that took place in a former water depth of 1–2 km is exposed near Kambatatana (Roep and Fortuin, 1996). Deposits exhibit a thickness of 20 m. The largest events on Sumba can be found near Kananggar (Fortuin et al., 1992). With 120 m height and a width of more than 10 km the slump complex covers a surface of at least 10 km². Near Tanarare, slump deposits of 30 m thickness are described. All slides are found where slopes increased at failure age, suggesting tectonically induced oversteepening as main cause of instability (Fortuin et al., 1992).

- (8) South of Central Java, mass movements can be associated with seamount subduction (Kopp et al., 2006). A large slide is located at the southern side of the Java Basin. Slide parameters are not given. However, the bathymetry data interpreted in the present publication contains this event, in Sect. 2.3 we refer to it as slide 7.
- (9) Near the Sunda Strait, buried slump structures are found in seismic lines (Susilohadi et al., 2005). The chaotic sequences are located at the northern side of the Java Basin with a length of nearly 10 km in slope direction. The sequences are 0.2 s TWT thick, corresponding to roughly 150 m (assumed sound speed: 1500 m/s, after Hamilton, 1985).
- (10) Off South Sumatra, chaotic sediments dated to late Miocene age may be interpreted as slide or crept deposition. The sequence is 0.5 s TWT (i.e. 375 m) thick and more than 50 km long (Susilohadi et al., 2005).
- (11) Beaudry and Moore (1985) identified small slumps in seismic lines of the western Nias Basin.
- (12–14) Large blocky debris flows took place at the foot of the accretionary slope west of Northern Sumatra. Off Simeulue Island, largest blocks of 100 m height have been transported up to 15 km away from the deformation front (Kopp et al., 2008). The volume of slide 14 was estimated to be 1 km<sup>3</sup>, while the largest block has a size of 0.2 km<sup>3</sup> (Moran and Tappin, 2006). In Sect. 2.3, we refer to these events as slides 1 to 3.
- (15) A 6 km long slump has been identified in backscatter data at the western slope of the Aceh Basin (Seeber et al., 2007). Slide thickness must be quite small, as it is hardly visible in bathymetry data.

# 2.3 Landslides in new bathymetry data and tsunami calculations

The multibeam bathymetry off Indonesia that we interpret in this publication (Fig. 2) was recorded during several cruises of German, British, Japanese, French, American and Indonesian vessels, namely RV Sonne (cruise SO 137, SO 138, SO 139, SO 176, SO 184, SO 186, SO 189, SO 190), HMS Scott, RV Yukosuka (cruise YK 0207, YK 0102), RV Marion Dufresne (cruise MD 149, MD 156), RV Revelle (cruise KNOX05RR), and RV Baruna Jaya IV (cruise BJ4). Many of the cruises were conducted following the Sumatra earthquake to elucidate the generation process of the 2004 tsunami. Hence, large portions of the trench area and the accretionary prism off Sumatra have been covered. However, especially in the forearc basins and south of Java the data is not complete. We visually analyzed the bathymetry data for characteristic landslide features: cauliflower-shaped escarpments and mass movement deposits. Evidence for fifteen slope failures has been found at 12 distinct locations. In the following, we refer to the locations as sites 1 to 12.

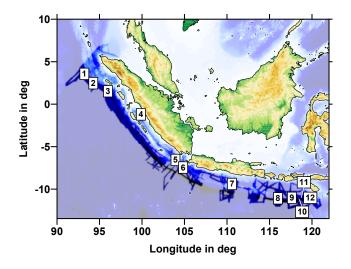
**Table 1.** Geometrical landslide parameters. Length denotes downslope dimension of the slide body, while width refers to the extension perpendicular to the slope. Numbers marked with a star (\*) are referenced to the largest block and not to the entire slide.

	Longitude (deg)	Latitude (deg)	Depth (km)	Height (m)	Length (km)	Width (km)	Volume (km <sup>3</sup> )
1	93°10′ E	4°10′ N	4.5	70*	3*	2*	1
2	94°05′ E	2°50′ N	4.6	100*	2.5*	1*	0.1*
3	96°05′ E	1°30′ N	5.1	110*	3*	2*	0.3*
4A	99°58′ E	1°25′ S	1.3	100	6	3	0.7
4B	99°59′ E	1°28′ S	1.3	100	6	2	0.5
4C	100°01′ E	1°30′ S	1.3	50	2.5	2.5	0.1
5A	104°11′ E	$6^{\circ}38'$ S	1.9	50	4	3.5	0.3
5B	104°18′ E	6°38′ S	1.9	50	2	2	0.1
6	104°45′ E	6°58′ S	2.1	100	5	2	0.4
7	110°31′E	9°18′ S	2.0	150	8	7	3
8	115°57′ E	11°06′ S	5.3	200	7	7	4
9	117°52′ E	11°04'S	5.3	200	8	23	15
10	118°12′ E	11°09′ S	6.0	100	4	7	1
11	118°34′ E	11°04′ S	6.1	300	5	25	15
12	119°15′ E	11°03′ S	5.0	300	10	18	20

A compilation of bathymetric images is shown in Fig. 3. The landslides 1, 2, and 3 at the trench off Northern Sumatra can be described as blocky debris avalanches. The mass movements started at the frontal thrust ridge and came to a halt within the trench area where several well-defined blocks are visible. The deposits of slide 2 overly a young thrust ridge, so probably the slides occurred before the ridge has formed tens of thousands of years ago (Henstock et al., 2006). Sites 4 to 7 are located at depths of 1.3 to 2 km at the foot of the forearc basins. Three events can be seen at site 4 (denominated 4A, 4B and 4C). The foot of slide 4A exhibits compressional features that are interpreted as the lower end of a listric failure surface. Two distinct landslides are distinguishable at location 5 (5A and 5B) located in proximity to slide 6 southwest of the Sunda strait. The largest events are situated at sites 8 to 12 near the eastern end of the Java Trench. Massive head scarps of several hundred meters height are found at the front of the accretionary prism. Nevertheless, associated landslide sediment deposits are not observable for slides 8 to 11. Slide 12, on the contrary, exhibits a large depositional lobe filling the trench area.

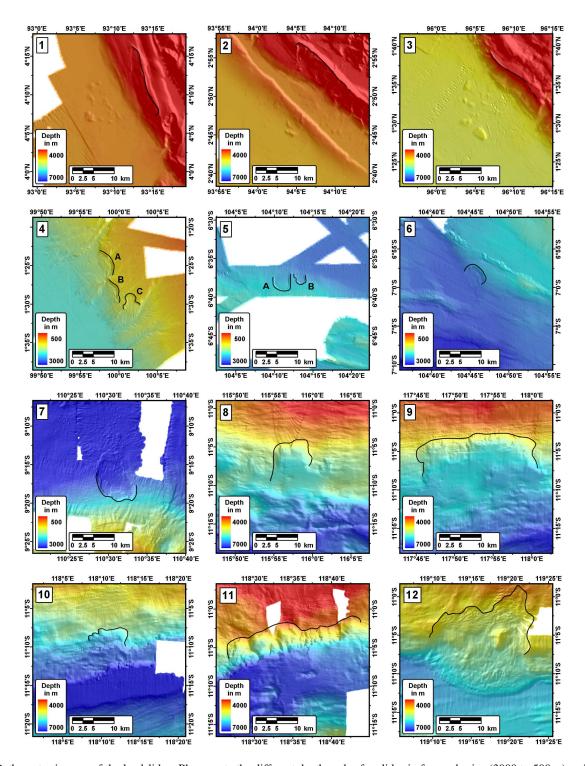
We quantify geometric parameters that are essential for tsunami calculation (Table 1). Slide dimensions perpendicular and parallel to the slope are denoted as width and length, respectively. Slide heights are evaluated by comparing the slope profile inside the slide zone and besides it. Slide volumes are estimated based on slide height, length and width. We therefore assume a smooth slide shape with parabolic profiles in direction of both length and width.

We studied whether the slope failures generated a tsunami and how much run-up was generated at the shore using numerical tsunami modeling for the events at site 4 (Brune et



**Fig. 2.** Landslide locations. Bathymetric data coverage is depicted in strong color. Note the large gaps in coverage off Java.

al., 2009a) and 7 to 12 (Brune et al., 2009b). We thereby applied the nonlinear shallow water code TUNAMI-N2 (Imamura et al., 1997) to calculate wave propagation from the slide to the shore. The program is based on staggered leapfrog scheme for discretization of time and space. We implemented a routine for landslide tsunami initiation based on a semi-empirical technique that relates geometric landslide parameters to initial tsunami wave length and height (Watts et al., 2005; Grilli et al., 2005). Further we applied a robust empirical formula to estimate run-up at the coast (Ward and Asphaug, 2003). Run-up strongly depends on local bathymetry and the wave form, which is not accounted for in this approach. Therefore, we refer to the obtained



**Fig. 3.** Bathymetry images of the landslides. Please note the different depth scales for slides in for-arc basins (3000 to 500 m) and near the trench (7000 to 4000 m). Head scarp interpretations are marked as black lines. Locations are mapped in Fig. 2. Slides 1, 2, 3, and 4 have been previously published by Moran and Tappin (2006), Henstock et al. (2006), Kopp et al. (2008, 2006), respectively.

results as effective "estimated run-up heights". For a detailed description of the applied modeling techniques we refer to Brune et al. (2009b).

Site 4 is located 70 km off Padang, one of the largest cities off Sumatra. It features three slides with volumes of 0.7, 0.5, and 0.1 km<sup>3</sup> respectively. According to our calculations,

estimated run-up at Padang for the events 4A and 4B was each roughly 1 m (Brune et al., 2009a). The maximum run-up took place closer to the slide area, south of Padang, reaching 3 m. The largest run-up at Siberut Island on the opposite side of the forearc basin did not exceed 1 m. It is not possible to tell whether the landslides failed simultaneously or in succession. An earthquake that induced the failure of one slump might have also triggered the other. In an additional study, we considered simultaneous landsliding by superposing the initial wave distributions. The resulting tsunami would have reached run-ups of up to 4 m south of Padang. Finally, we computed the wave field due to the third landslide 4C. However, it did not generate a significant tsunami.

The largest slides of our study (sites 9, 11, and 12 with a volume of 15 to  $20 \, \mathrm{km}^3$ ) were found 150 km southeast of Sumba and 250 km south of Sumbawa (in Brune et al., 2009b, they are denominated C, E, and F, respectively). They generated large tsunamis with maximum run-up heights of nearly 6 m on Sumbawa for slides 9 and 11. The largest run-up for slide 12 took place in Leterua on Sumba, reaching 7 m. The arrival times of the computed tsunamis varied between 20 and 30 min for all areas exposed to large wave heights.

#### 3 Landslide distribution

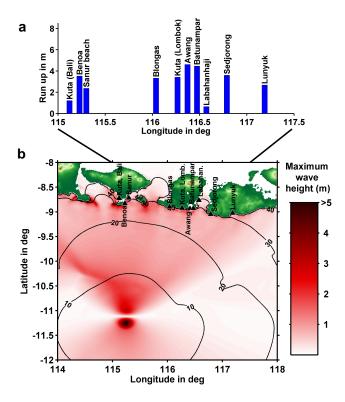
The distribution of landslides (Figs. 1 and 2) shows that mass movements occur everywhere on the submarine slopes of the Sunda Arc, however, the largest events cluster at the eastern Java Trench. Surprisingly, despite thick sediment cover and frequent large earthquakes, comparably large events are missing off Sumatra. Keeping in mind that the overall number of landslides is quite small, we propose the tentative statement that landslide size and the associated tsunami hazard is small off Sumatra and larger at the Java Trench.

To investigate the reason for this distribution we summarize the tectonic, geologic and seismological differences at the Sumatra and the Java Trench. Relative plate velocities between the Australian and the Sunda Plate increase from 60 mm/yr off Sumatra to 70 mm off Bali (Bock et al., 2003; Simons et al., 2007). Off Java and Bali, the Australian plates subducts nearly perpendicular to the trench, while slip partitioning takes place at the oblique subduction at Sumatra. Most of the trench-parallel movement is effectuated along the Sumatran strike-slip fault, which leaves an orthogonal convergence rate of only 40 mm/yr at the Sumatra Trench (Chlieh et al., 2008). Sediment cover of the oceanic plate changes dramatically from the northwestern to the southeastern part of the Sunda margin. This is due to the fact that the main sediment supply in this region is derived from the Bengal fan (Curray et al., 2003). With less sediment supply in larger distance to the fan, the size of the accretionary prism decreases steadily to the southeast, so that the Java Trench does not contain any sediment fill along extensive distances (Kopp et al., 2006). An important mechanical factor for landsliding is the inclination of the submarine slope. The slope angle of an accretionary wedge depends on the sediment balance at the trench resulting from the amount of material that is delivered by the oceanic plate, subducted into the mantle or accreted at the upper plate. If more material is being subducted than delivered, the trench retreats landward and one speaks of tectonic erosion. If the frontal prism grows, the margin is termed accretionary. Which kind of mode is realized, depends among other parameters on orthogonal plate convergence rate and trench sediment thickness (discussed above). According to Clift and Vannucchi (2004), the Sumatra Trench clearly belongs to the accretionary regime, with an estimated mean forearc slope angle of 1.4° for the Sumatra segment. The Java Trench, on the other side, exhibits features of tectonic erosion (Kopp et al., 2006) and the averaged forearc slope angle is 4° off Central Java. This could be the main reason for the large slide volumes at the Java Trench: Steep slopes that are locally further oversteepened by the mechanism of subduction erosion might eventually fail in large catastrophic events comparable to those exhibited off Sumba Island.

Differences in seismic activity between the Sumatra and the Java Trench can be related to the different ages of the subducting plate: 40–70 Ma off Sumatra and 70–150 Ma off Java (Ghose et al., 1990; Widiyantoro and van der Hilst, 1996). Older plate segments at the Java Trench feature lower lithospheric buoyancies and hence steeper dip angles upon subduction. Indeed, tomographic modeling suggests an increase in dip from Sumatra to Java (Ghose et al., 1990). This leads to a narrower seismogenic zone and lower coupling between subducting and overriding plate which results in the absence of huge seismic events (Burbidge et al., 2008). Evidence for lower coupling comes also from GPS data, as motion vectors of Java, Bali and Sumbawa point eastward, despite northward subduction (Bock et al., 2003). It is clear that earthquakes are a primary trigger for submarine landslides. Therefore it is astonishing that no large landslide could be found that was triggered by the 2004 Sumatra Earthquake. Tappin et al. (2007) argue that the sediment supply of the Bengal fan to the Sumatran accretionary prism has been reduced by the northward movement of the Ninetyeast Ridge in early Quaternary, thus promoting only small scale landslides. On all accounts, the landslide distribution discussed here is not controlled by earthquake magnitude.

#### 4 Case study: hypothetical slide event off Bali

In our bathymetry compilation, no landslide evidences could be found off Bali or Lombok. As both islands feature high population densities, we investigate the possible impact of a slope failure south of Bali. Assuming that sediment properties are similar, we model an event that resembles the largest event in our study region, slide 12 in volume and geometry. The scenario is located 250 km south of Bali, at the seaward slope of the accretionary wedge (115.25° E, 11.12° S).



**Fig. 4.** (a) Estimated run-ups for a hypothetical 20 km<sup>3</sup> event off Bali. (b) Maximum wave heights and arrival times (in minutes). Virtual gauge locations are marked with blue triangles and abbreviated names.

We compute the resulting tsunami using the modeling techniques summarized in Sect. 2.3. The distribution of maximum wave height can be seen in Fig. 4b. Offshore wave heights reach 2 m off Bali, 1.5 m off Lombok and decrease rapidly when entering the straits between the islands. Calculated run-up heights (Fig. 4a) reach nearly 4 m in Benoa, 2 m at Sanur beach and only 1 m in Kuta, which appears to be sheltered by the Bukit peninsula. Run-up on the southern coast of Lombok ranges around 4 m. These values somewhat depend on the assumed failure location. Moving the slide 70 km westward increases the run-up in Benoa up to 5 m while decreasing it in Lombok down to 2 m. Alternatively, moving the event 70 km eastward yields 3 m for Bali and 5 m for Lombok. Depending on current tidal conditions, these run-up values could be modified by  $\pm 1\,\mathrm{m}$ (University of Hawaii Sea Level Center, http://uhslc.soest. hawaii.edu).

# 5 Investigating the possibility for real-time detection of landslide tsunamis

Underwater earthquakes can be detected rapidly by seismological methods. Source parameters of a potentially induced tsunami can be estimated with reasonable precision by es-

tablished seismological (e.g., Lomax et al., 2007) or new GPS techniques (Blewitt et al., 2006; Sobolev et al., 2007). Tsunamigenic landslides, however, are very difficult to identify. As the released seismic energy is usually very small, seismic stations are not effective in detecting slope failures. Okal (2003) identified landslide signals in hydrophone and seismic data, but the technique is not yet applicable in real time. Based on numerical modeling, Brune et al. (2009c) showed that tiltmeters can be used to detect a landslide in the course of the event. This method can be applied to large slides at formerly glaciated margins or volcanic islands, but not for the Sunda margin, where slide volumes are significantly smaller. A tsunami however, whether it was produced by an earthquake or a landslide, can be identified using accurate devices like coastal tide gauges, ocean bottom pressure sensors or GPS buoys (González et al., 2005; Kato et al., 2000; Schöne et al., 2010). In this section we propose a procedure that, by measuring the landslide-generated tsunami, can be used to determine the location of slope failure and to predict tsunami heights at the coast. We thereby follow a database approach, where many theoretically possible scenarios are computed and their essential parameters stored in a catalog. Measurements of wave height and arrival time at the gauges are then used to select scenarios of the database which in turn yield a range of possible coastal wave heights. First, we describe the database setup, taking as sample region the eastern part of the Sunda margin. Then, we illustrate the process of scenario selection. Finally, the functionality is demonstrated for the landslide example of Sect. 4. We thereby assume that the tsunami was solely generated by a landslide. Superposition of landslide and earthquake tsunamis would result in a complicated wave field that will be more difficult to assess.

#### 5.1 Database setup

A landslide tsunami database of a certain region has to cover all possible landslide generated tsunamis. Depending on the kind of slope failure, landslides may involve various parameters like slide height, length and width, slide velocity and initial acceleration, sediment density, slope angle, runout distance, radius of curvature and angular displacement. The resultant tsunami in the source region, however, can be described with reasonable accuracy by a smaller set of parameters: initial wave height, wave length and the lateral dimension (aspect ratio) (Watts et al., 2005; Synolakis et al., 2002). Thus, from the point of view of tsunami prediction, the set of parameters needed to describe a landslide can be reduced to a few essential parameters of the tsunami's initial wave field. Therefore, the starting point to construct our database will be initial tsunami wave shapes instead of detailed scenarios of landslide motion.

We build our database accounting for the following parameters of the initial wave shape: location, direction, wave height, wave length, and aspect ratio. Slide locations are

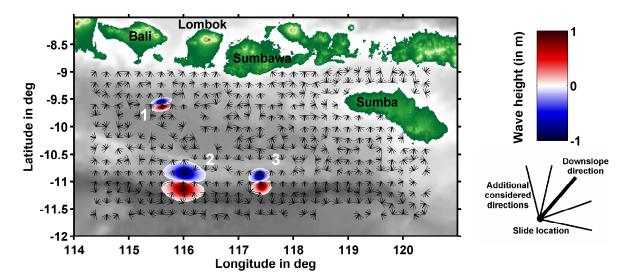


Fig. 5. Database scenario locations. Black dots represent slide locations and lines show considered slide directions as indicated in the sketch. Three initial wave shapes are shown: (1) wave length of 10 km, aspect ratio 2, (2) wave length 30 km, aspect ratio 1, (3) wave length 20 km, aspect ratio 0.5. For orientation, ETOPO 1 bathymetry is underlain in grey scale.

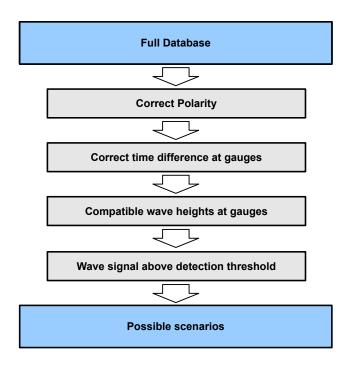
defined on a rectangular grid covering our sample area (Longitude range: 114.4° E to 120.4° E, Latitude range: -11.6° S to  $-9^{\circ}$  S) with a spacing of 0.2° (Fig. 5). Locations at the bottom of the forearc and on the oceanic plate that feature a slope angle smaller than  $0.5^{\circ}$  are excluded from the database. The overall number of considered positions amounts to 384. The direction of the slide depends on the bathymetry gradient. Possible deviations from downslope movement as predicted by the bathymetry grid (ETOPO 1, Amante and Eakins, 2009) are taken into account for two reasons: (i) morphological details like canyons might not be resolved, (ii) slides with net lateral mass transport may occur, where initial sliding triggers adjacent instability. This will be less likely for steep slopes, thus for shallow gradients ( $<2^{\circ}$ ) we vary the direction in 7 steps by  $\pm 90^{\circ}$ , while for steep slopes  $(>2^{\circ})$  we use 5 steps to account for  $\pm 60^{\circ}$  (Fig. 5). The wave height of our database scenarios is uniformly set to 1 m. Assuming linearity, this allows for scaling of the initial height to match gauge measurements. The applied range of wave length  $\lambda$  (5 km, 10 km, 15 km, 20 km, 25 km, and 30 km) is aligned with the characteristic wave lengths of the tsunamigenic landslides investigated in Sect. 2.3: slides 4B and 12 involved a tsunami wave length of 5 km and 27 km, respectively (Brune et al., 2009a, b). Finally, the width of the initial wave form is varied with respect to the wave length. We thereby applied aspect ratios of 0.5, 1 and 2. So, each grid location is populated by 90 (if gradient is smaller than 2°) or 126 (if gradient is larger than 2°) scenarios resulting in a total scenario number of 48 384.

For each scenario an initial wave form was computed in analogy to Watts et al. (2005) (see also Brune et al., 2009b). The wave shape is composed of a wave crest and a trough in positive and negative slide direction, respectively. Along

the axis of movement, the wave profile is approximated by two Gaussians with opposite signs and a height of 1 m. The distance between their center points is set to one wave length. Thus, the initial wave with a wave length of  $2\lambda$  collapses into two wave trains of wave length  $\lambda$  that propagate in opposite directions. Perpendicular to the slide direction we apply a solitary-like extrapolation proportional to  ${\rm sech}^2(3\cdot y/(a\cdot\lambda+\lambda))$  where y designates the coordinate in slide-perpendicular direction while a denotes the aspect ratio (Watts et al., 2005). Three examples of initial wave forms are depicted in Fig. 5.

The tsunami propagation of every scenario is calculated on a rectangular grid (interpolated ETOPO 1, Amante and Eakins, 2009) with a step size of 30 arcseconds (926 m) in space and 2 s in time. Wave propagation is calculated for 90 min of system time. During this time span the tsunami of every scenario reaches all coastal points of interest. We apply a linear shallow water code following the numerical algorithm of Kowalik and Whitmore (1991), which is explicit time-stepping on a staggered finite-difference grid. Fully reflective boundary conditions are assumed along the shoreline. A linear scheme is used for two reasons: (i) during the inversion process, we take advantage of linearity in scaling the tsunami initial wave height in order to match gauge recordings. (ii) The linear program is very fast, and calculation speed is essential for computing several ten thousand scenarios within reasonable time.

For every scenario, the tsunami height and the arrival time at specific points of interest are stored in a database. The points of interest can be identified with locations of buoys, tide gauges or coastal communities. The following example involves two buoys at the southern forearc basin and one buoy situated off Bali.



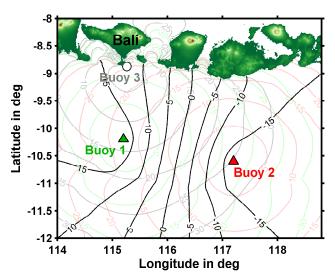
**Fig. 6.** Diagram of the database selection process. Blue rectangles represent a set of scenarios, while grey ones stand for filters of the selection process.

#### 5.2 Inversion concept

During a real event, tsunami height and arrival time can be gained from the buoy recordings. We use these data to extract possible scenarios from the fully populated database. We therefore apply four different filter mechanisms schematized in Fig. 6.

First, we require correct polarity, i.e. we discard every scenario whose sign of the wave height does not correspond to the measured wave polarity at the buoys.

The second filter is based on the correct difference in arrival time at the buoy stations: In contrast to earthquake induced tsunamis where seismological arrays yield the exact timing of an earthquake, the moment of generation is not known for landslides tsunamis. Hence, tsunami arrival at the first buoy  $t_1$  (or second buoy,  $t_2$ ) does not yet provide a travel time. Merely the difference between both events  $\Delta t = t_1 - t_2$  contains information on tsunami source location. For a given  $\Delta t$ , the ensemble of possible tsunami origins is situated on a curved line (black contours in Fig. 7). As an example we consider a tsunami that reaches first buoy 1 and after a time  $\Delta t$  of 10 min it arrives at buoy 2. To reach buoy 1 it could have taken 5 min, 10 min, or 15 min, but due to the known  $\Delta t$  the wave must have spend 15 min, 20 min, or 25 min, respectively, to arrive at buoy 2. These possibilities each correspond to a crossing of the green and the red isochrones in Fig. 7. The whole set of possible



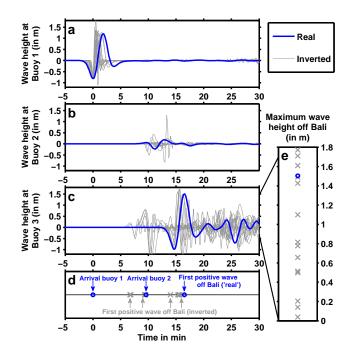
**Fig. 7.** Tsunami travel time isochrones. Green, red and grey contour lines represent the necessary travel time isochrones to reach buoys 1, 2, and 3, respectively (in minutes). The difference in arrival time  $\Delta t$  between buoys 1 and 2 is shown as black contour lines.

origins is marked by the black line with a  $\Delta t$  of 10 min. When extracting possible scenarios from the database, a certain tolerance in  $\Delta t$  has to be considered accounting for the discrete distribution of database source locations. In our calculations, a tolerance of 2 min provided good results.

The third filter relates to the measured wave height at the buoys. As mentioned beforehand, the database only contains scenarios with an initial wave height of 1 m. We denote the stored tsunami heights at the buoys with  $\eta_1^*$  and  $\eta_2^*$ , while measured heights are designated  $\eta_1$  and  $\eta_2$  for buoy 1 and 2, respectively. Assuming linearity, we scale the initial wave height by a factor of  $A_1 = \eta_1/\eta_1^*$  or  $A_2 = \eta_2/\eta_2^*$  for each buoy. These factors have to coincide for the correct source location. During the filtering process, we compare  $A_1$  and  $A_2$ and if their difference is less than a tolerance of 20%, we consider the scenario possible. However, we do not allow arbitrary large amplification factors. If the initial wave height exceeds unphysical values of more than 50 m the scenario is discarded. To estimate wave heights at the coastal buoy 3, only one amplification factor is needed. In the following, we apply the maximum of both factors  $A=\max(A_1,A_2)$ .

Tsunami detection using buoys necessitates a minimum tsunami amplitude that is related to the accuracy of the device but moreover to the ubiquitous background noise of the oceanic wave field. Usually the detection threshold is in the order of a few centimeters (González et al., 2005). Here, we use a minimal detection wave height of 5 cm. During the last filtering process, scenarios involving wave heights below this value are discarded.

The scenarios that remain after the selection process are considered possible, for further selection additional data would be required. The aim of our approach is to predict



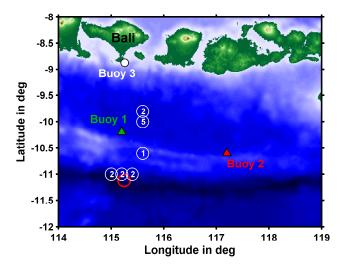
**Fig. 8.** Tsunami comparisons. Blue lines/circles designate the "real" input event, grey lines/crosses the predictions. (a–c) Mareograms for the scenario off Bali. Buoy locations are shown in Figs. 7 and 9. (d) Time line of tsunami arrival. (e) Maximal wave heights at buoy 3.

wave heights at a final coastal location, i.e. buoy 3. Possible wave heights  $\eta_3$  at the coastal buoy are computed by amplifying the precomputed wave height  $\eta_3^*$  with the amplification factor:  $\eta_3 = A \cdot \eta_3^*$ . This is done for every possible scenario which results in a set of potential wave heights and their arrival times. This set constitutes the final outcome of our routine.

#### 5.3 Example for hypothetical Bali event

We exemplify the inversion routine for the case of the hypothetical  $20 \, \mathrm{km}^3$  slide south of Bali that was discussed in Sect. 4. Again, two buoys are positioned in the southern forearc basin. Predictions of wave height will be made off Bali, at the positions of the virtual buoy 3. In the following we will refer to the results of the input scenario as the "real" data.

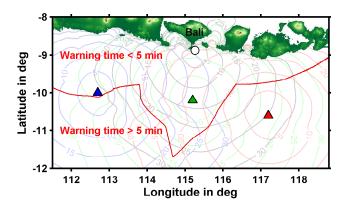
The time series measured at buoy 1 and 2 are shown as blue lines in Fig. 8a and b. The tsunami reaches buoy 1 with a wave height of -0.8 m at the moment  $t_1$ =0. This information alone allows no conclusions about its origin and initial height nor about the arrival time and wave height at Bali. After 9.5 min it arrives at buoy 2 with a first extremum of 7 cm. We filter the database for correct polarity and difference in arrival time  $\Delta t$  resulting in 620 possible scenarios. Requiring compatible wave heights at the buoy yields 15 scenarios, involving amplification factors A between 4.8 and 15. One of these would not have been detectable at buoy 2 as its de-



**Fig. 9.** Locations of possible data base events (white circles) that correspond to landslide scenario off Bali (red circle). Numbers inside the circles show how many scenarios are considered possible at each position. Possible scenarios are situated on a curved line, compare Fig. 7.

tection threshold is 5 cm. This leaves 14 possible scenarios whose source locations are mapped in Fig. 9 (white circles). For comparison the slide position of the input scenario is depicted in red. The numbers in the white circles show how many possible scenarios locate at that specific point. We recall that for each position at least 90 scenarios are stored in the database. Possible source locations are situated on a curved line. As discussed above, this reflects the influence of a fixed  $\Delta t$  on the selection process (compare Fig. 7).

The mareograms of the possible scenarios are compared with the input data in Fig. 8a-c. Due to the selection process, the amplitude of the first wave at buoy 1 corresponds well with real data. Larger discrepancies at buoy 2 are due to the second or third wave. The arrival time in Fig. 8b lies within the before mentioned tolerance of 2 min. Wave arrival and height at buoy 3 off Bali differ significantly (Fig. 8c). For better readability, we extract both values and plot them separately in Fig. 8d and e, respectively. The difference in arrival time relates to the variety of potential source positions. Scenarios that are located north of buoy 1 reach Bali much earlier than those at the trench. Some scenarios reach buoy 3 even before they arrive at buoy 2. If one of these represented the real event, a warning would be impossible. We come back to that issue in the next section. The tsunami of the input landslide reaches Bali 7 min later than buoy 2. This constitutes the maximally possible time to pronounce a warning. Largest wave heights at buoy 3, are shown in Fig. 8e. The inverted heights range from nearly zero to 1.8 m, while the real height with 1.5 m is comparable to the largest predicted value. These wave heights at buoy 3 (200 m water depth) correspond to estimated run-ups of 4.6 and 4 m, respectively.



**Fig. 10.** Arrival times at buoys. Travel time isochrones (in minutes) are plotted in the respective buoy color. The thick red line shows principal scope of early detection for a warning time of five minutes. Tsunamis that originate north of that line reach Bali in less than 5 min before two buoys experience a tsunami signal.

#### 5.4 Remarks

In the previous section, we theoretically describe how buoy systems can be used to identify landslide tsunamis. Even though our computations yield promising results, this technique has to be carefully verified during real events before the technique is implementable in a tsunami warning system. Nevertheless, we can derive some fundamental conclusions.

In this study, the time between tsunami arrival at buoy 2 and the coast was only 7 min. Considering the effort of an evacuation this is an extremely brief period. For a given buoy distribution, there is always a tsunami source region so that warning time is very short. This region depends solely on tsunami travel times and thus on bathymetry. For the case of Bali, we map the region where warning time is less than 5 min (Fig. 10). We use positions of buoy 1, 2, 3 and an additional buoy south of Java. Travel time isochrones are plotted in the respective buoy color. Locations where the tsunami arrives at Bali 5 min after it reached at least two buoys are marked by the red line. Thus, this line divides the area in two regions where the warning time for Bali is less or more than 5 min. The region with less than 5 min warning time is astonishingly large. It could be reduced by shifting the buoys landward, however, this would cause that events at the trench will be recognized later than before. Placing two buoys at the 5 min isochrone off Bali yields 5 min warning time for all events. However, this holds true only for Bali while adjacent areas would need their own gauges. This issue shows the fundamental difficulty of area-wide warning for landslides tsunamis, even with advanced buoy technology, simply because landslide tsunamis are very localized and the possible origin is not known in advance.

Another problem associated with landslide tsunami detection by buoys or tide gauges is the directivity of the wave. Largest waves can be expected in positive and in negative direction of movement. Perpendicular to the slope, however, waves interfere negatively and annihilate each other. Thus, an area adjacent to the slide exists, where wave heights are very small or zero, even if the slide itself is huge. That is the reason, why wave heights at buoy 2 in Sect. 5.3 are so much smaller than at buoy 1. The problem could only be solved by applying more measurement devices which can be very cost-intensive.

In this publication, we considered purely landslidegenerated tsunamis and neglect any earthquake contribution. In most cases though, it is an earthquake that triggers slope failure. This might solve the problem of unknown landslide location, as one can assume the slide to happen within the area of strong shaking. Tsunami measurements at the closest buoy could then be used to give an estimation of the tsunami height at the source. On the other hand the wave field will be a superposition of the landslide and the earthquake tsunami and distinction between both origins will be very complex.

#### 6 Summary

Submarine slope failures take place at many locations in the Indonesian archipelago. By generating tsunamis, they can pose a threat to coastal structures and population. In this publication the distribution of submarine landslides and the associated tsunami hazard have been investigated. Summarizing available literature on tectonics and geomorphology we list 15 landslides that have been identified by geophysical methods. A different way of landslide identification is the exploration of tsunami events that show untypical runup heights and distributions. Our literature study displayed 6 tsunamis in the Indonesian region where landslide influence is either ensured or debated. Interpretation of new bathymetric data at the Sunda margin revealed 12 slope failures off Sumatra, Java, Sumbawa and Sumba. The largest events with volumes of up to 20 km<sup>3</sup> are located in the easternmost region of the Sunda margin, while slides off Sumatra involve at most 1 km<sup>3</sup>. To understand the reason for this distribution, we compare geologic and tectonic properties at the Sumatra Trench and the Java Trench. While orthogonal plate convergence rate is larger at the Java Trench than off Sumatra, the sediment thickness is smaller. Both properties favor subduction erosion at the eastern Sunda margin which is thought to facilitate local oversteepening of slopes and thereby promoting slope instability. Several of the described events have been tsunamigenic. Numerical tsunami modeling resulted in run-up heights of up to 7 m at Sumba and 6 m at Sumbawa. If one of the large slides takes place at the trench off Bali, run-up of about 4 m at Bali and Lombok can be expected. Finally, we proposed a method for the rapid identification of landslide location based on tsunami gauge measurements. With inverted location and initial wave height and wave length, predictions for near shore tsunami amplitudes are computed. This theoretical concept presumes that the tsunami was fully landslide-generated. Superpositions of landslide and seismic tsunamis are not taken into account. The technique reveals fundamental problems of landslide tsunami detection: for rapid identification, buoy networks would have to be especially dense while due to the local nature of landslide tsunamis, warning times are very short.

Acknowledgements. This is publication 106 of the GITEWS project (German Indonesian Tsunami Early Warning System). The project is carried out through a large group of scientists and engineers from GeoForschungsZentrum Potsdam (GFZ) and its partners from DLR, AWI GKSS, IFM-GEOMAR, UNU, BGR, GTZ, as well as from Indonesian and other international partners. Funding is provided by the German Federal Ministry for Education and Research (BMBF), grant 03TSU01.

Edited by: U. Münch

Reviewed by: H. Kopp and another anonymous referee

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